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**EARTH &  
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# Lessons learned from coupled hydro-mechanical modeling of induced seismicity

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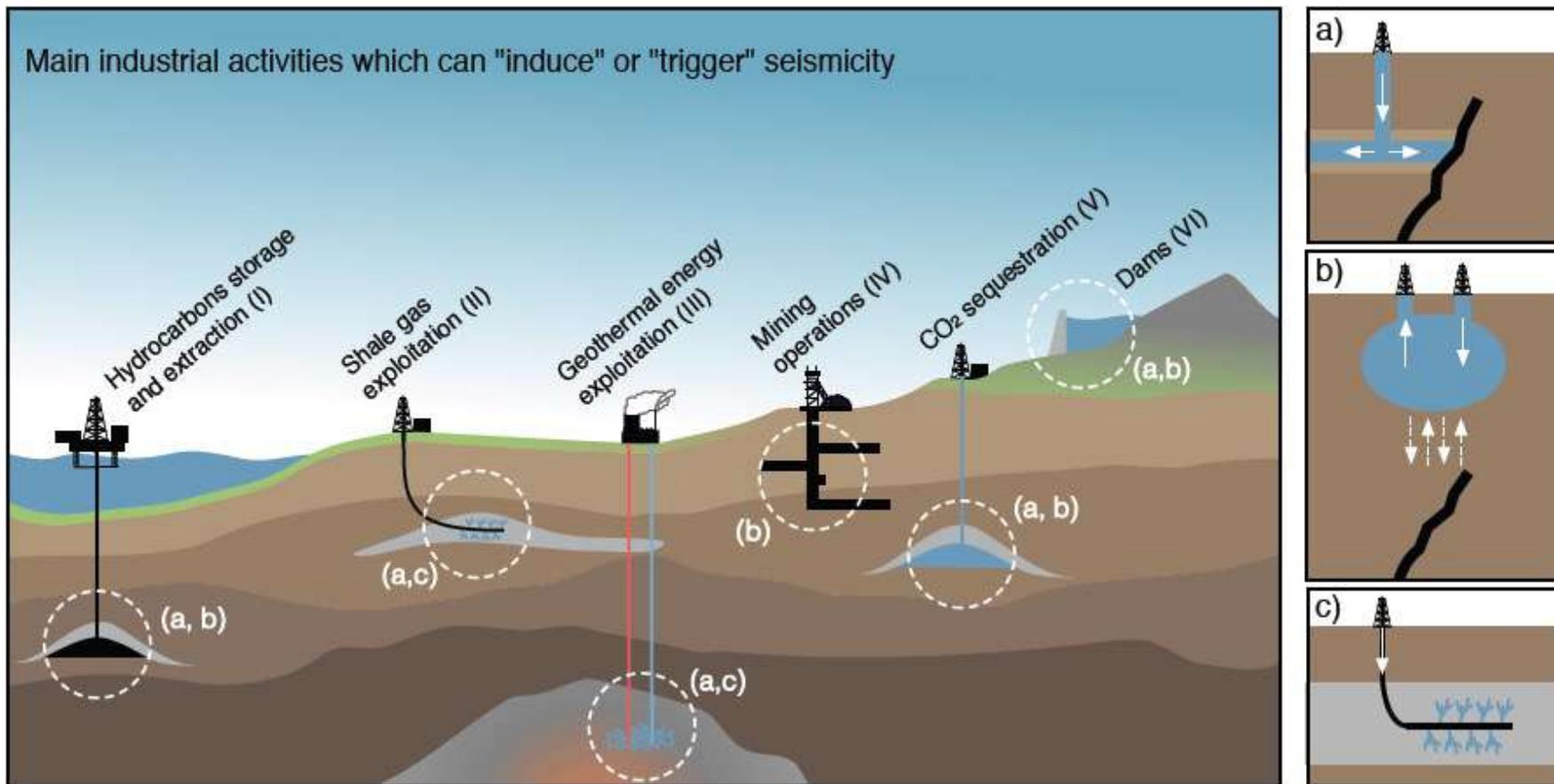


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**107° CONGRESSO NAZIONALE**

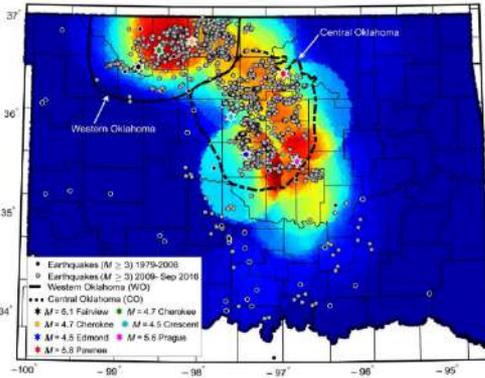
13-17 settembre 2021

# GeoEnergy applications and induced earthquakes belong together

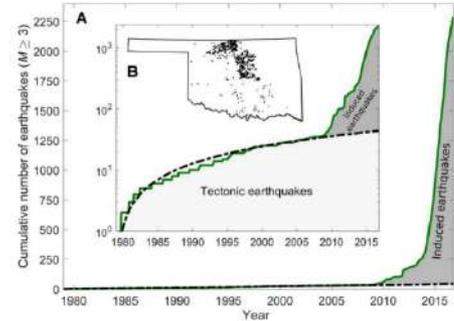


# Examples of fault reactivation and related induced seismicity

## Wastewater injection (e.g., Oklahoma, US)



Langebruch and Zoback, 2016

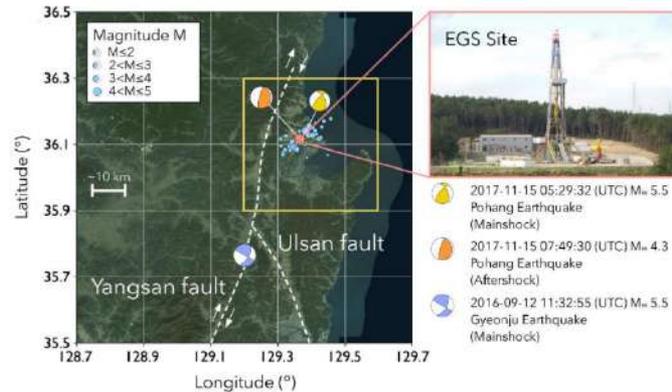


**Largest event: M=5.8**

## Enhanced geothermal system (e.g., Pohang, South Korea)

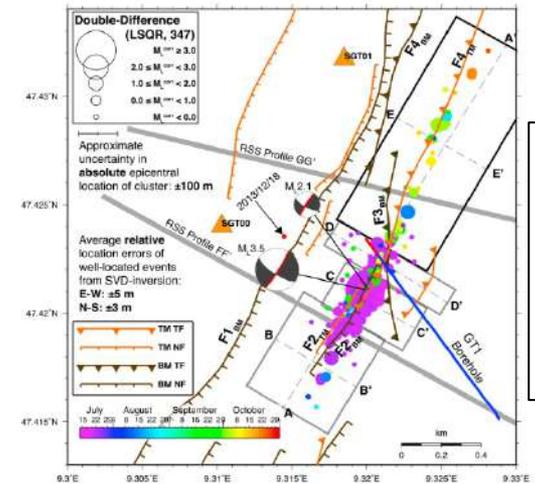


Grigoli et al., 2018



**Largest event: M<sub>w</sub>=5.5**

## Deep geothermal energy (e.g., St. Gallen, Switzerland)

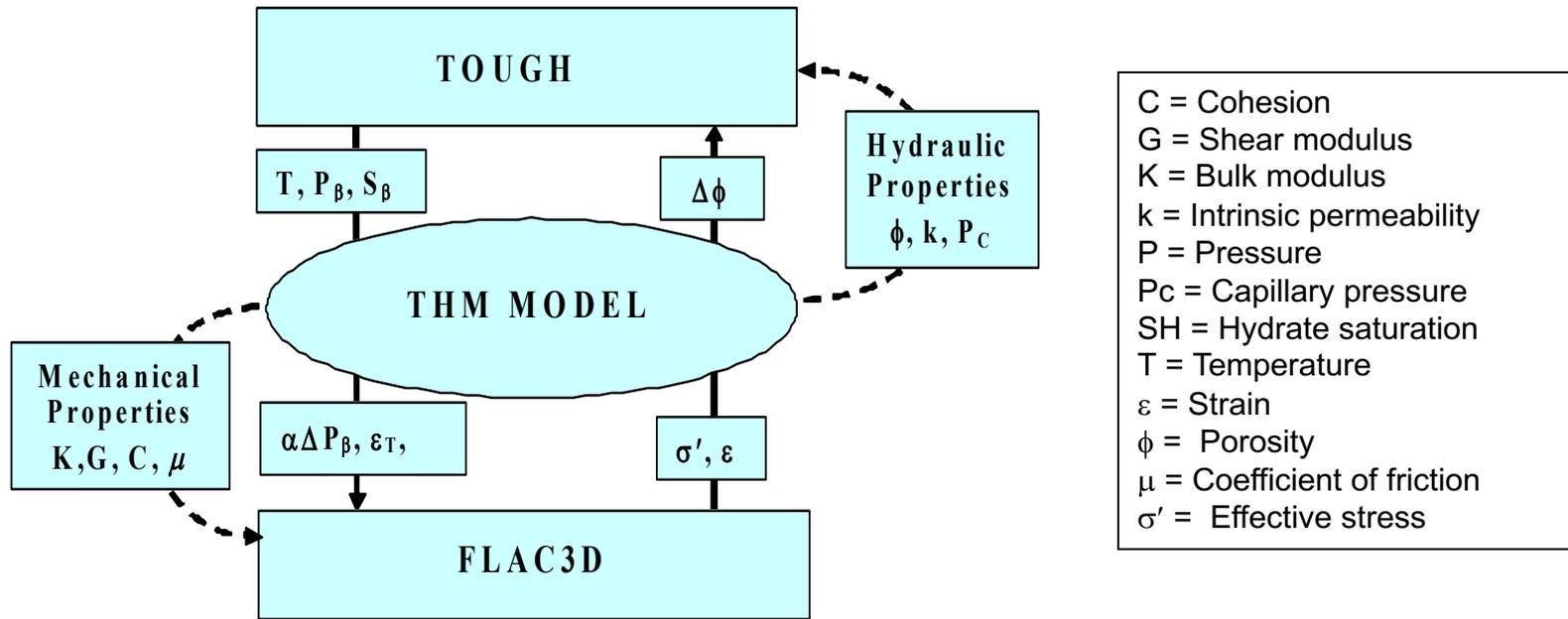


Diehl et al., 2017

**Largest event: M<sub>L</sub>=3.5**

# Modeling case studies for fault reactivation

- Deep underground injection/withdrawal of fluids:
  - Rinaldi et al. (2015), *Fault reactivation during CO<sub>2</sub> sequestration: effects of well orientation on seismicity and leakage*, Greenh. Gas. Sci. Tech., 5, 645-656, doi:10.1002/ghg.1511
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- Hydraulic fracturing:
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- Fracture stimulation for geothermal:
  - Rinaldi, A. P., J. Rutqvist, (2019), *Joint opening or hydroshearing? Analyzing a fracture zone stimulation at Fenton Hill*, Geothermics, 77, 83-98. doi:10.1016/j.geothermics.2018.08.006



## Fixed-stress split sequential method to couple flow and geomechanics.

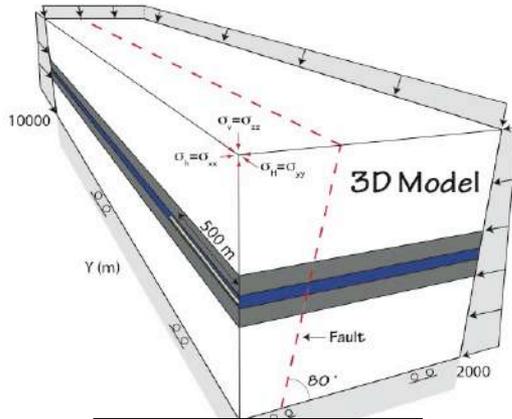
**Direct couplings** (solid arrow): Pore volume change, effective stress, thermal strain, and swelling

**Indirect couplings** (dashed arrow): Changes in mechanical and hydraulic properties

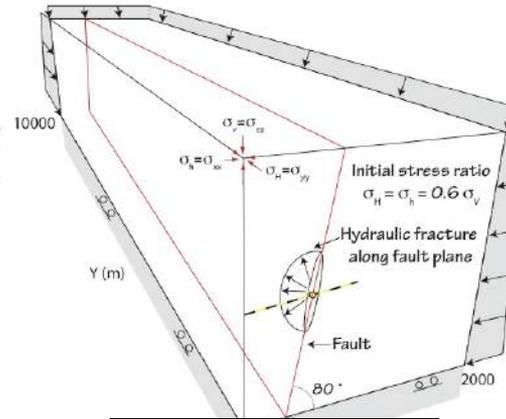
Rutqvist et al., 2002;  
 Rutqvist et al., 2011;  
 Blanco-Martin et al., 2016;  
 Rinaldi et al., 2021

Latest version accounting for parallel computing and python scripting for sequential coupling of the two codes (TOUGH3 and FLAC3Dv6/7)

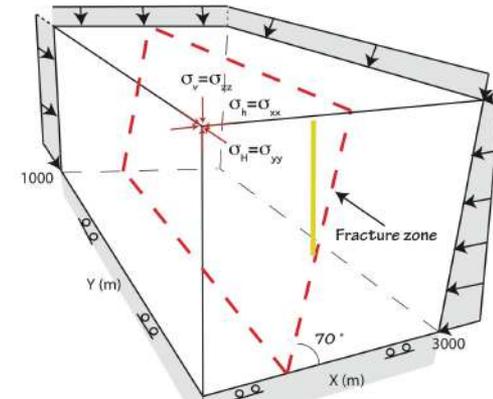
# Fault model for understanding physical processes



CO2/Deep injections  
Rinaldi et al., 2015

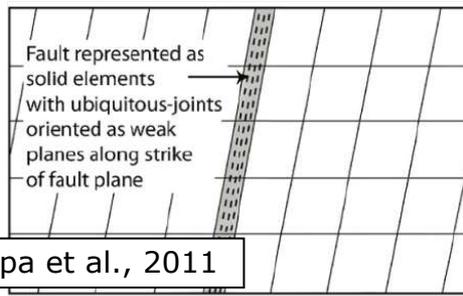


Hydraulic fracturing  
Rutqvist et al., 2015



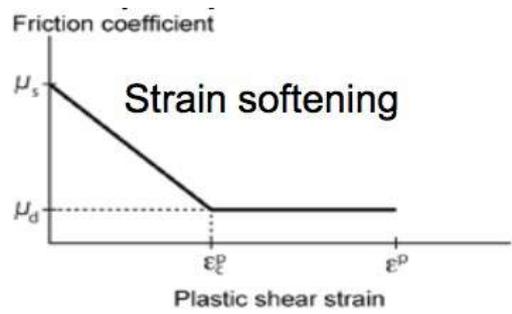
Geothermal  
Rinaldi & Rutqvist, 2019

- Constant pressure boundary
- ↔ Constant stress boundary
- No normal displacement
- Stress orientation
- Injection well



