

Metasurfaces for Optical Wave-front Engineering

Vladimir Shalaev

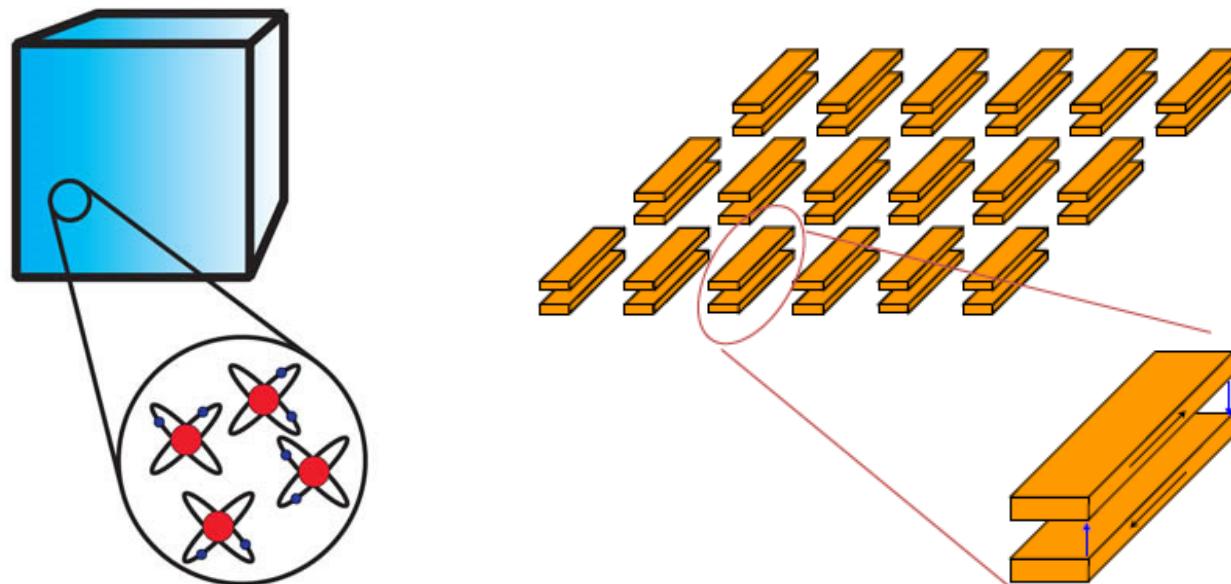
International School of Physics "Enrico Fermi"
July 2015

Outline

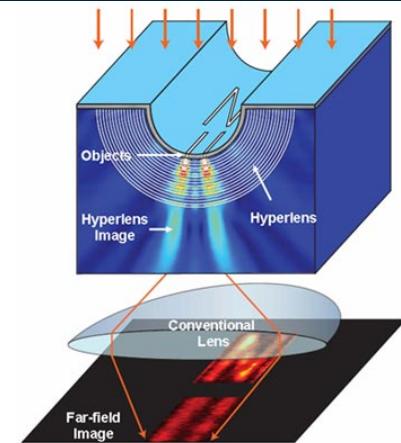
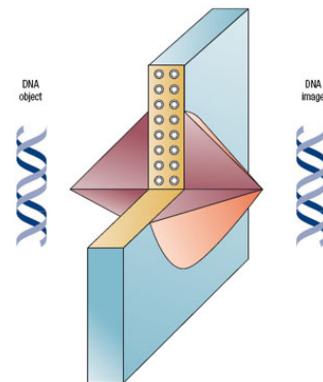
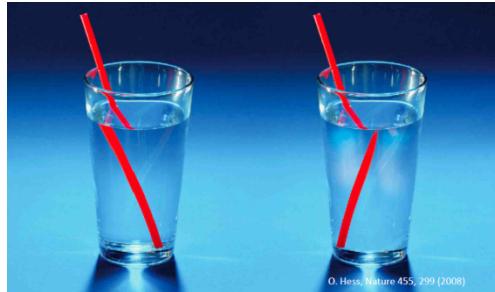
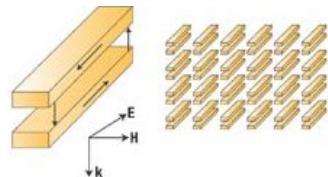
- From metamaterials to metasurfaces
- Phase Gradient Metasurfaces: Meta-lens, Meta-hologram, Color-hologram
- Power Efficiency: Huygens' Metasurface, Dielectric Metasurface, Gap-plasmon Metasurface
- Polarization Gradient: $\frac{1}{4}$ wave plate, $\frac{1}{2}$ wave plate
- Photonic Spin Hall Effect: Circular Dichroism Spectrometer, Optical Rotation
- Metasurface Based Nano-Cavities.
- Active Metasurfaces & Nano-lasers
- Nonlinear Metasurfaces
- Broadband Absorber
- Hyperbolic Metasurfaces
- Time-Varying Metasurfaces

Introduction: From Meta-Materials to Meta-Surfaces

- Optical Meta-Materials are artificial materials
- Transcend properties of natural materials
- By engineering light-matter interaction at the structural level rather than the atomic\molecular level.



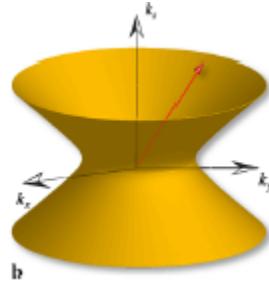
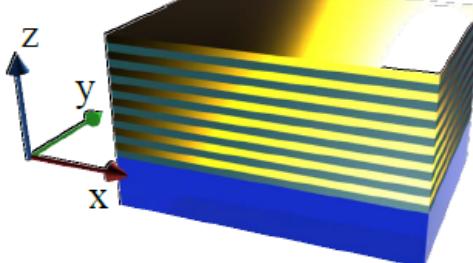
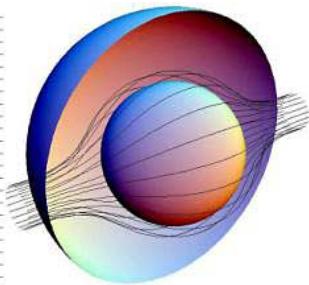
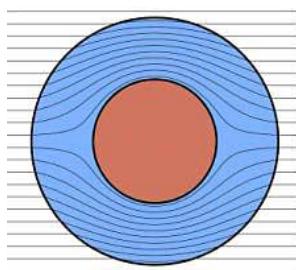
Bulky Metamaterials: Prospects and challenges



Shalaev, *Nat Photonics* 1, 41 (2007)
Hess, *Nature* 455, 299 (2008)

Zhang & Liu, *Nature Materials* 7, 435 (2008)

Liu et al, *science* 23, 1686 (2007) (Zhang group)



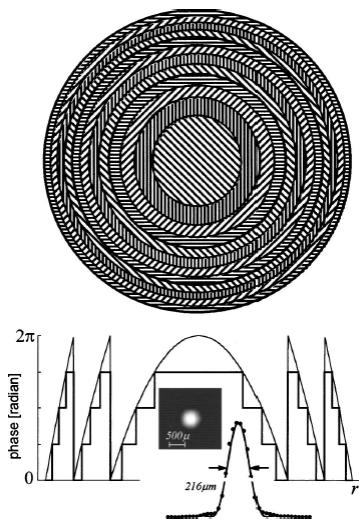
Pendry, et al, *Science* 312, 1780 (2006)

Jacob et al, *Appl Phys B* 100, 215 (2010)

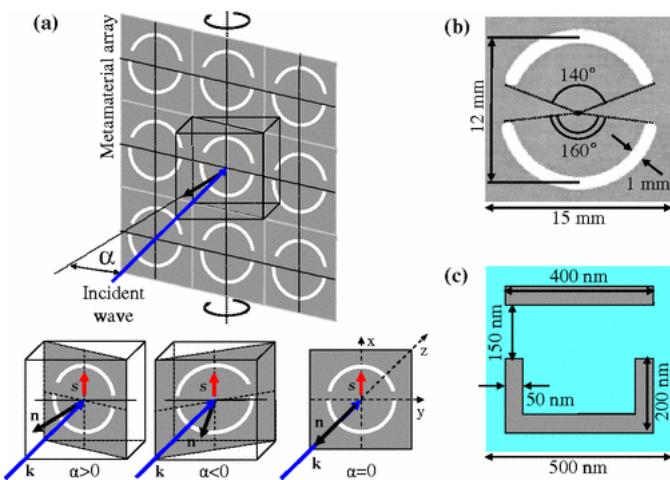
Gansel et al, *Science* 18, 1513 (2009)

Optical Metasurfaces (MS's)

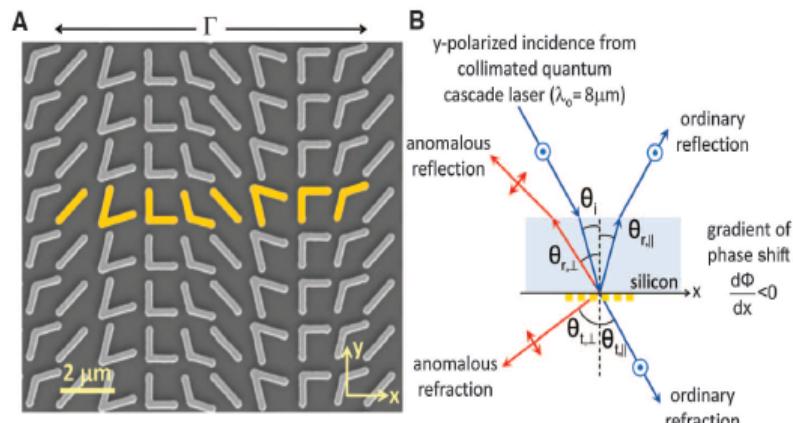
- Wave-front discontinuity instead of accumulative response
- Overcome Bulk MM's challenges:
 - Easier to fabricate, more readily assembled, suitable for on-chip applications, reduced losses
- Open new applications not found with bulky MM's (phase-gradient MS)



E. Hasman, et al,
APL 82, 328 (2003)



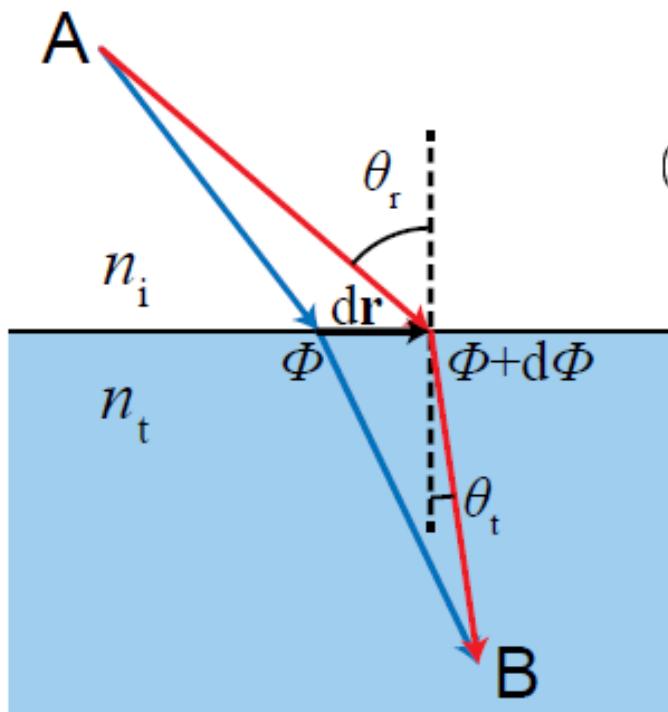
Plum et al, phys Rev Lett, 102,
113902 (2009) (Zheludev group)



Yu et al, Science 21, 334
(2011) (Capasso group)

Phase-Gradient MS: Generalized Snell's law

Principle of least action → The difference between blue and red path is zero



$$(n_i k_0 \sin \theta_i + \nabla \Phi) d\mathbf{r} - (n_t k_0 \sin \theta_t) d\mathbf{r} = 0$$



For reflection

$$\sin \theta_r - \sin \theta_i = n_i^{-1} k_0^{-1} \nabla \Phi$$

For refraction

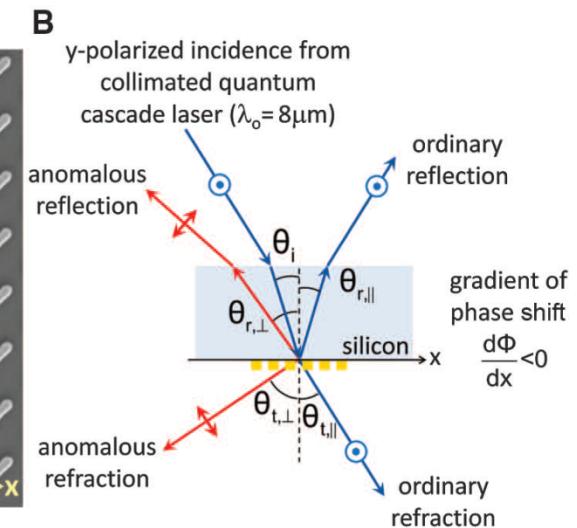
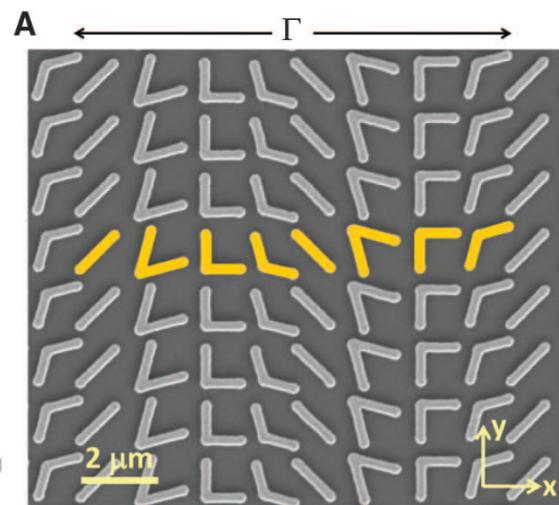
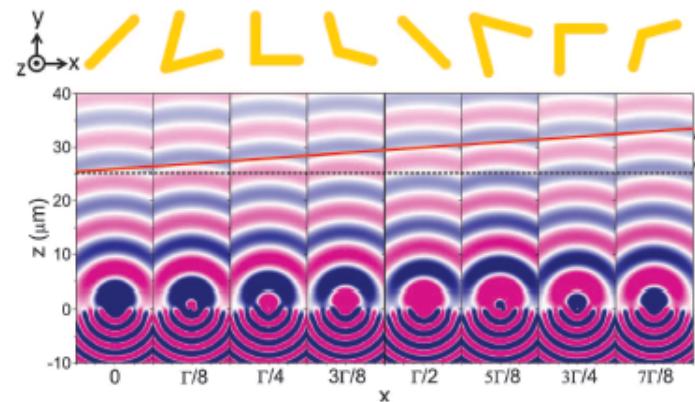
$$n_t \sin \theta_t - n_i \sin \theta_i = k_0^{-1} \nabla \Phi$$

Yu et al, Science 21, 334
(2011) (Capasso group)

In essence, momentum conservation!

