Silicon Photonics

Michal Lipson Cornell University

Picture by N. Sherwood



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Photonics Could Alleviate Power Dissipation in Computers





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..and in Data Centers











Silicon Photonics for Multi-Core Interconnect

IBM





K. Bergman et al, Networks-on-Chip, pp. 53-64 (2007)



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High Confinement Waveguides



Intensity in the waveguides can be orders of magnitude higher than the intensity in the core of single mode optical fiber.



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Fabrication

Scanning electron micrograph of a ring resonator



Si Substrate



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Physical Device Size

100-m highly nonlinear fiber

4-cm Si-Nanowaveguide 10 cm <u>1 mm</u>

Strong Light Confining Structures





Device is very sensitive to small perturbations in the Silicon



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Ultra Low Loss Waveguides and Ring Resonators



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Losses

Dipole approximation:

Scattering~ $\Delta n^4/\lambda^3$

Other Losses: Absorption, Interference based



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Loss: Propagation and Bending



waveguide: 445 nm X 220 nm, wavelength 1500 nm, TE-like

propagation loss: ~1-2dB/cm _{Vlasov (IBM)}

Using oxidation methods: < 0.3 dB/cm!

Cardenas, Lipson, M. et al ,Optics Express, Vol. 17, 16 Mar 2009



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Etchless Waveguides



Cardenas, J., Poitras, C.B., Robinson, J.T., Preston, K., Chen, L. and Lipson, M., *Low loss etchless silicon photonic waveguides, Optics Express, Vol. 17, No. 6, 4752, 16 Mar.* 2009.



Dom WORSTM Anterest Star 2000 M Super Anterest Care 25 Jan 2000 CNF Mage 15351KX Drf + 3010 Pred Star 23000 Super Time 15533 CNF Prof. Michal Lipson

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Etchless Waveguides

Waveguides dimensions: 315-nm high by 1- μ m wide.





Results

- Etchless waveguide has a loss < 0.3 dB/cm.
- Waveguide is 1- μ m wide by 70-nm high with an 8-nm slab.





Etchless Cavities





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Q~1M

Lian-Wee Luo, Gustavo S. Wiederhecker, Jaime Cardenas, and Michal Lipson, High quality factor etchless silicon photonic ring resonators, Optics Express, 2011



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Electro-Optics Modulation



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The Microelectronics Platform for Optics On Chip

Passive Devices



Massachusetts Institute of Technology, 2000

Cornell Nanophotonics Group 2003

J. S. Foresi et al. Nature 390, 143 (1997)

Need for active devices! Modulators, Amplifiers



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Switching Light on Silicon Using Free-Carriers

Mechanism: Plasma Dispersion Effect (linear process) Refractive index change by free carrier injection

$$\Delta n = \Delta n_e + \Delta n_h = -[8.8 \times 10^{-22} \cdot \Delta N + 8.5 \times 10^{-18} \cdot (\Delta P)^{0.8}]$$

Challenge:

Weak index dependence on free carrier concentration

R.A. Soref, et al, IEEE Journal of Quantum Electronics, vol. 23, (1987)



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Forward PIN

- Based on injection of carriers in a forward bias diode operation.
- Can achieve very high index change per applied voltage due to exponential I-V characteristic of a diode.
- Limited in speed due to carrier dynamics



Manipatruni, S. et al., "High speed carrier injection 18 gb/s silicon micro-ring electro-optic modulator" (2007)



Ring Resonator Based Electro-optic Modulator On Silicon-On-Insulator-Microns In Size



Liu, A. et al. Nature 427, 615 (2004)

Q. Xu, B. Schmidt, M. Lipson, Nature, May 19 March 2005



Fabrication Ebeam Lithography





Microscope image of fabricated optical modulator with electrical contacts



Modulation Results (DC)





Dynamic Response

0.4 Gbit/s generated with 3.3 Vpp in micron-size device!



Lifetime under junction: 0.2nsec

Q. Xu, B. Schmidt, M. Lipson, Nature, May 19 March 2005



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Microring Modulator : Experiments



f Input

4 Gbit/s NRZ



Gate-like transfer function. Gb/s modulation with overdrive.



Q. Xu, B. Schmidt, S. Pradhan, & M. Lipson, Nature 435, 325 (2005)



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Micrometer Scale Silicon Electrooptic Modulator At 20 Gbps



PRBS 2¹⁰-1

>9dB modulation depth!