Cappa et al., 2011

Finite continuum fault, size and permeability depending on the case



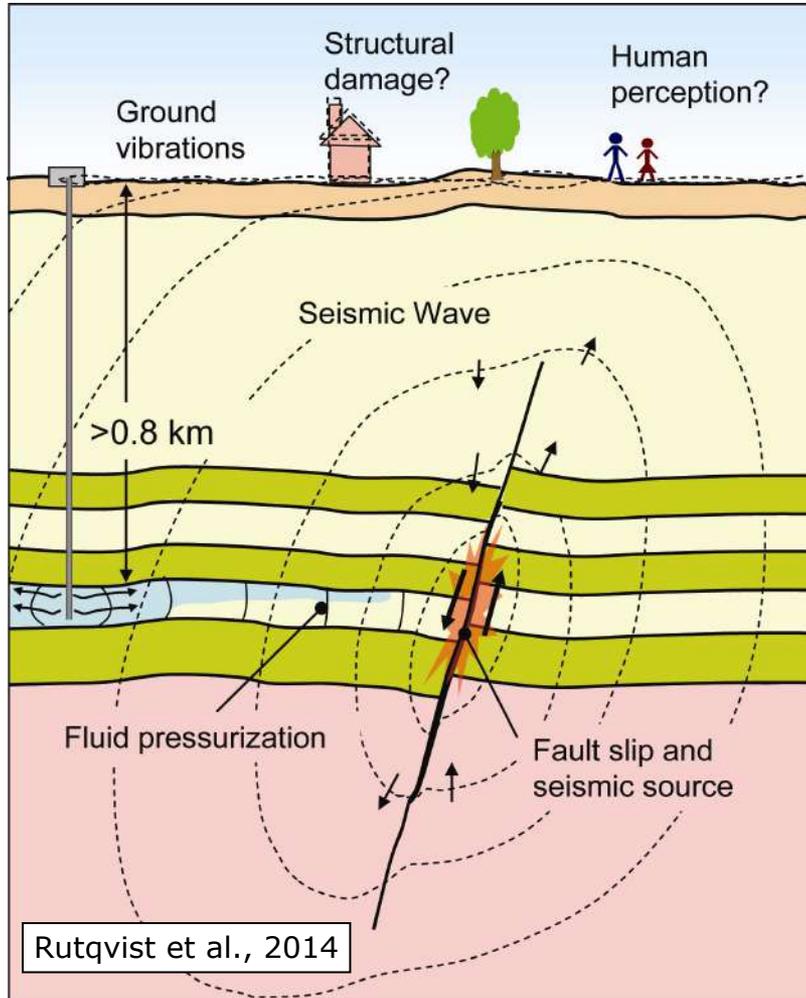
Fault "seismic" reactivation through frictional law

$$M_0 = G \times A \times d$$

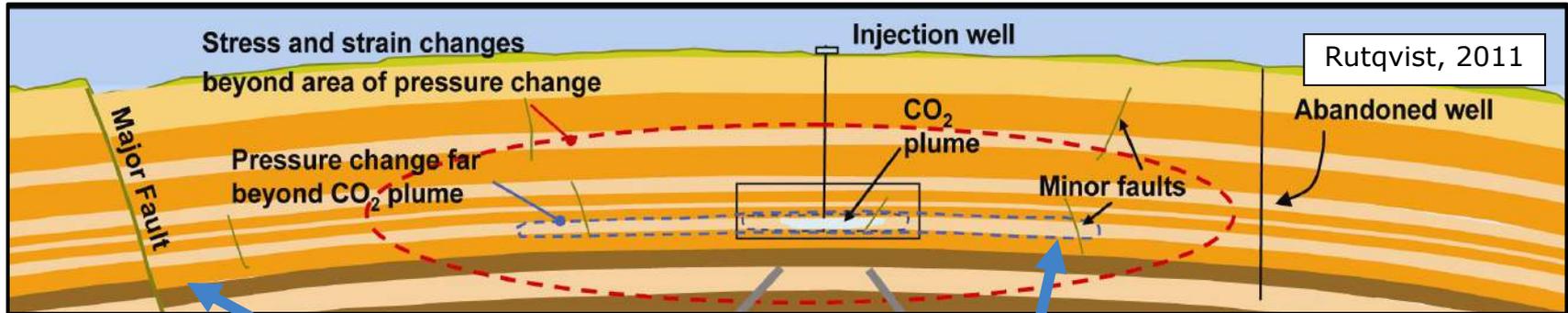
$$M_w = \frac{2}{3} \log_{10} M_0 - 6.1$$

Magnitudes with seismological relationships

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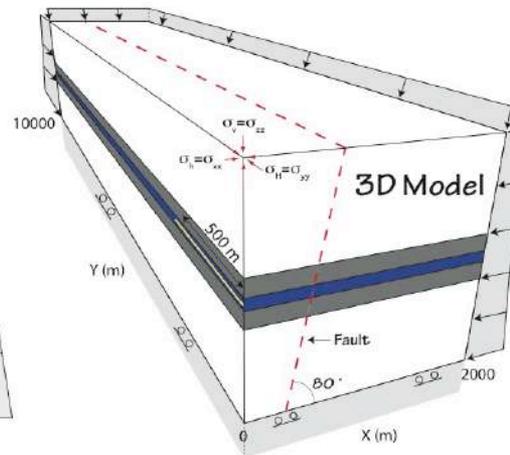
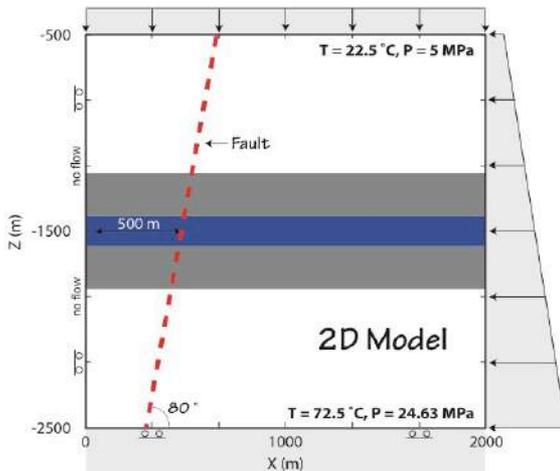


- Overpressures due to large-scale fluid injection may induce seismic events
- How much it takes to reactivate a fault?
- What is the role of the stress state and stress evolution?
- How is the magnitude varying?



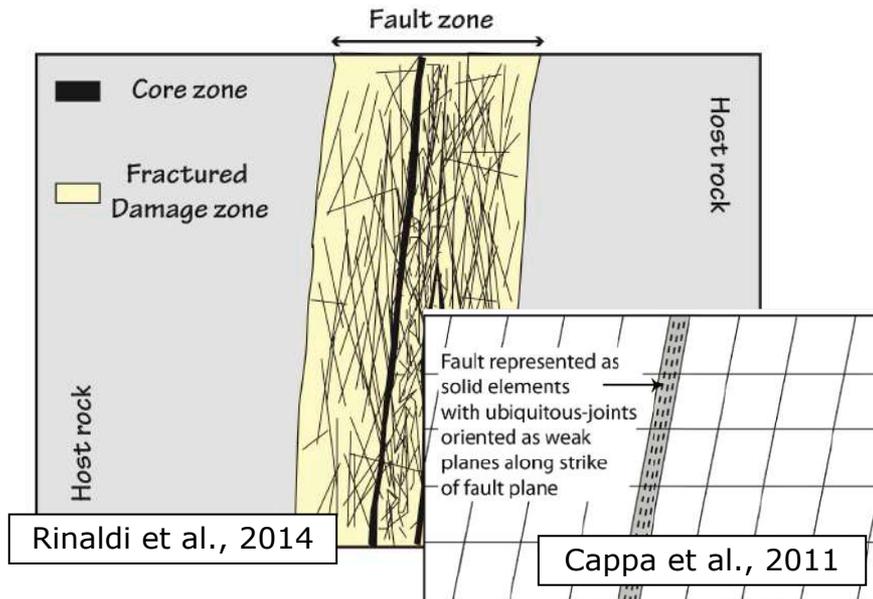
- Large offset **major faults** can be detected at the ground surface and by seismic surveys. Sequestration site might be designed to stay away from such faults.

- The regional injection-induced (slow) crustal straining and **minor (hidden) faults** might be of greatest concern at future large scale injection sites (minor faults of unknown location and orientation)



Central reservoir bounded by two low permeability caprock.

Injection through vertical or horizontal well, with flow rate 120 kg/s (accounting for symmetry)



**Fault core:**  $10^{-17} \text{ m}^2$

Anisotropic coupling. Hydraulic parameters depend on anisotropic elasto-plastic properties. Porosity as function of plastic tensile ( $e_{ftp}$ ) and shear strain ( $e_{fsp}$ ), and dilation ( $\psi$ ). Permeability as function of normal effective stress ( $\sigma'_n$ ) and porosity changes (Hsiung et al., 2005). **a** and **c** empirical constants for normal-closure hyperbola (Bandis et al., 1983)

**Damage zone:**  $10^{-15} \text{ m}^2$

porosity as function of mean effective stress ( $\sigma'_M$ ), permeability depends on porosity changes (Davies and Davies, 2001)

$$\phi_{hm} = (\phi_0 - \phi_r) \exp(5 \cdot 10^{-8} \cdot \sigma'_M) + \phi_r$$

$$\kappa_{hm} = \kappa_0 \exp[22.2(\phi_{hm}/\phi_0 - 1)]$$

$$\phi_{hm} = \phi_0 + \Delta\phi_{fp}$$

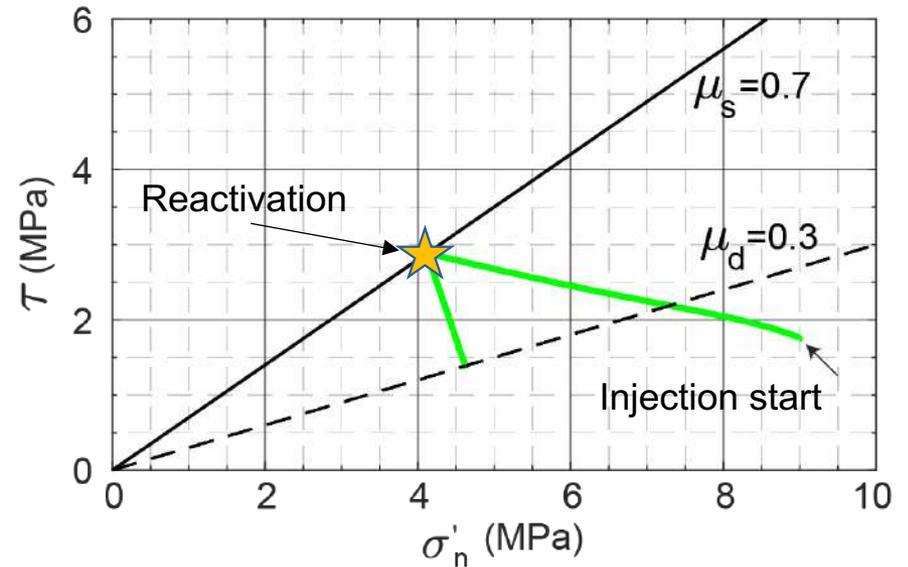
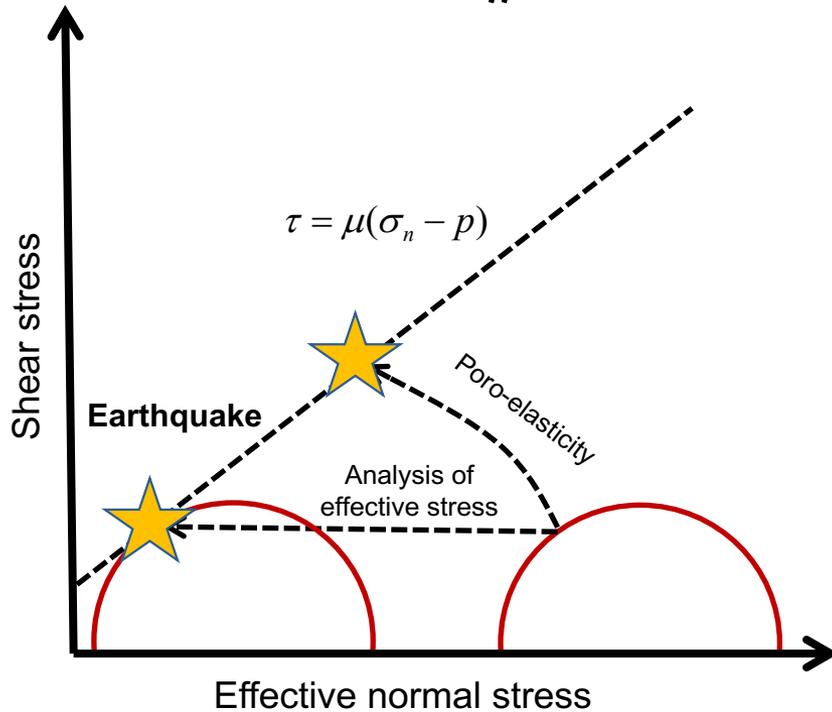
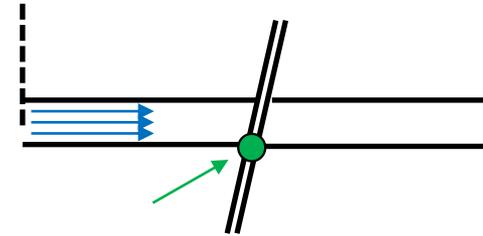
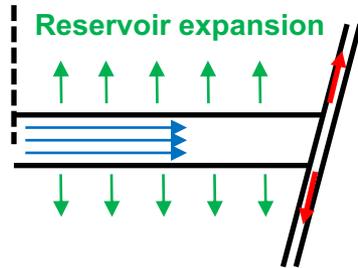
$$\Delta\phi_{fp} = e_{ftp} + e_{fsp} \tan \psi$$

$$\kappa_{hm} = \kappa_0 \left[ \frac{a}{c(c\sigma'_n + 1)} \sqrt{\frac{\phi_0}{12\kappa_0} + \frac{e_{ftp} + e_{fsp} \tan \psi}{\phi_0}} \right]^3$$

$$a = K^{-1}$$

$$c = \frac{-1 \pm \sqrt{1 + 4\sigma'_{n0} a \sqrt{\phi_0/12\kappa_0}}}{2\sigma'_{n0}}$$

