

# Energy budget of laboratory earthquakes

Alexandre SCHUBNEL

Laboratoire de Géologie, ENS PARIS

Thanks to:

@ ENS: **Samson Marty, Jérôme Aubry**, Blandine Gardonio, Harsha Bhat, Raul Madariaga

@ EPFL: **François Passelègue**

@ IPGP: Frédéric Girault, Javier Escartin, Claudio Satriano

@ Durham U.: Stefan Nielsen



European Research Council

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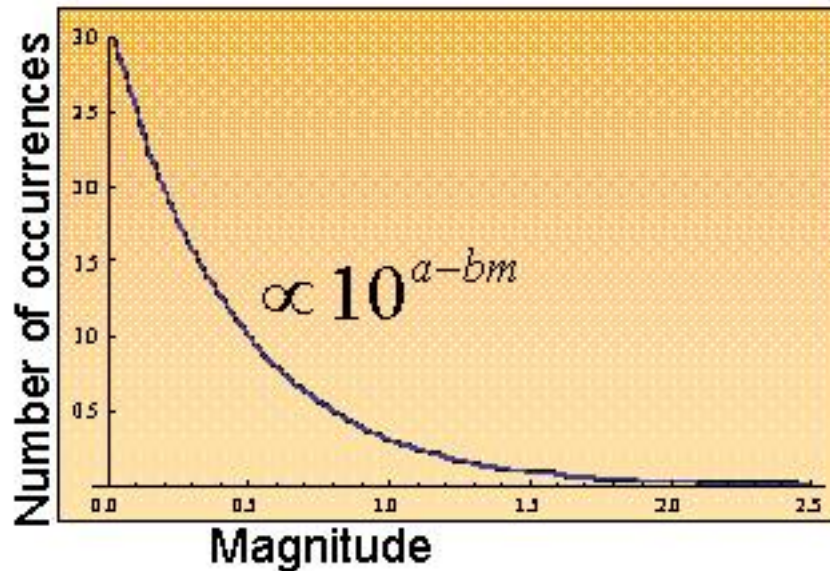
# Gutenberg-Richter Law (1949)



Beno Gutenberg, 1889-1960



Charles Richter, 1900-1985

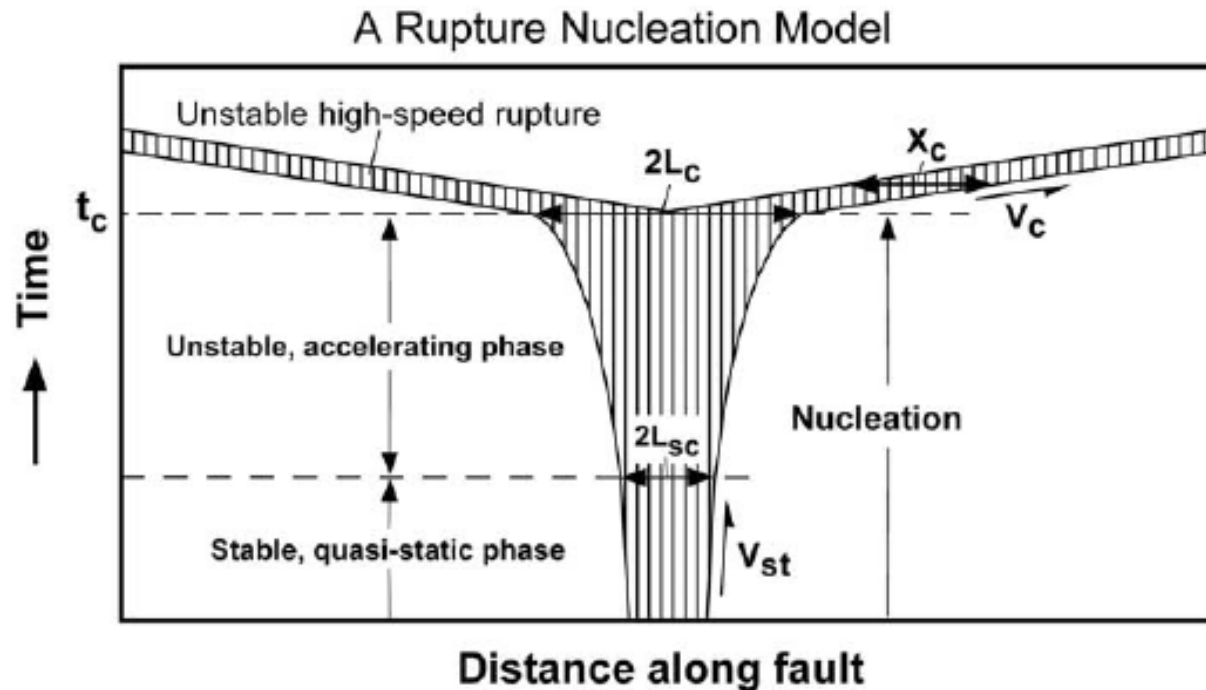


## Lesson

WHEN he was here last week, Dr. Einstein told about how he was in the Los Angeles earthquake but didn't know about it. He was walking on the campus of the California Institute of Technology with Dr. Beno Gutenberg, who is one of the leading world authorities on earthquakes and who had been brought to the California institute to do research work on the subject. The two scientists were deep in a discussion of, of all things, earthquakes. Their conversation was interrupted by a third scientist who came up and asked Dr. Gutenberg what he thought of the earthquake. Dr. Gutenberg thought he meant the Tokio quake and started to tell him what he thought of that. Then the news had to be broken to him that he had just gone through one, about three minutes before. Dr. Gutenberg rushed to his laboratory, where he found his instruments recording furiously. Later he said he was keenly disappointed. He has devoted his life to a study of earthquakes but up to that day had never gone through one. When he finally did he didn't notice it.

