

Photovoltaics

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yesterday: Devices

- Overview on technology and economics
- Some semiconductor basics
- Solar cells as p-n junctions

today: Efficiencies

- Fundamental limits to efficiency: Shockley-Queisser limit
- Real efficiencies
- How to go beyond



the Shockley-Queisser limit



Shockley, Queisser
"Detailed Balance Limit of Efficiency of p-n Junction Solar Cells"
J. Appl. Phys. 32 (1961) 510

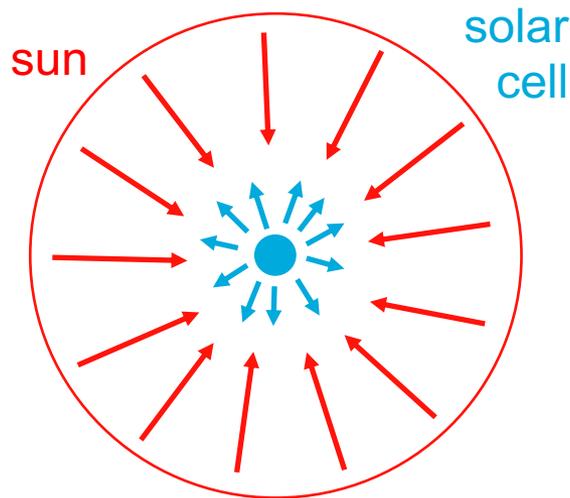


Basic approach to efficiency: 2nd law of thermodynamics

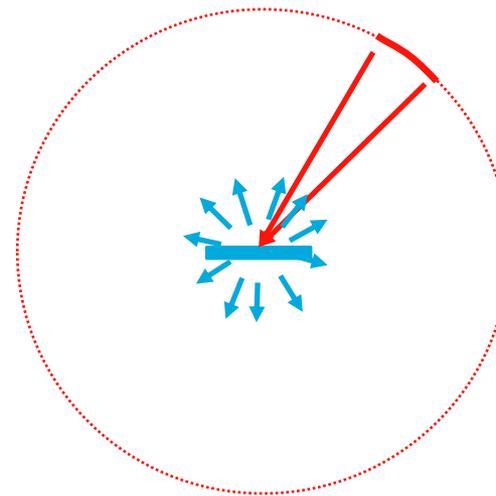
Carnot efficiency: $\eta_{\max} = \frac{T_{\text{sun}} - T_{\text{cell}}}{T_{\text{sun}}} = 95\%$ with $T_{\text{sun}} = 6000\text{K}$ and $T_{\text{cell}} = 300\text{K}$

requires the sun as reservoir, i.e. all around the solar cell
and full use of spectrum

the sun as a reservoir:



limited angle of sun: $6.85 \cdot 10^{-5} \text{ sr}$



entendue factor

steady state at V_{oc} :
radiative equilibrium

cells radiates into 4π
 \Rightarrow increase in entropy
 $\Rightarrow \eta < \eta_{\max}$

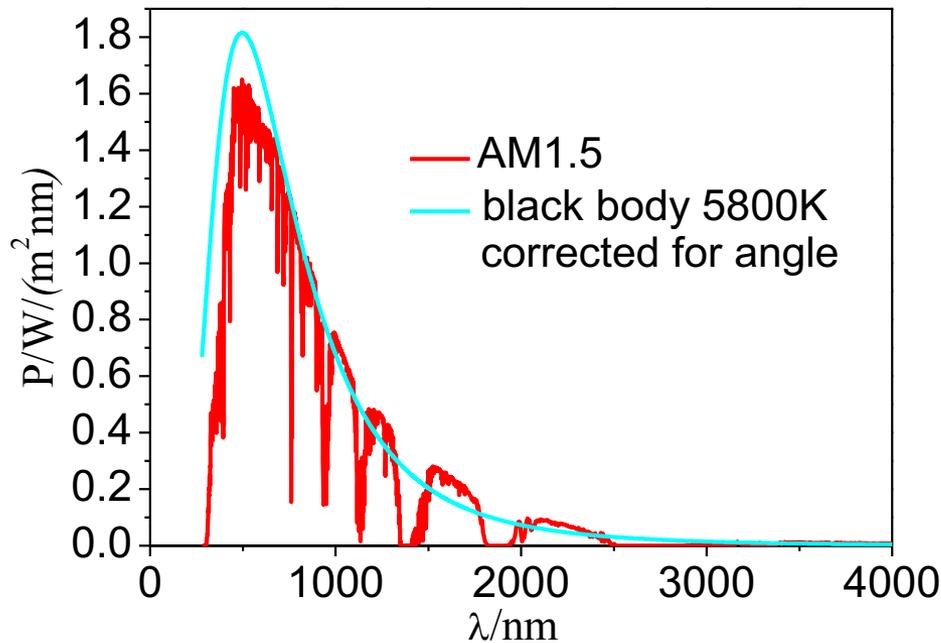


The solar spectrum and the absorption of a semiconductor

use measured solar spectrum on Earth

⇐ takes entendue factor into account

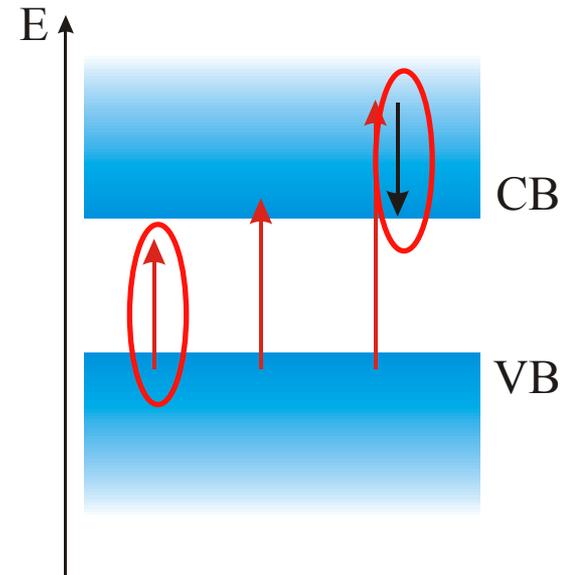
takes absorption in atmosphere into account



AM1.5: reference spectrum

BB: taking solar angle into account

we can not use all solar photons
and we can not use all their energy:



incomplete absorption

thermalisation

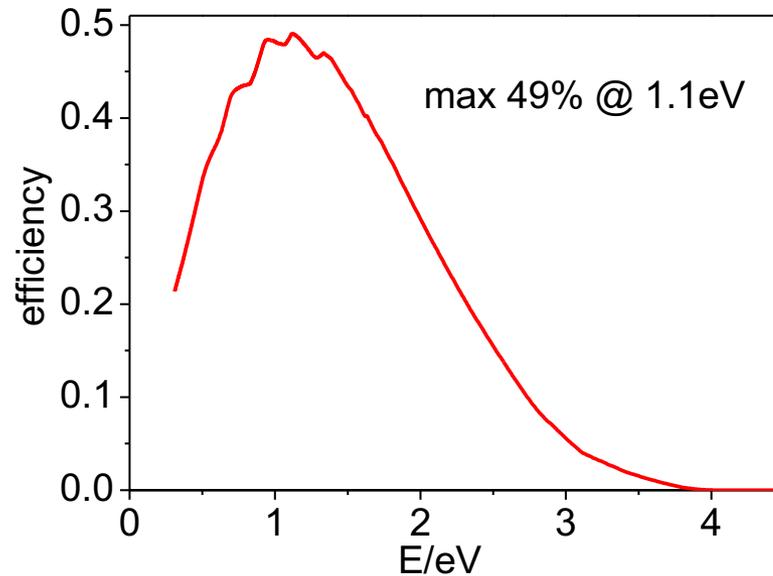


A first estimation of the efficiency

ideal solar cell: no ohmic losses
complete absorption
complete collection

$$QE = \begin{cases} 1, & E \geq E_G \\ 0, & E < E_G \end{cases}$$

$$\eta_{ult} = \frac{E_G \int_{E_G}^{\infty} \Phi_{sun}(E) dE}{\int_0^{\infty} E \Phi_{sun}(E) dE}$$



original calculation by Trivich and Flinn (1955)