Q. Xu, M. Lipson, Optics Express Feb 2007





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Ultrafast Devices and Networks based on Silicon Modulators

Optical 4x4 Hitless Silicon Router for Optical Networks-on-chip



N. Sherwood-Droz, K. Bergman, and M. Lipson, Opt. Express, 16, pp. 15915, 2008.

Ultrafast low Power Modulators



25GHz w M. Watt et al, http://arxiv.org/abs/1312.2683

40-Gb/s DPSK Data Transmission Through a Silicon Microring Switch



Xu, L., Lipson, M. and Bergman, K., IEEE Phot. Tech. Lett., 24, 2012.



Silicon Photonics in Industry



Intel





IBM

Texas Instruments

F. Kärtner, H. Smith, V. Stojanović, R. Ram et al, Opt. Express 19, 2335 (2011)

Mellanox, Infinera, Luxtera..



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Breaking the reciprocity of Light



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Indirect Photonic Transition for Optical Isolation







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Principle of Operation and Simulation





Modulation: f_{mod}=10 GHz

Wavelength required: $\lambda_{mod} = 450 \mu m$



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Principle of Operation and Simulation

Simulation:

• Left to right propagation:



Lira, H., Lipson M. et al., Phys. Rev. Lett., Vol. 109, No. 3, 033901, 16 July 2012.



Lira, H., Lipson M. et al., Phys. Rev. Lett., Vol. 109, No. 3, 033901, 16 July 2012.

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Isolator



Lira, H., , Lipson M. et al., Phys. Rev. Lett., Vol. 109, No. 3, 033901, 16 July 2012.



Results-Optical Isolation Measurement

	1	and a strange day of the	and the second		<mark>,</mark>	•
Isolation (backward signal divided by forward cional)	0.5	Electrical input: 15.0 dBm				
		1550	1555 Waveler	1560 ngth (nm)	1565	
		1550	1555 Waveler	1560 ngth (nm)	1565	
		1550	1555 Waveler	1560 ngth (nm)	1565	

3 dB isolation achieved with 25 dBm of electrical power



Lira, H, Lipson M. ., et al., Phys. Rev. Lett., Vol. 109, No. 3, 033901, 16 July 2012.

Prof. Michal Lipson

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Multimode Photonics



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Multimode Photonics



J. M. Kahn and K.-P. Ho, "Mode-Division Multiplexing Systems: Propagation Effects, Performance and Complexity", to be presented at Optical Fiber Commun. Conf., Anaheim, CA, March 17-21, 2013 (*Invited Tutorial*).



Victor Liu, David A. B. Miller, and Shanhui F, Ultra-compact photonic crystal waveguide spatial mode converter and its connection to the optical diode effect, Optics Express, Vol. 20, Issue 27, pp. 28388-28397 (2012)



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Inter-Mode Coupling



4 0



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Transformation optics



J.B. Pendry, D. Schurig, and D. R. Smith, Science 312, (2006)



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Transformation Optics



Y. Yang, L. K. Chin, J. M. Tsai, D. P. Tsai, N. I. Zheludev, and A. Q. Li, Transformation optofluidics for largeangle light bending and tuning, Lab Chip 12, 3785 (2012)





M. J. Grajower, G. Lerman, I. Goykhmann, B. Desiatov, A. Yanai, D. R. Smith, and U. Levy, "plasmonic graded-index planar lens based on subwavelength features in the effective index regime," in CLEO: QELS 2012, paper QM1C.3. G. Castaldi, V. Galdi, A. Alu, and N. Engheta, "Transformation-based Cloak/Anti-Cloak Interactions: A Review," a chapter in "Transformation Electromagnetics and Metamaterials: Fundamental Principles and Applications," edited by D. H. Werner and D.-H. Kwon, Springer, submitted on June 24, 2012.

(b)

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Vladimir M. Shalaev and John Pendry, Transformation optics, Journal of Optics 13 (2) (2011).



Yongmin Liu and Xiang Zhang, "Recent advances in transformation optics", Nanoscale, 4 (17), 5277 - 5292.