*The New Yorker, Mars 1933*

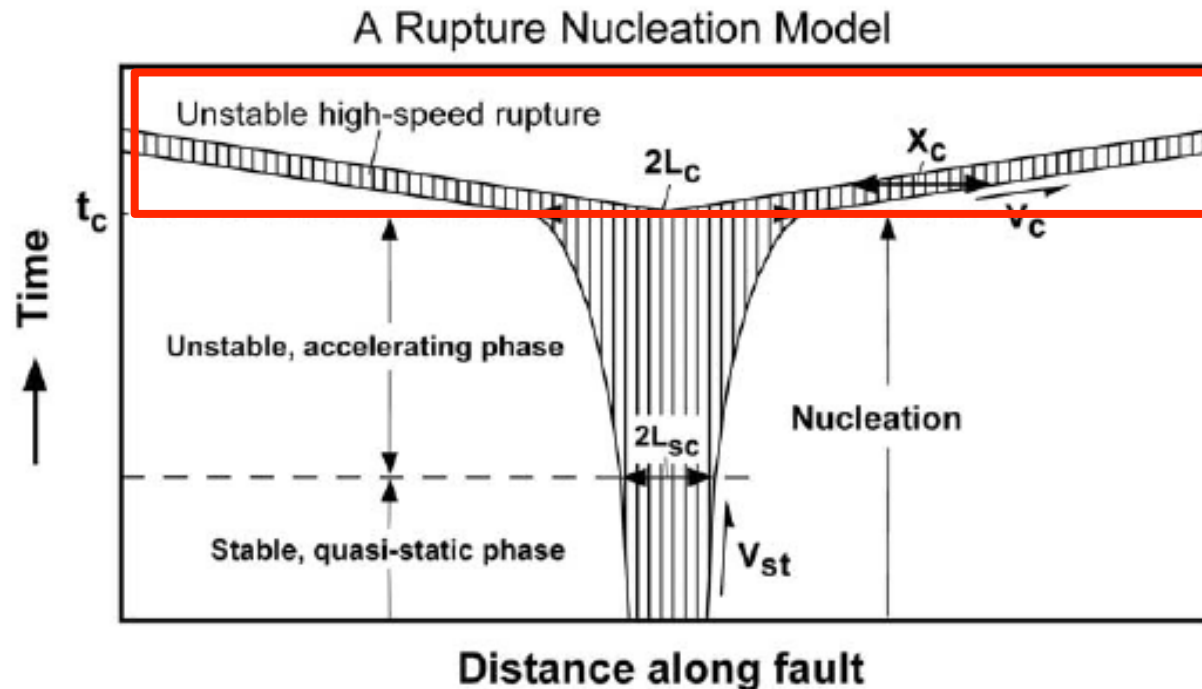
# Ohnaka's view: integrating 30 years of experimental rock fracture mechanics



**Figure 15.** A physical model of rupture nucleation. Hatched portion indicates the zone in which the breakdown (or slip-weakening) proceeds with time.

Ohnaka 2003

# Ohnaka's view: integrating 30 years of experimental rock fracture mechanics

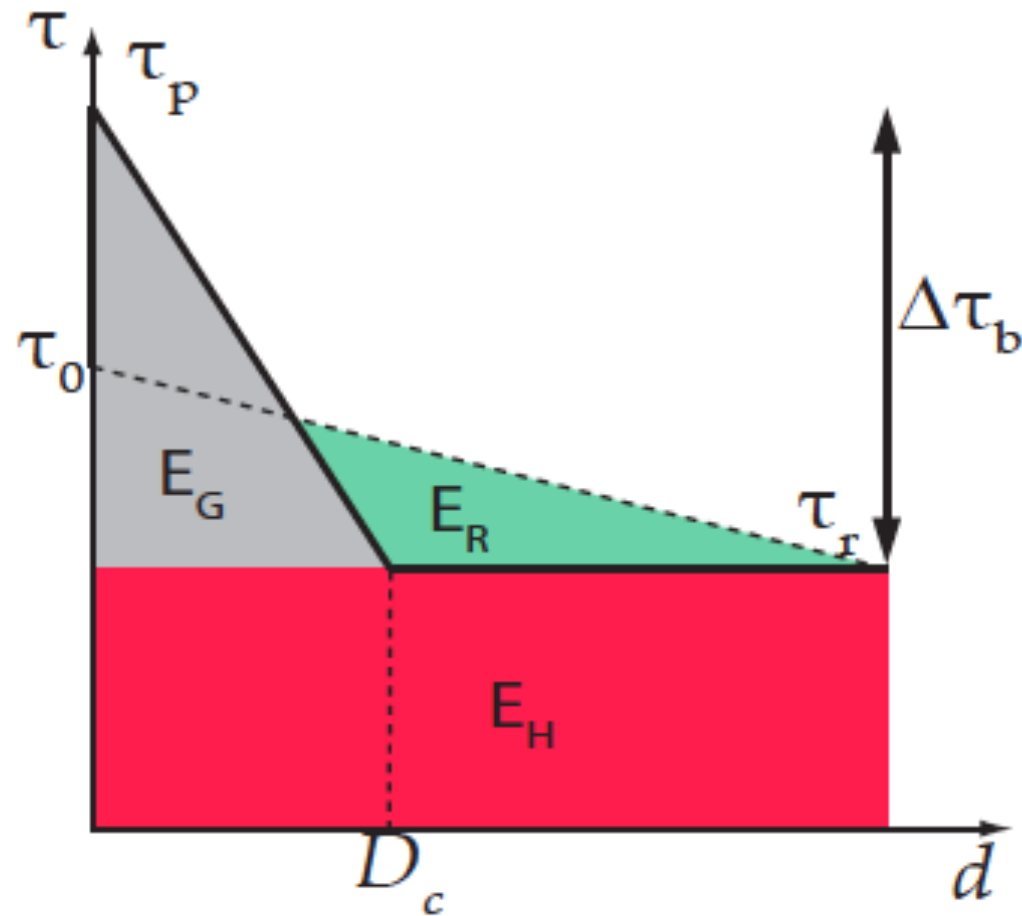


**Figure 15.** A physical model of rupture nucleation. Hatched portion indicates the zone in which the breakdown (or slip-weakening) proceeds with time.