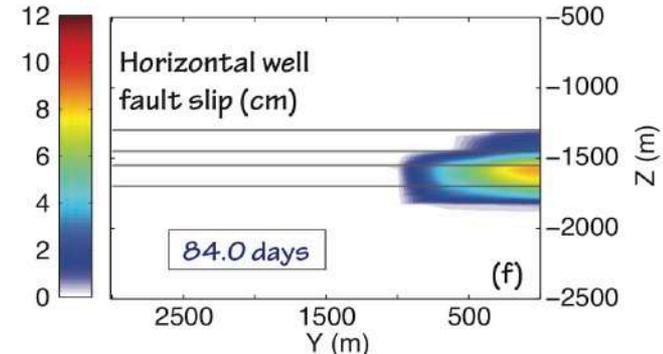
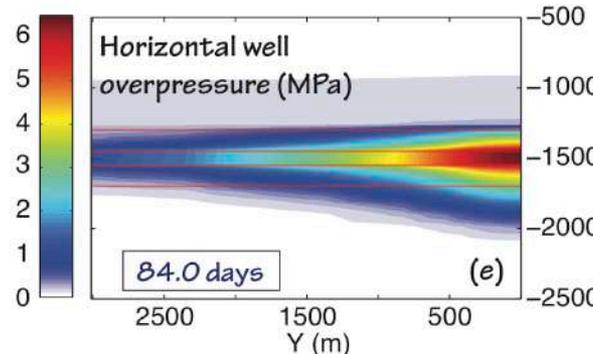
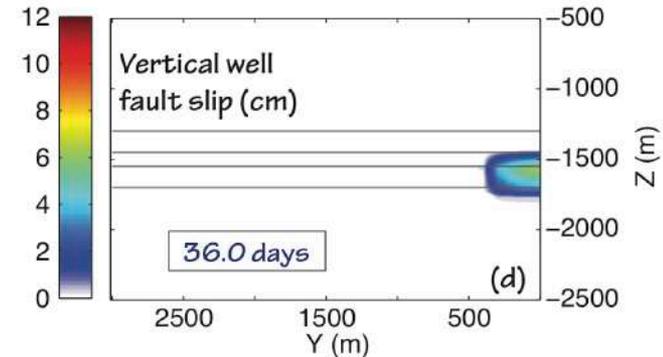
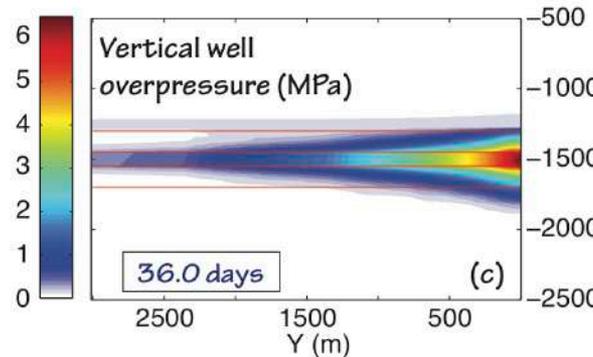
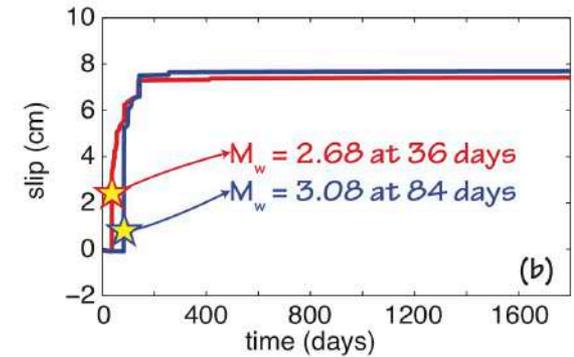
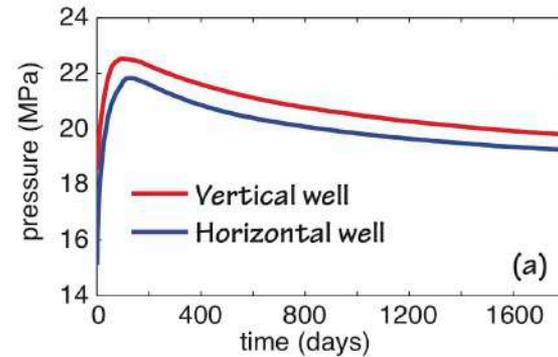
# Poroelastic effects on fault reactivation



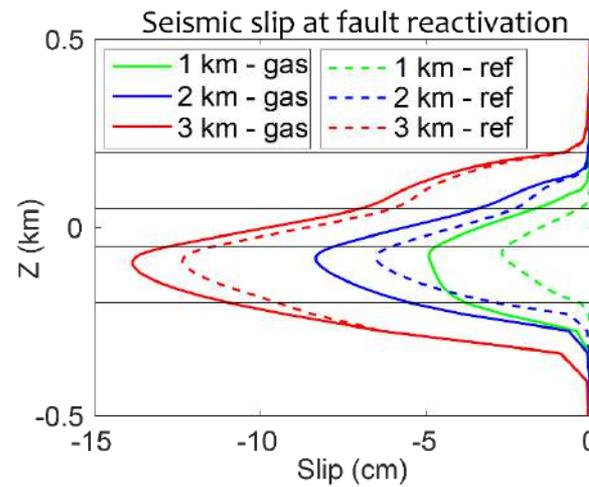
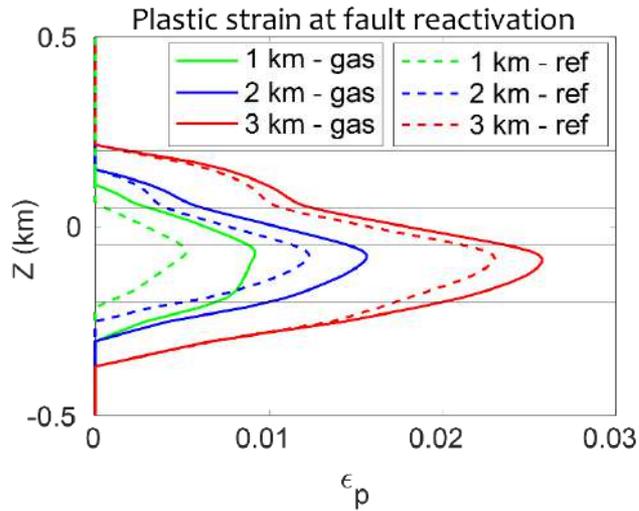
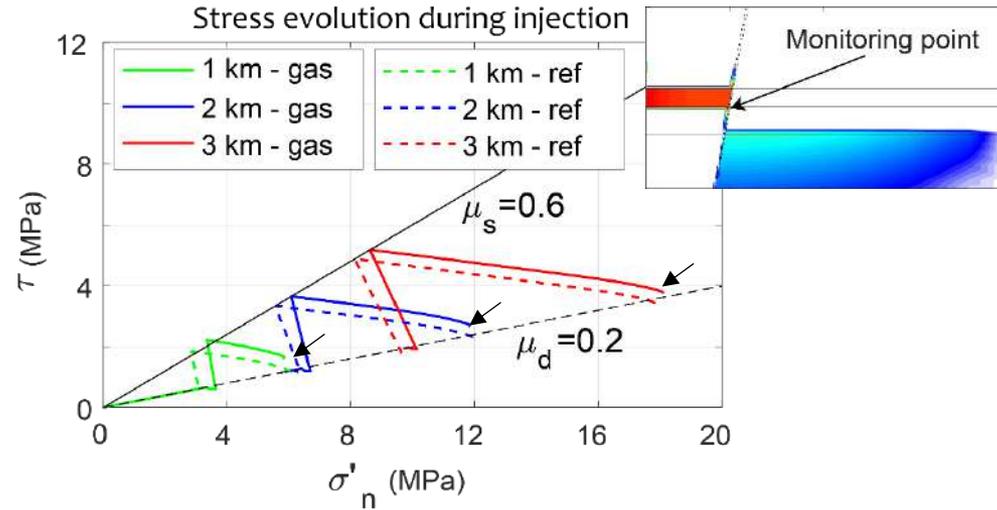
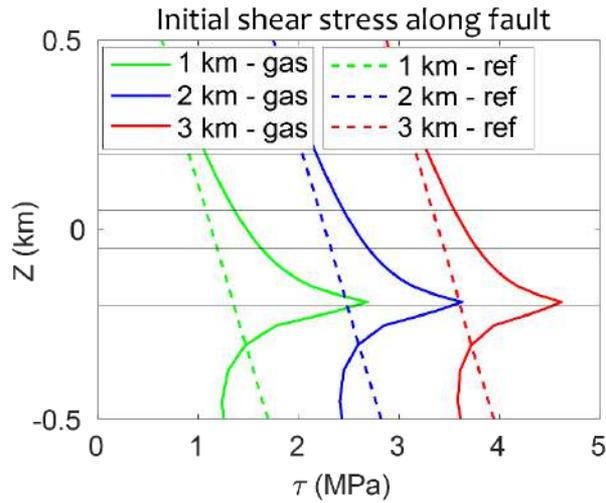
# Horizontal vs Vertical injection well

Rinaldi et al., 2015

- 1 MPa difference at peak
- Different time of reactivation
- Critical pressure extent: 300 m for vertical and 700 m for horizontal
- Larger rupture area and slip for horizontal well injection
- 0.4 difference in earthquake magnitude (4 times more energy for horizontal well)

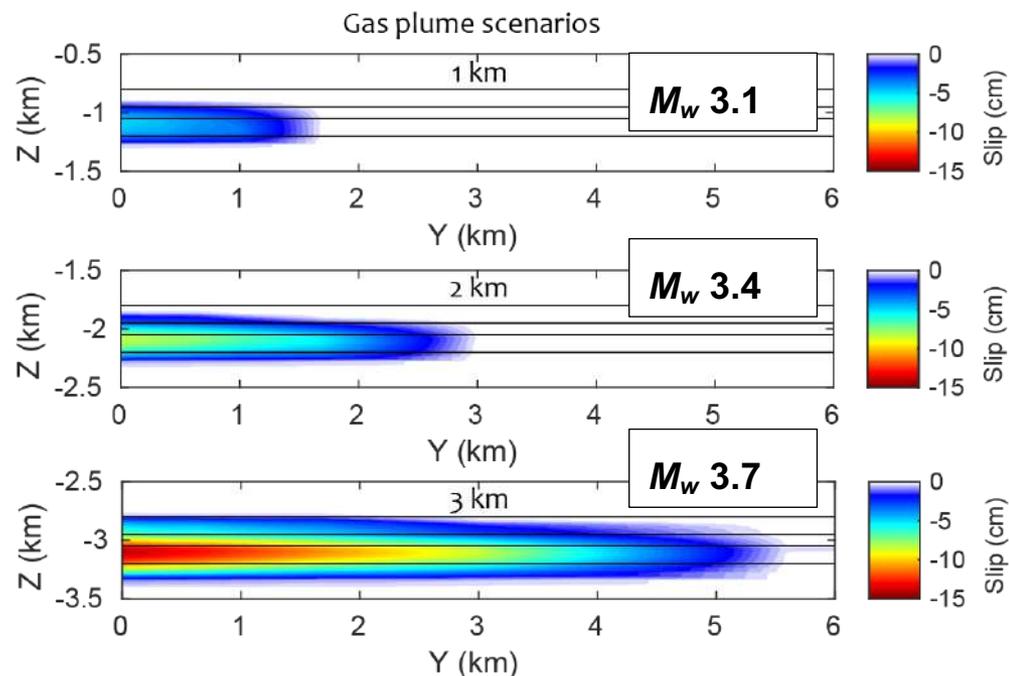
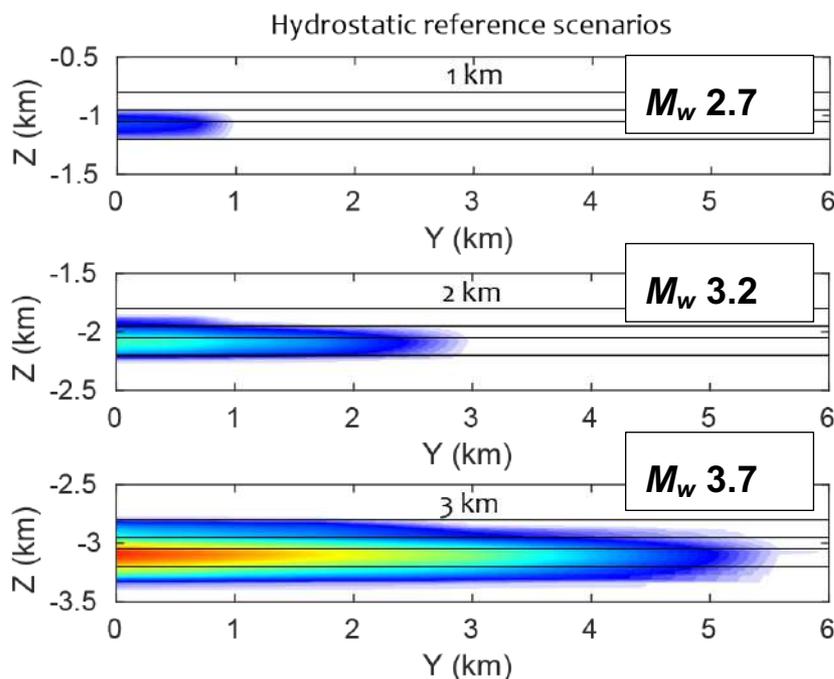