More fundamental losses

$$\eta_{ult} = \frac{E_G \int_0^{\infty} \Phi_{sun}(E) dE}{\int_0^{\infty} E \Phi_{sun}(E) dE} = \frac{E_G / e \cdot e N_{E_G}}{P_{solar}}$$

$$QE = \begin{cases} 1, & E \geq E_G \\ 0, & E < E_G \end{cases} \Rightarrow j_{SC} = e N_{E_G}$$

basic assumption of Shockley-Queisser limit

infinite absorption coefficient
and/or infinite mobility

compare: $\eta = \frac{j_{sc} \cdot V_{oc} \cdot FF}{P_{solar}}$

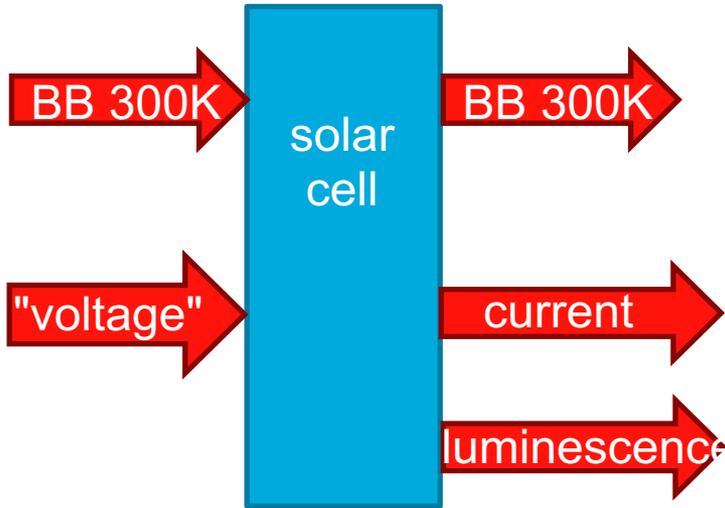
$V_{OC} = E_G / e$ → not achieved because of radiative equilibrium

$FF = 1$ → not achieved because of IV characteristic

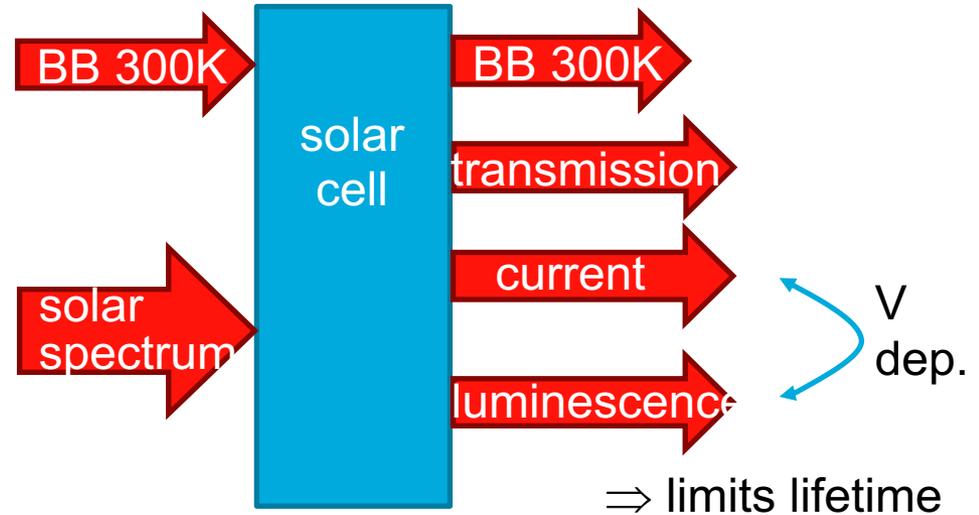


Radiative equilibria - detailed balance

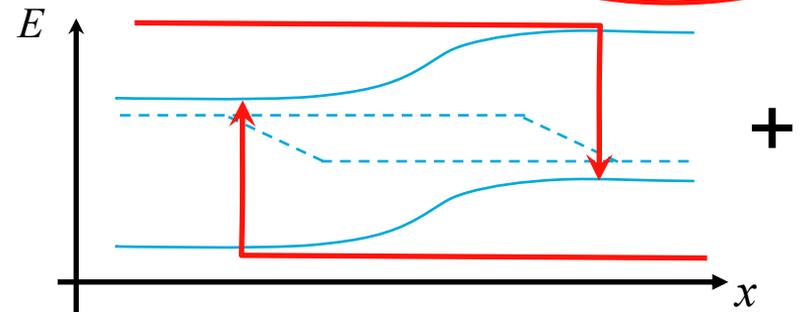
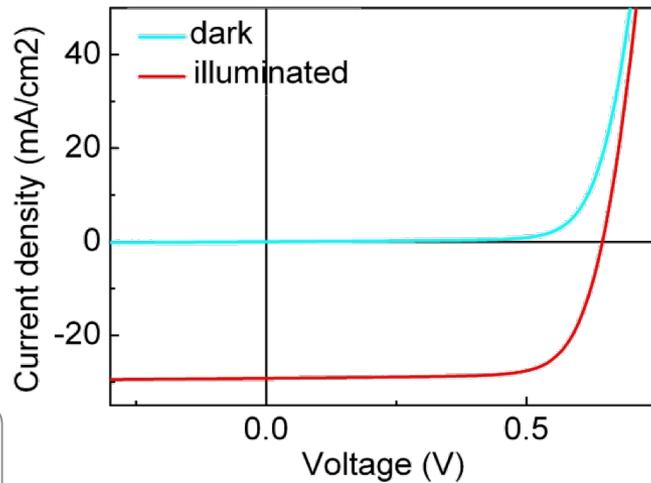
in the dark



illumination



=> limits V_{OC}



Detailed balance

emission from a semiconductor: $\Phi(E) \sim np \sim e^{\Delta E_F/kT} = e^{eV/kT}$

$$\Phi(E) = \Phi_0 e^{eV/kT}$$

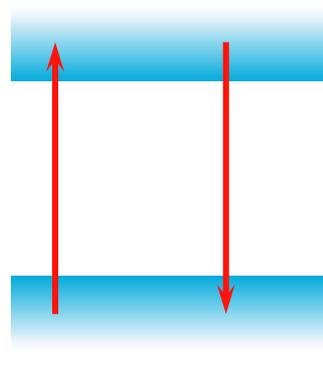
in equilibrium: $\Phi(E) = \Phi_0$ **black body emission**

$$\int_{E_G}^{\infty} \Phi_{BB} dE$$

solar cell at V_{OC} : $\Phi_S = \int_{E_G}^{\infty} \Phi_{sun} dE = \Phi_0 e^{eV_{OC}/kT} \Rightarrow V_{OC} = \frac{kT}{e} \ln \frac{\Phi_S}{\Phi_0}$

j_{SC}

E



generation

no current!