Ohnaka 2003



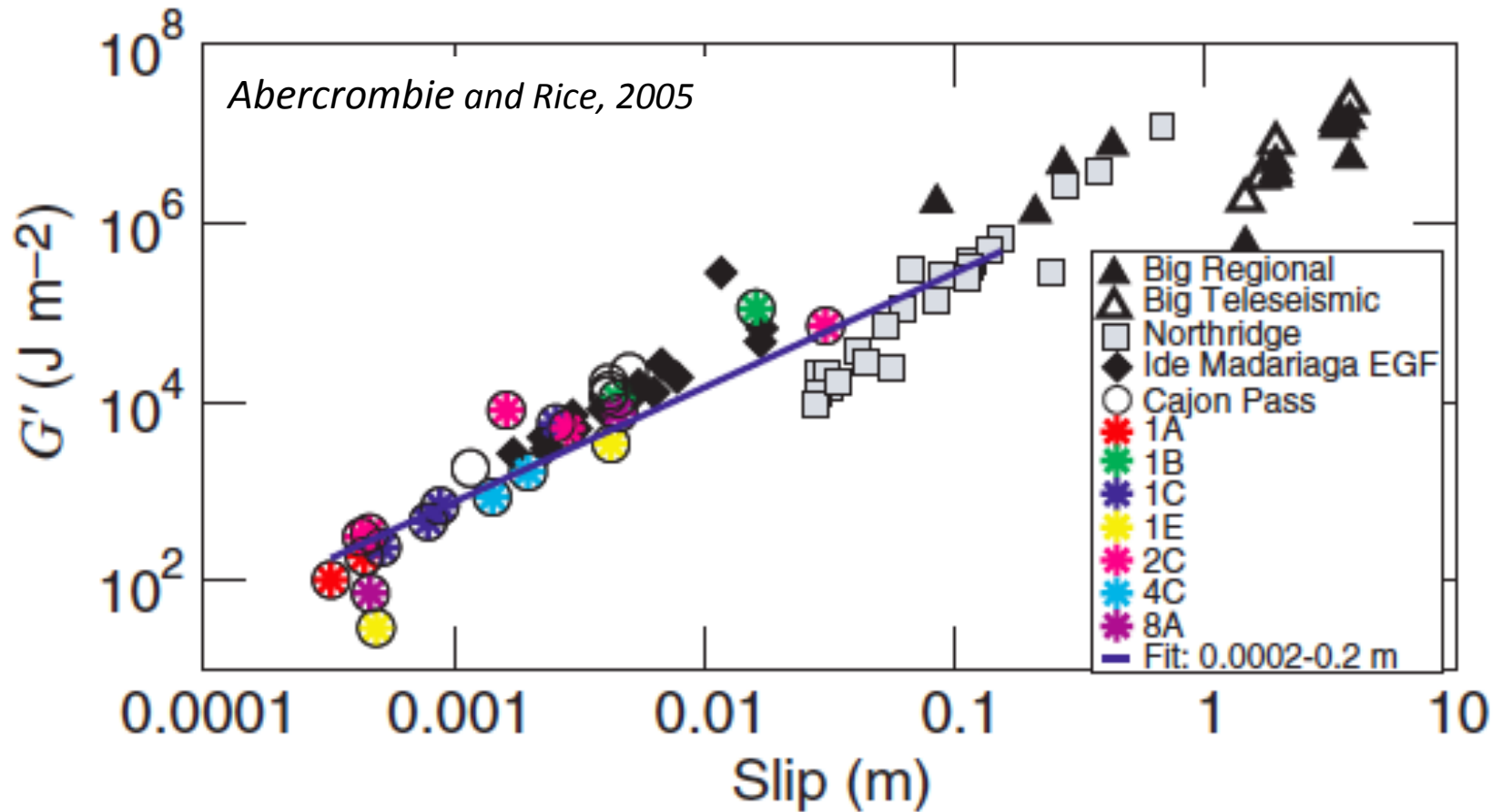
# MOTIVATION



Energy partitioning during EQs

# MOTIVATION

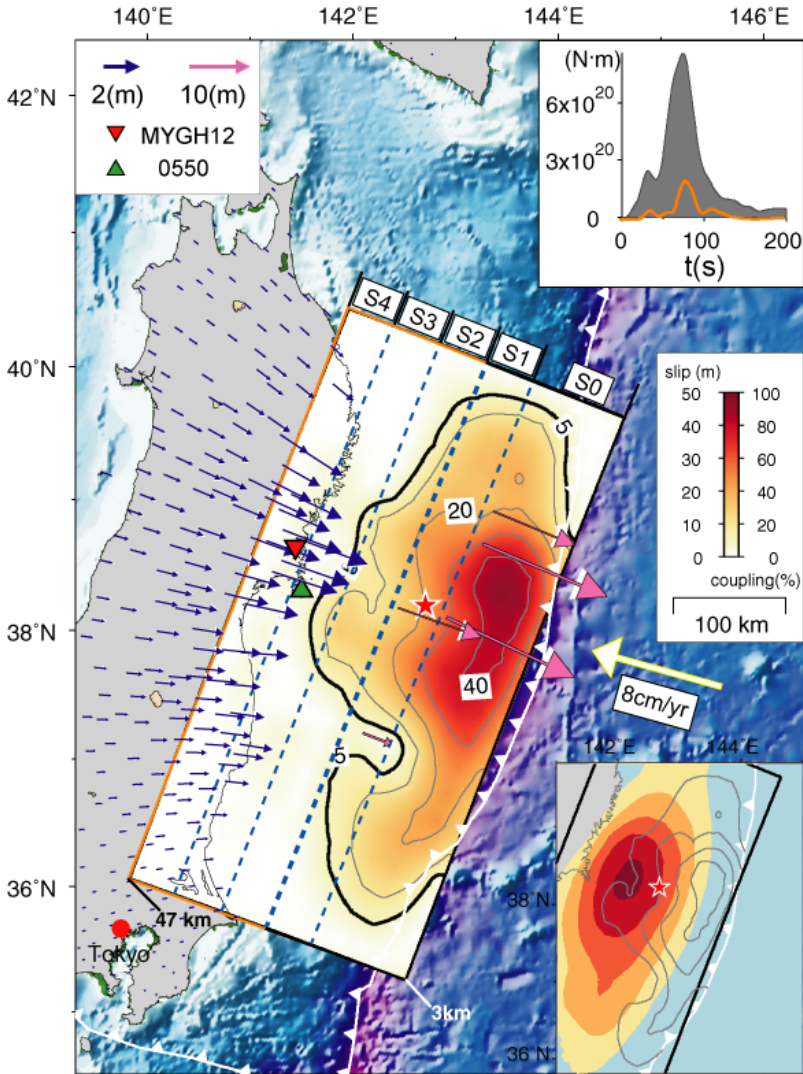
What controls fracture energy (weakening)?



For comparison, the fracture energy of Tohoku EQ  $\approx 30\text{-}60 \text{ MJ/m}^2$