# Effect of in-situ properties



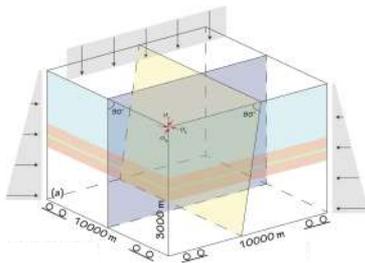
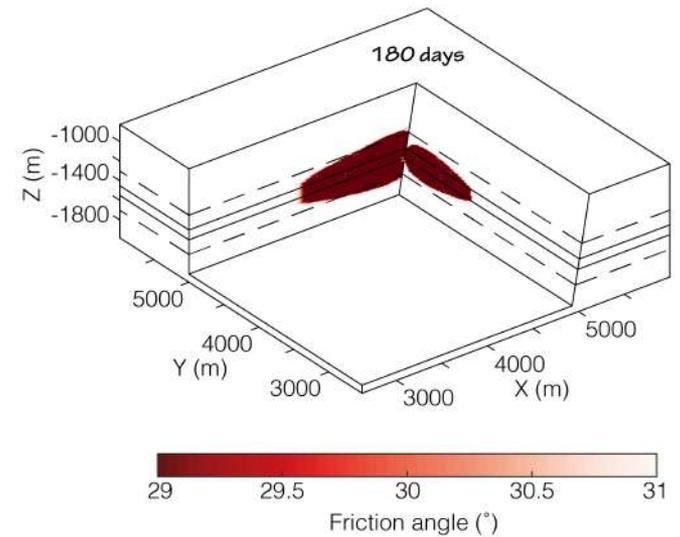
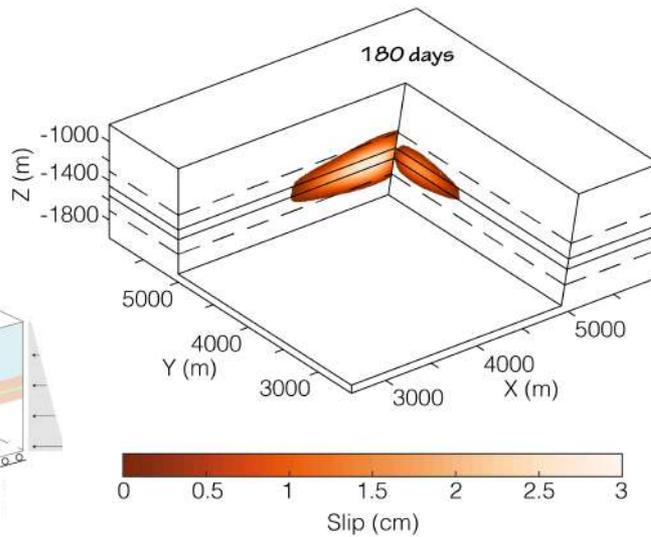
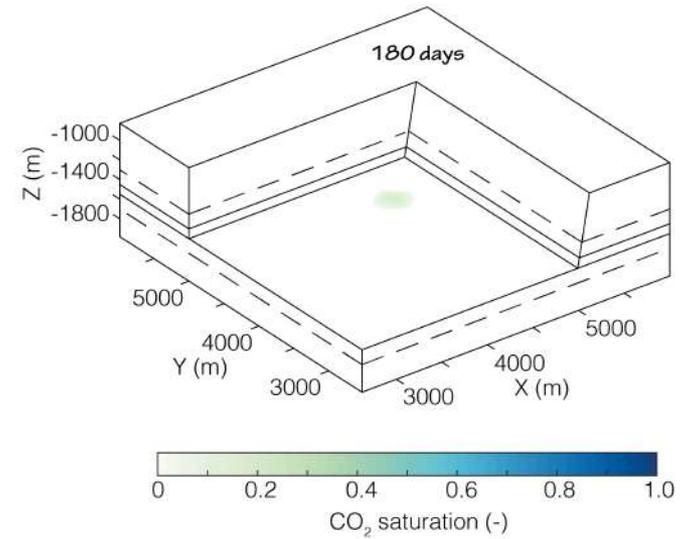
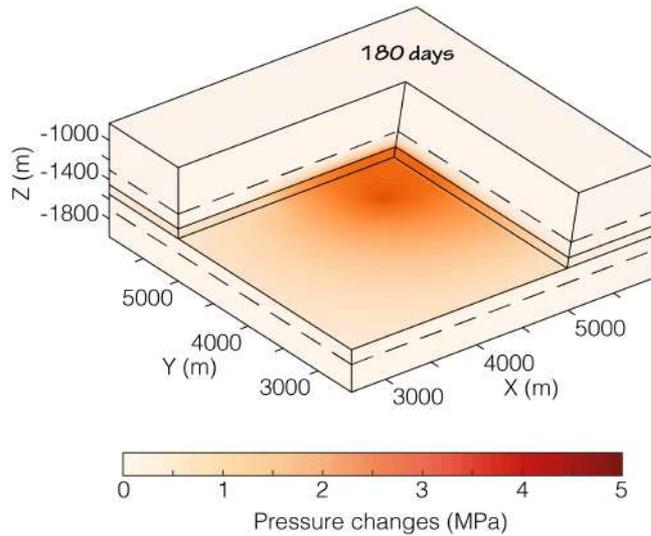
Time of reactivation:  
 1 km: 0.31 years (0.42 y)  
 2 km: 1.50 years (1.63 y)  
 3 km: 3.54 years (3.60 y)  
 Gas (reference)

- Fault slip, rupture area and magnitude increase with depth
- Scenarios with gas lead to larger fault slip, larger rupture areas and larger  $M_w$
- Influence of overpressurized gas decreases with increasing reservoir depth

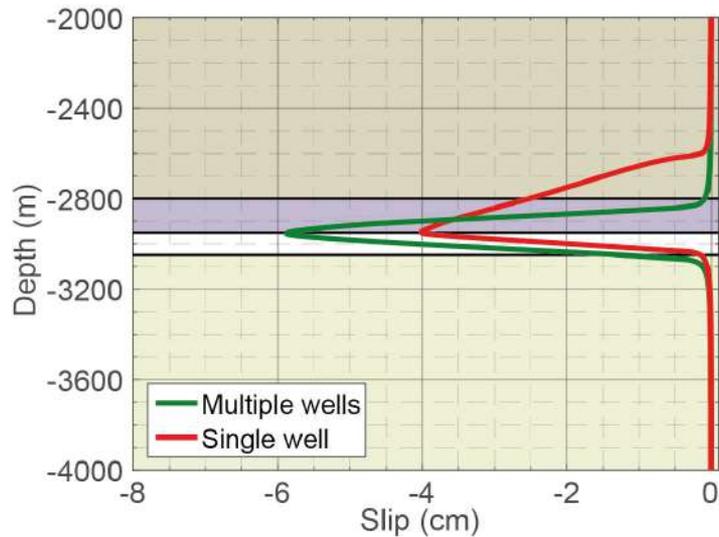
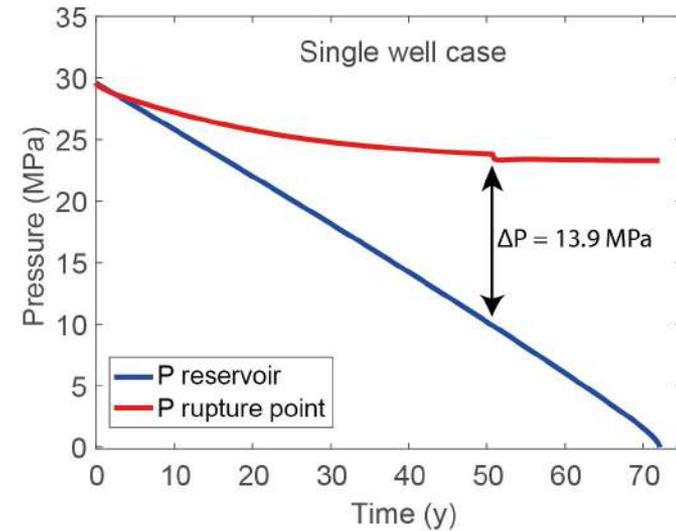
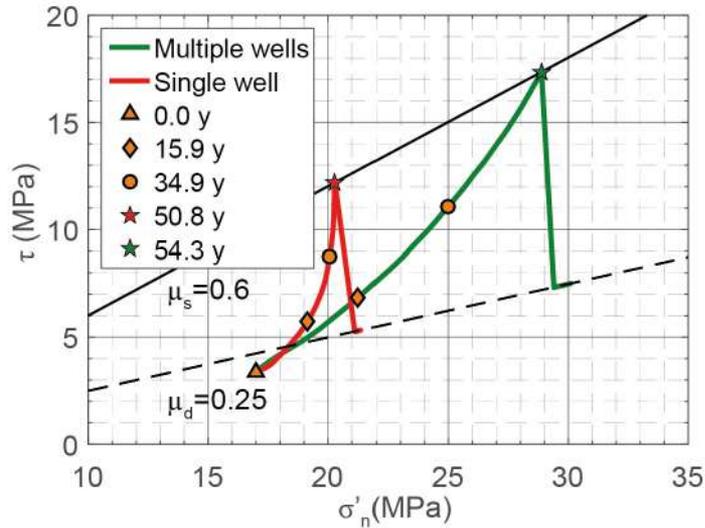


# Same model but multiple faults

Rinaldi et al., 2021

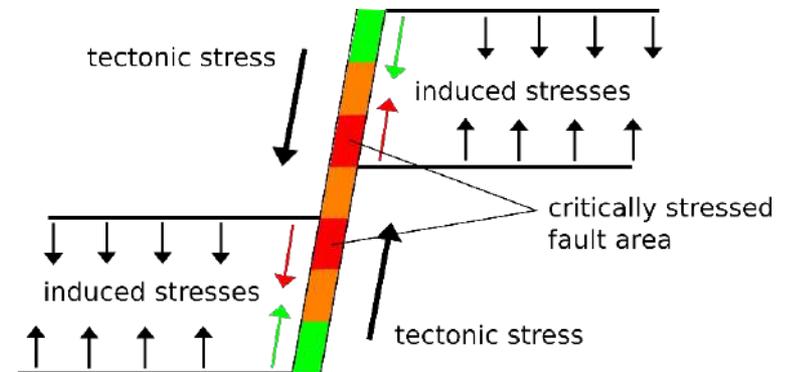


# Fluid production even more affected by poroelasticity



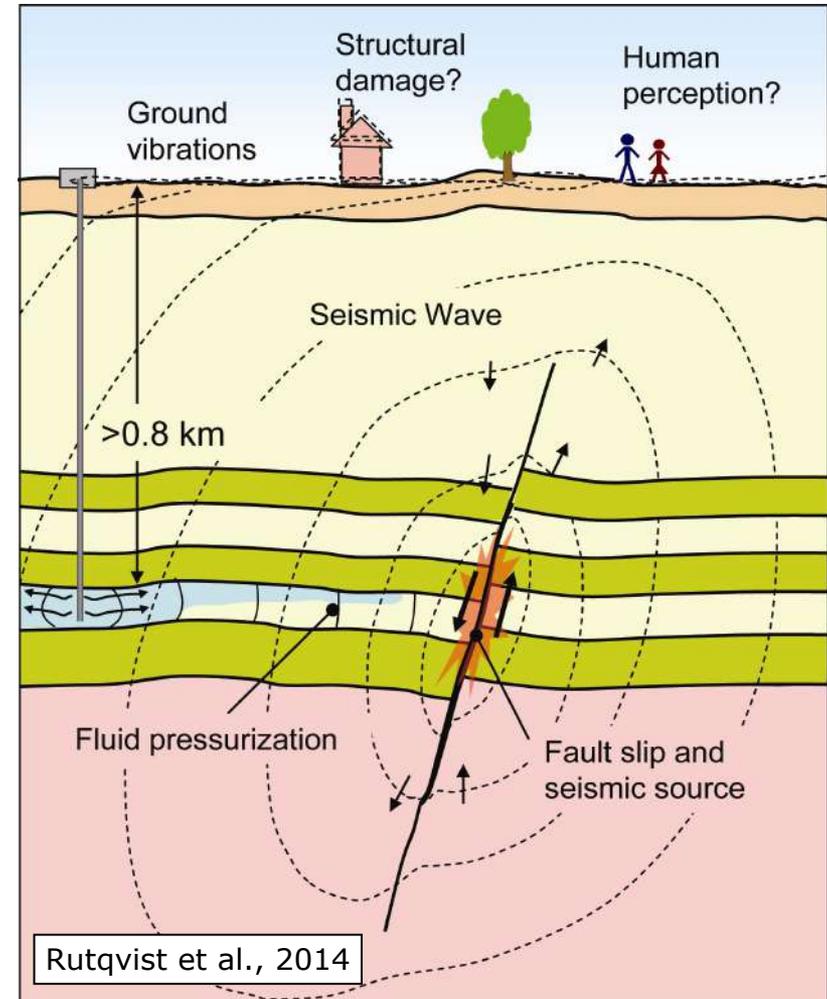
$M_w$  2.67

$M_w$  2.43



# Conclusion – part 1

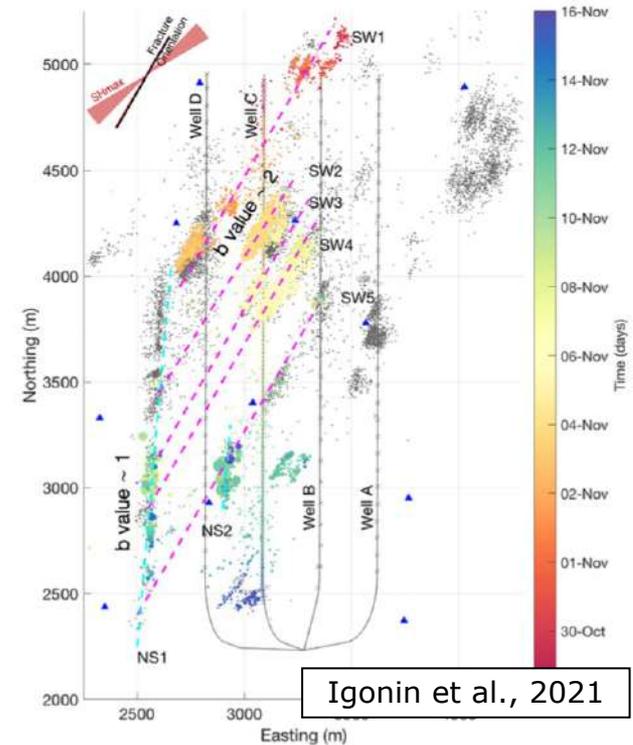
- Orientation of well do matter: **more localized** but faster and larger increase in pressure/stress for vertical wells, while pressure/stress distributes slower and over a **larger space** for horizontal wells
- **In-situ properties may have a large effect** on induced seismic event
- More complex with two interacting fault, but substantially same processes
- **Poroelastic stress changes** dominates the case of **production-induced** seismicity



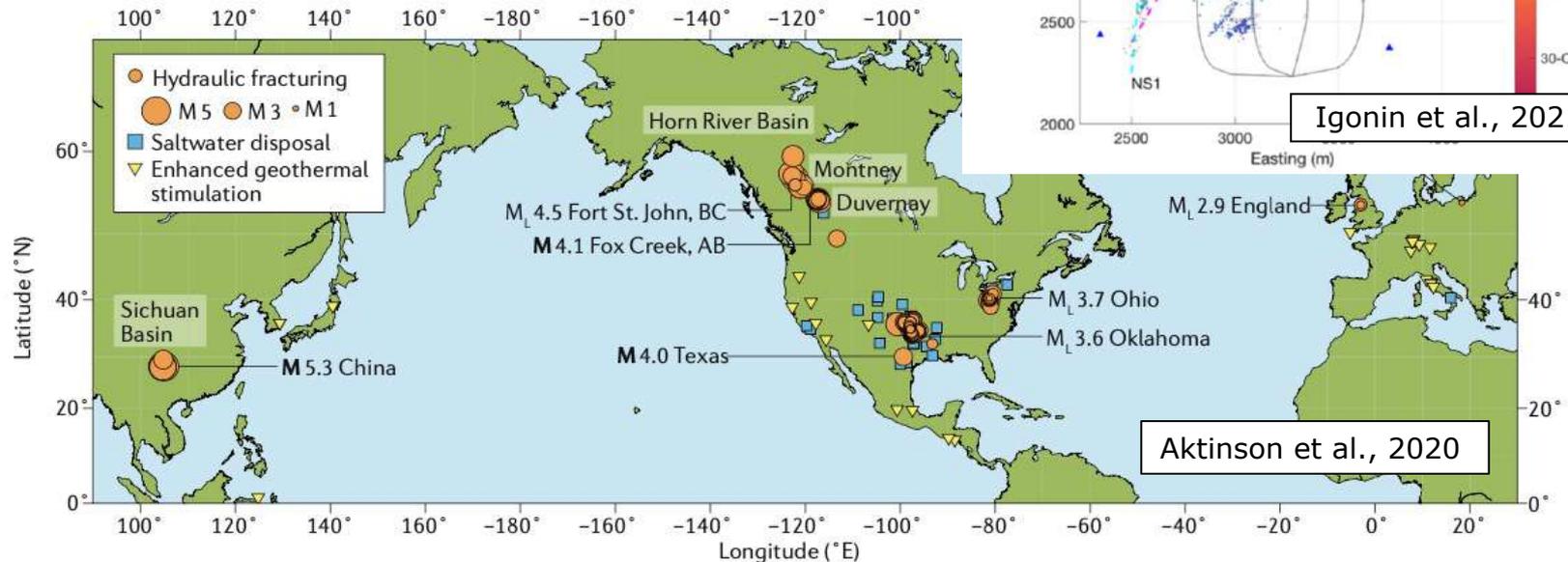
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# Fault reactivation linked directly to hydraulic fracturing