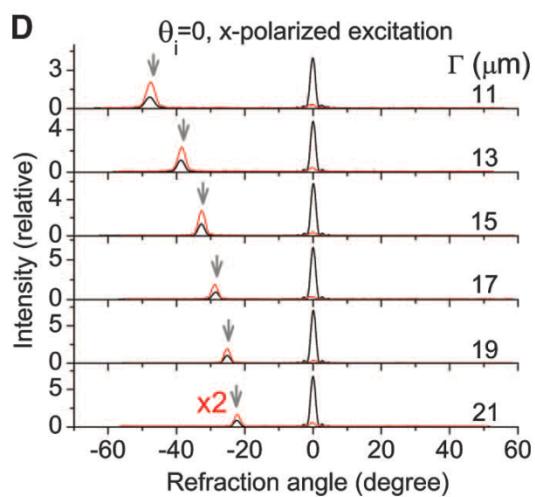
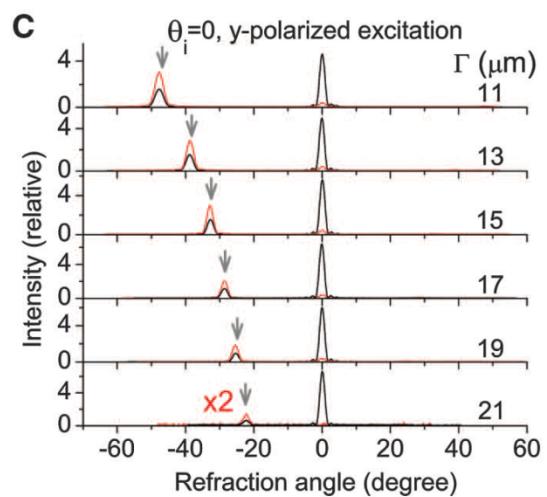
see also S. Larouche and D.R. Smith, OL v. 37, 2391 (2012)

Generalized Snell's law



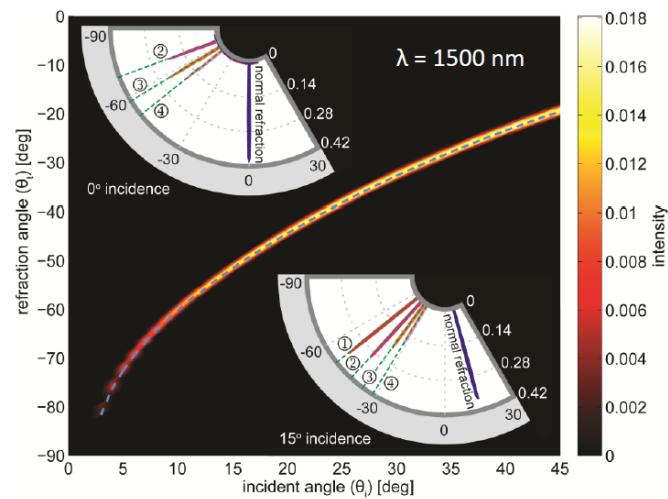
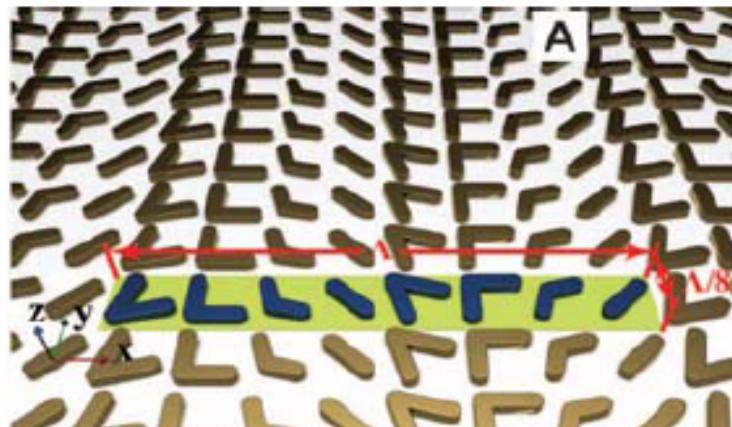
Yu et al, *Science* 21, 334 (2011) (Capasso group)

Operating at $8 \mu\text{m}$

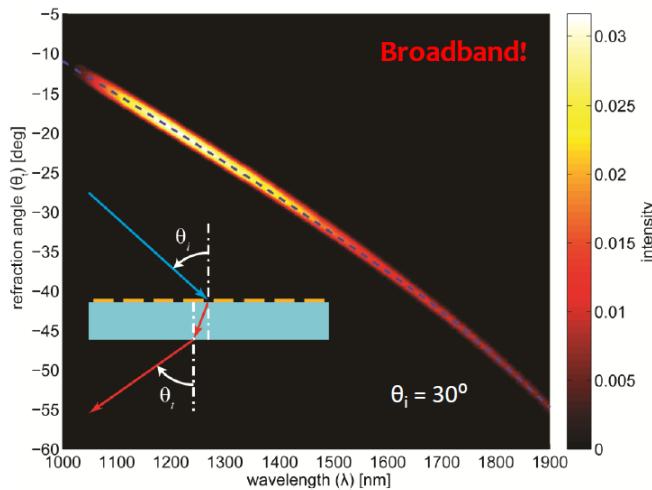
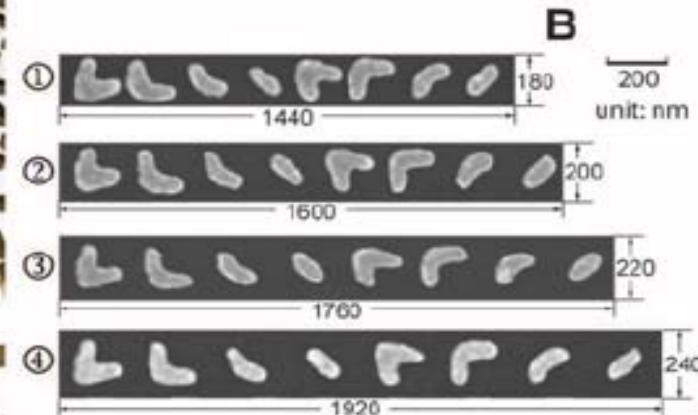


Broadband Light Bending

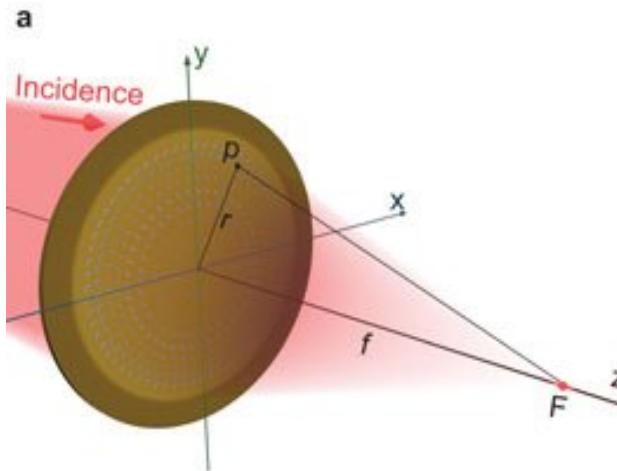
Operating at $1\text{--}1.9\mu\text{m}$



Ni et al, *Science* 335 (2012)
(Shalaev group)



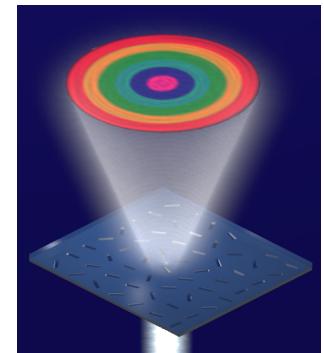
Meta-lens and Meta-holograms



Ni et al, LSA 2, e72, (2013)



Ni et al, Nat Comm 4, 2807 (2013)



Choudhury et al,
CLEO, JTU5A (2015)

See also:

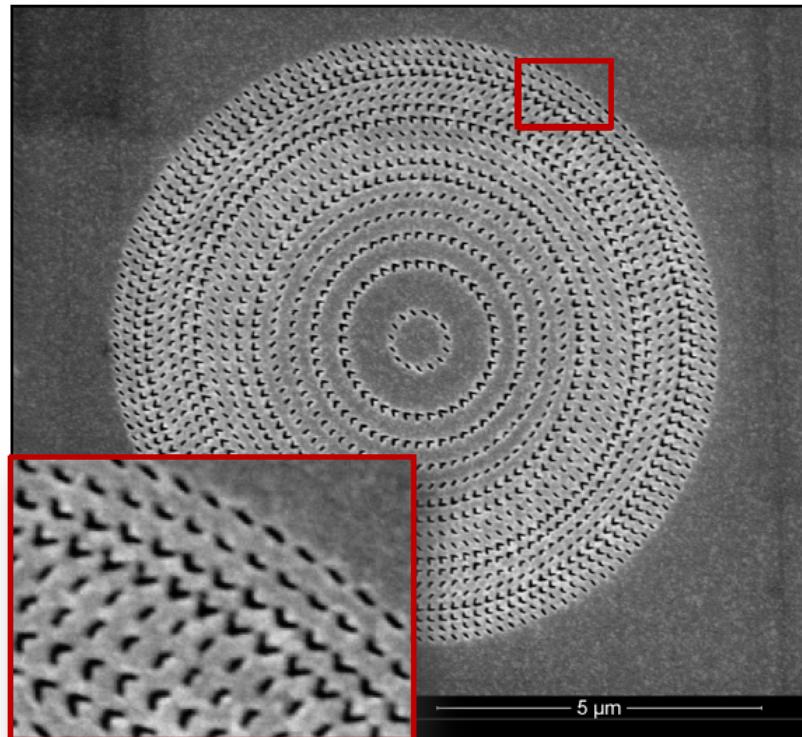
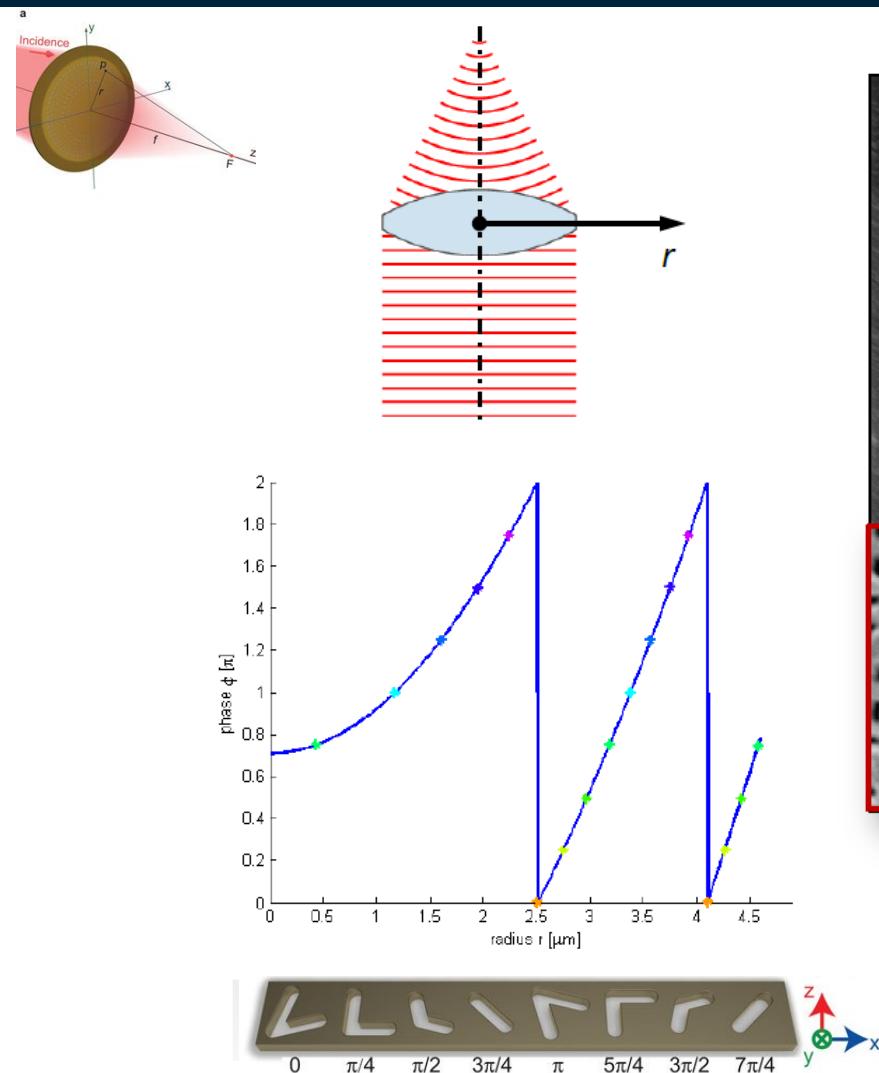
Meta-lens:

- Aieta et al., Nano Lett. 12, 4932 (2012) (Capasso group)
- Chen et al., Nat Comm 3, 1198 (2012) (S. Zhang & Zentgraf groups)

Meta-holograms:

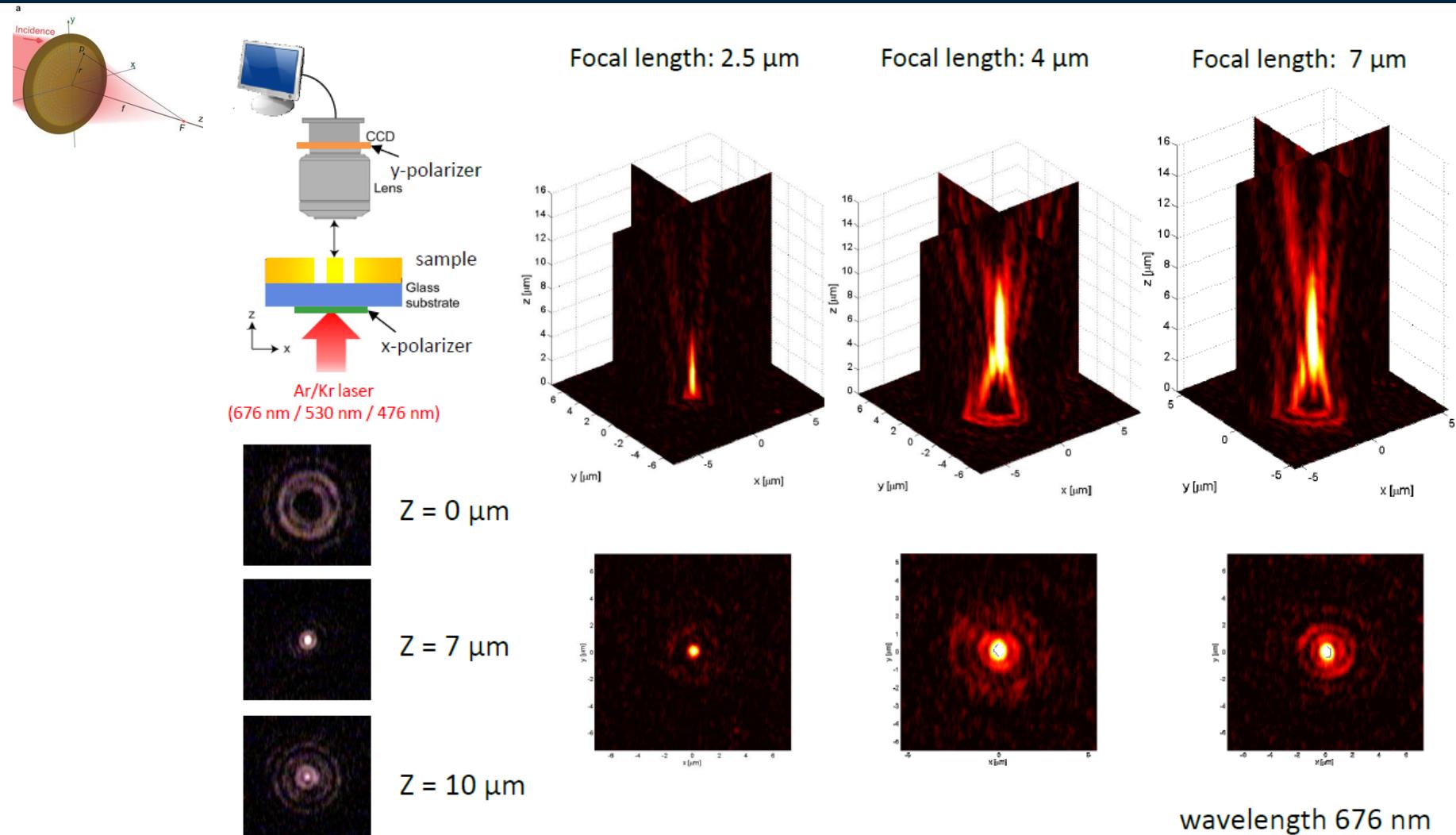
- S. Larouche et al., Nat. Mat. 11, 450 (2012) (D Smith group)
- Lin et al, Nano Lett 13, 4269 (2013) (Capasso group)
- Huang et al, Nano Lett 15, 3122 (2015) (Tsai group)
- Zheng et al, Nat Nanotechnology 10, 308 (2015) (Guixin Li, Zentgraf, S Zhang groups)
- Kuznetsov et al, Sci Reports 5, 7738 (2015)

Ultra-thin, planar, Babinet-inverted plasmonic metlens: Design



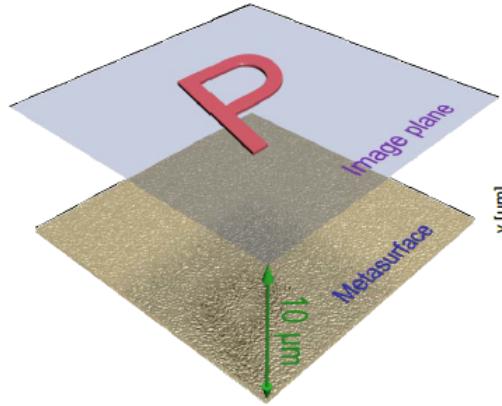
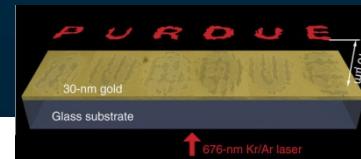
- Au film (30 nm) by electron beam evaporation
- Babinet antennas fabrication by focused ion beam (FIB) Ni et al, LSA 2, e72, (2013)