*Fulton et al. 2014*

# MOTIVATION



**Fracture energy  $\approx$  30-60 MJ/m<sup>2</sup>**

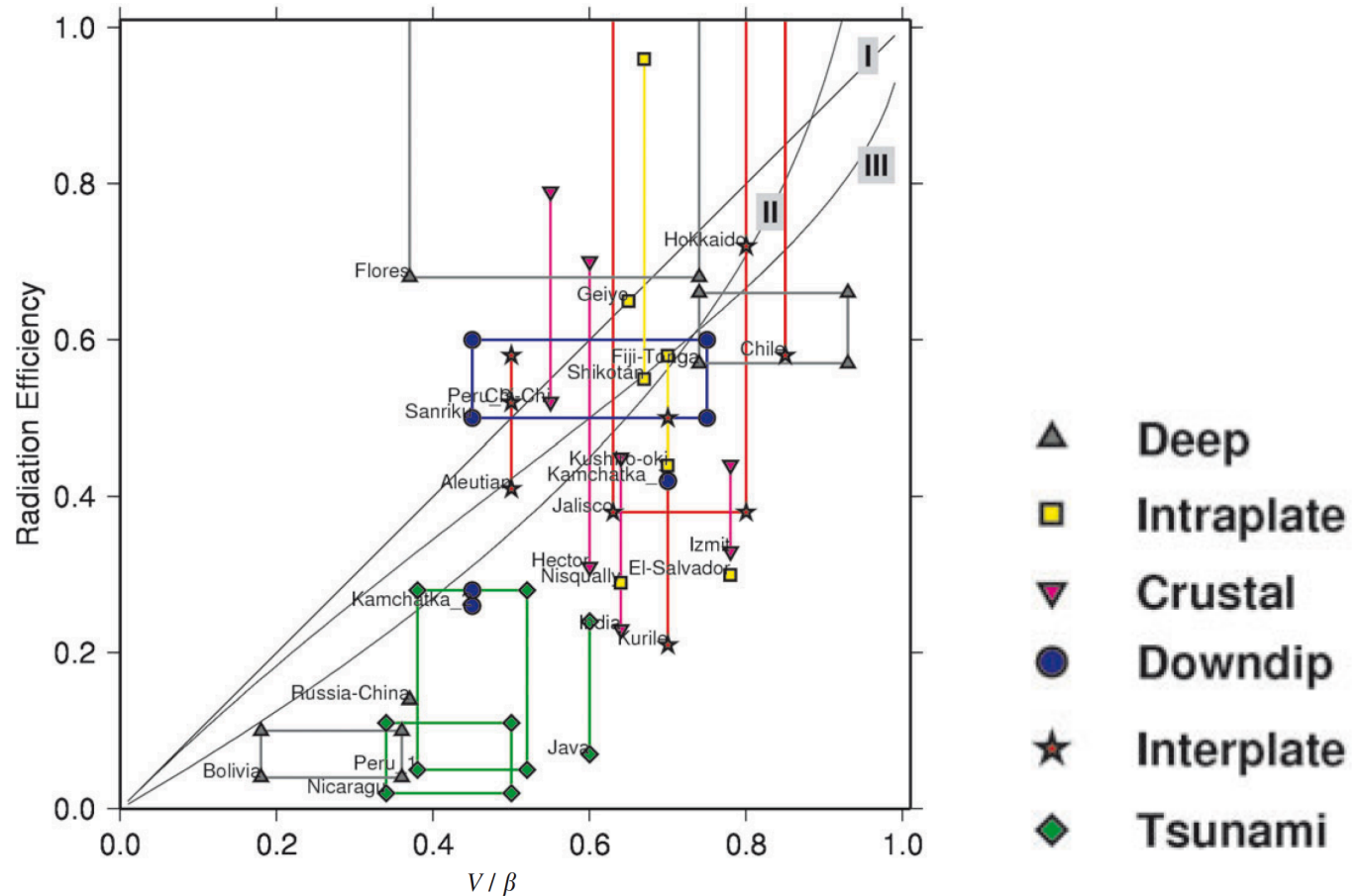
**Fulton et al. 2014**

*Courtesy TO Observatory, Caltech*

**Tohoku earthquake (Mw=9.0, Japan, March 11<sup>th</sup> 2011):**  
Largest co-seismic slip ever observed

# MOTIVATION

## Radiation efficiency vs. Rupture speed



*Venkataraman and Kanamori, 2004*

# Outline

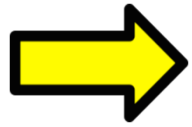
- EQs in the lab.
- Fracture energy (breakdown work)
- Heat generation
- Radiation and rupture speed

# Outline

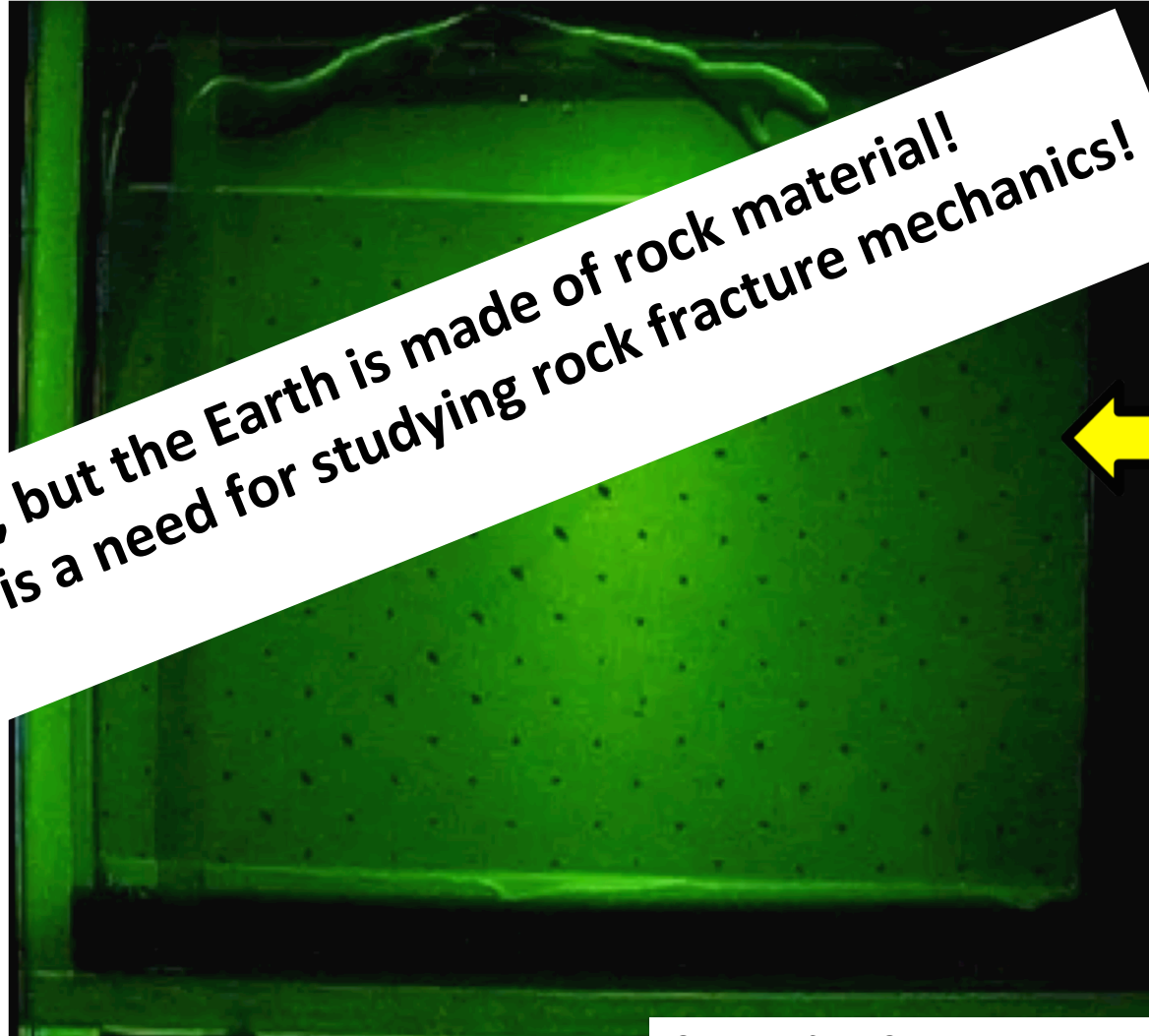
- EQs in the lab.
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# LABORATORY EARTHQUAKES