Transformation optics



J.B. Pendry, D. Schurig, and D. R. Smith, Science 312, (2006)



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TO Design

Isotropic materials

Constrained index range

Mode matching at end facets



Low Loss Platform



Grayscale patterning: well controlled scattering



Grayscale Fabrication



Gabrielli, L., Liu, D., Johnson, S.G. and Lipson, M., Nat. Comm., Vol. 3, Art. 1217, Nov. 2012

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Mode Preservation



4 7



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>14dB reduction in loss



Gabrielli, L., Liu, D., Johnson, S.G. and Lipson, M., Nat. Comm., Vol. 3, Art. 1217, Nov. 2012

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Multimode Multiplexing





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Silicon Photonics for Nonlinearities

High index contrast of waveguide

- Careful control of light confinement
- Ability to engineer waveguide dispersion



core area = 0.06 μ m²

core area = 0.12 μm²

core area = 0.26 μ m²

core area = 1.00 μ m²



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Multimode Multiplexing









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Luo, L.-W., Lipson, M., et al., WDM-compatible mode-division multiplexing on a silicon chip, **Nat. Comm**., 5, p. 3069, 15 Jan. 2014

Multimode Multiplexing-Fabrication





Optofluidics



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High Confinement Micro/Nano Photonics







Cornell University

Demonstration of Optical Trapping on chip of Ultra Small Particles



Robinson, J.T., Chen, L. and Lipson, M., Optics Express,, 13 March 2008.



Trapping 75nm DNA using Slots: Yang, A.H.J., Moore, S.D., Schmidt, B.S., Klug, M., Lipson, M. and Erickson, D., Nature, Vol. 457, 71-75, 01 Jan. 2009.

Applications include sorting, sensing: L. C. Kimerling, G. M. Whitesides, and A. Agarwal. et al , Nano Lett. 14, 231 (2014).



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Electro-optofluidic Platform





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DNA Arrays Sorting and Manipulation







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w/ Michelle Wang, Michalelipson

Optomechanics



Cornell University

Demonstration Optical Forces for Reconfigurable Objects



Wiederhecker, G.S., Chen, L., Gondarenko, A. and Lipson, M., Nature, p. 08584, (2009).



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Demonstration Optical Forces for Reconfigurable Objects



Opt. Q $\approx 10^5$ Gap = 600 nm d ω /dy=5 GHz/nm Mech. Q ≈ 10 m_{eff}=120 pg k= 1.2 N/m

Wiederhecker, G.S., Chen, L., Gondarenko, A. and Lipson, M., Nature, 08584, 15 Nov. 2009.



300 x 200 nm spokes





Demonstration Optical forces for Reconfigurable Objects







Parametric Amplification





Vision: Light Coupling Mechanical Oscillators



Demonstration of Synchronized Optomechanical Resonators



Anang, M., Wiedernecker, G.S., Manipatruni, S., Barnard, A., McEuen, P. and Lipson, M., Phys. Rev. Lett., Vol. 109, 233906, 07 Dec. 2012



Single Cavity Result (decoupled)



Zhang, M., Wiederhecker, G.S., Manipatruni, S., Barnard, A., McEuen, P. and Lipson, M., Phys. Rev. Lett., Vol. 109, 233906, 07 Dec. 2012



Demonstration of Synchronized Optomechanical Resonators -80 -60 -40 50.45 50.40 50.35 50.30 50.25 Zhang, M., Wiederhecker, G.S., Manipatruni, S., Barnard, A., McEuen, P. and Lipson, M., Phys. Rev. Lett., 109, 233906,

0.3

0.2

Relative Detuning (GHz)

0.4

0.5

0.6



2012

Summary

Ultra high frequency modulators





Synchronization between mechanical structures using light



Platform for Multimode communication on-chip

Prof. Michelle Wang Prof. Paul Mcuen Dr. Carl Poitras Lawrence Tzuang Dr. Hugo Lira Dr. Qianfan Xu Dr. Kyle Preston Dr. Nicholas Sherwood Dr. Vilson Almedia Dr. Lucas Gabrielli Prof. Steven Johnson Prof. Keren Bergman Dr. Jaime Cardenas Dr. Lian-Wee Luo Dr. Noam Ophir Christine Chen Mian Zhang Dr. G. Wederhecker Dr. Mohammad Soltani

Prof. Alexander Gaeta



Prof. Michal Lipson



Optofluidics for control of individual molecules