Ultra-thin, planar, Babinet-inverted plasmonic metlens: Experiment

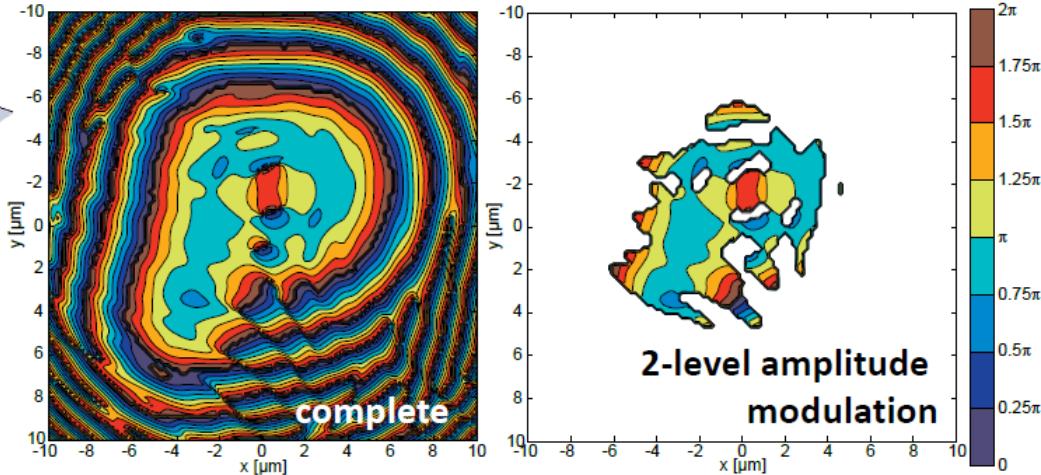


Metasurface Holograms for Visible Light

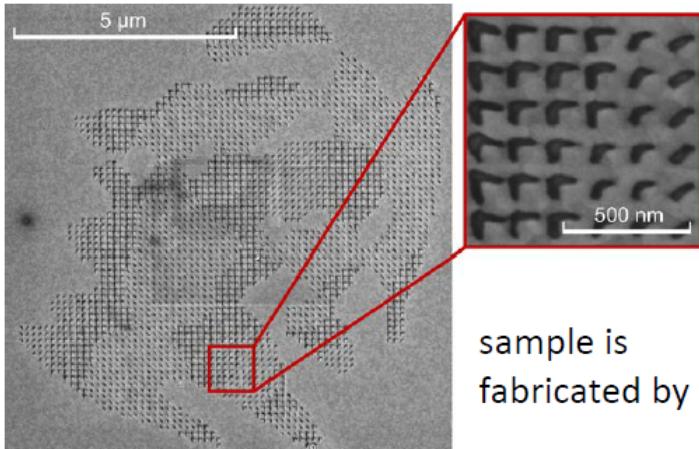
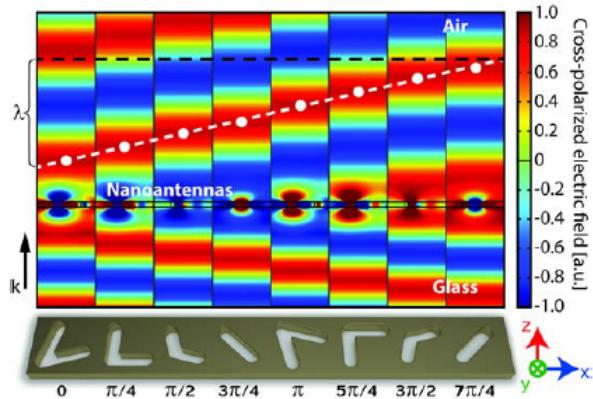
Ni et al, *Nat Comm* 4, 2807 (2013)



8-level phase modulation



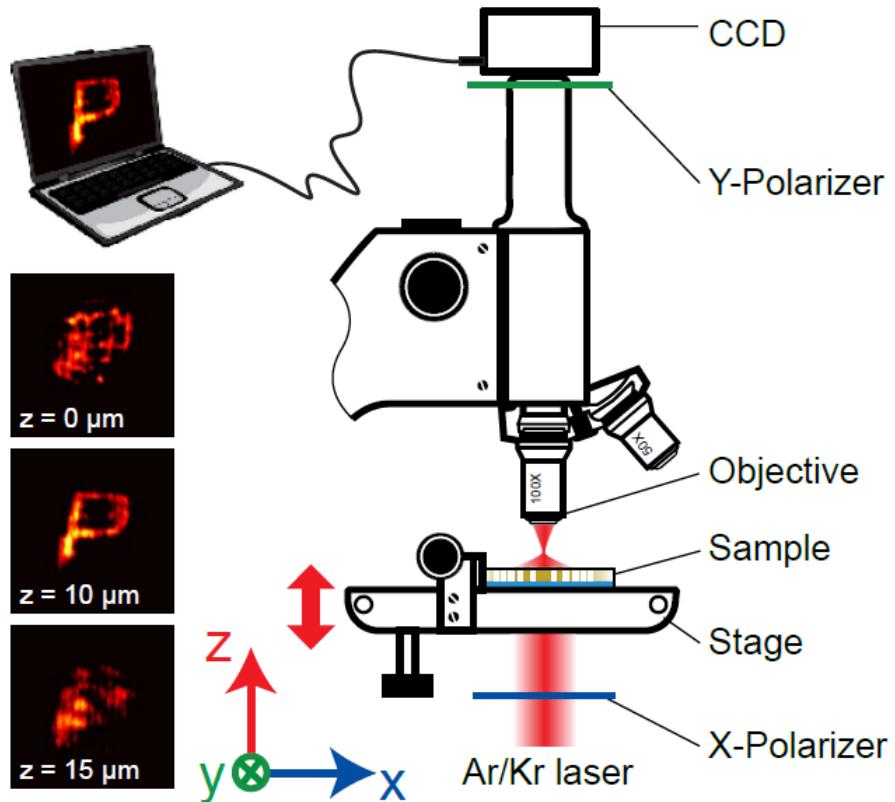
phase shifts by the antennas



Metasurface Holograms for Visible Light: Experiment



- Intensity profile at different distance is obtained by scanning sample stage vertically



Metasurface Holograms for Visible Light: Experiment



size of each individual letter: $\sim 8 \times 8 \mu\text{m}^2$

size of patch for each letter: $12 \times 12 \mu\text{m}^2$

the width of the strokes: $\sim 1 \mu\text{m}$

P U R O U E

10 μm

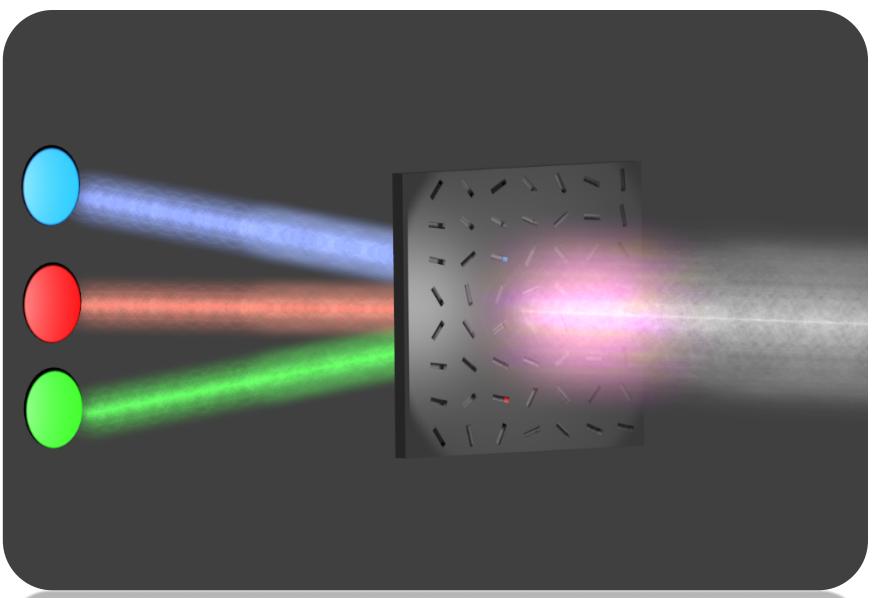
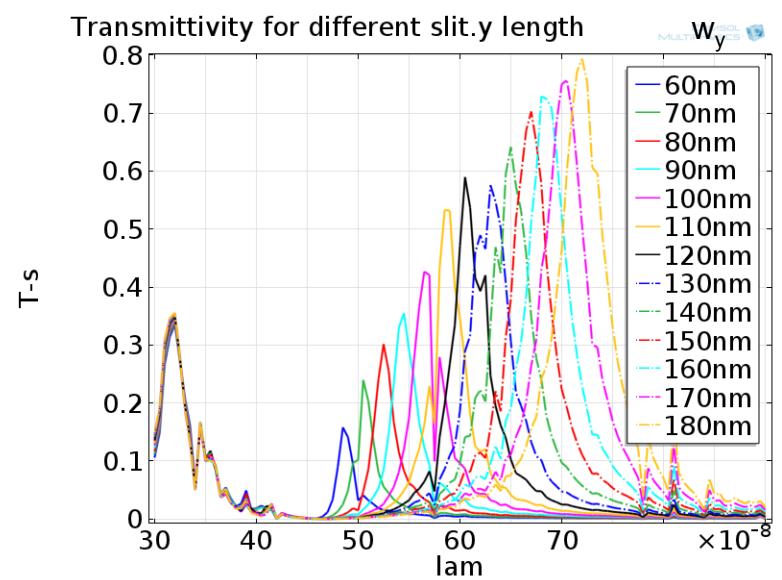
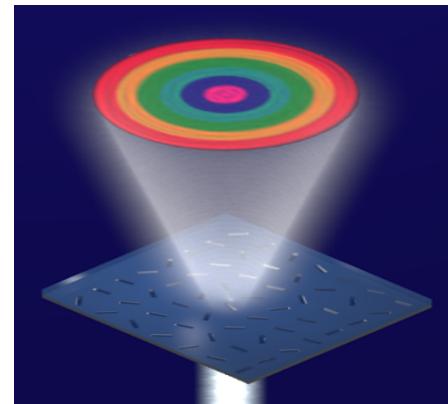
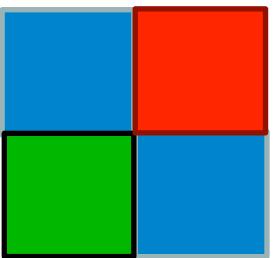
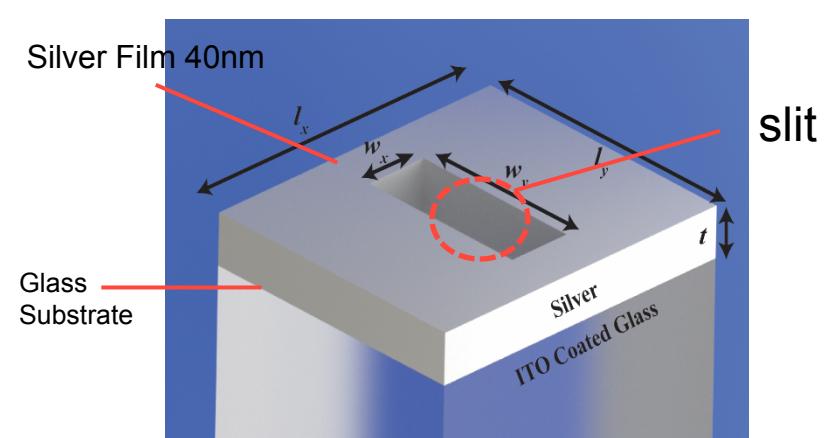
30-nm Au

glass



676 nm laser

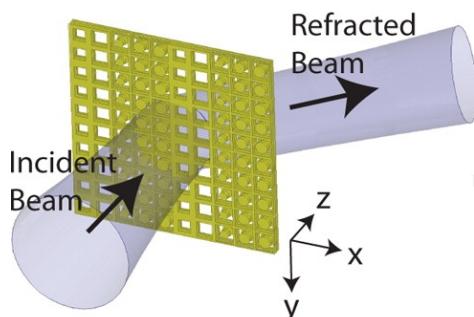
Color Phase Hologram



Increasing Efficiency of Metasurfaces

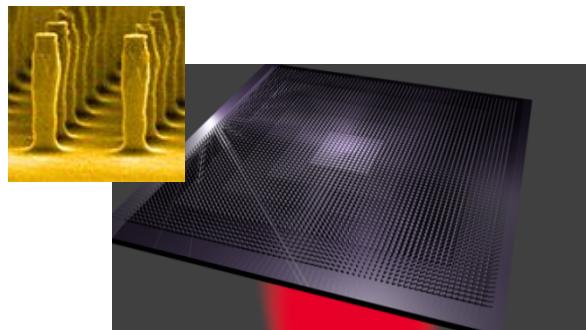
- Efficiency of previous phase-gradient metasurfaces <10%
- Unlike bulky MM's, the primary issue is not metallic loss. More power is wasted in unwanted modes (like 0th order mode, or power splitting between reflection and transmission)
- Approaches to overcome this issue include:

Huygens' surfaces



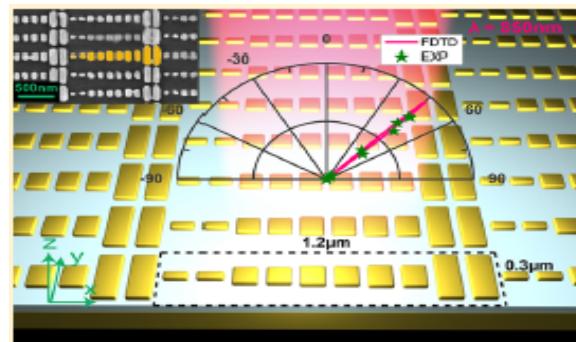
Pfeiffer et. al., *Nano Lett.*, 14 (5), (2014)
(Grbic& Shalaev groups)

Dielectric Metasurface



West et. al., *Opt Express.*, 21, 26212 (2014)
(Shalaev group & Raytheon)
See also: Decker et al, *Adv. Opt. Mat.* 3, 813 (2015)
(Kivshar group)

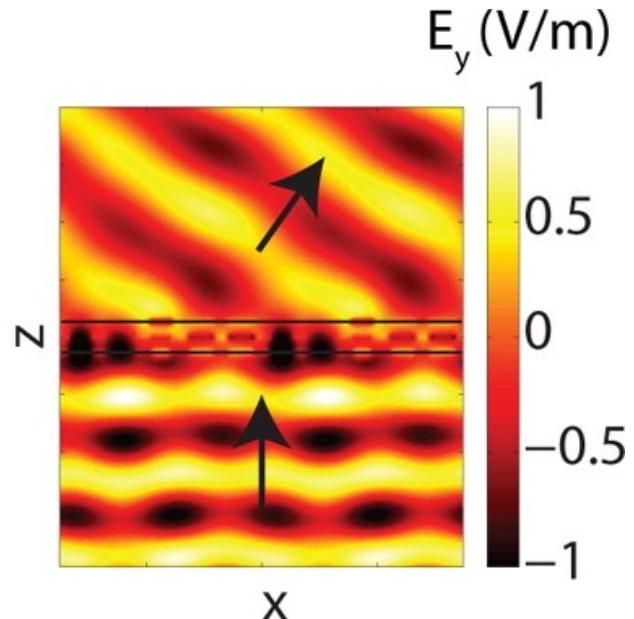
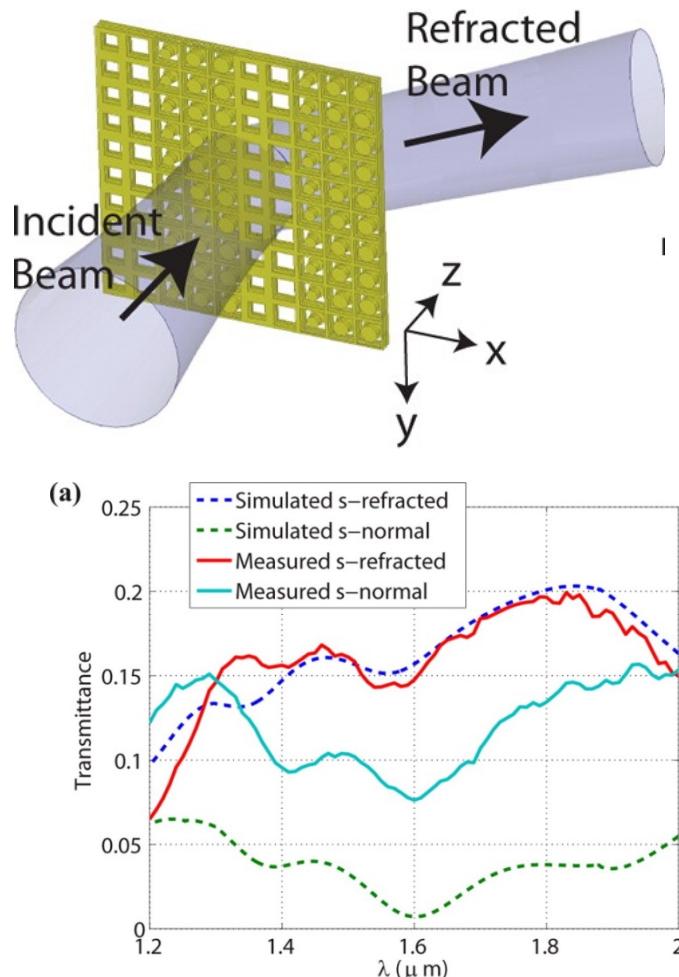
Gap-plasmonic Metasurface