recombination

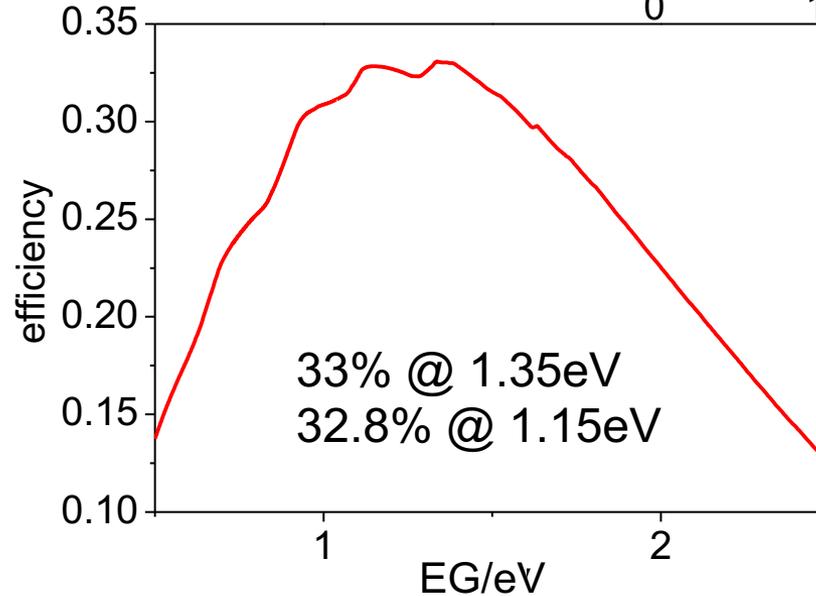
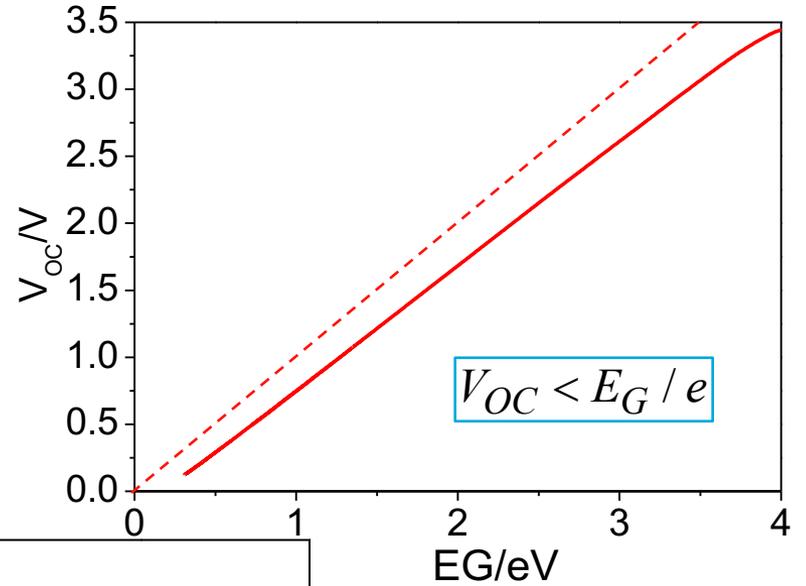
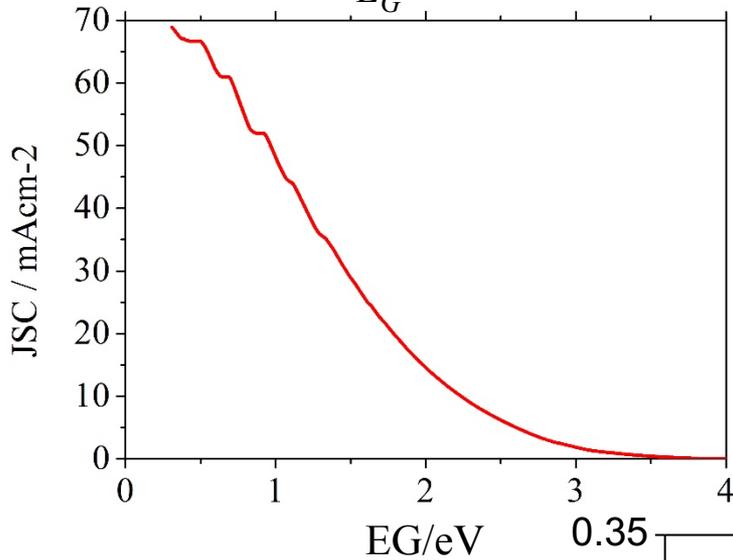
only radiative!



Shockley-Queisser limit

$$j_{ph} = e\Phi_s = e \int_{E_G}^{\infty} \Phi_{sun}(E) dE$$

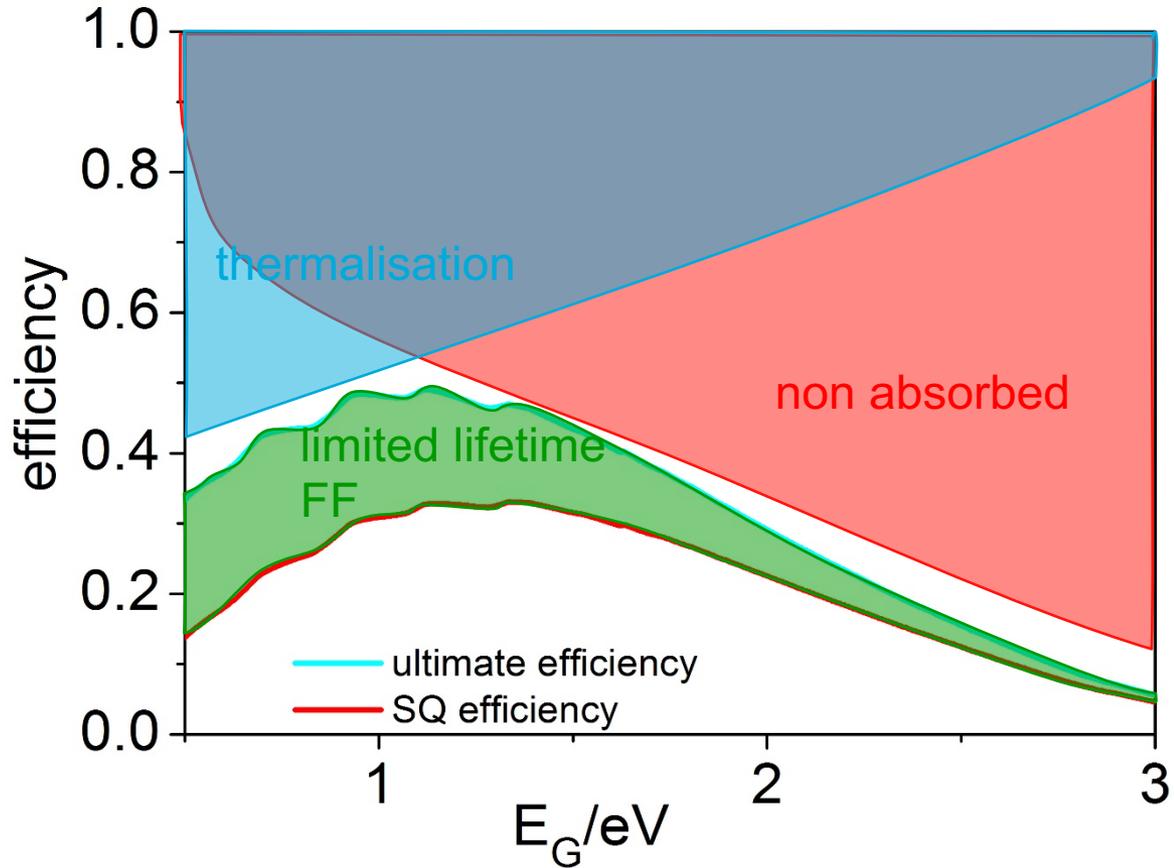
$$V_{OC} = \frac{kT}{e} \ln \frac{\Phi_S}{\Phi_0}$$