- Quite some seismicity associate with hydraulic fracturing (several tens of thousands of events for stimulation)
- Very often large events can be associated directly to hydraulic fracturing operations



Igonin et al., 2021



Atkinson et al., 2020

Injection in low permeable fault.  
Ubiquitous joint model with strain-softening:

- Shear can occur only on joints
- Fracturing occur on matrix

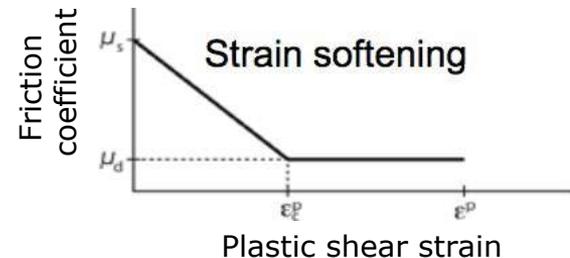
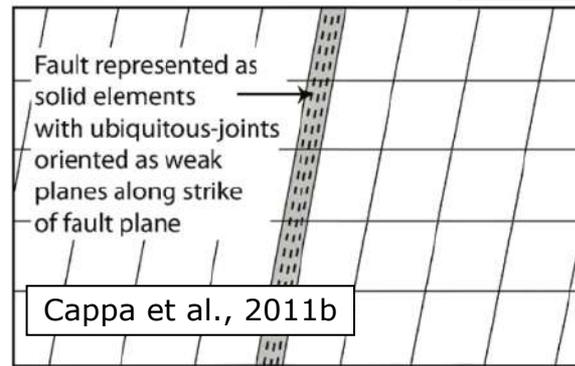
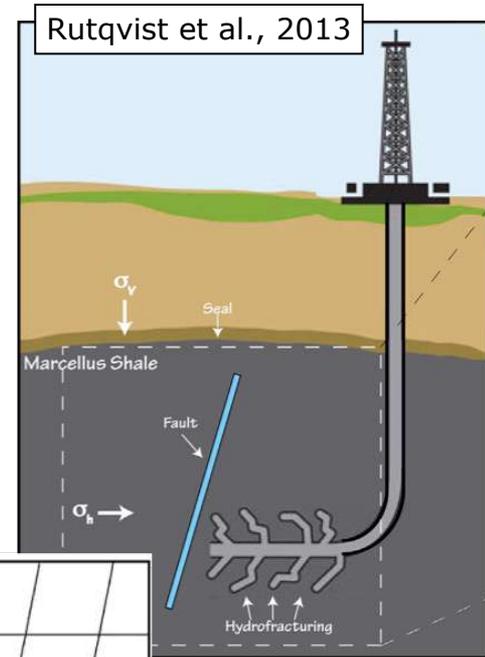
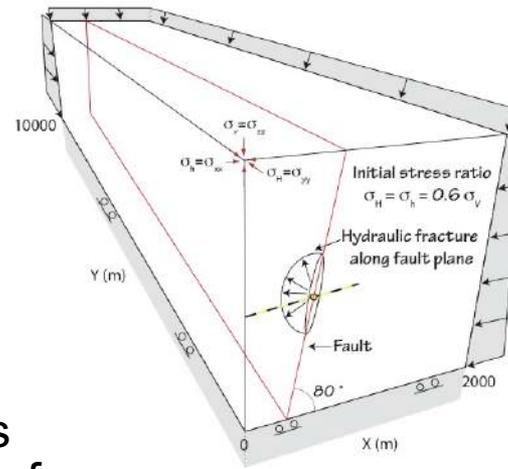
Permeability with threshold model as function of the plastic strain, allowing for simulating fracture propagation

$$K = K_0 + K_f = K_0 + A(\epsilon_n - \epsilon_n^t)^3$$

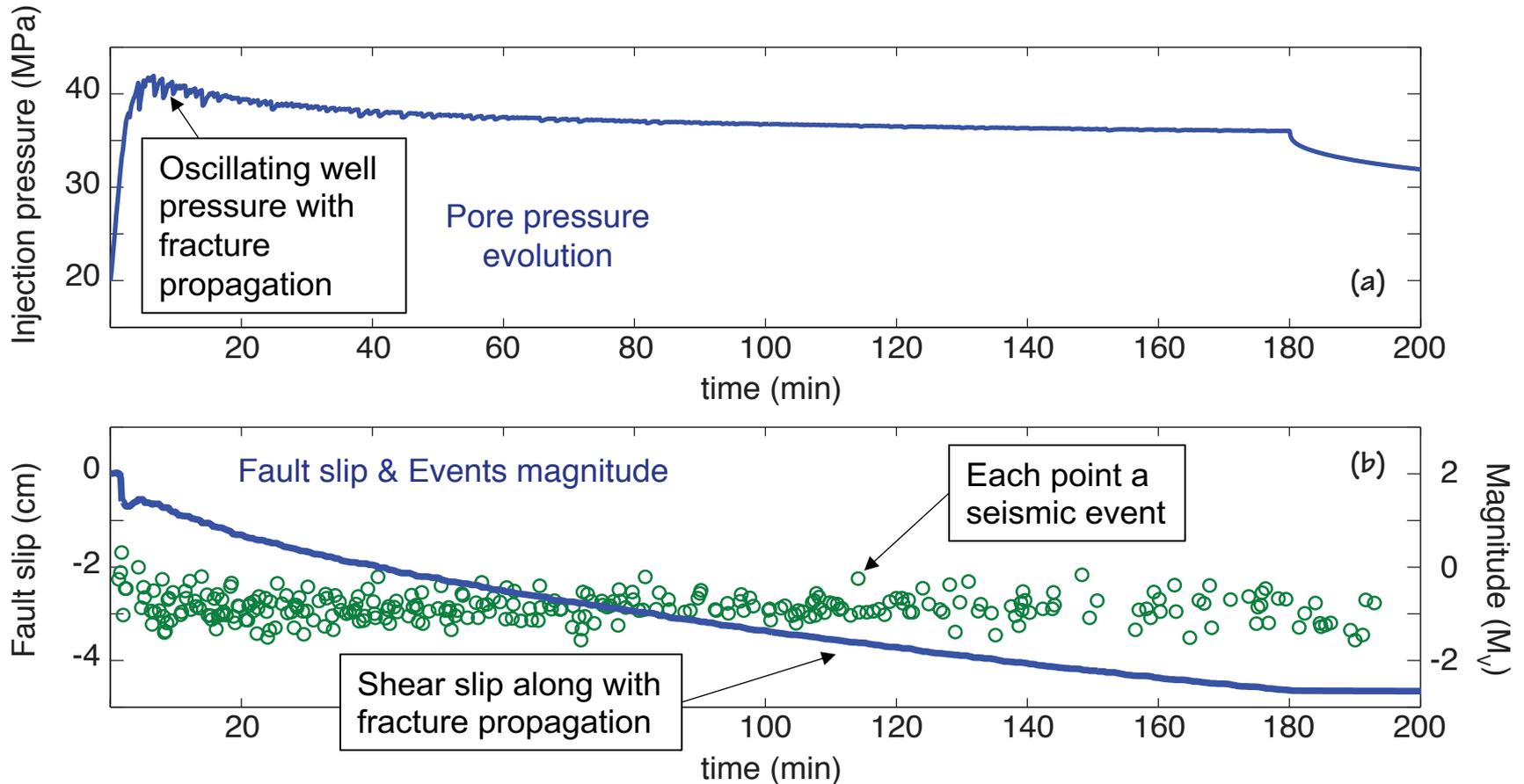
Seismicity counting the elements reactivated (with Coulomb failure and strain-softening) in a single time step

$$M_0 = \sum_{p=1}^{p=N} m_0^p \quad m_0^p = GAd$$

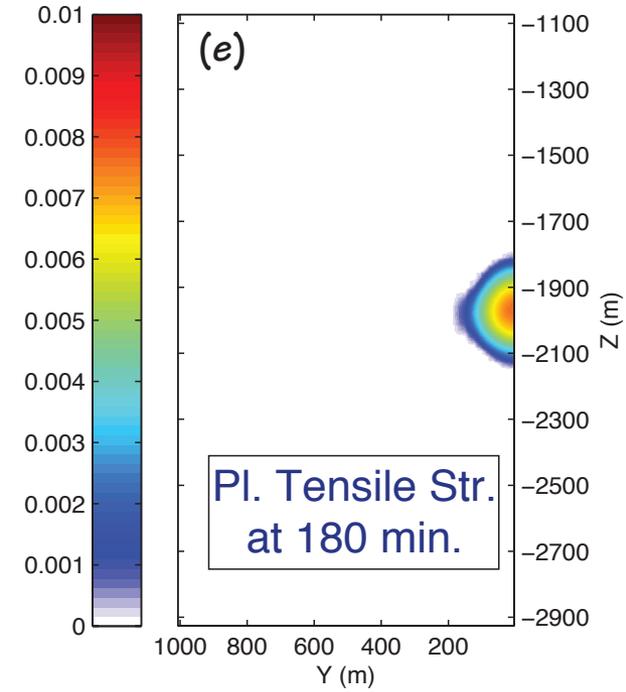
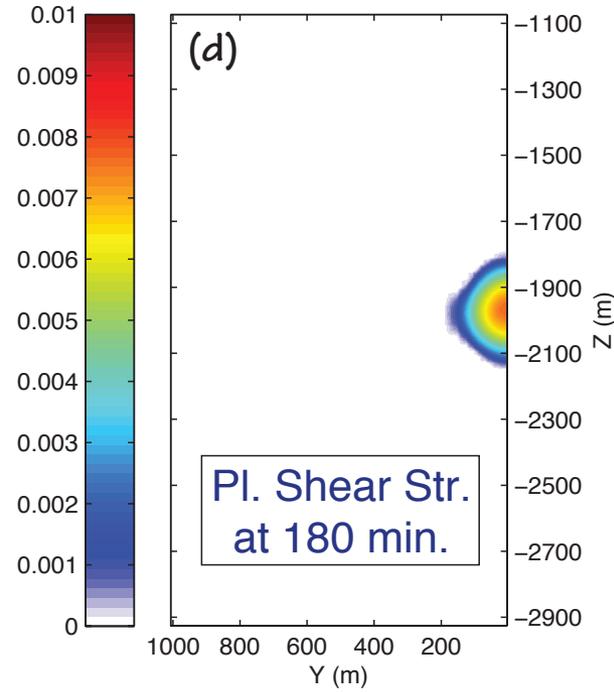
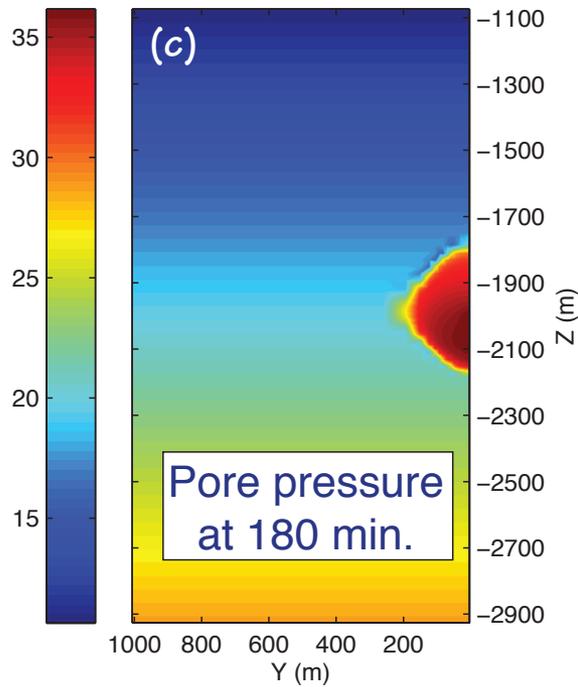
$$\text{cum}M_w = \frac{2}{3} \log_{10} M_0 - 6.1$$