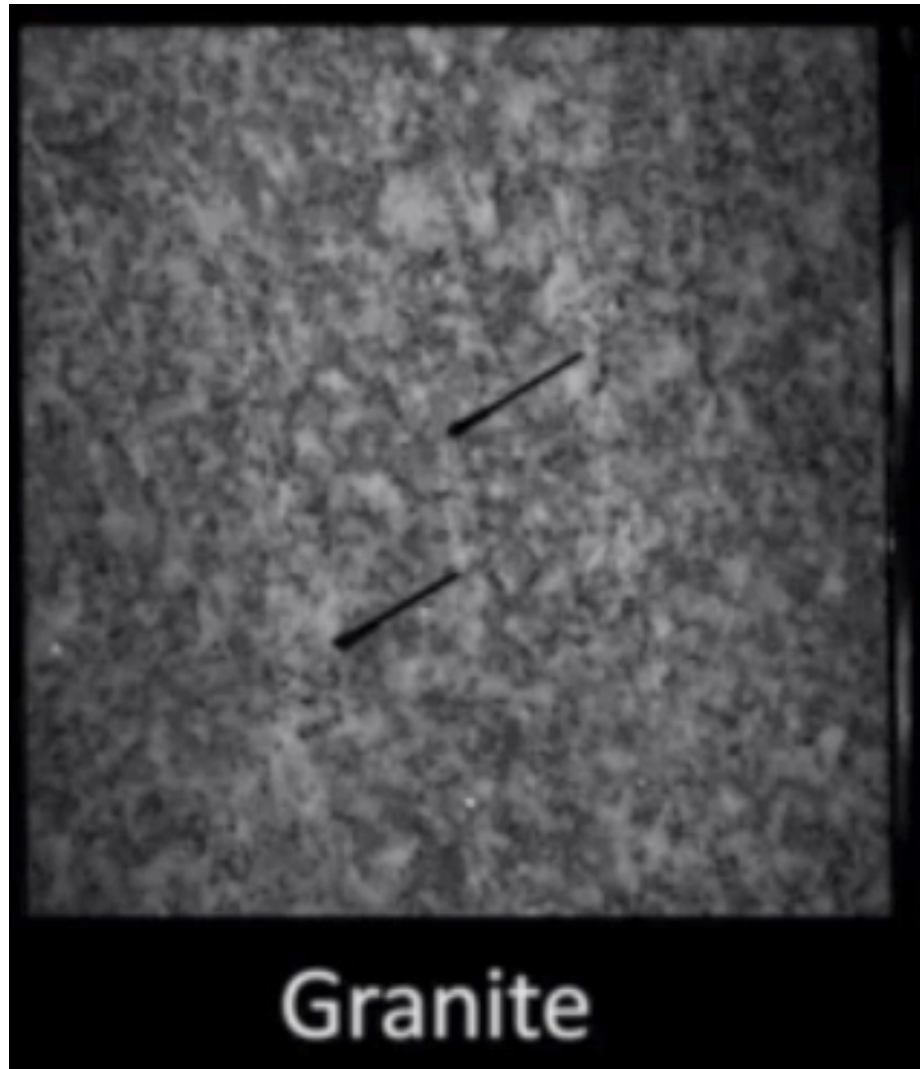


Yes, but the Earth is made of rock material!  
So there is a need for studying rock fracture mechanics!



*Courtesy from Osamu Kuwano, ERI*