Summary of fundamental limitations

limited solid angle (entendue) plus

just a sketch:



assumptions:

$$QE = \begin{cases} 1, & E \geq E_G \\ 0, & E < E_G \end{cases}$$

only radiative recombination

no ohmic losses



real solar cells



V_{OC} of real solar cells

ideal solar cell

detailed balance at V_{OC}

$$\Phi_{rad} = \Phi_0 e^{eV_{OC}^{SQ}/kT} = \Phi_S$$

with non-radiative recombination:

$$\Phi_{rad} + \Phi_{nr} = \Phi_0 e^{eV_{OC}/kT} + \Phi_{nr} = \Phi_S$$

external radiative efficiency:

$$ERE = \frac{\Phi_{rad}}{\Phi_S} = \frac{\Phi_{rad}}{\Phi_{rad} + \Phi_{nr}}$$

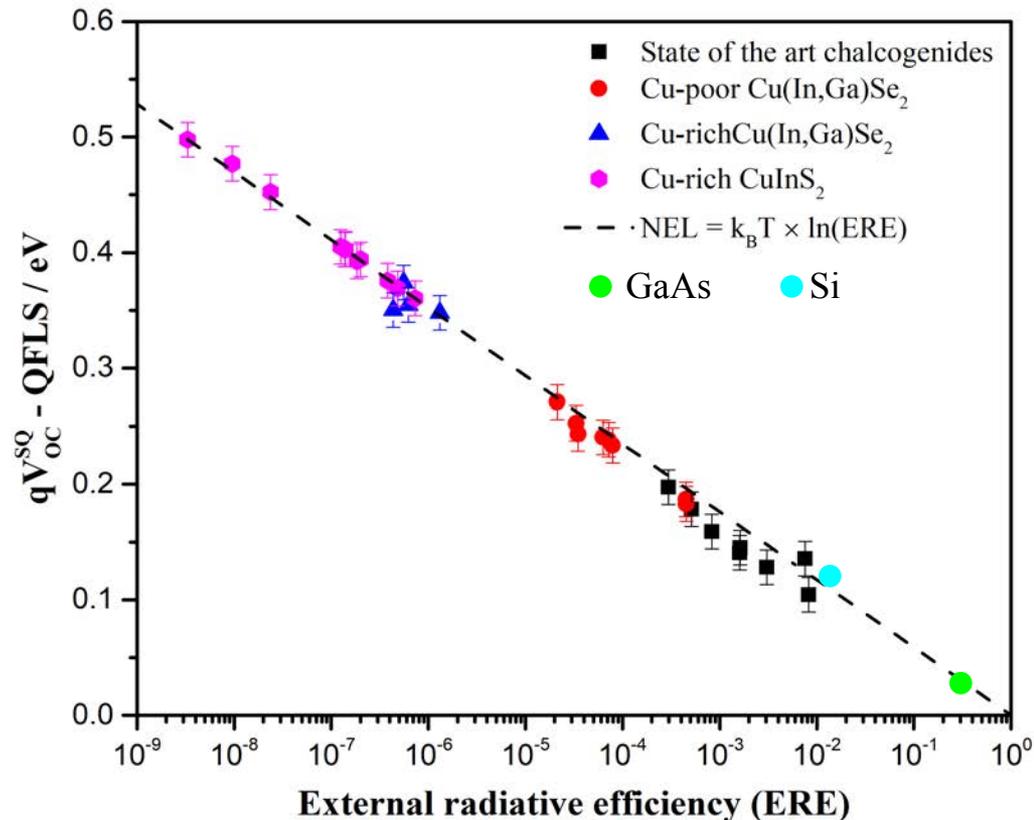
$$\Rightarrow \frac{\Phi_0 e^{eV_{OC}/kT}}{ERE} = \Phi_S = \Phi_0 e^{eV_{OC}^{SQ}/kT}$$