# Base case results



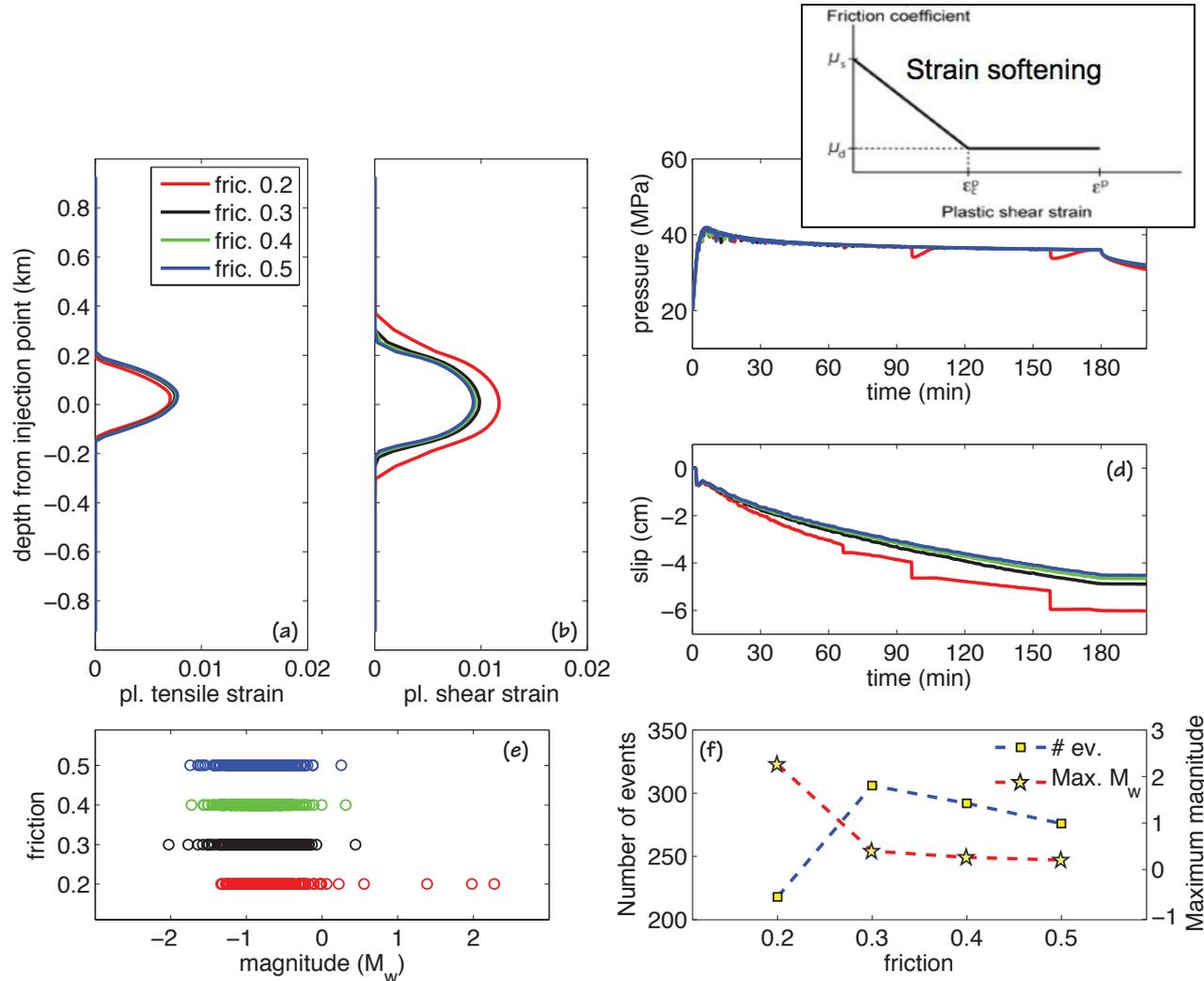
# Spatial distribution



# Sensitivity analysis

Several parameters:

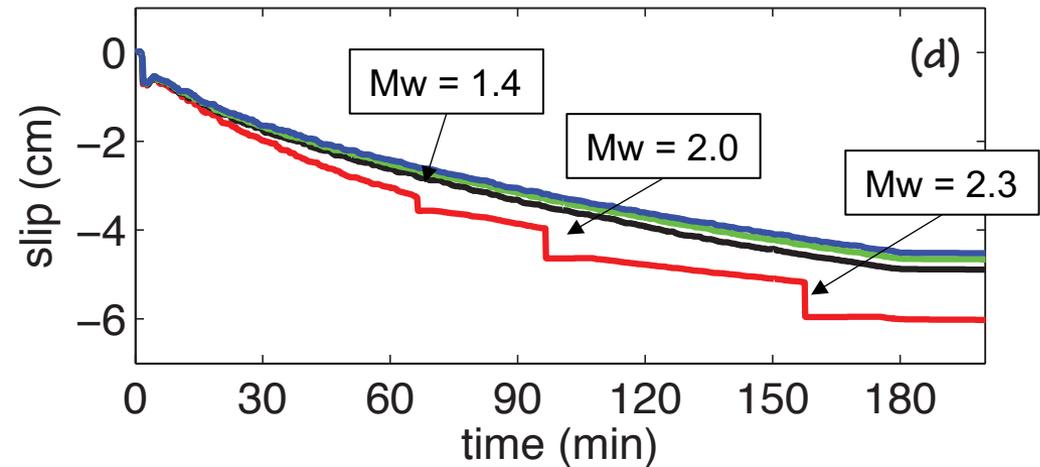
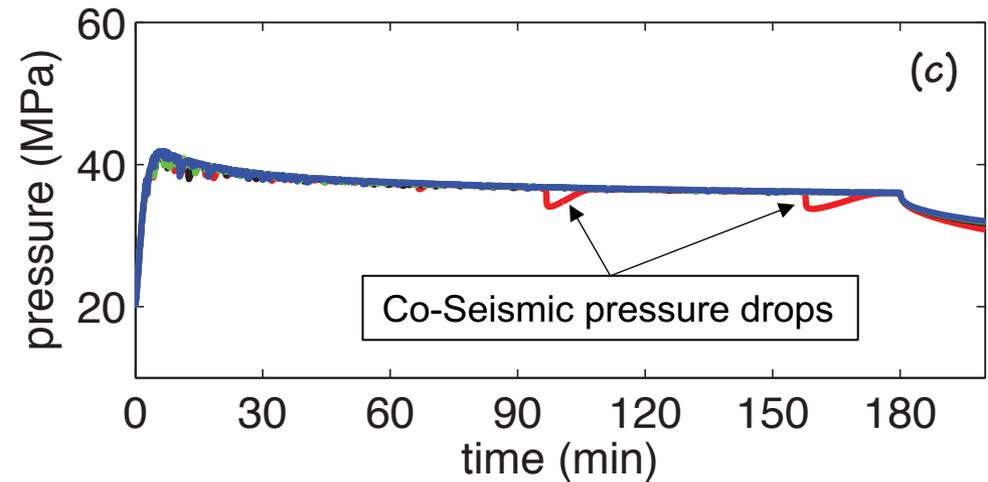
- Peak (static) and residual friction angle (dynamic)
- Injection depth
- Fault dip
- Initial fault permeability
- Injection rate
- Threshold strain for slip-weakening



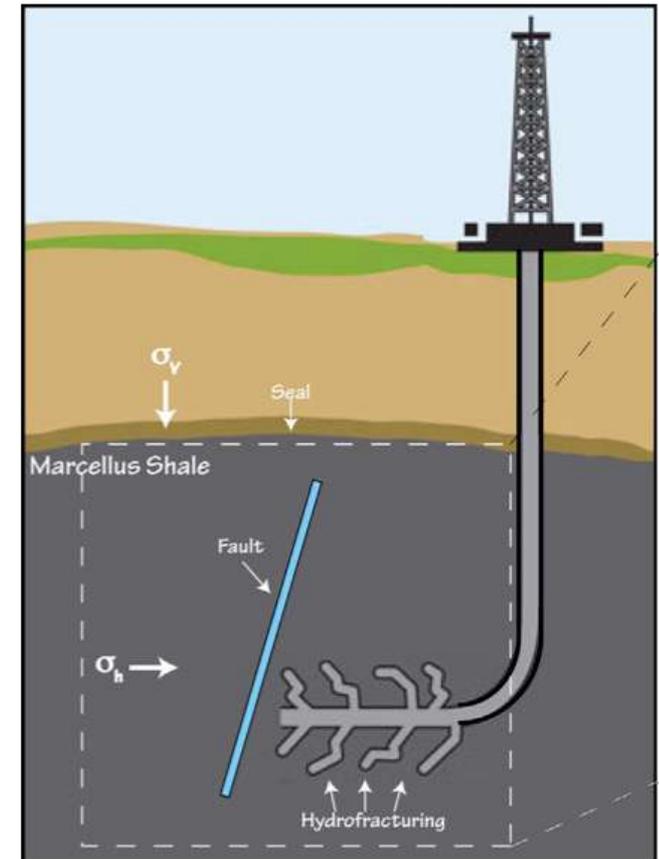
Several parameters:

- Peak (static) and residual friction angle (dynamic)
- Injection depth
- Fault dip
- Initial fault permeability
- Injection rate
- Threshold strain for slip-weakening

Variation of residual friction may explain the occurrence of large events, while on average very low magnitude events



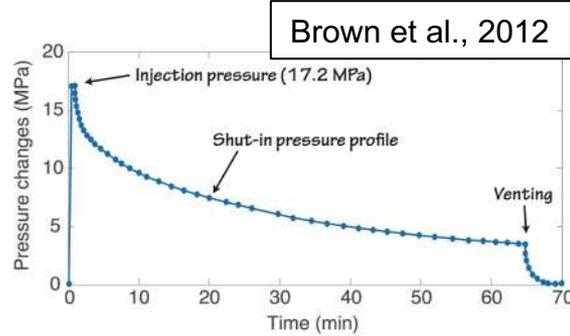
- Shear activation (shear failure) is concurrent with the hydraulic fracturing (tensile failure), starting near the well and **propagating with repeated microseismic events**.
- Over the course of the 3-h injection, repeated events and aseismic **slip amounted to up to 0.06 m**, with the total radius of the shear **rupture extending up to 200 m**.
- The moment magnitudes in the range  $M_w$  - 2.5 to 0.5.
- The microseismic magnitude increased depends on several factors, e.g. in general with increases with depth and for more optimally oriented for higher shear stress,
- The largest event ( $M_w$  2.3) was calculated for a **very brittle fault with low residual**.



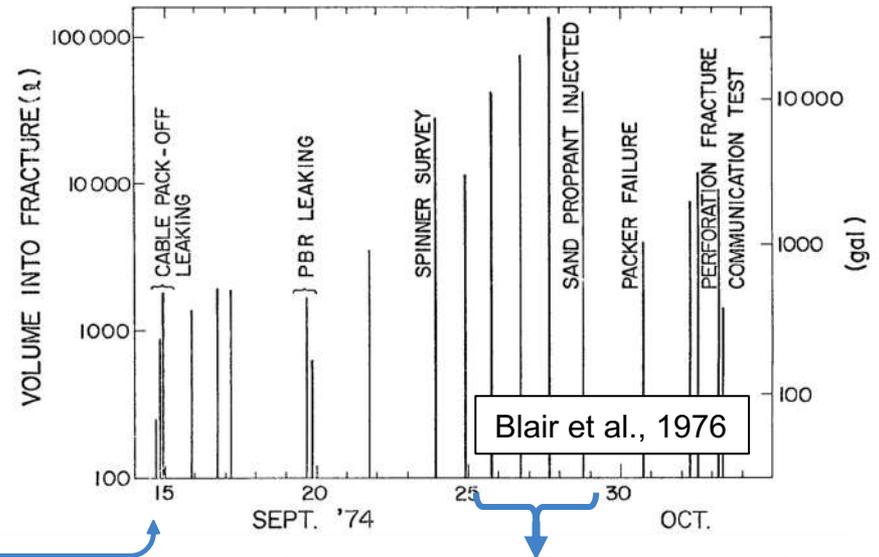
- Deep underground injection/withdrawal of fluids:
  - Rinaldi et al. (2015), Fault reactivation during CO<sub>2</sub> sequestration: effects of well orientation on seismicity and leakage, *Greenh. Gas. Sci. Tech.*, 5, 645-656, doi:10.1002/ghg.1511
  - Zbinden et al. (2017), On the physics-based processes behind production-induced seismicity in natural gas fields, *J. Geophys. Res. – Solid Earth*, 122, 3792-3812, doi: 10.1002/2017JB014003
  - Rinaldi et al. (2021), TOUGH3-FLAC3D: a modeling approach for parallel computing of fluid flow and geomechanics, *Comput. Geosci.*, submitted.
  - Rinaldi et al. (in prep.), Modelling the effects on in-situ conditions and two-phase fluid flow on induced seismicity
- Hydraulic fracturing:
  - Rutqvist, et al. (2015), *Modeling of Fault Activation and Seismicity by Injection Directly into a Fault Zone associated with Hydraulic Fracturing of Shale-gas Reservoirs*, *J. Petrol. Sci. Eng.*, 127, 377-386, doi:10.1016/j.petrol.2015.01.019
- Fracture stimulation for geothermal:
  - Rinaldi, A. P., J. Rutqvist, (2019), *Joint opening or hydroshearing? Analyzing a fracture zone stimulation at Fenton Hill*, *Geothermics*, 77, 83-98. doi:10.1016/j.geothermics.2018.08.006

# Fenton Hill Experiment: fracture inflation

Geothermal Technology Office  
Code Comparison Study  
**TEST 1 (White et al. 2016)**



## Summary of GT-2 fracture inflation experiments

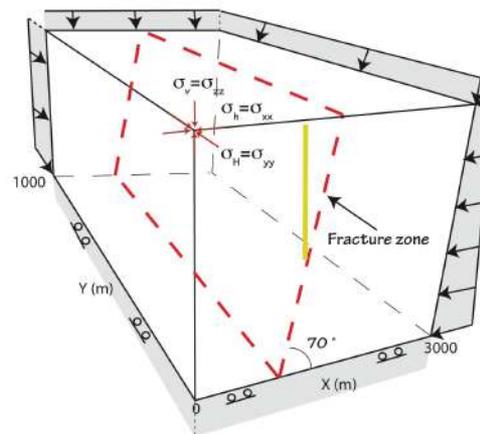


**TEST 2 TO TEST 4,  
much less 50 % flow back**

Injection directly in fracture

TEST 1 – 4 at constant flow rate of 7.9 l/s. (Total volumes 0.4, 42, 76, 98 m<sup>3</sup>) then flow back to recover fluid.