# Unconfined Rock failure of granite



# Reproducing crustal depth in the lab



**Theodore von Kármán**  
**1881- 1963**

## The first triaxial Rig (1910)

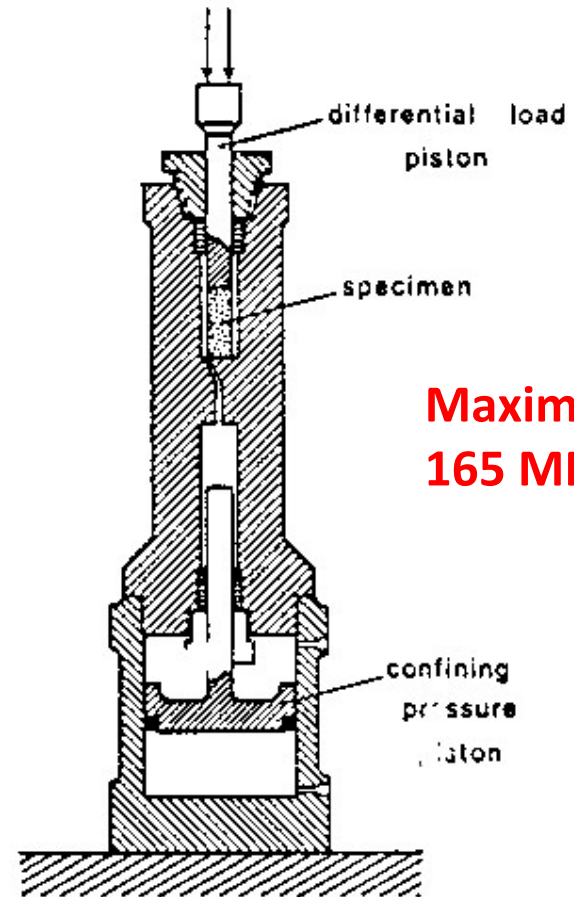
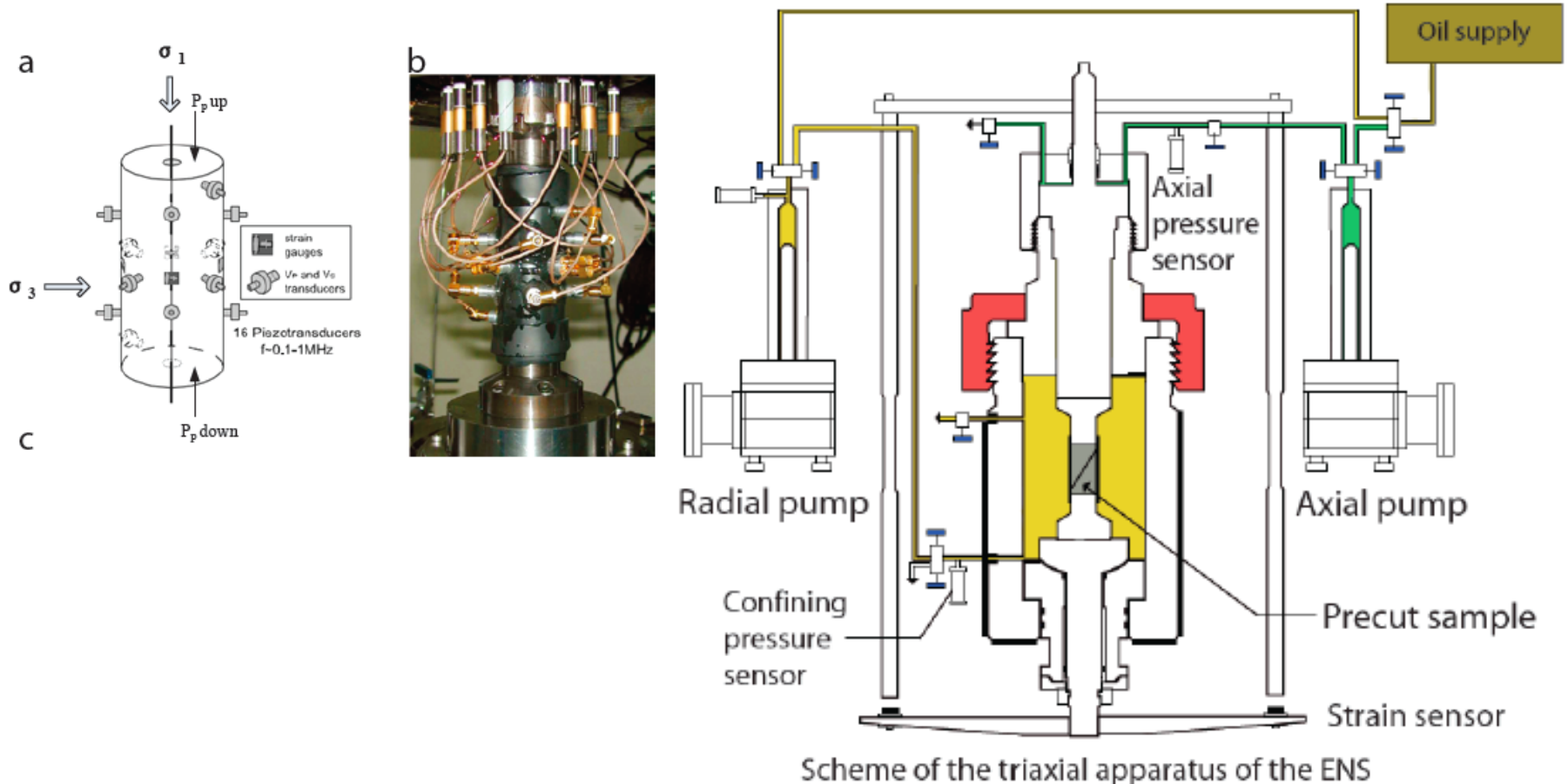