$$\Rightarrow V_{OC} = V_{OC}^{SQ} + \frac{kT}{e} \ln ERE < V_{OC}^{SQ}$$

~60meV/decade

basis: V_{OC} = ΔE_F

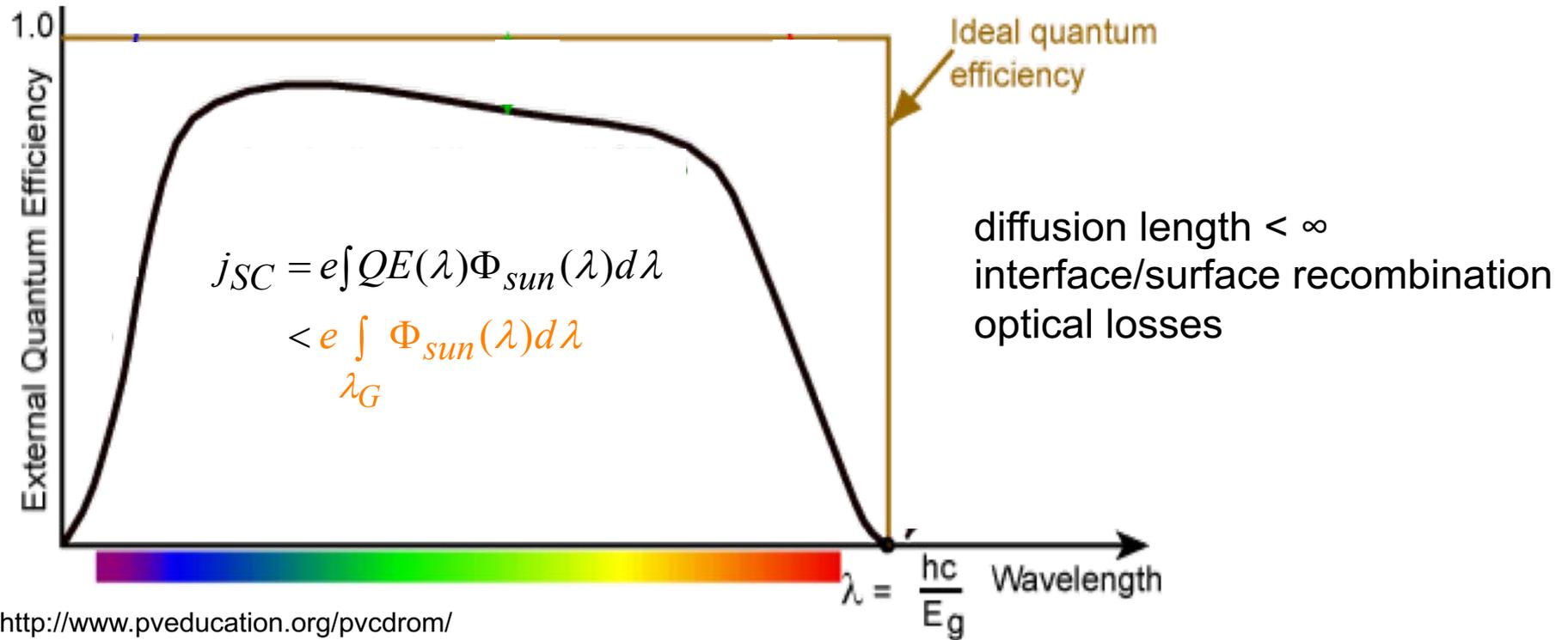
✓ with reasonably good contacts



Max Wolter, doctoral thesis, UL



jSC and FF of real solar cells



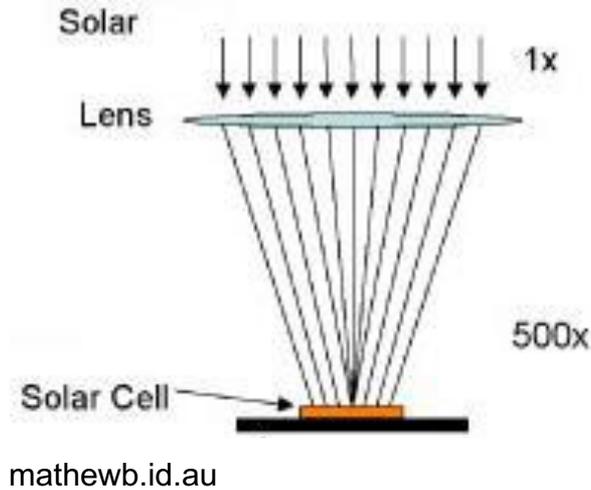
FF reduced by shunt and series resistances



beyond SQ



Concentrated illumination



why does it improve efficiency?

$$\eta = \frac{j_{sc} \cdot V_{oc} \cdot FF}{P_{in}}$$

Annotations: $\times N$ (pointing to j_{sc}), $\frac{kT}{e} \ln(\frac{j_{ph} \times N}{j_0})$ (pointing to V_{oc}), \uparrow (pointing to FF), $\times N$ (pointing to P_{in})

why does it improve the limit?

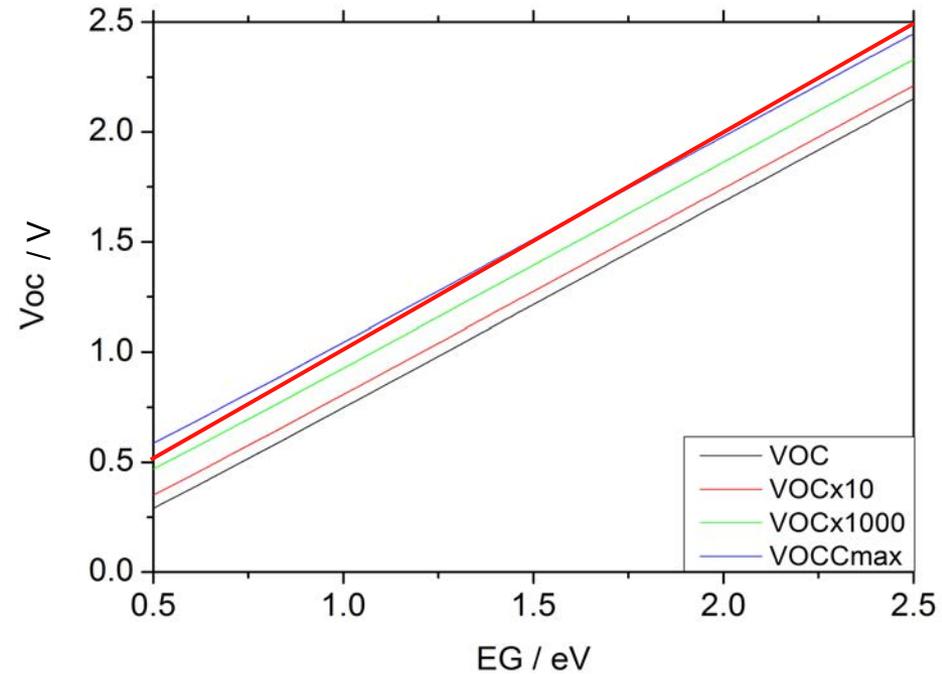
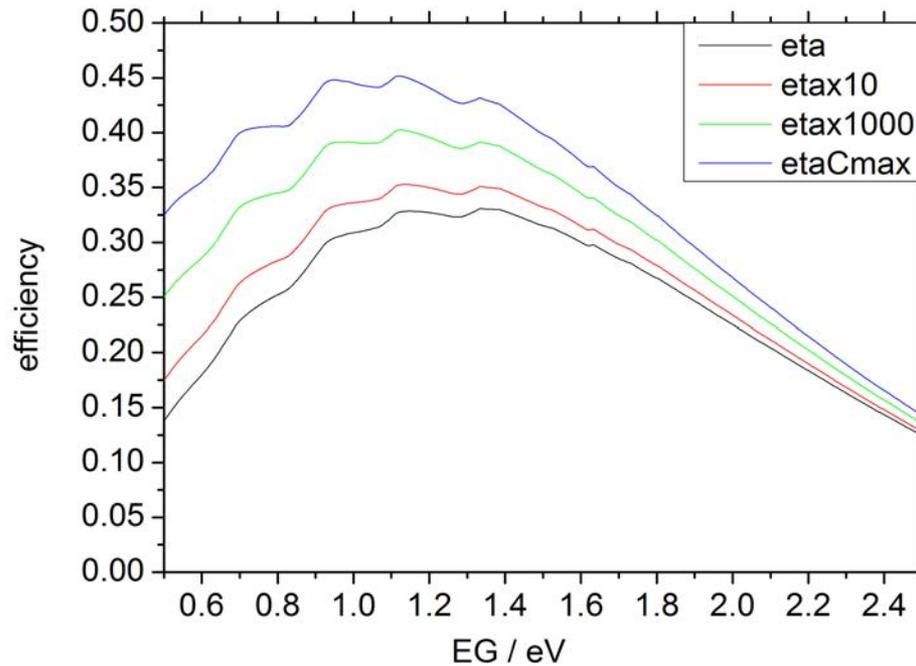
$$\text{SQ: } \Phi_{rad} = \Phi_0 e^{eV_{oc}/kT} \leq \int_{E_G}^{\infty} \Phi_s(E) dE$$