Sun et. al., *Nano Lett.*, 12, 6223 (2012) (Tsai group)

See also: Pors et. al., *Sci Reports* 3., 2155 (2013) (Bozhevolnyi group)

Metamaterial Huygens' Surfaces

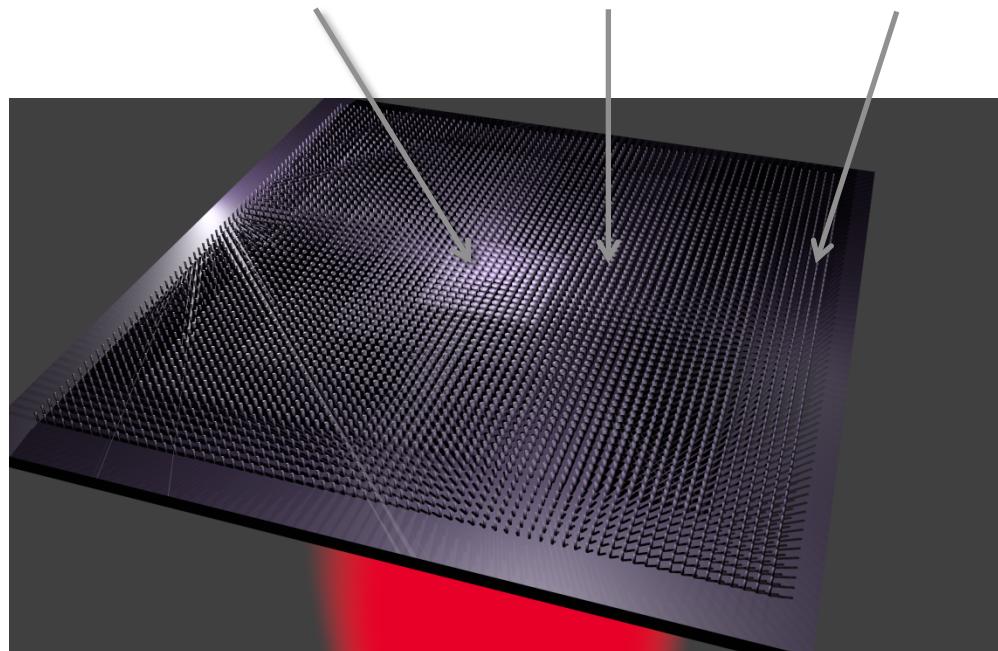
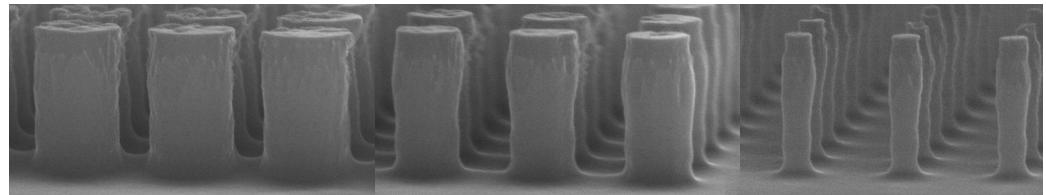


- Efficient beam bending by control of both electric and magnetic polarizations
- 20% of s-polarized light and 18% of p-polarized light is refracted into anomalous direction

Pfeiffer et. al., *Nano Lett.*, 14 (5), (2014)
(Grbic & Shalaev groups)

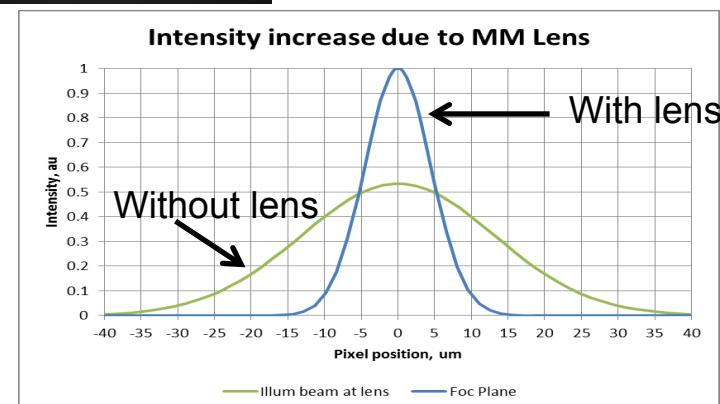
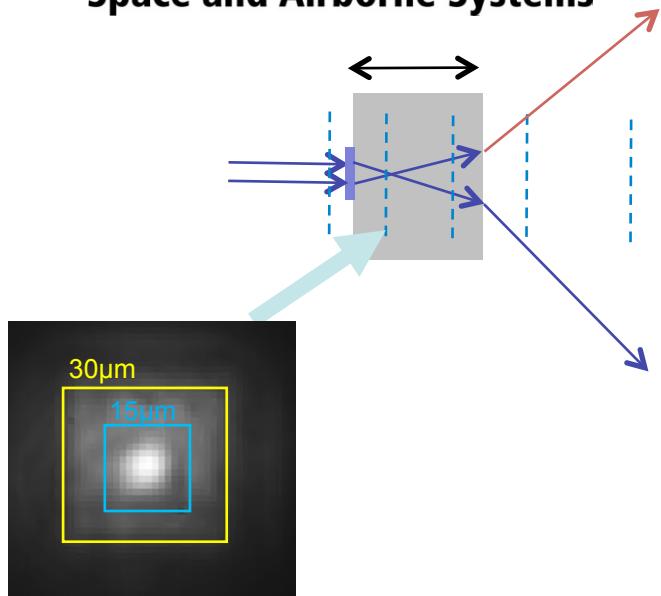
Planar Dielectric Focusing Lens

West et. al., *Opt Express.*, 21, 26212 (2014)
(Shalaev group & Raytheon)



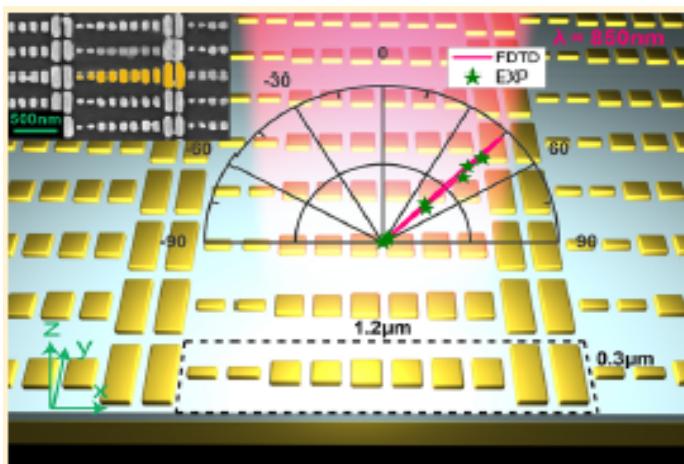
See also: Decker et al, *Adv. Opt. Mat.* 3, 813 (2015)
(Kivshar group)

Raytheon
Space and Airborne Systems

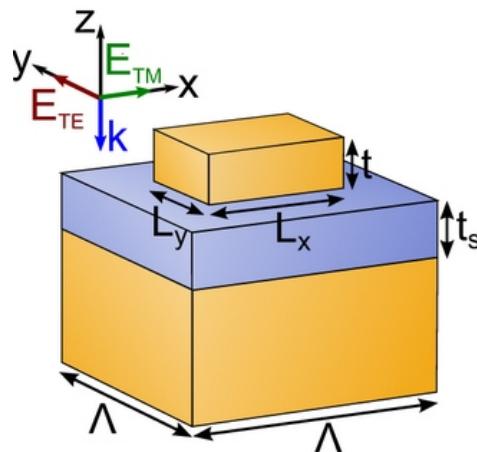


Gap-plasmon Metasurface

- Slow gap-plasmonic waves are excited inside the dielectric spacer between two metals. Their propagation delay along the antenna length is enough to make 2π phase-shift.
- Efficiency is up to 80%. Most compact structure for same efficiency.

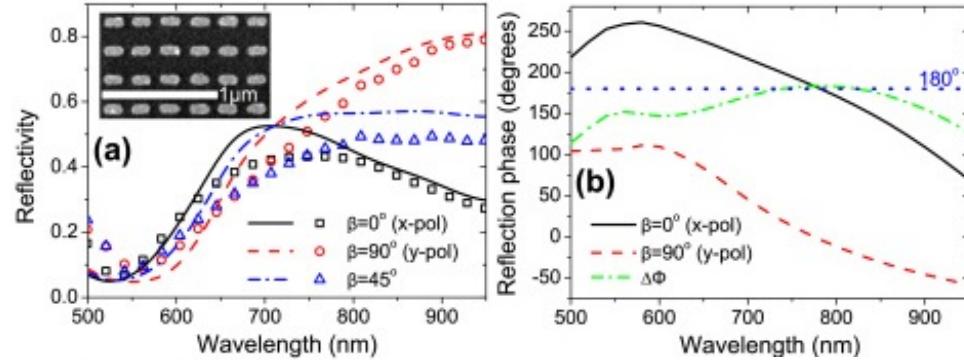
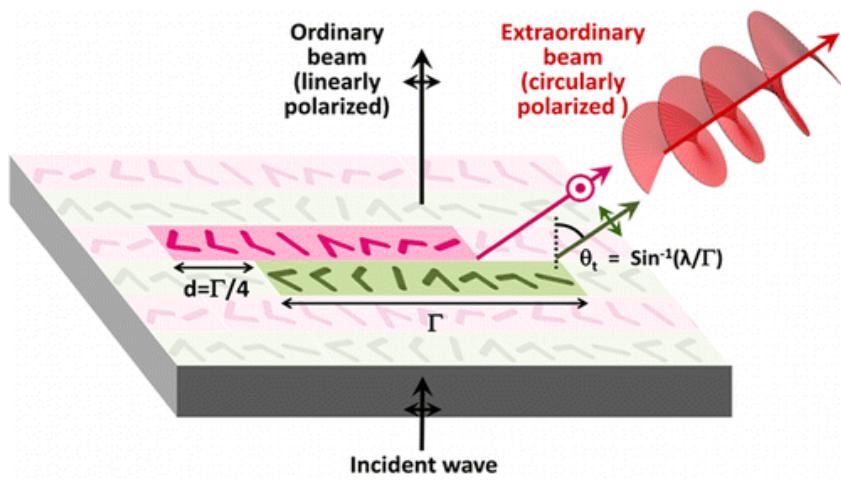


Sun et. al., *Nano Lett.*, 12, 6223 (2012) (Tsai group)



Pors et. al., *Sci Reports* 3., 2155 (2013) (Bozhevolnyi group)
See other applications of gap-plasmonics done at Bozhevolnyi group

Polarization Gradient Metasurfaces: ½ and ¼ Wave-Plates



¼ Wave-Plate operating in anomalous mode

N. Yu, et al, NL 12, 6328 (2012) (Capasso's group):

- background-free (unwanted background is in 0th order mode)
- Efficiency ~ 10%

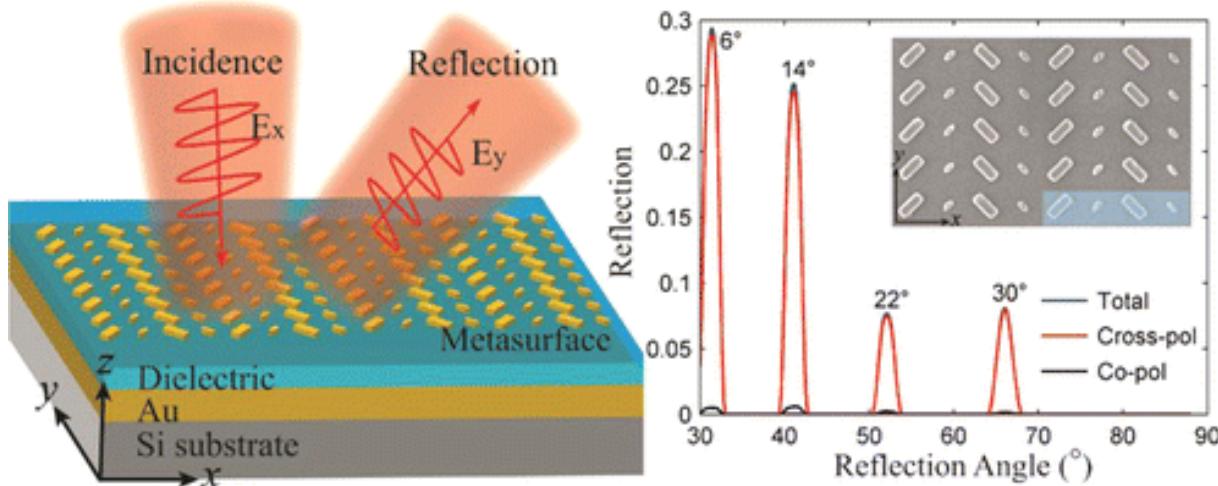
½ Wave-Plate operating in normal mode (Gap-plasmonic)

A.Pors, et al, OL 38, 513 (2013) (Bozhevolnyi group):

- Efficiency ~ 50%
- Have some unwanted background

See also for polarization metasurfaces:
Pors and Bozhevolnyi, OE 21, 3, 2942 (2013)
Jiang et al, Phys Rev X 4, 021026 (2014) (Wang group)

Polarization Gradient Metasurfaces: ½ and ¼ Wave-Plates



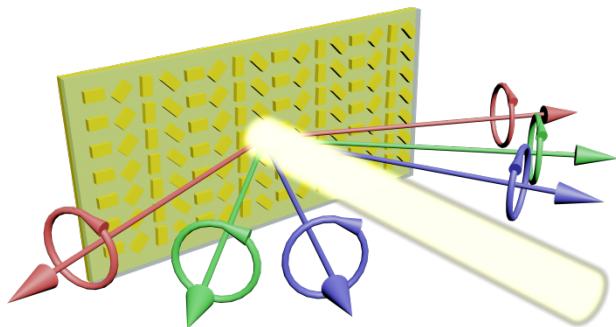
½ Wave-Plate operating in anomalous mode with Gap-plasmonic antennas:

Ding et al, ACS Nano 9, 4111 (2015) (Shalaev group):

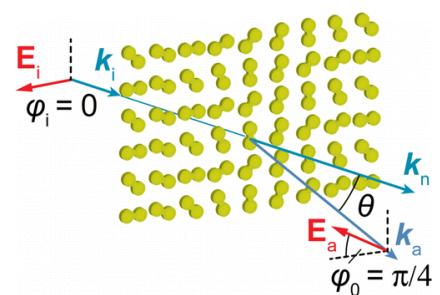
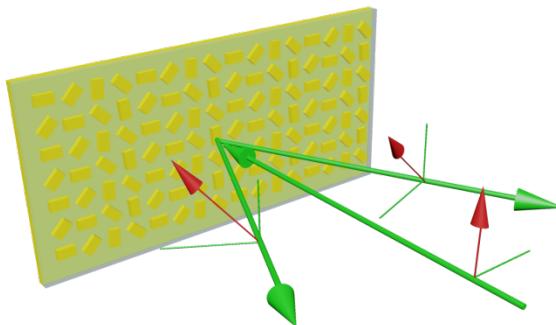
- background-free
- Efficiency $\sim 30\%$