Fracture radius  
Estimated to 270 m



# Hydroshearing model for fracture zone

$$b = b_{el} + b_{shear} + b_{op}$$

Elastic opening:

$$b_{el} = b_r + b_{max} \exp(\alpha \sigma'_n)$$

Shearing and tensile opening:

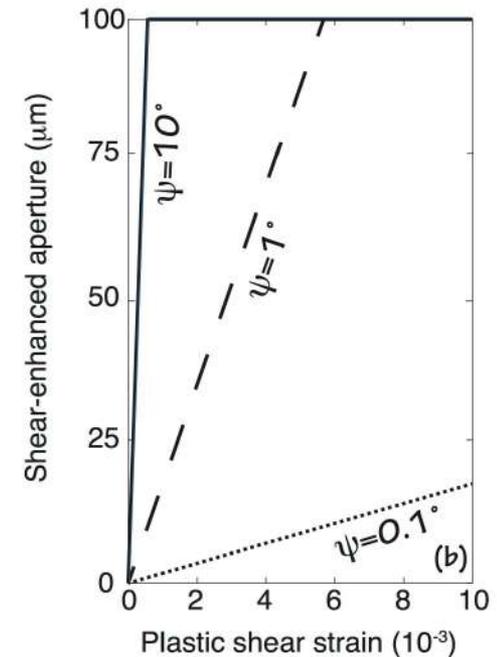
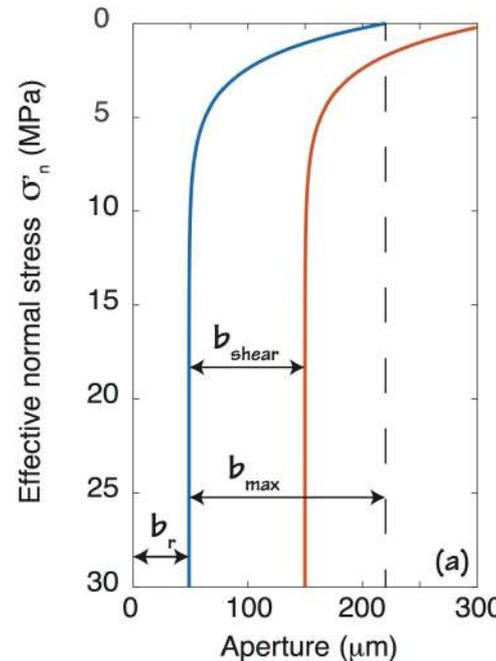
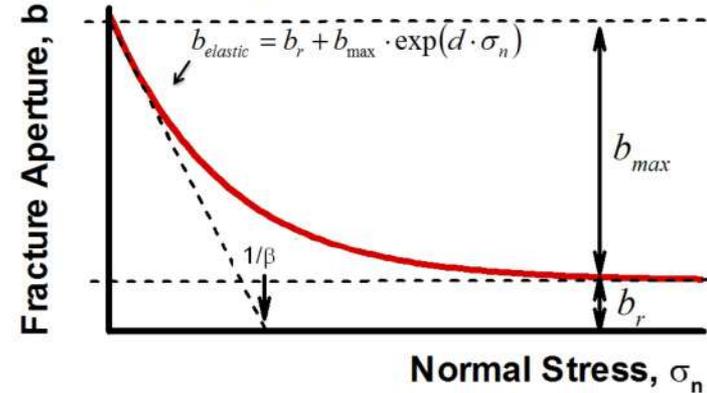
$$b_{shear} = \varepsilon_{ps} \tan(\psi) / f_d$$

$$b_{op} = \varepsilon_{pt} W$$

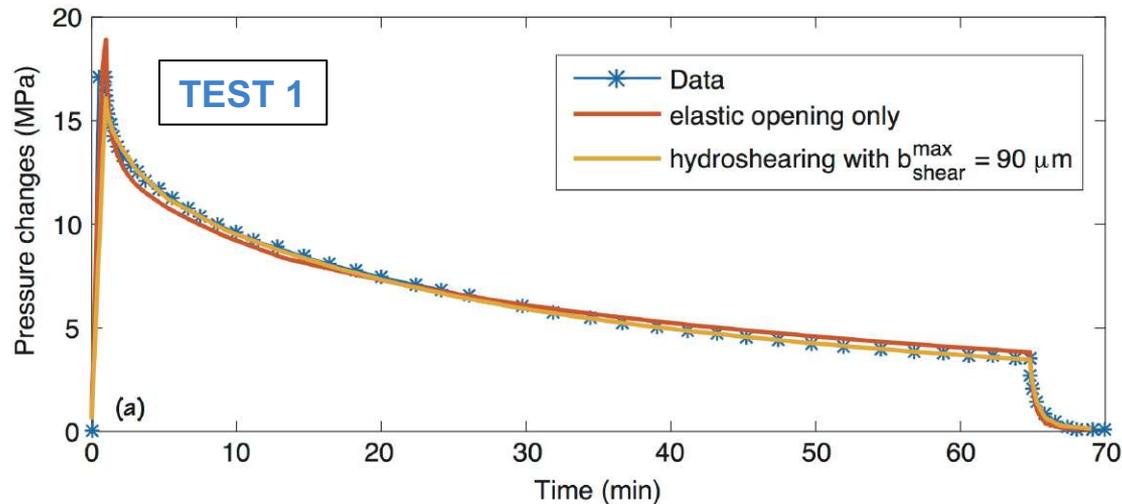
Fracture permeability governed by “cubic law”:

$$\kappa_f = f_d \frac{b^3}{12}$$

Exponential function



# Hydroshearing or elastic opening?

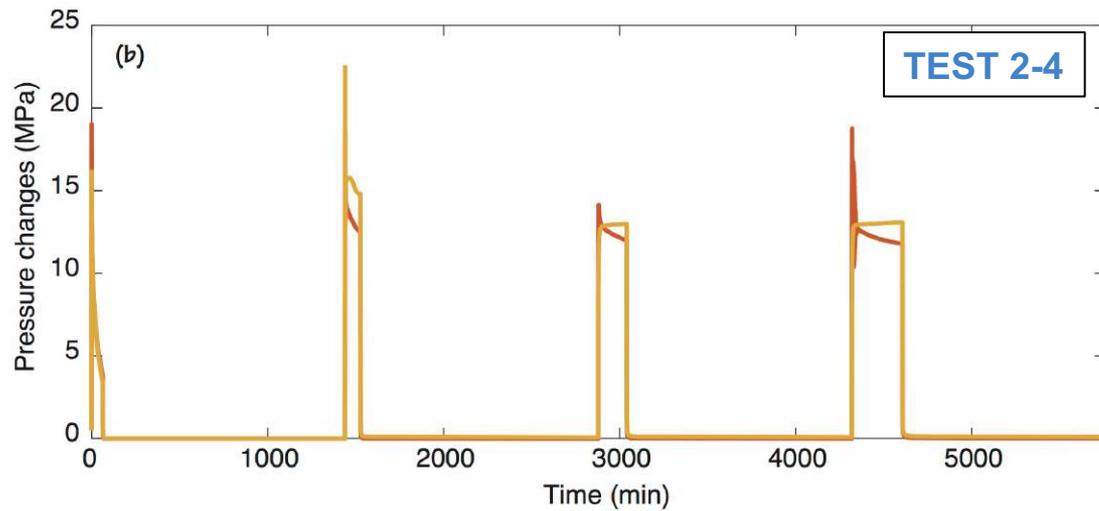
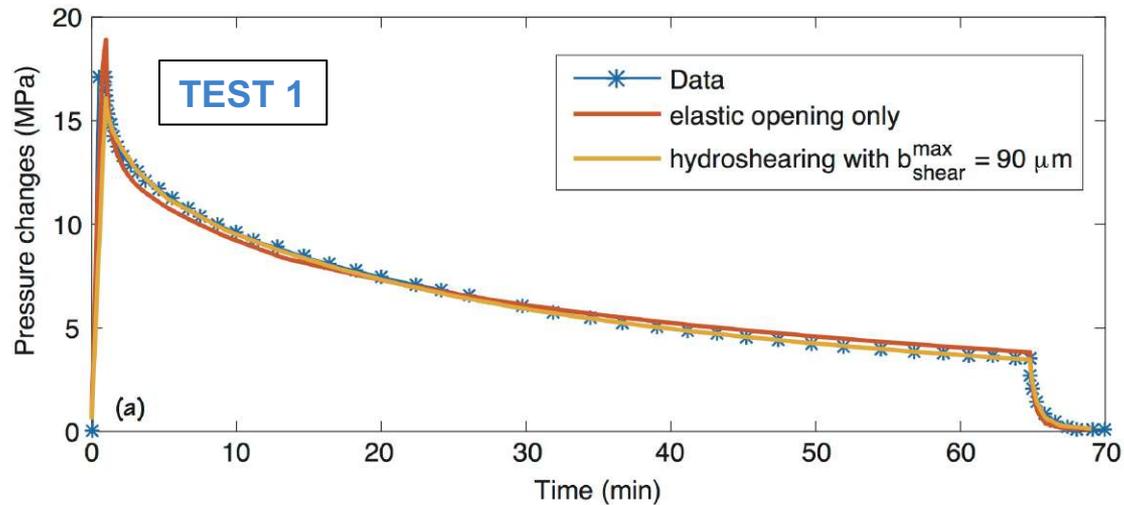


	EL	HS
residual aperture $b_r$ ( $\mu m$ )	18.2	21.1
maximum aperture $b_{max}$ ( $\mu m$ )	1300	569
stress dependency $\alpha$ ( $MPa^{-1}$ )	0.37	0.45
maximum shear aperture $b_{shear}^{max}$ ( $\mu m$ )	-	90
dilation angle $\psi$ ( $^\circ$ )	-	10

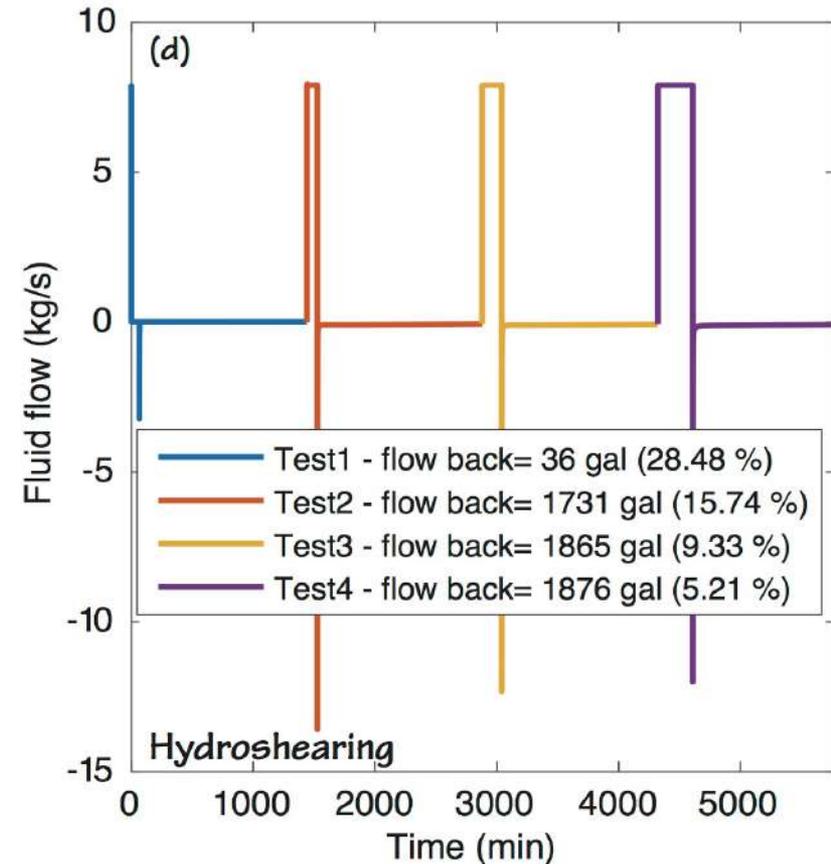
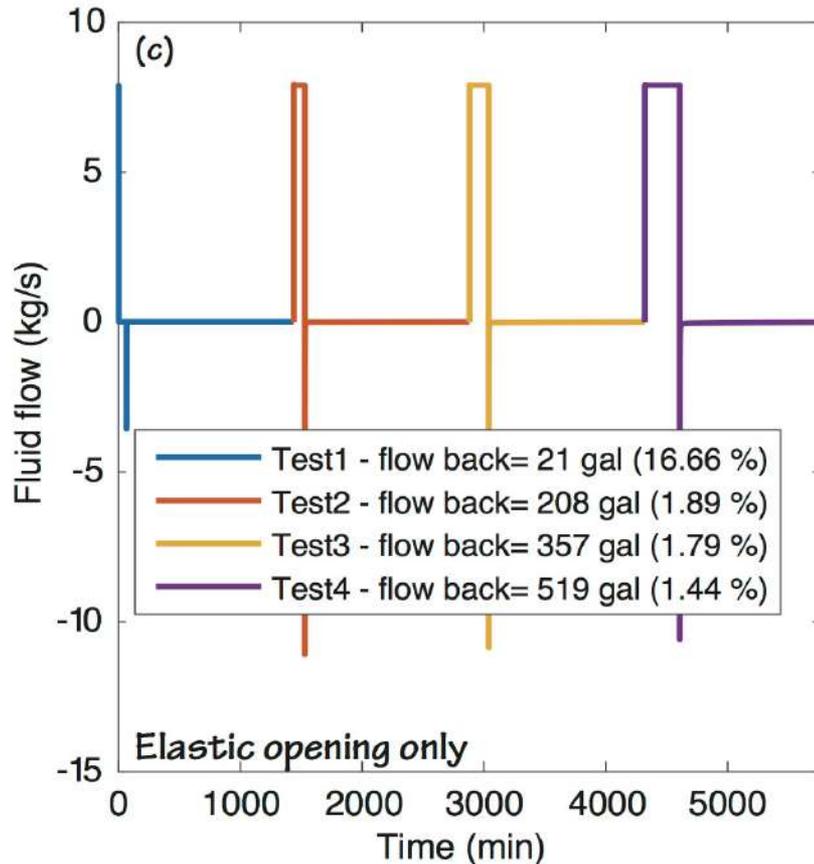
Calibrated parameters

Calibration with iTOUGH2-PEST + TOUGH-FLAC  
(Rinaldi et al., 2017)