$\times N$

$V_{oc} \uparrow$ by 60meV/decade



SQ with concentration



⇒ ultimate efficiency with FF

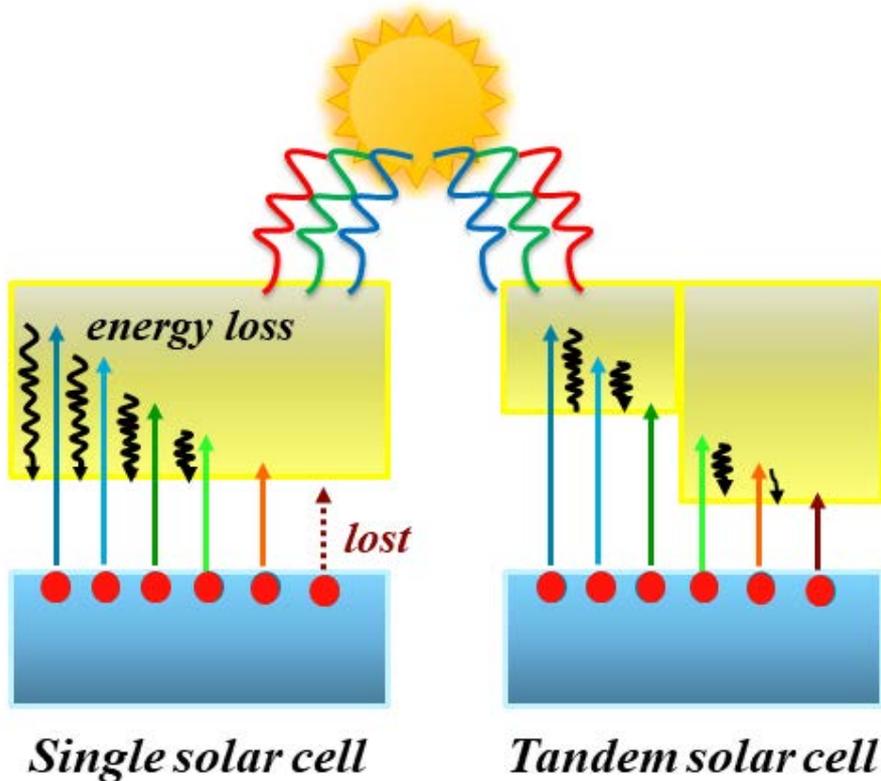
with real concentrations and reasonable band gaps:

$$V_{OC} < E_G$$



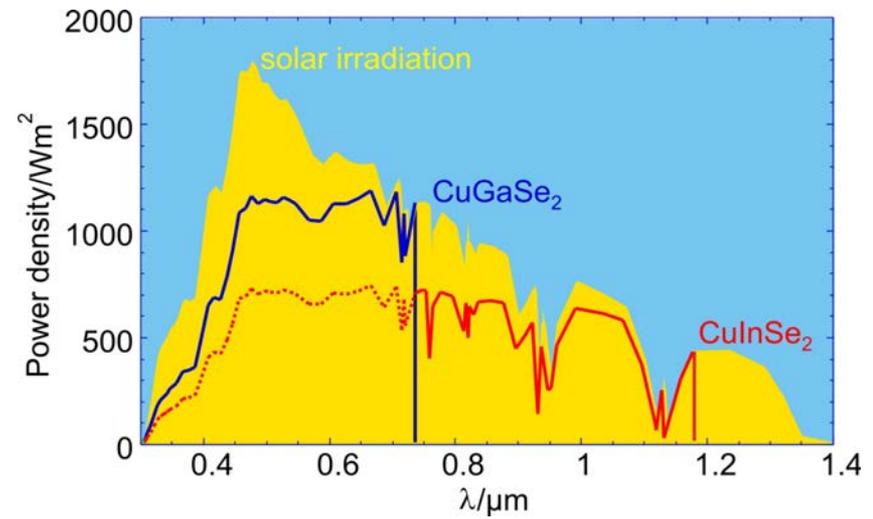
Multijunction cells

the idea:



LPV uni.lu

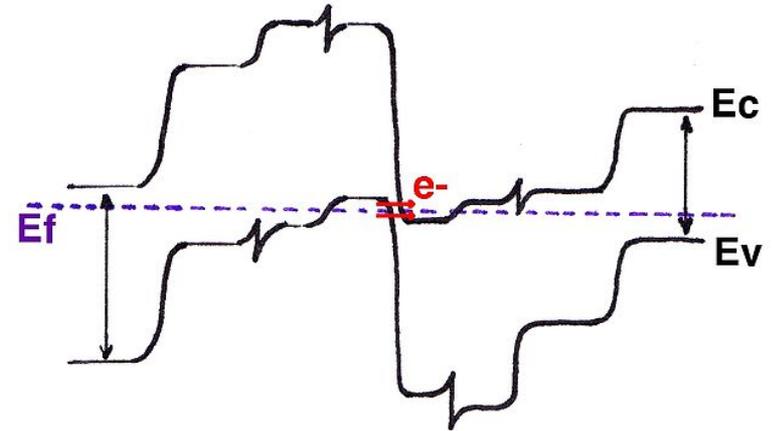
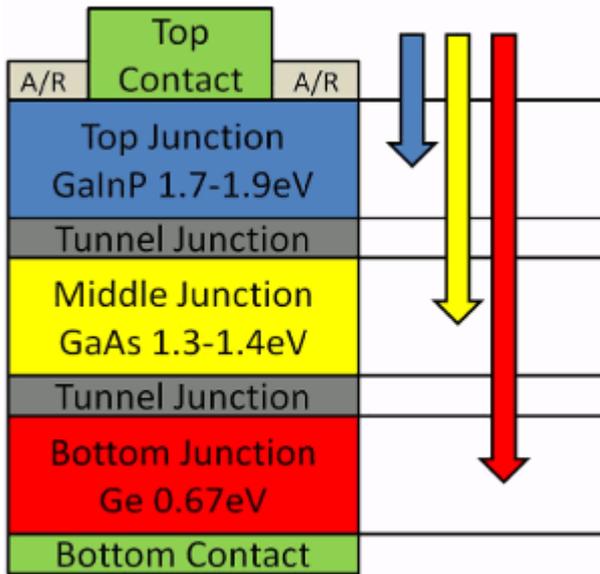
power converted by solar cells:



1st challenge: tunnel junction

without tunnel junction: p-n p-n.....