Photonic Spin Hall Effect

Circular Dichroism Spectrometer



Optical Rotation

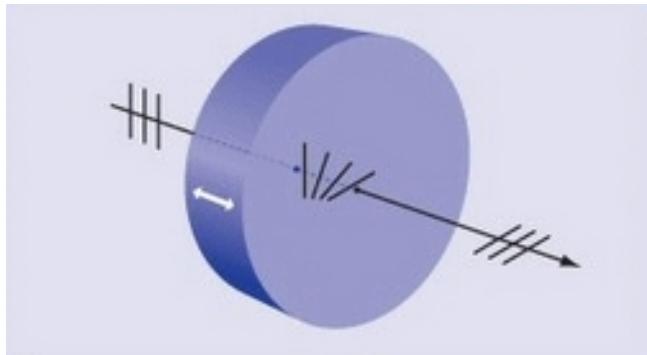


- Shaltout et al, "Photonic Spin Hall Effect in Gap-Plasmon Metasurfaces for On-Chip Chiroptical Spectroscopy" submitted (Shalaev Group)
- Shaltout et al, *Nano Lett* 14, 8, 4426 (2014) (Shalaev Group)

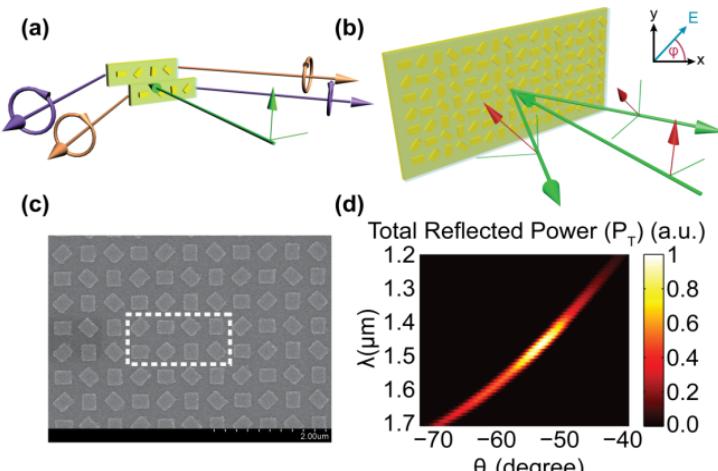
See also:

- Yin et al, *Science* 339, 6216 (2013) (X. Zhang group)
- Shitrit et al, *Nano Lett* 11, 2038 (2011) (Hasman group)
- Li et al, *OE* 23, 6, 7227 (2015) (Yang Hao group)
- Luo et al, "Photonic Spin Hall Effect with Nearly 100% efficiency", *adv. optical mater.* (2015) (Zhou group)

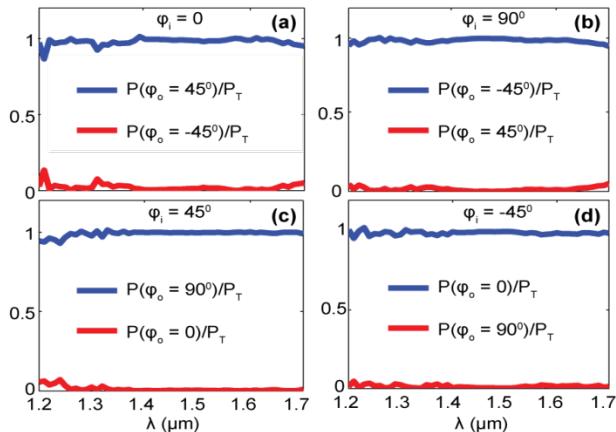
Optical Rotation



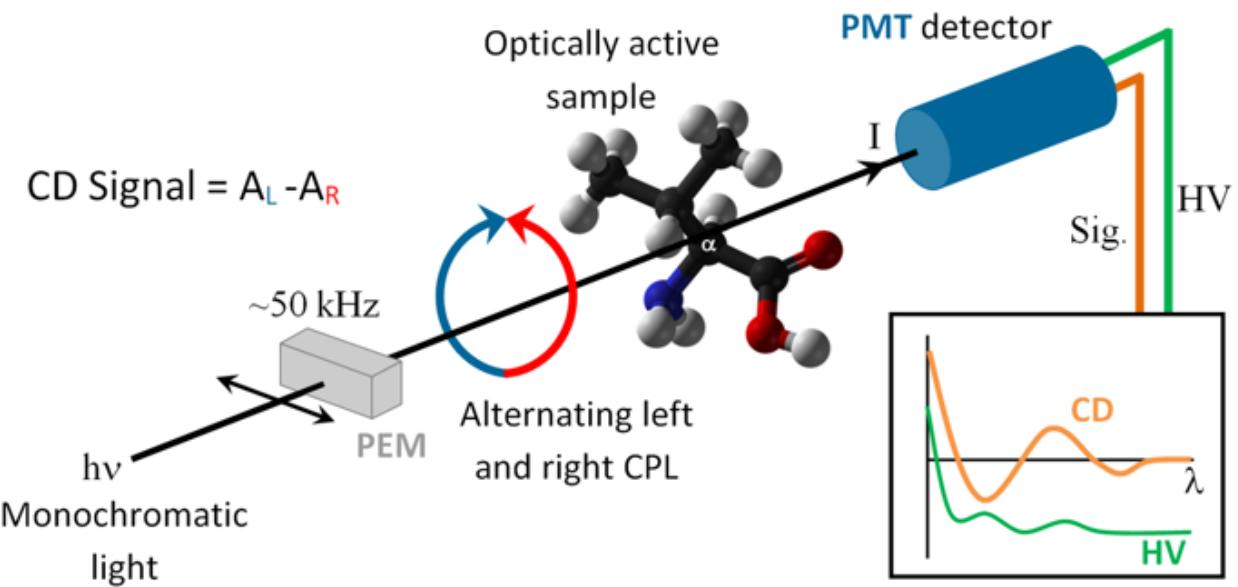
$$\mathbf{E} = E_0 (\hat{\mathbf{x}} \cos \varphi + \hat{\mathbf{y}} \sin \varphi) = \frac{E_0}{\sqrt{2}} (\hat{\mathbf{r}} e^{-i\varphi} + \hat{\mathbf{l}} e^{i\varphi})$$



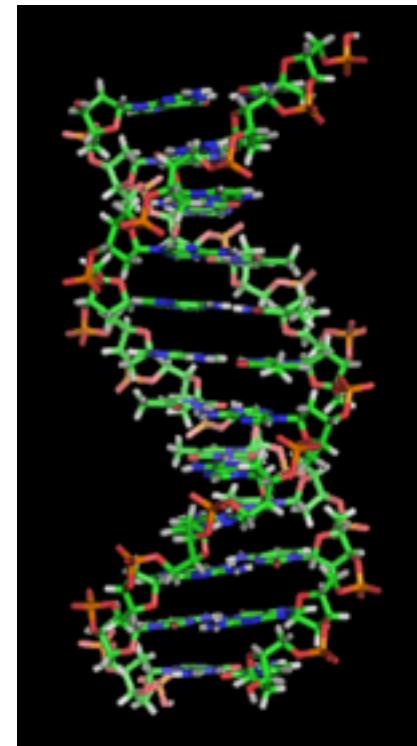
- Requires phase delay between LCP and RCP
- Metasurface is designed to split LCP and RCP and induce optical path delay
- Reflected beam is rotated by 45° as indicated by results at required and perpendicular polarizations



Circular Dichroism (CD) Spectrometer

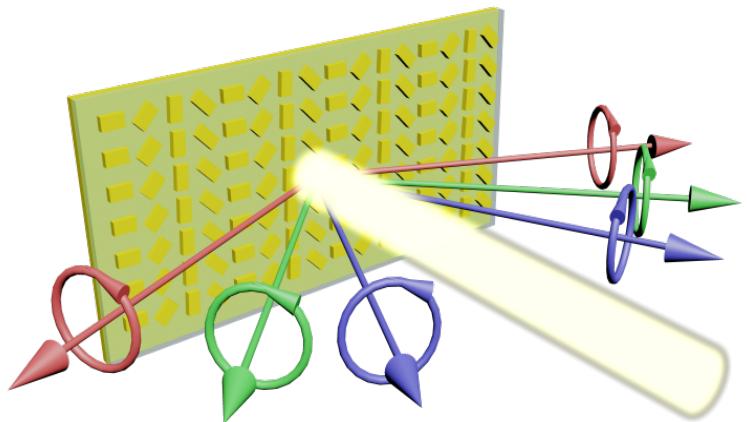


http://www.isa.au.dk/facilities/astrid2/beamlines/AU-cd/AU-CD_3.asp

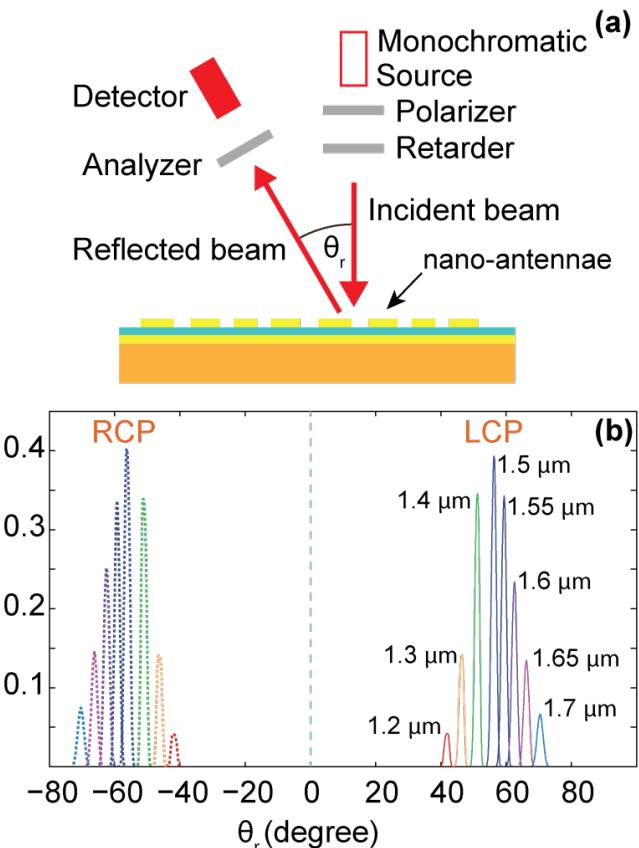


- Used in bio-sensing
- Measures differential absorption of LCP and RCP
- Requires modulation of source & sequential data acquisition
- Large size and complex hardware

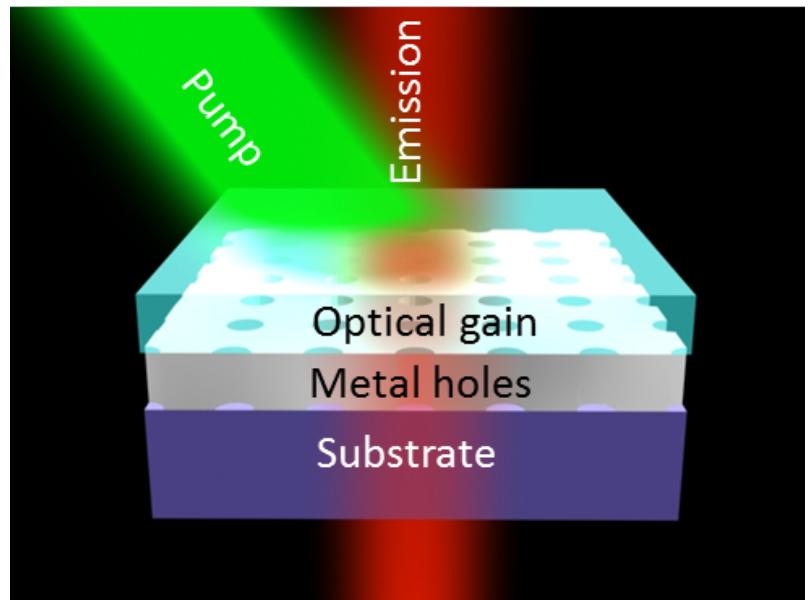
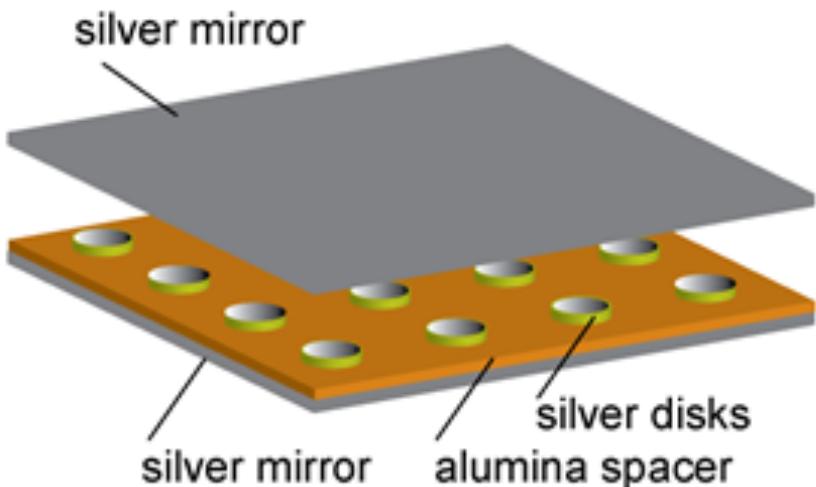
Compact & Real-Time CD Spectrometer Metasurface



- Real-time (Photonic Spin Hall Effect)
- Efficiency up to 40% (Gap-plasmon)
- Compact (130 nm thickness)
(smallest CD spectrometer to our knowledge)
- Simple: No need for switchable laser source



Metasurface Based Nano-Cavities and Nano-Lasers



- Shaltout et al, CLEO, JW2A.116 (2014) (Shalaev Group)
- Shaltout et al, CLEO, JTU5A.100 (2015) (Shalaev Group)

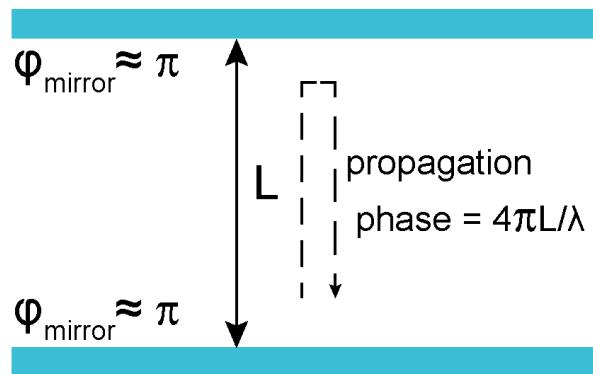
Meng et al, LPR 8, 896 (2014)
(Shalaev group)

Metasurface Based Nano-cavity

Motivation:

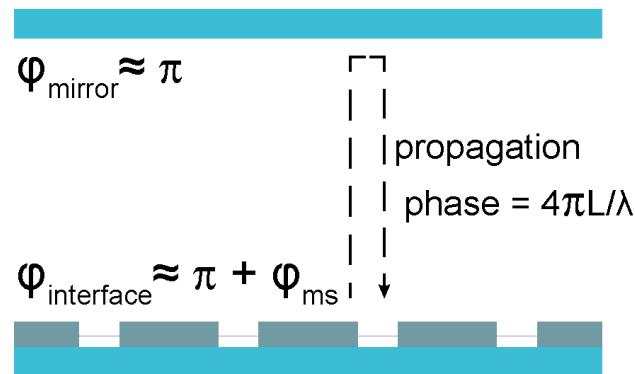
- Overcome diffraction limit
- Enhance DOS (Purcell Effect)
- Nano-lasers, thresholdless lasing

Shaltout et al, CLEO, JW2A.116 (2014)



Resonant Condition:

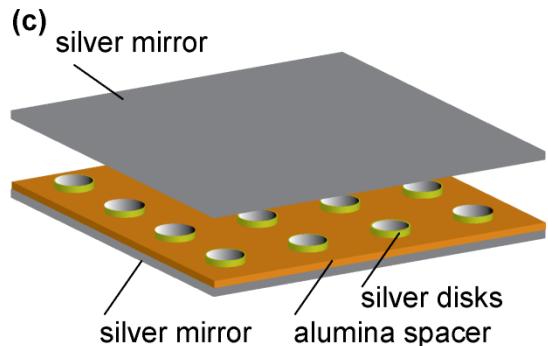
$$4\pi L/\lambda = 2m\pi$$



Resonant Condition:

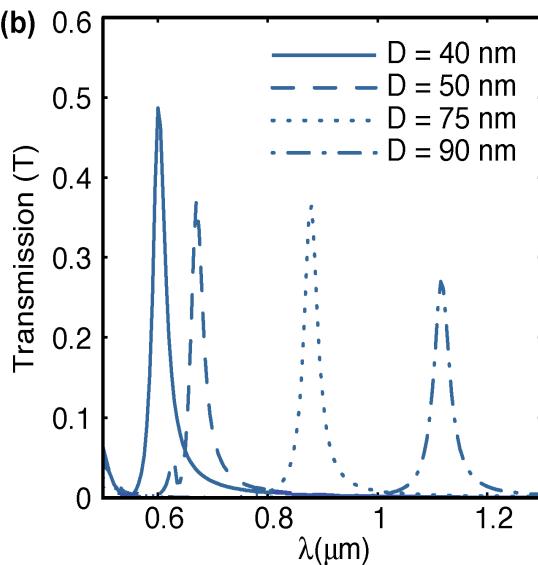
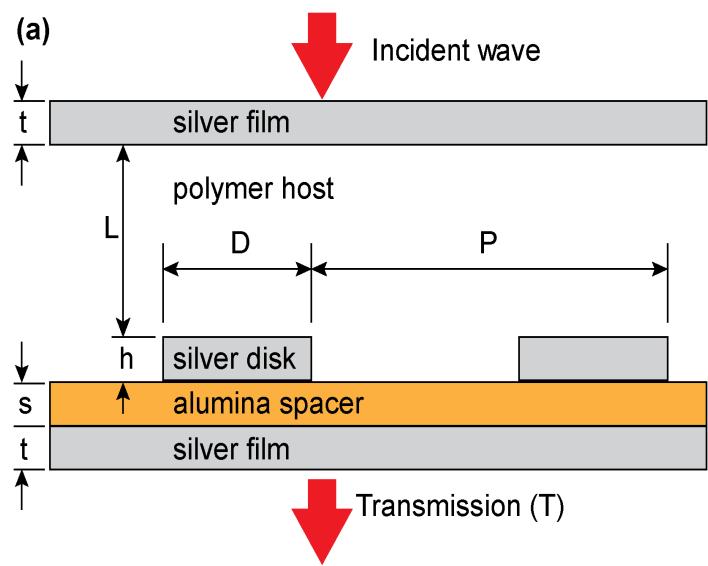
$$4\pi L/\lambda + \Phi_{\text{ms}} = 2m\pi$$

Compact Cavity



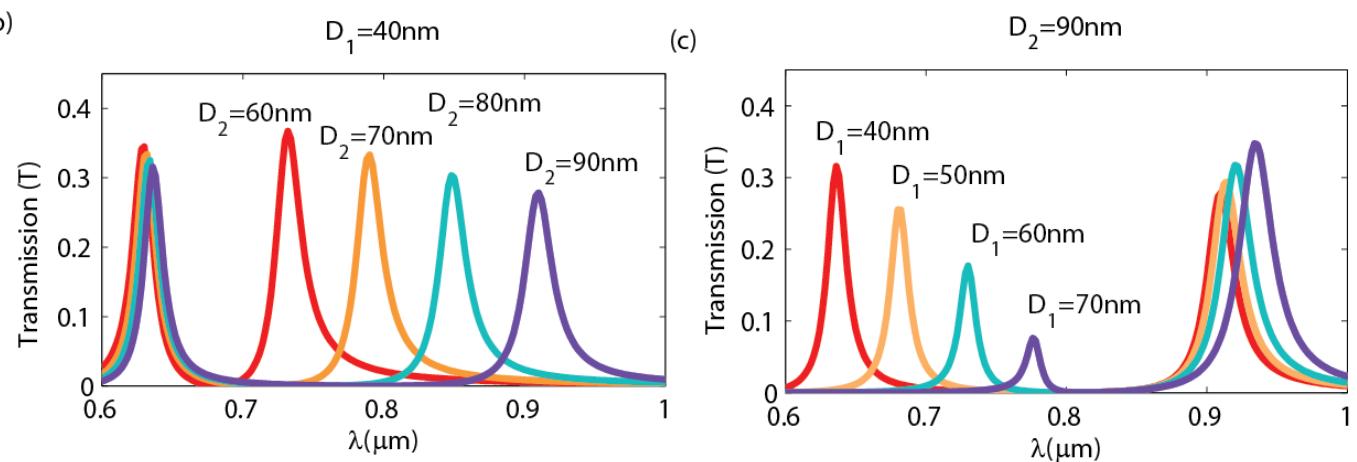
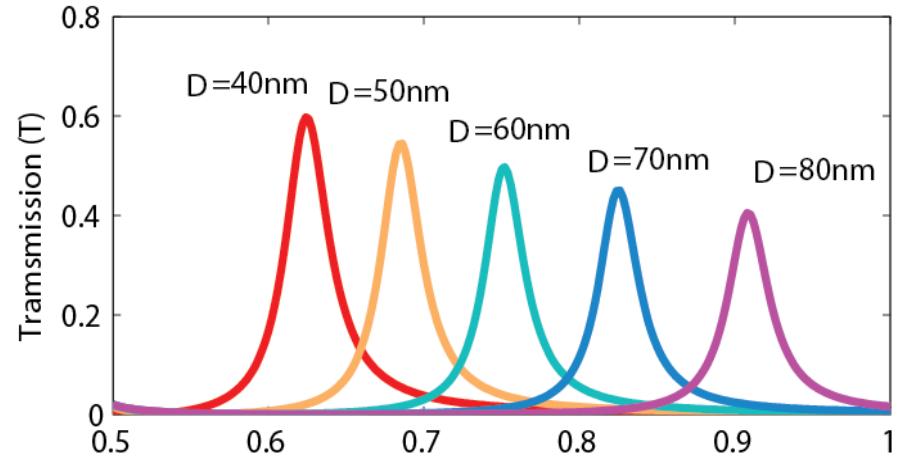
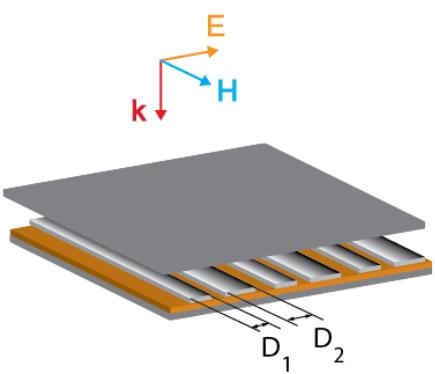
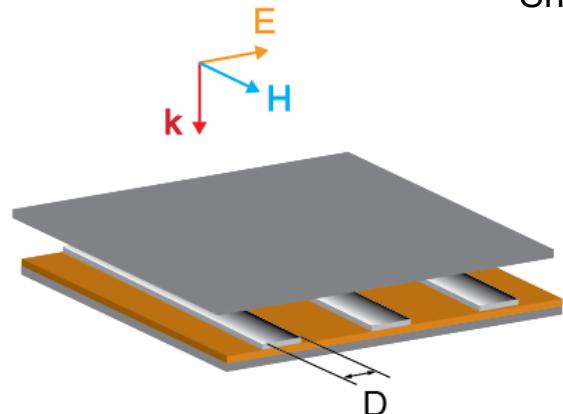
Shaltout et al, CLEO, JW2A.116 (2014)

- Gap-plasmonic wave excitation
- 100nm cavity support resonance at $\lambda=0.6\text{--}1.1\mu\text{m}$
- $P = 100 \text{ nm}$, $h = 20 \text{ nm}$, $L = 60 \text{ nm}$, $s = 20 \text{ nm}$, and $t = 25 \text{ nm}$



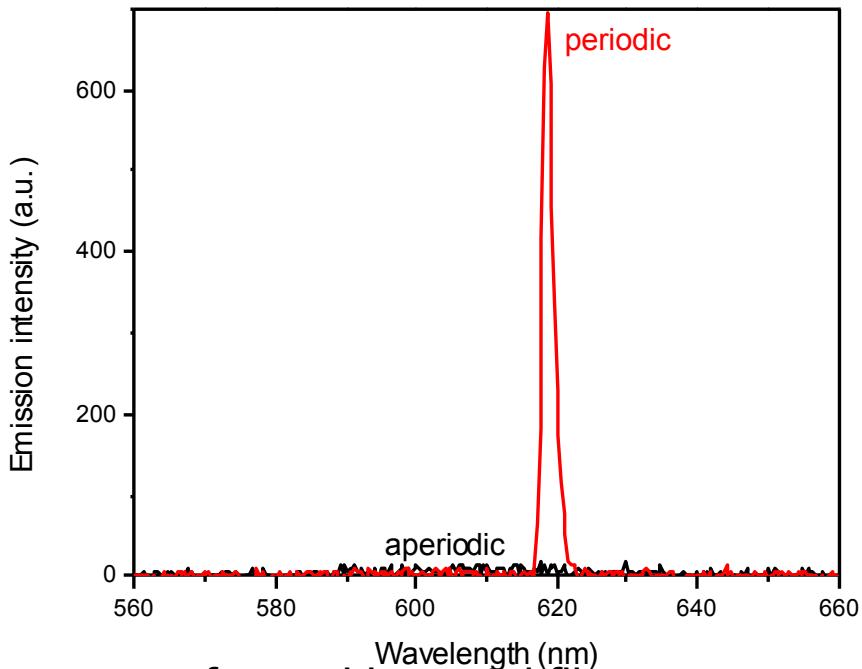
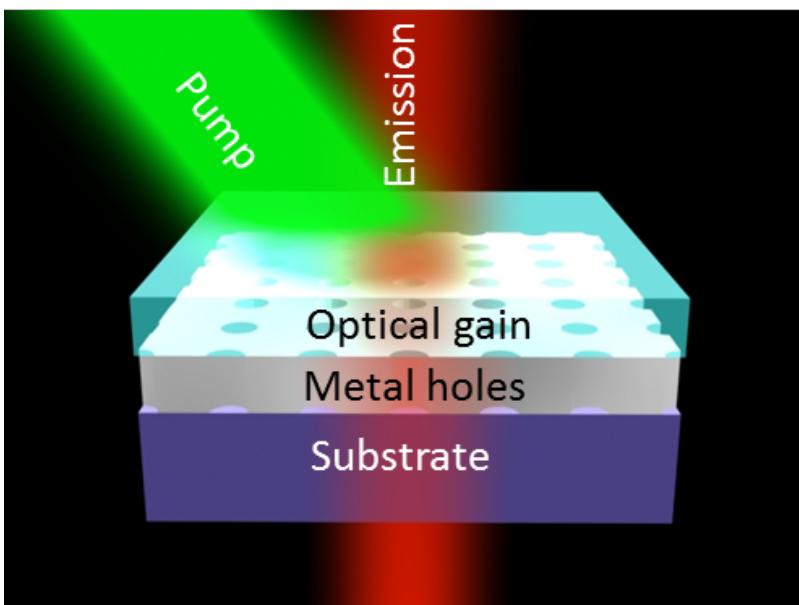
Anisotropic & Multi-band Cavities

Shaltout et al, CLEO, JTU5A.100 (2015) (Shalaev Group)



Nano-hole Array Lasing

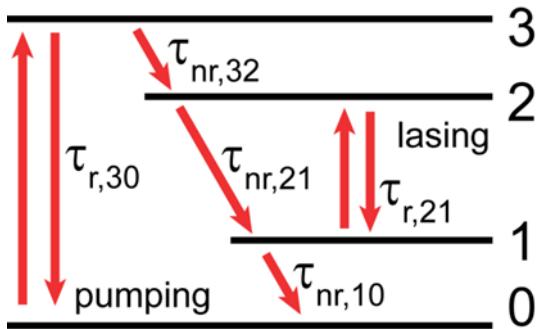
- Room-temperature, directional, single-mode plasmonic lasing in the visible



- Optical resonant cavity: periodic hole arrays performed in metal film
- Gain medium: organic laser dye
- SPP-Bloch wave formed on metal-dielectric interface provides intense optical feedback for SPP amplification and enables low-threshold lasing at room-temperature with high directionality

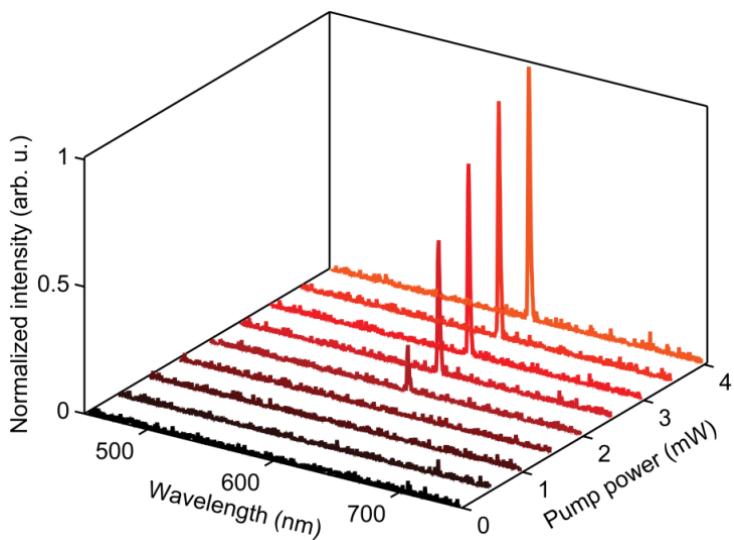
Meng et al, LPR 8, 896 (2014) (Shalaev group)

Nano-hole array lasing: simulation



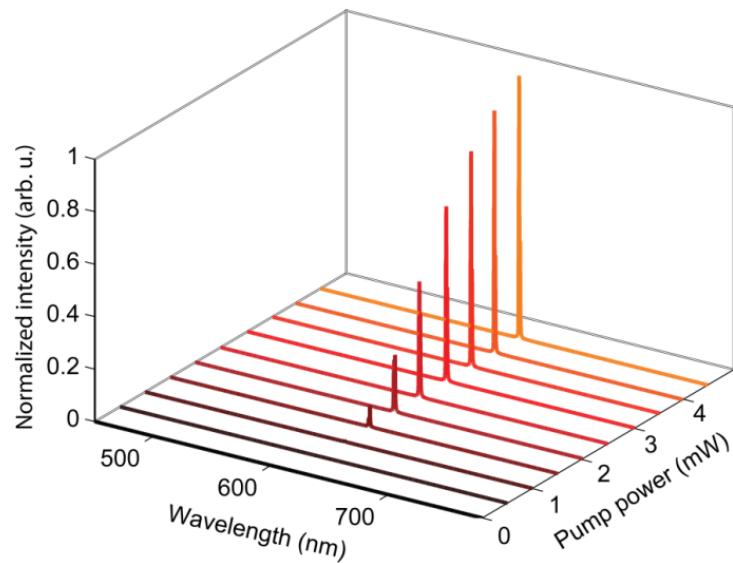
Wavelength [nm]	Beam waist [μm]	Durations [fs]
3-0	2-1	Pump
575	605	239
		T_{pulse} 150
Thickness [μm]	Population [cm^{-3}]	Dephasing time [fs]
Epoxy	Ag	Glass
2.05	0.1	1000
$\tau_{r,30}$ [ns]	$\tau_{nr,32}$ [ps]	N_{eq} 6×10^{18}
5	0.3	$T_{2,30}$ 9
		$T_{2,21}$ 25.5
$\tau_{r,21}$ [ns]	$\tau_{nr,21}$ [ns]	$\tau_{nr,10}$ [ps]
6	4.37	τ_{21} [ns] 3.3