# Hydroshearing or opening? Pressure evolution

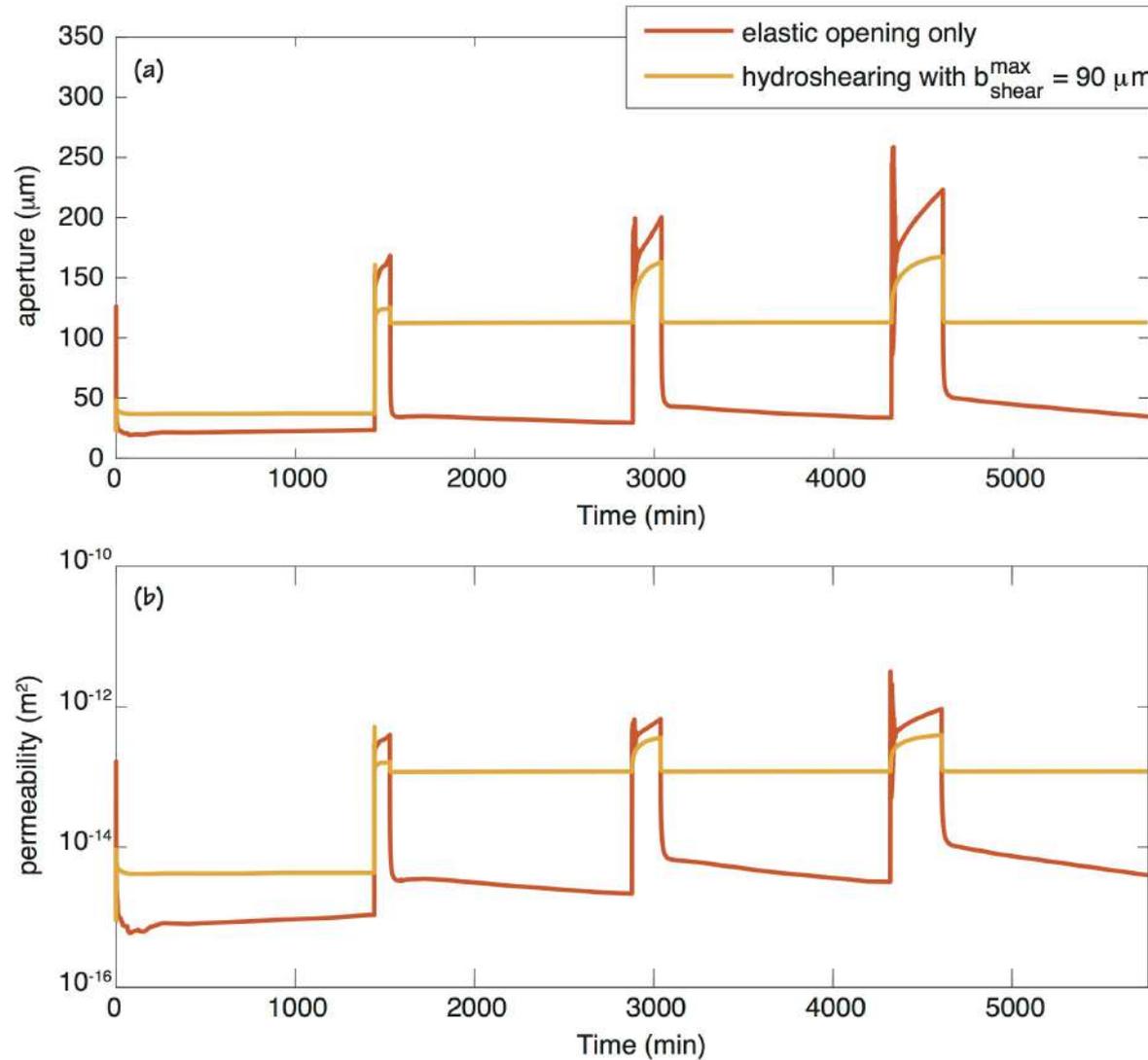


# Hydroshearing or opening? Flow back

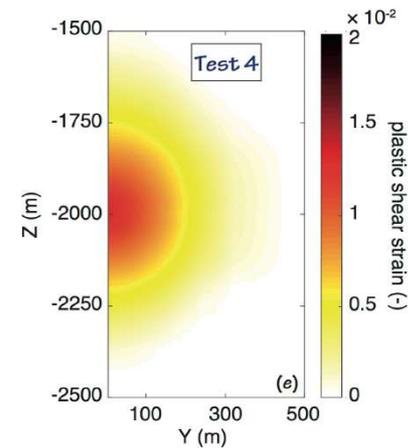
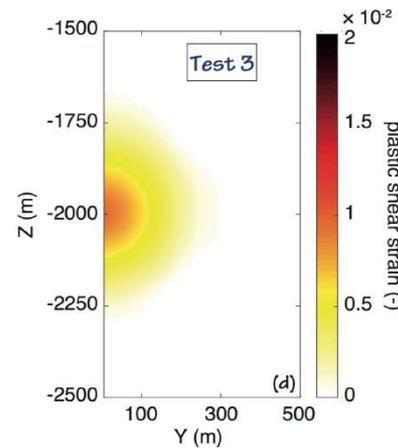
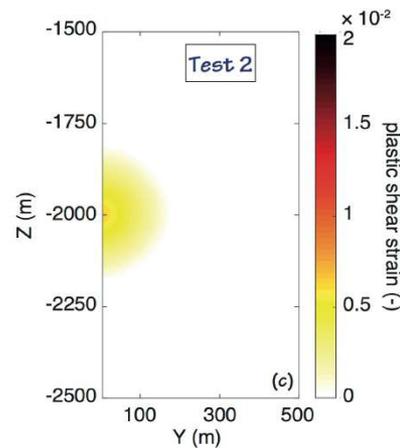
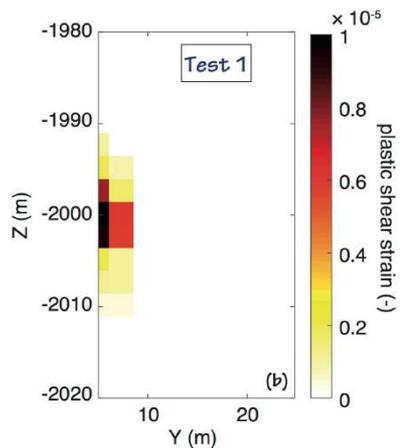
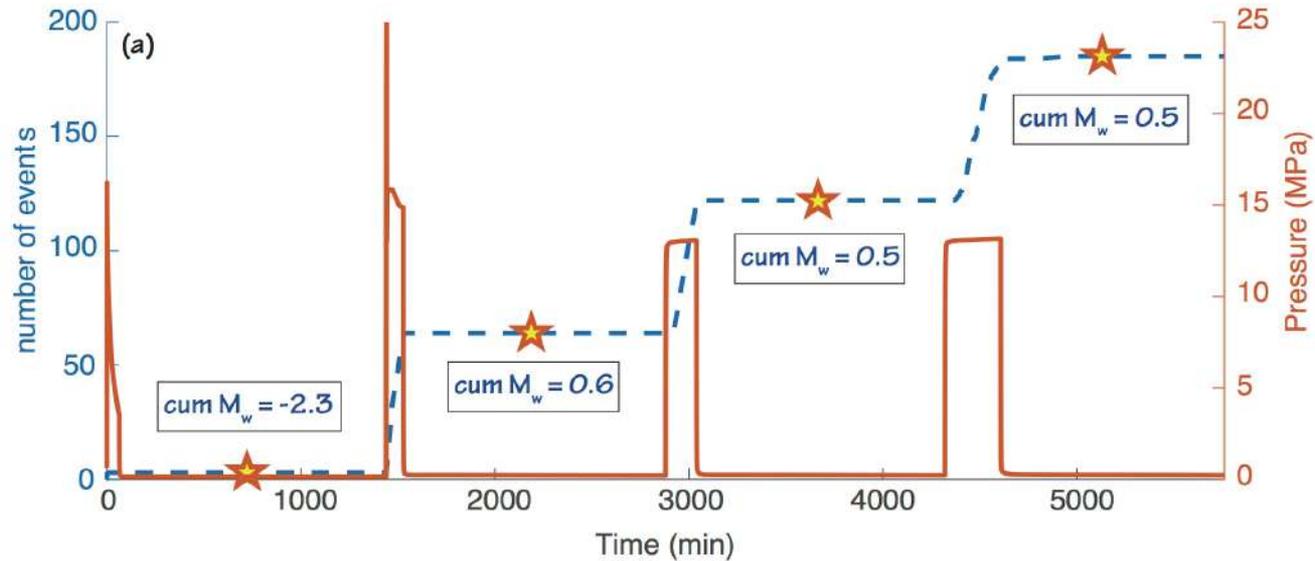


Our interpretation of "much less than half"  
is in the order of few percent not less than 2%

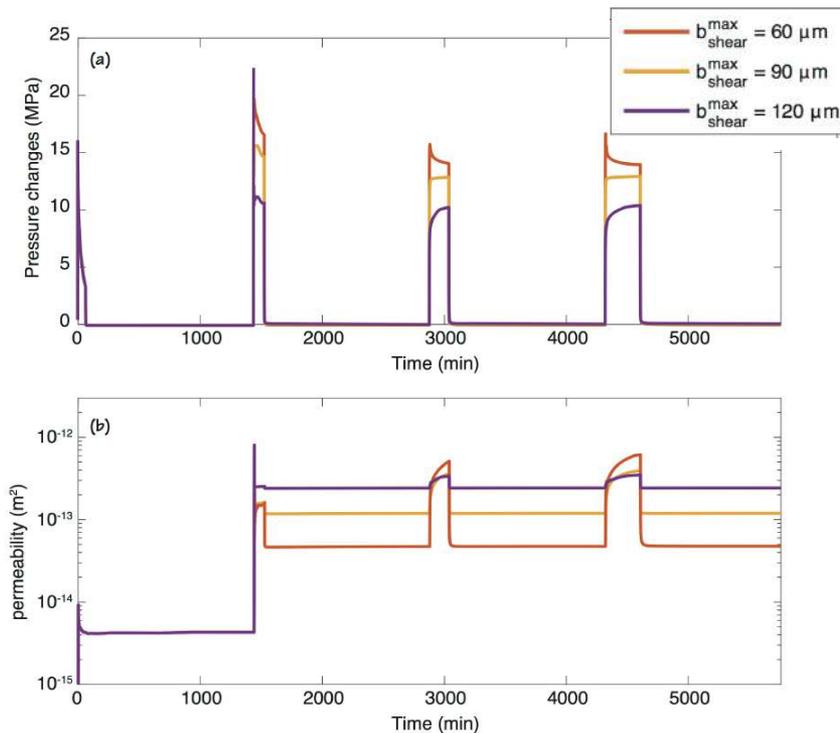
# Hydroshearing or opening? Permeability evolution



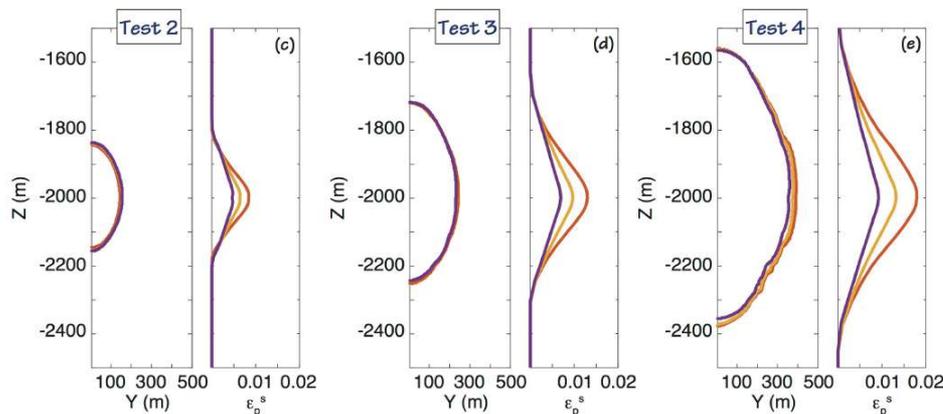
# Hydroshearing or opening? Sheared zone and seismicity



# Changing the maximum shear aperture

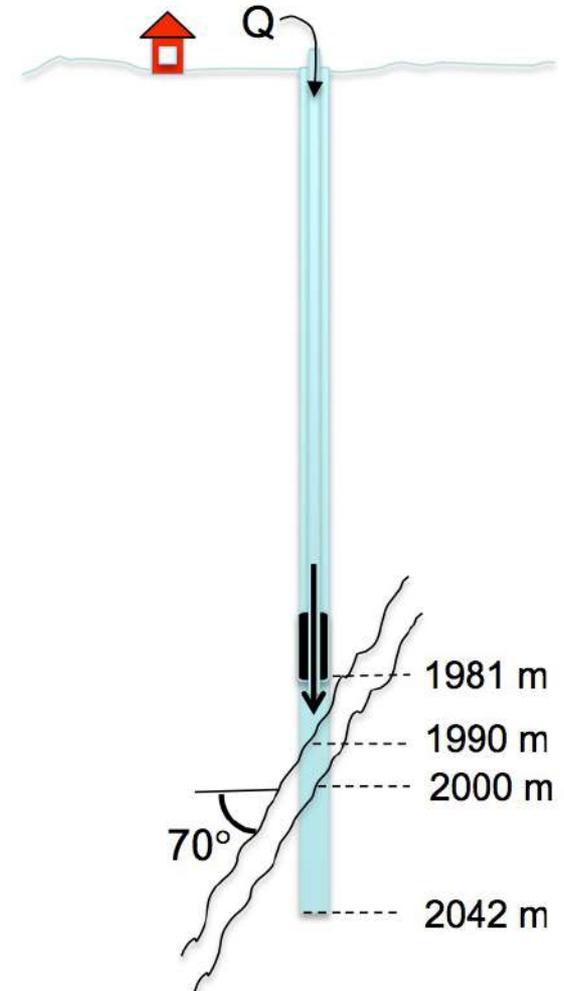


CASE	60 $\mu\text{m}$			90 $\mu\text{m}$			120 $\mu\text{m}$		
	(%)	$M_w$	$n_{ev}$	(%)	$M_w$	$n_{ev}$	(%)	$M_w$	$n_{ev}$
Test 1	28	-2.3	3	28	-2.3	3	28	-2.3	3
Test 2	8.5	0.6	72	16	0.6	61	23	0.5	63
Test 3	5.2	0.6	66	9.3	0.5	58	15	0.4	59
Test 4	3.4	0.6	65	5.2	0.5	63	8.3	0.5	58

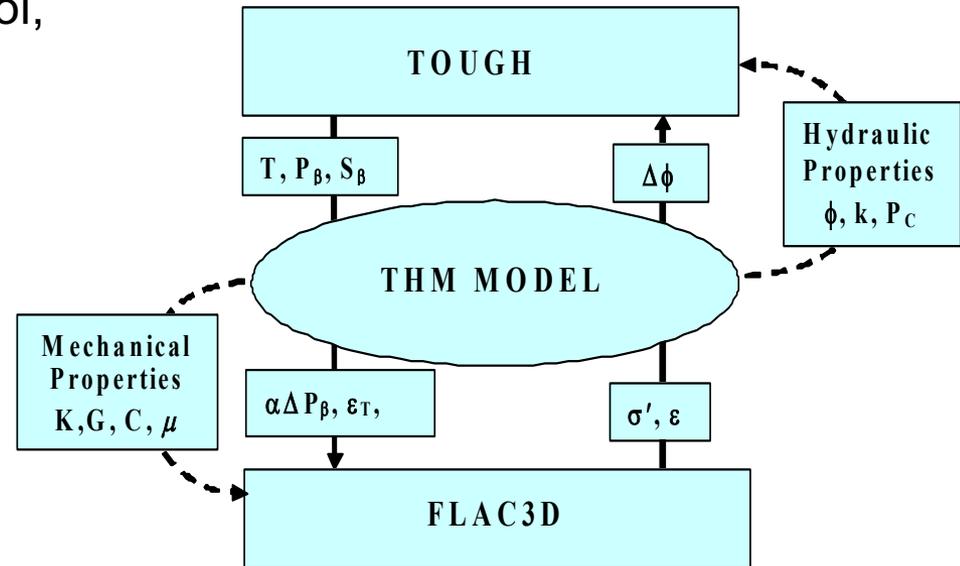


Larger maximum shear aperture allows larger flow back, with slightly smaller cumulative seismic magnitude (less pressure)

- The Fenton Hill experiments could be explained by **combined effects of shear dilation and non-linear elastic fracture opening**
- **Results are quite sensitive to parameters variation.**
- **Assuming appropriate conditions**, the simulation results suggest that permeability can be enhanced without inducing large seismic events.
- But results also highlight the importance of **monitoring not only for seismic activity**, in particular for storage projects, given the possible aseismic creation of permeable pathway compromising the sealing capacity of a given site.



- Understanding the physics behind fault reactivation and **induced seismicity is not trivial.**
- Currently we still miss a full understanding on **how to exploit the underground and at the same time avoid dangerous seismicity**
- Modeling can help, but **right assumptions are needed** otherwise easy misinterpretation.
  
- TOUGH-FLAC reliable and flexible tool, despite being “semi”-commercial and with some technical limitations
- Just to list few of them
  - Not fully accounting for explicit fracture network
  - No flexibility on solver for the mechanical part
  - The approach may be unstable for complex coupling relationships



Thank you!