tunnel junction:



n	p	p+	p++	n++	n+	n	p
InGaP	InGaP	AlInP	InGaP	InGaP	AlInP	GaAs	GaAs

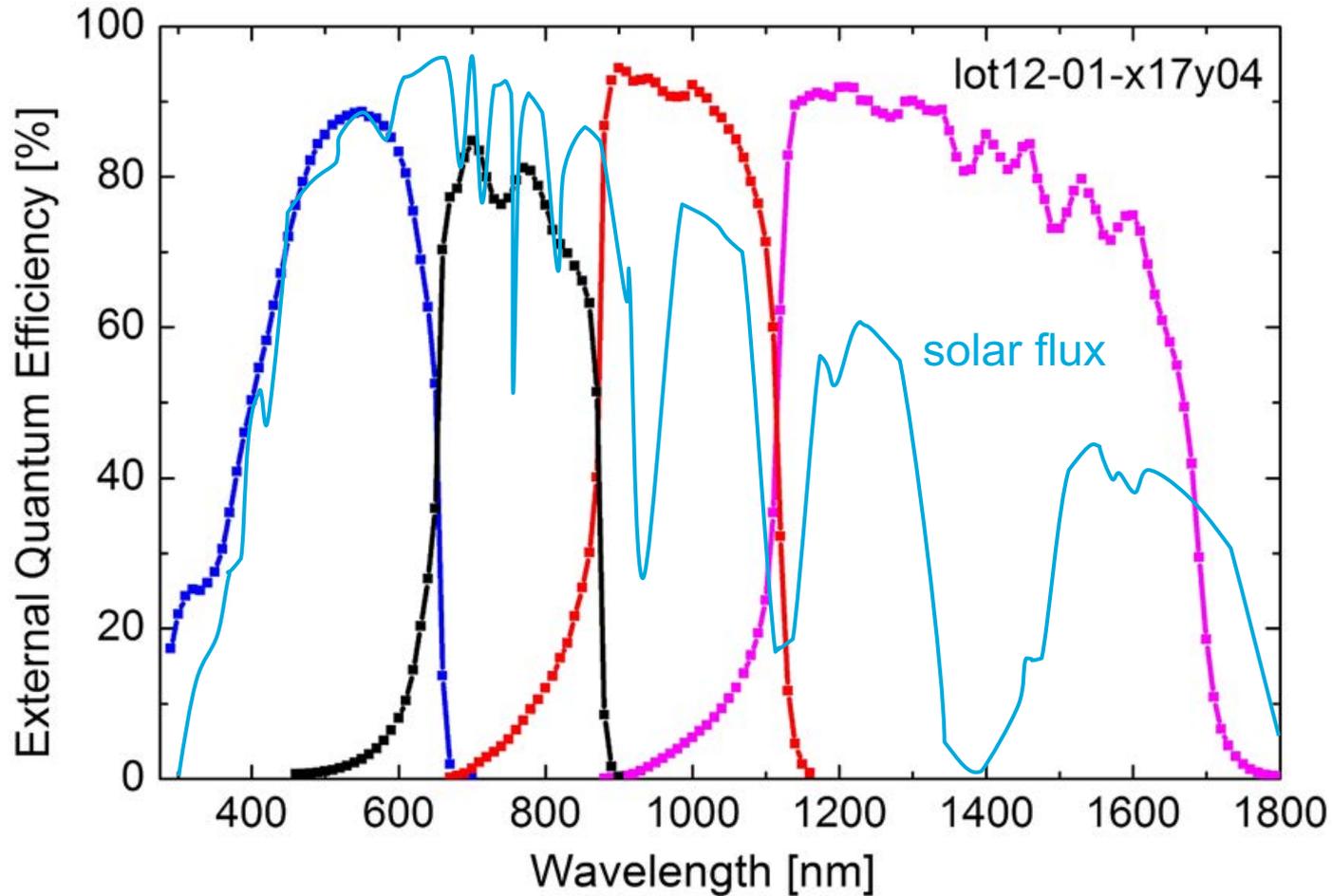
<http://large.stanford.edu/courses/2010/ph240/weisse2/>

<https://commons.wikimedia.org/wiki/File:Tunneljunction.jpg>



2nd challenge: current matching

QE of 4J cell:



adjust band gaps to same current density



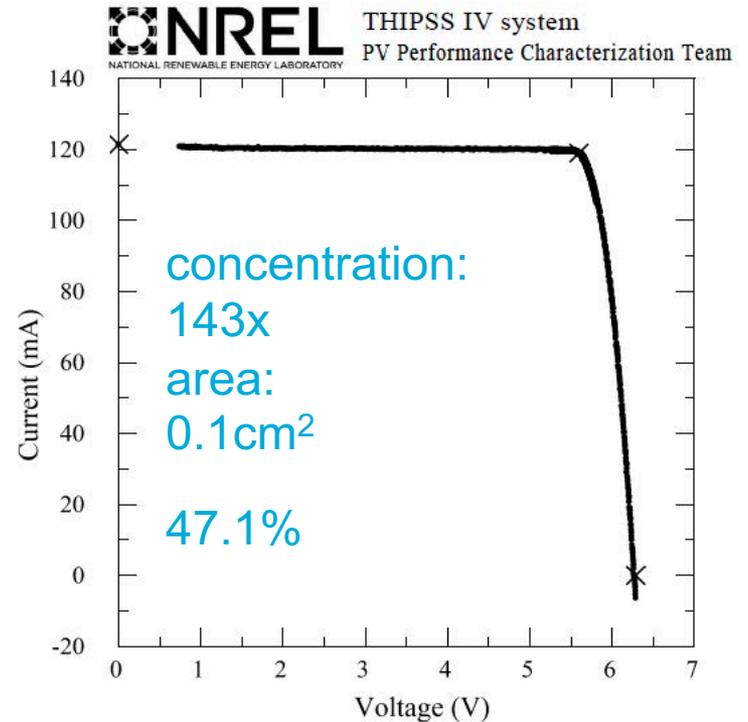
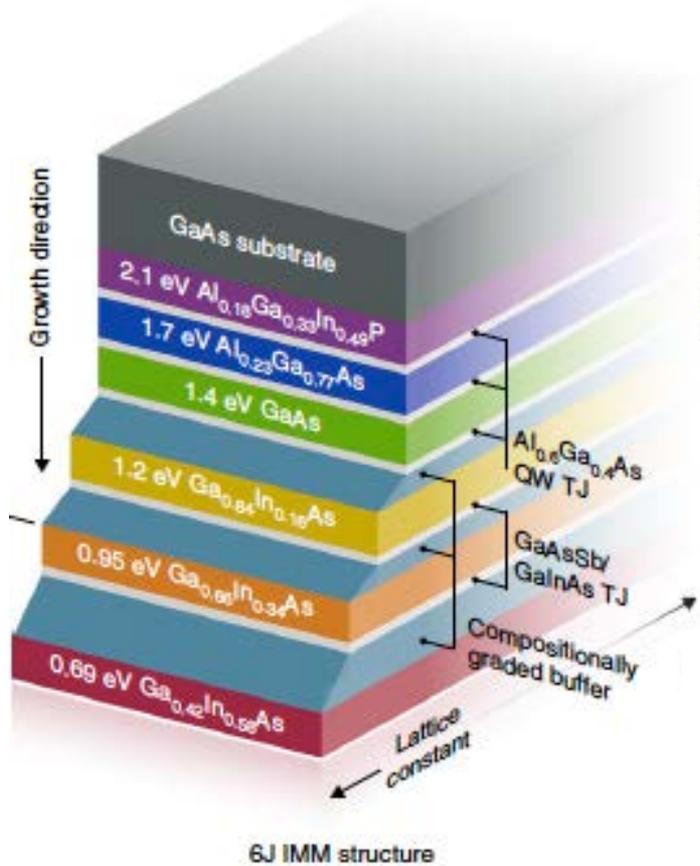
Dimroth, et al., Progr. Photovolt. 22, 277-282 (2014)