Rhodamine 101



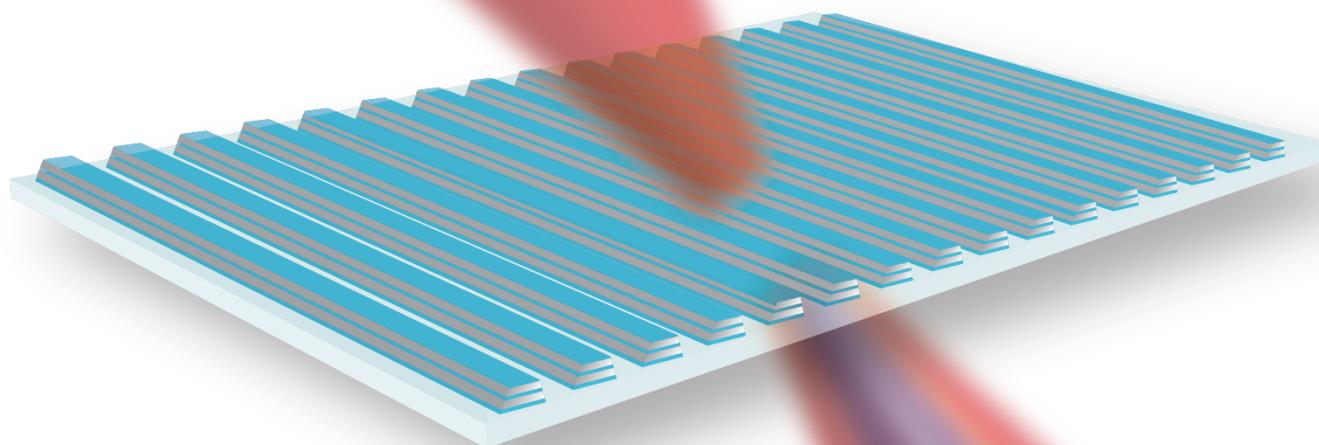
Experiment

Table I. Simulation parameters



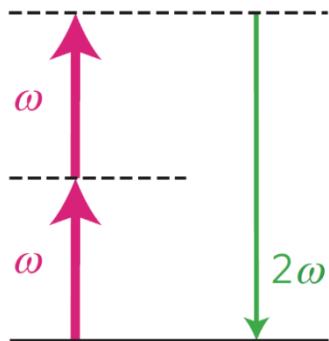
Simulation

Second Harmonic Generation Using Metasurfaces



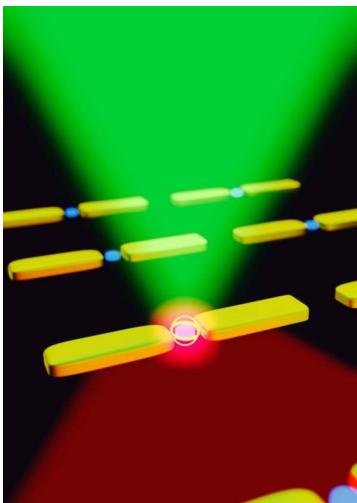
R. Chandrasekar et al. "Second Harmonic Generation by Optical Metasurfaces: Interplay of Electric and Magnetic Resonances", Submitted (2015)

Second Harmonic Generation using Metasurfaces



$$P = \epsilon_0[\chi^{(1)}E + \chi^{(2)}E^2 + \chi^{(3)}E^3 + \dots]$$

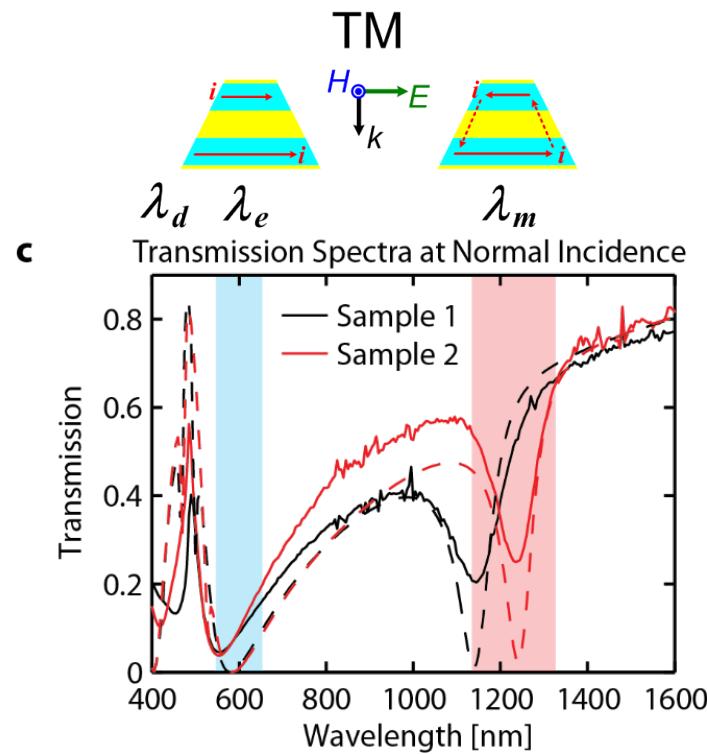
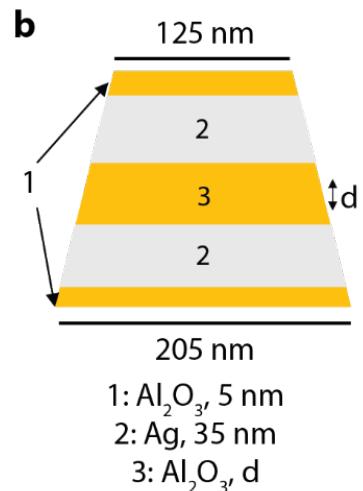
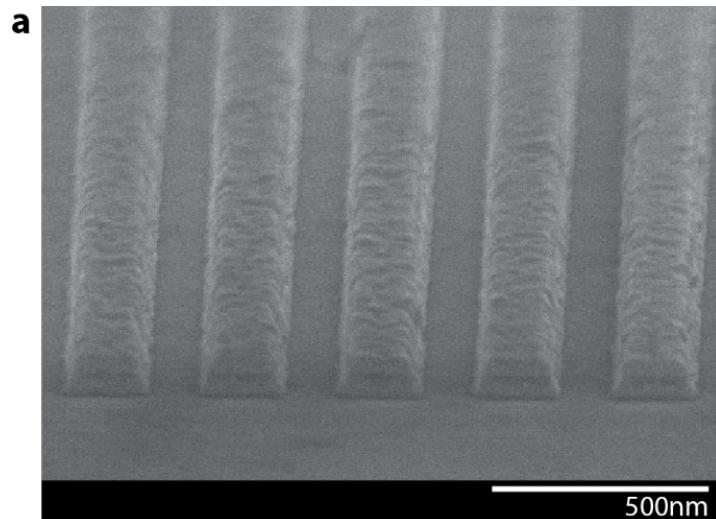
Nature Photonics, Vol. 6, Nov 2012, p. 737



- Can achieve higher field intensities using plasmonic nanoparticles
- Higher intensities in the near field yields larger nonlinear response

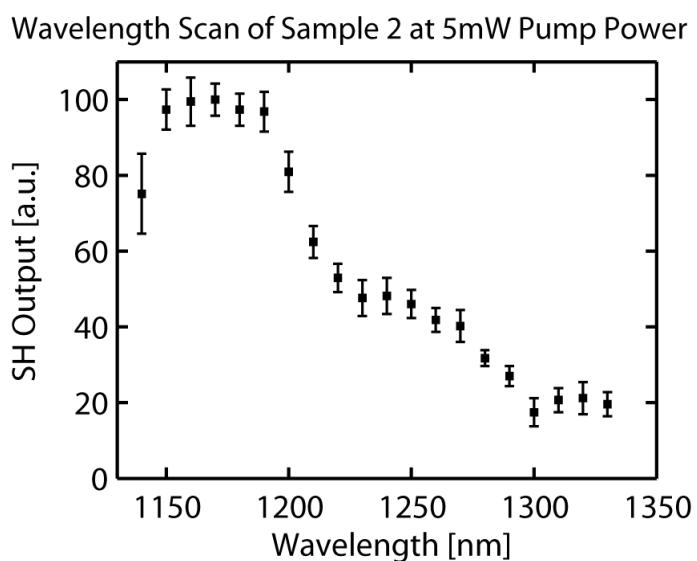
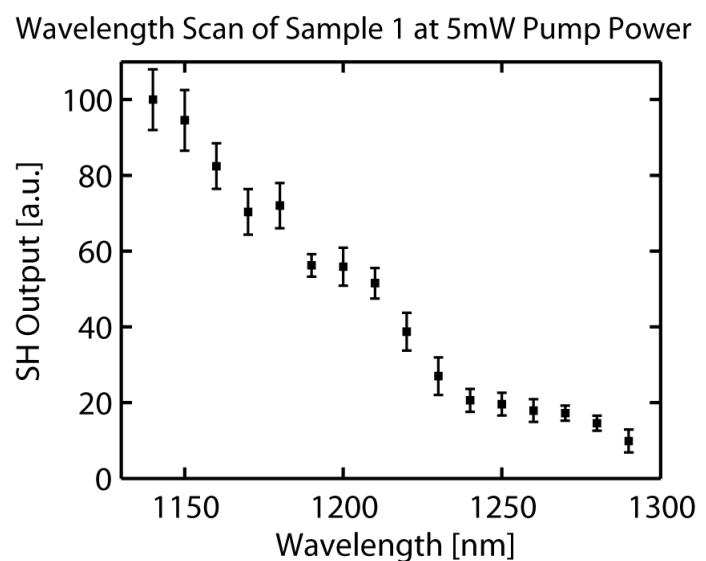
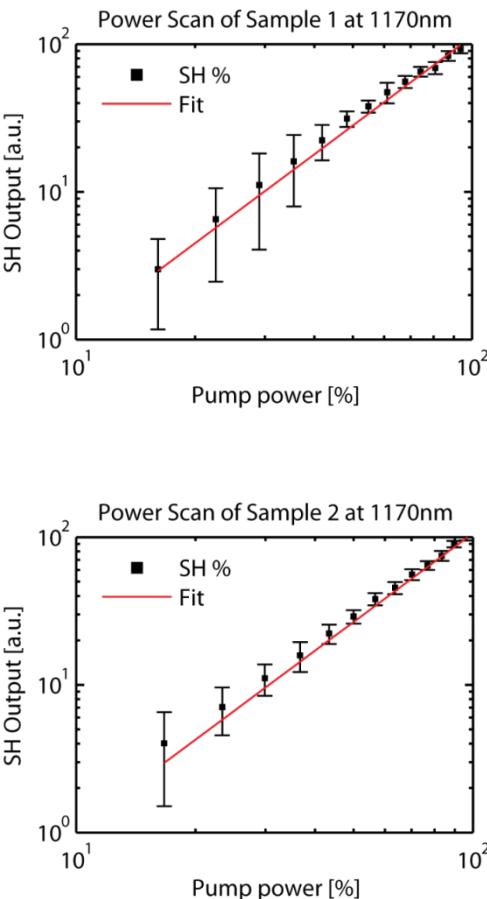
Nature Nano, Vol. 9, 290-294 (2014)

Study of Electric and Magnetic Resonances with SHG

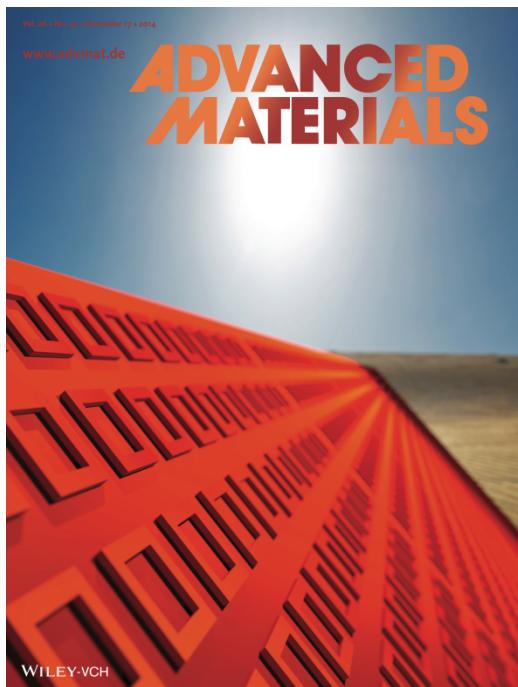


Resonance	Sample 1	Sample 2
Electric Resonance	570nm	570nm
Magnetic Resonance	$2 \times 570\text{nm} = 1140\text{nm}$	1240nm

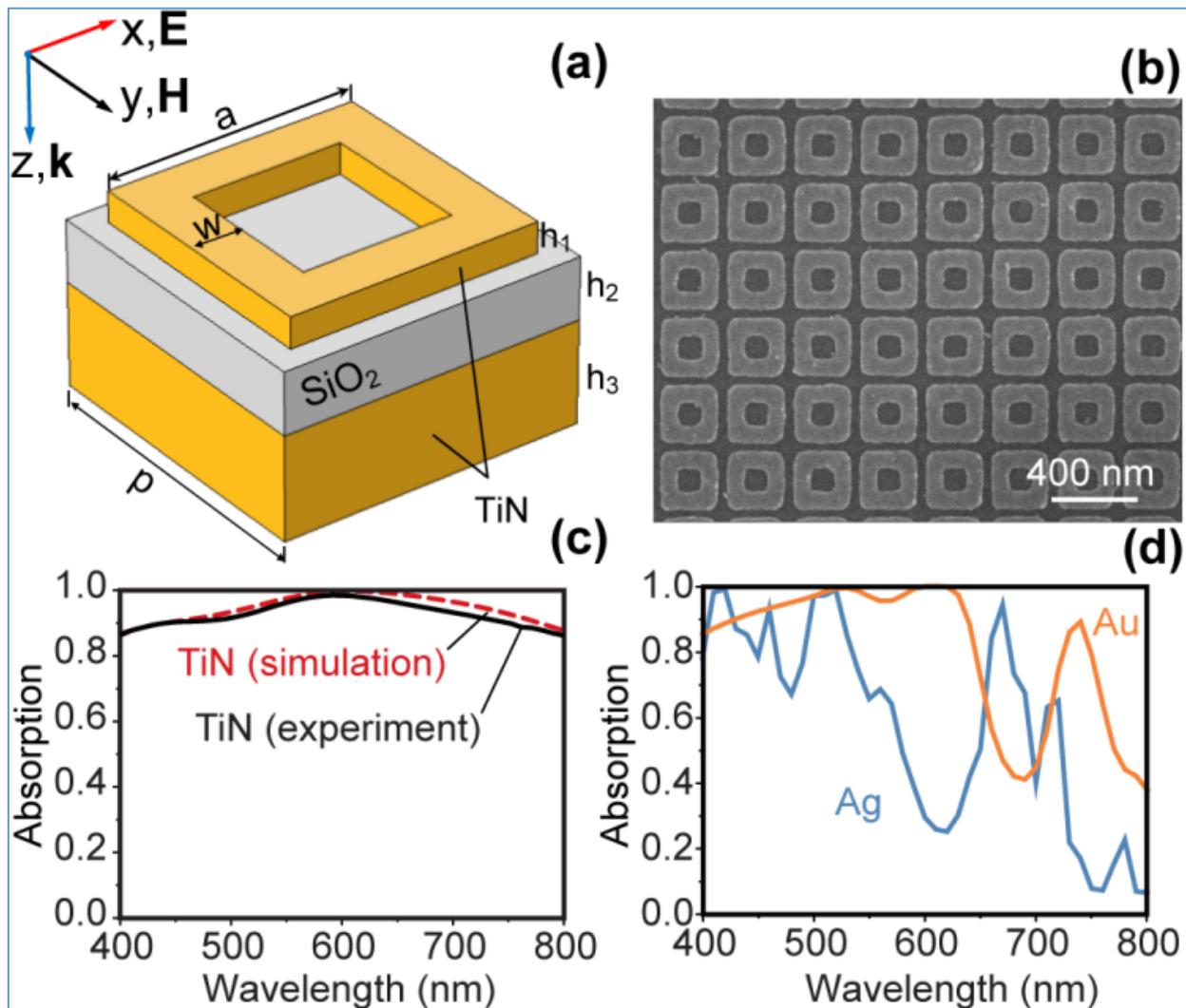
Study of Electric and Magnetic Resonances with SHG



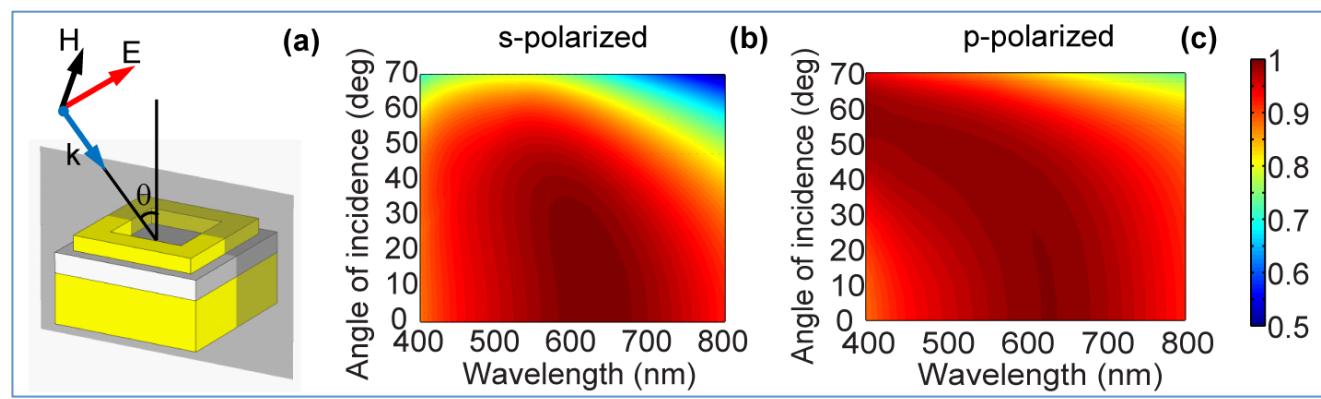
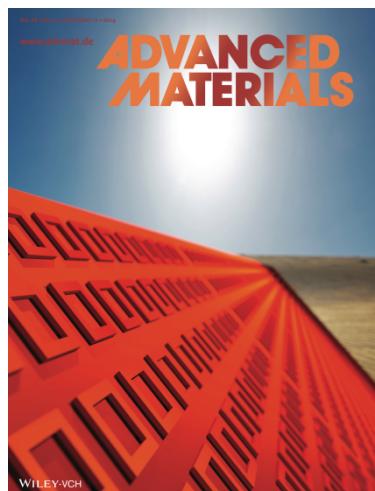
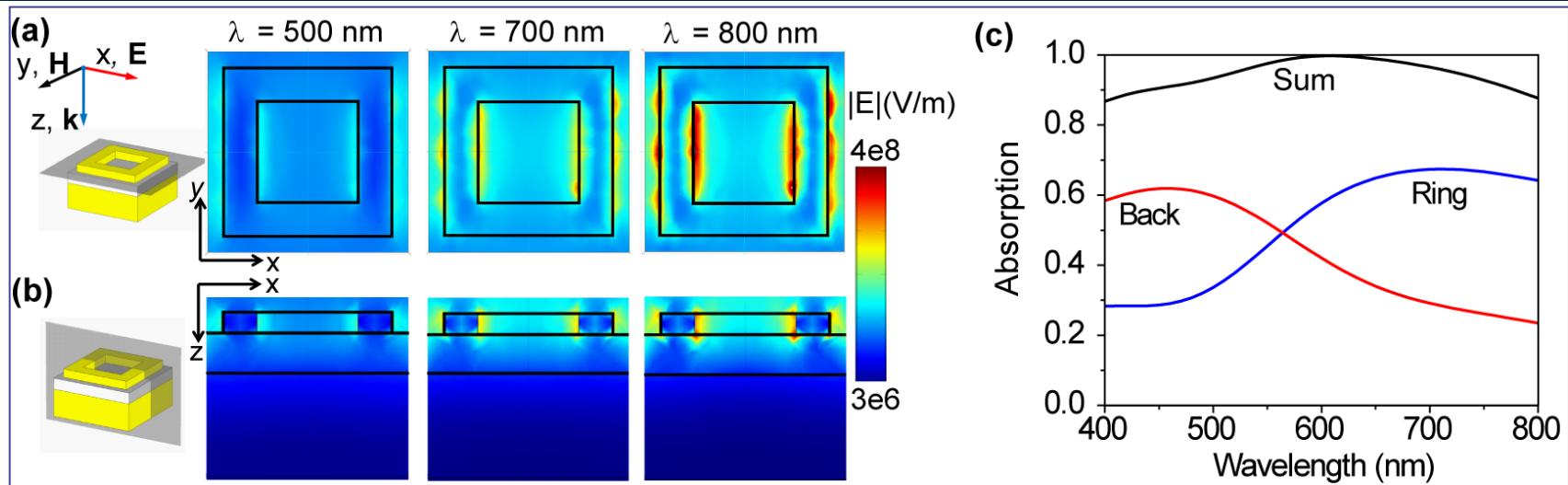
Broadband Metasurface Absorber



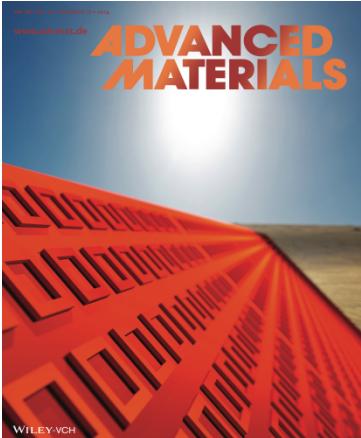
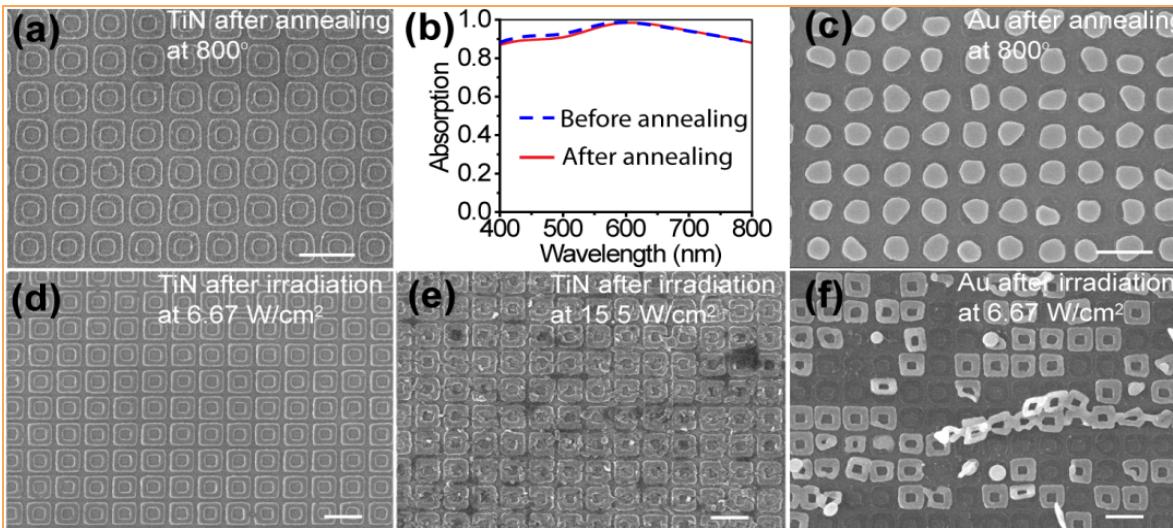
Li et al., Adv. Mater. **26**
(2014)



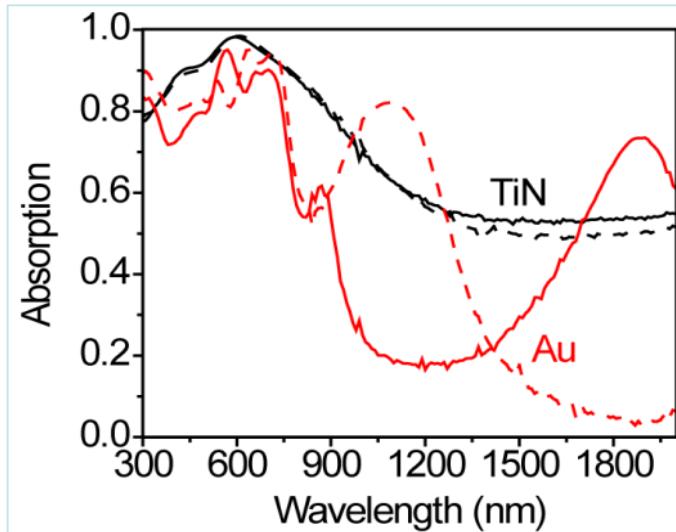
Broadband Metasurface Absorber



Broadband Metasurface Absorber



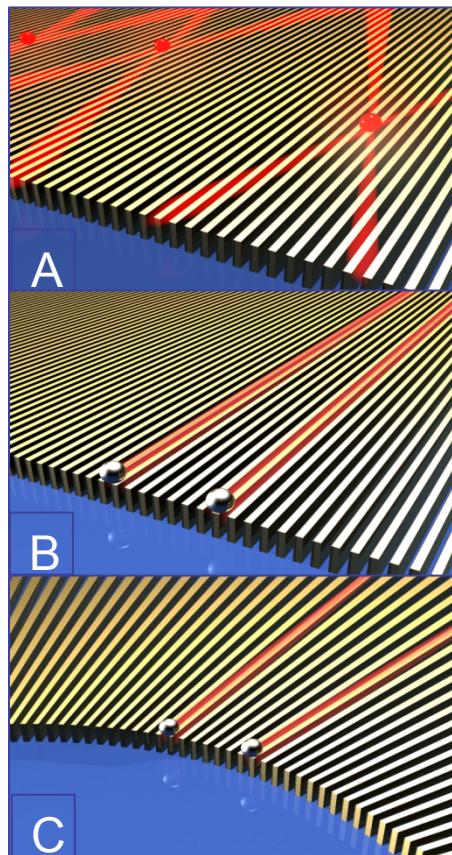
Li et al., Adv. Mater. **26**
(2014)



Hyperbolic Metasurface



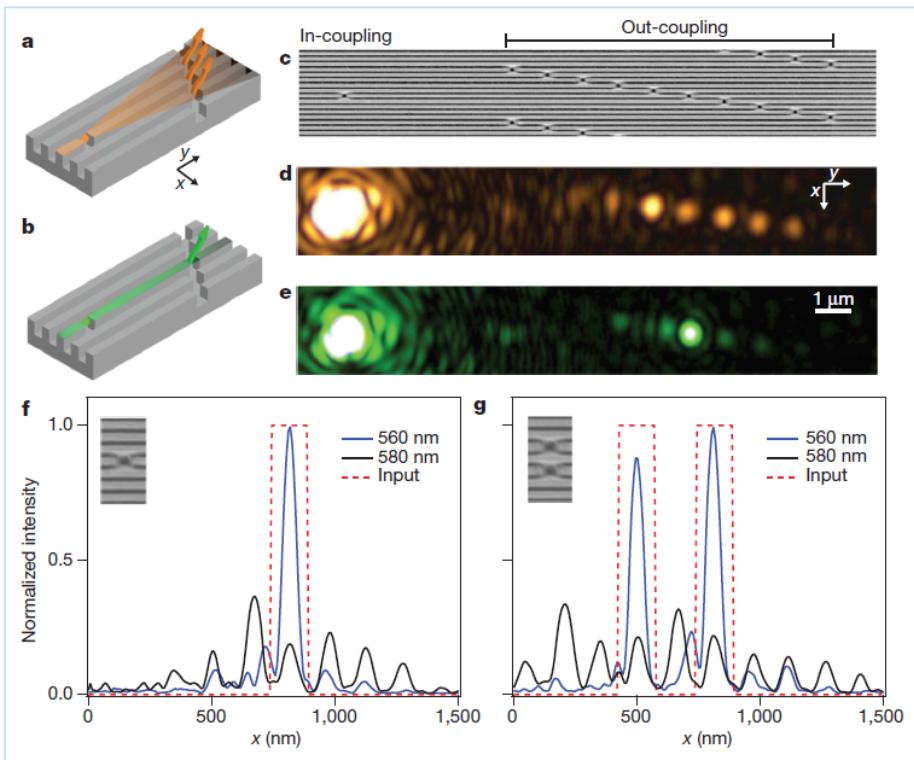
Planar Photonics with Metasurfaces
Alexander V. Kildishev et al.
Science 339, (2013);
DOI: 10.1126/science.1232009



NATURE | LETTER

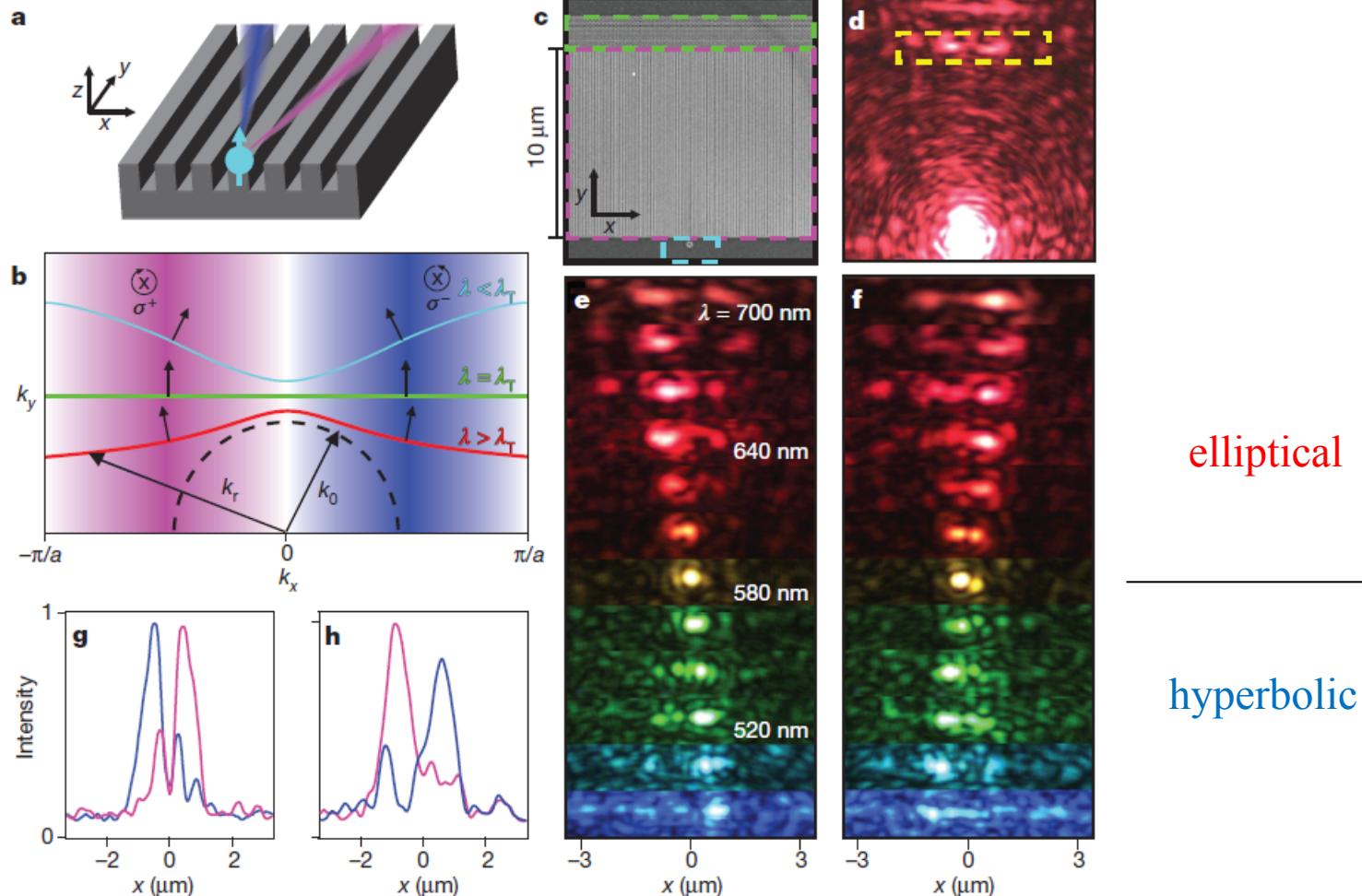
Visible-frequency hyperbolic metasurface

Alexander A. High et al., *Nature* 522, 192–196 (11 June 2015)
doi:10.1038/nature14477



Hyperbolic Metasurface

Dispersion dependent plasmonic spin-Hall Effect



Outline

- From metamaterials to metasurfaces
- Phase Gradient Metasurfaces: Meta-lens, Meta-hologram, Color-hologram
- Power Efficiency: Huygens' Metasurface, Dielectric Metasurface, Gap-plasmon Metasurface
- Polarization Gradient: $\frac{1}{4}$ wave plate, $\frac{1}{2}$ wave plate
- Photonic Spin Hall Effect: Circular Dichroism Spectrometer, Optical Rotation
- Metasurface Based Nano-Cavities.
- Active Metasurfaces & Nano-lasers
- Nonlinear Metasurfaces
- Broadband Absorber
- Hyperbolic Metasurfaces
- Time-Varying Metasurfaces