47% efficiency

a stack of 6 junctions
more than 100 layers

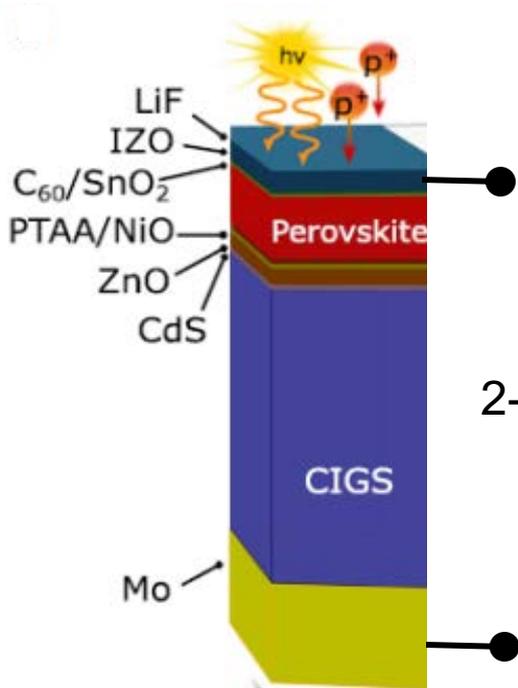
“the most complex optoelectronic device in the world”



Geisz, France, Schulte, Steiner, Norman, Guthrey, Young, Song, Moriarty,
Nature Energy 5, 326 (2020)

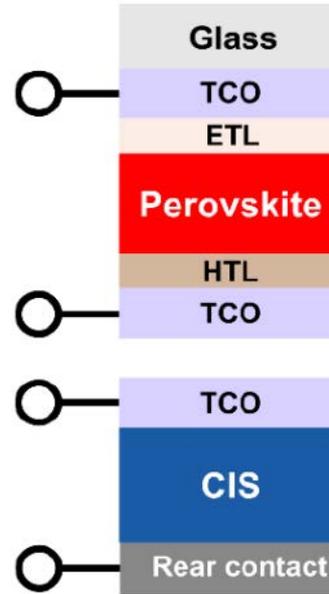


Thin film tandem cells



24.2%

2-terminal vs. 4-terminal



27.4%

very good radiation
hardness

- current matching less critical
- more TCO layers

issue: perovskite stability

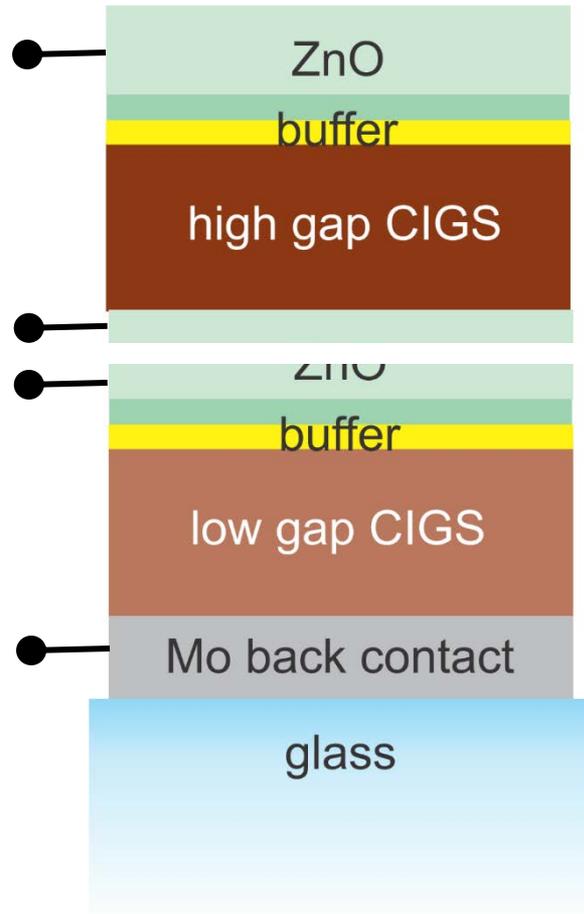
F. Lang *et al.*, Joule 4, 1054 (2020)

[https://www.helmholtz-berlin.de/pubbin/news_seite?nid=21263;sprache=en;seitenid=74699,](https://www.helmholtz-berlin.de/pubbin/news_seite?nid=21263;sprache=en;seitenid=74699)

<https://percistand.eu/sites/percistand/files/downloads/Percistand%20workshop-%209%20June%202021.pdf>



Tandem cells in my lab



chalcopyrites CIGS: $\text{Cu}(\text{In,Ga})(\text{S,Se})_2$

- high efficiency single junction: 23.4%

Nakamura et al. IEEE J. Photovolt. 9, 1863 (2019)

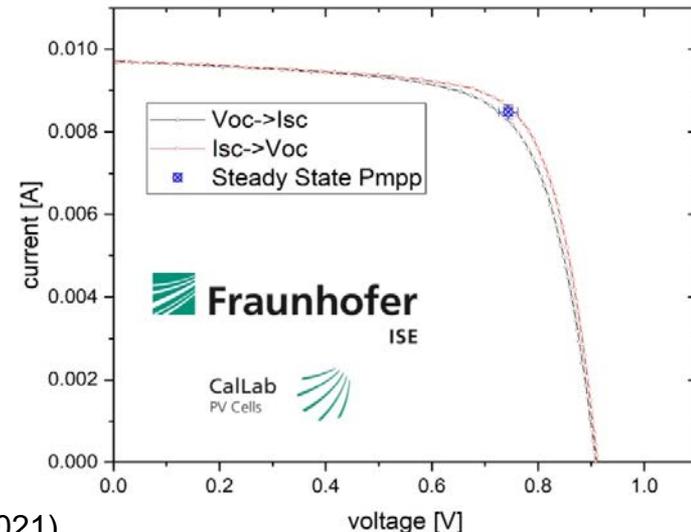
- wide range of bandgaps
CuInSe₂: 1.0 eV
CuGaSe₂: 1.6 eV
CuInS₂: 1.5 eV
CuGaS₂: 2.5 eV

- no miscibility gap

$\text{Cu}(\text{In,Ga})\text{S}_2$

14% with $E_G = 1.59\text{eV}$
on Mo back contact

- increase band gap
- increase efficiency
- transparent back contact



Shukla, Sood et al. Joule doi: 10.1016/j.joule.2021.05.004 (2021)



What to remember...

- Shockley-Queisser limit is based on
 - entropic loss due to entendue
 - non-absorption
 - thermalisation
 - limited lifetime due to detailed balance
 - fill factor losses
- real solar cells:
 - $V_{OC} \downarrow$ because of non-radiative recombination
 - $j_{SC} \downarrow$ because of optical and transport losses
- beyond Shockley-Queisser: concentration and multi junction cells



... and one last thought

- efficiency is limited
- there is no unlimited energy
- there will be 10 billion people
- technical solutions for renewable energy indispensable but not enough!
- life style changes are indispensable



Societal transformation scenario:

<https://www.boell.de/en/2020/12/08/societal-transformation-scenario-limiting-global-warming-15degc-low-risk-and-socially>