Fig. 3. Triaxial testing apparatus of von Kármán (1911), slightly simplified

# Reproducing crustal depth in the lab

Triaxial apparatus - 100MPa/200°C



- Designed specially for acoustics
- Corrosive fluids injection (pH<3) in gas, water or supercritical phase
- Up to 100MPa confinement and pore pressure, 70 tons axial load

# Stick-Slip as a Mechanism for Earthquakes

W. F. Brace; J. D. Byerlee

*Science*, New Series, Vol. 153, No. 3739. (Aug. 26, 1966), pp. 990-992.

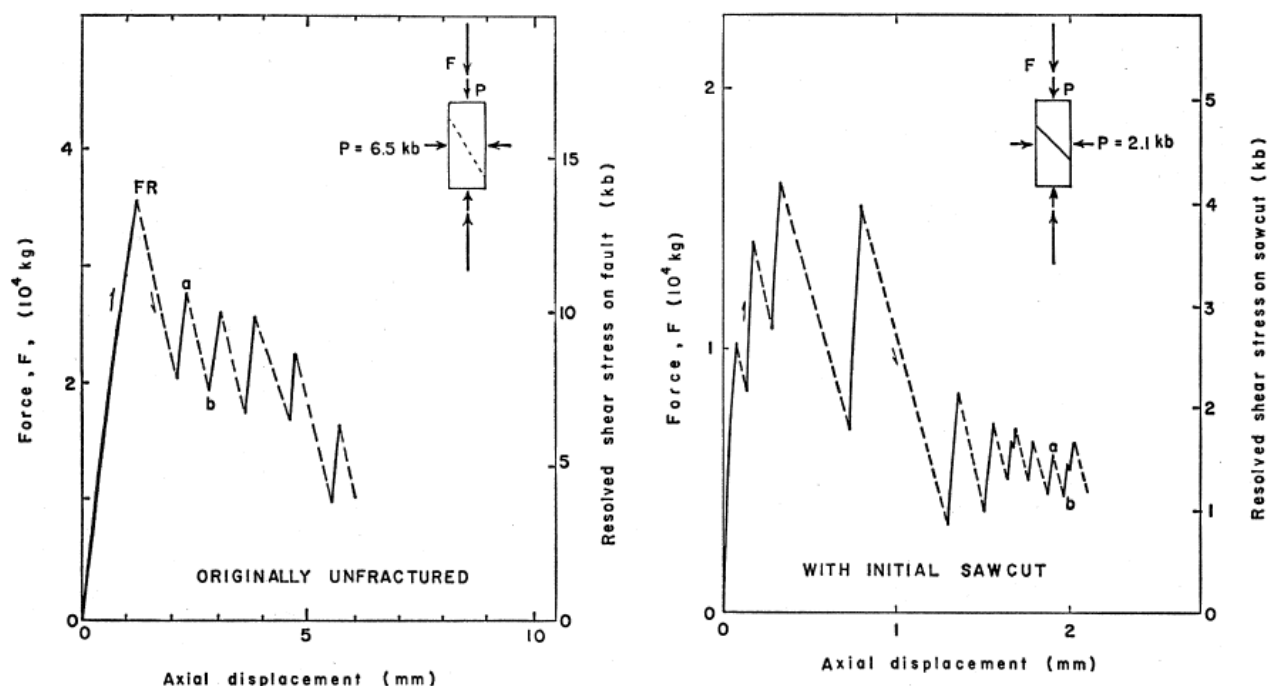
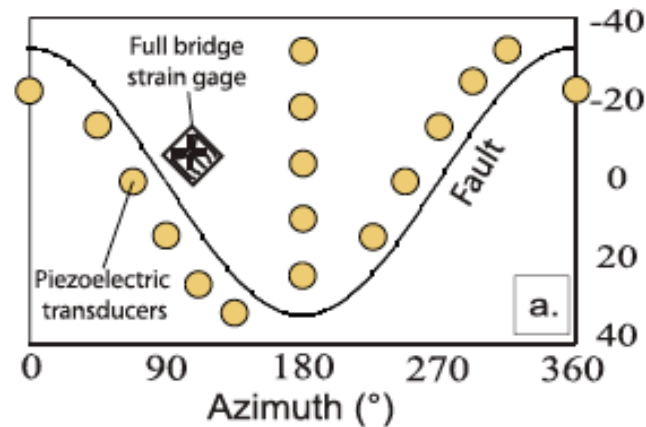
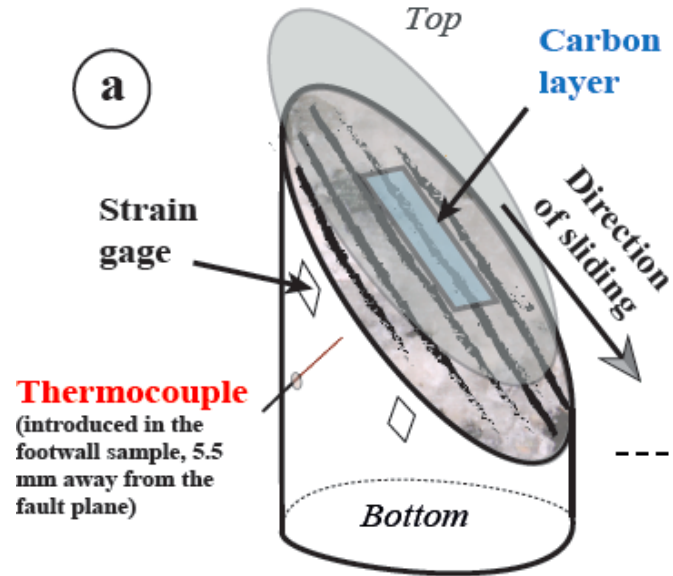
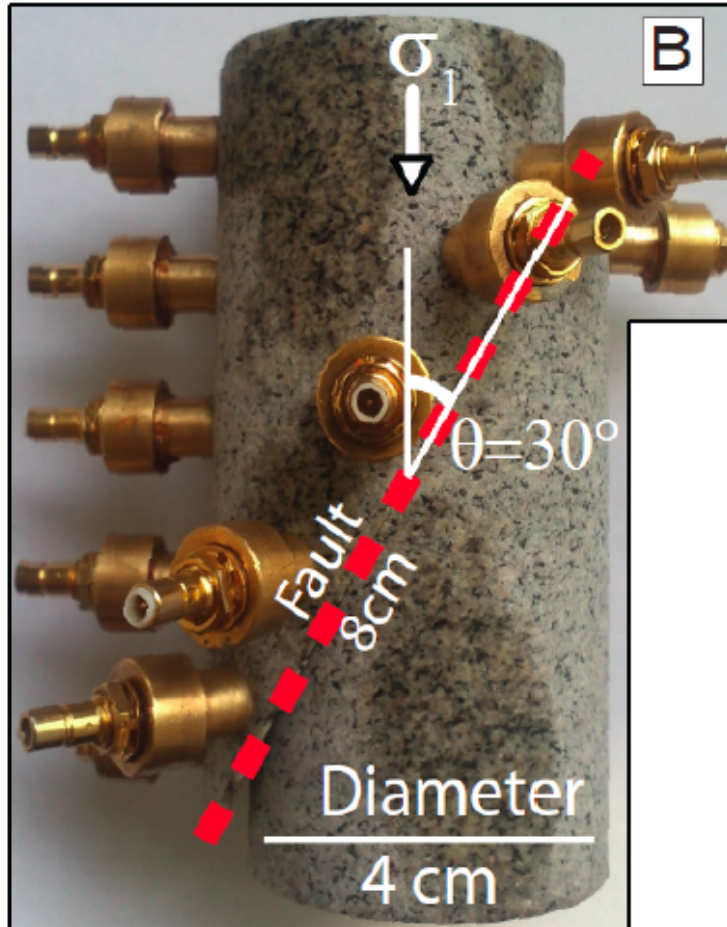


Fig. 1 (left). Force-displacement curve for the axial direction in a cylindrical sample of Westerly granite. Small diagram above the curve shows schematically how stress was applied to the sample. The sample fractured at point  $FR$  forming the fault which is shown as a dotted line in the small diagram. The exact shape of the curves during a stress drop (such as  $ab$ ) is not known and is shown dotted.  $P$  is confining pressure. Fig. 2 (right). Same as Fig. 1 except that the sample contained a sawcut with finely ground surfaces as shown schematically (small figure) by a heavy line.



# Stick-Slip in rocks (exp. set-up)

Saw cut Westerly granite – strain rates: 1-10  $\mu\text{m/s}$



**Foreshocks and off-fault damage:** FF AE Sensors

**Rupture speed:** NF AE sensors

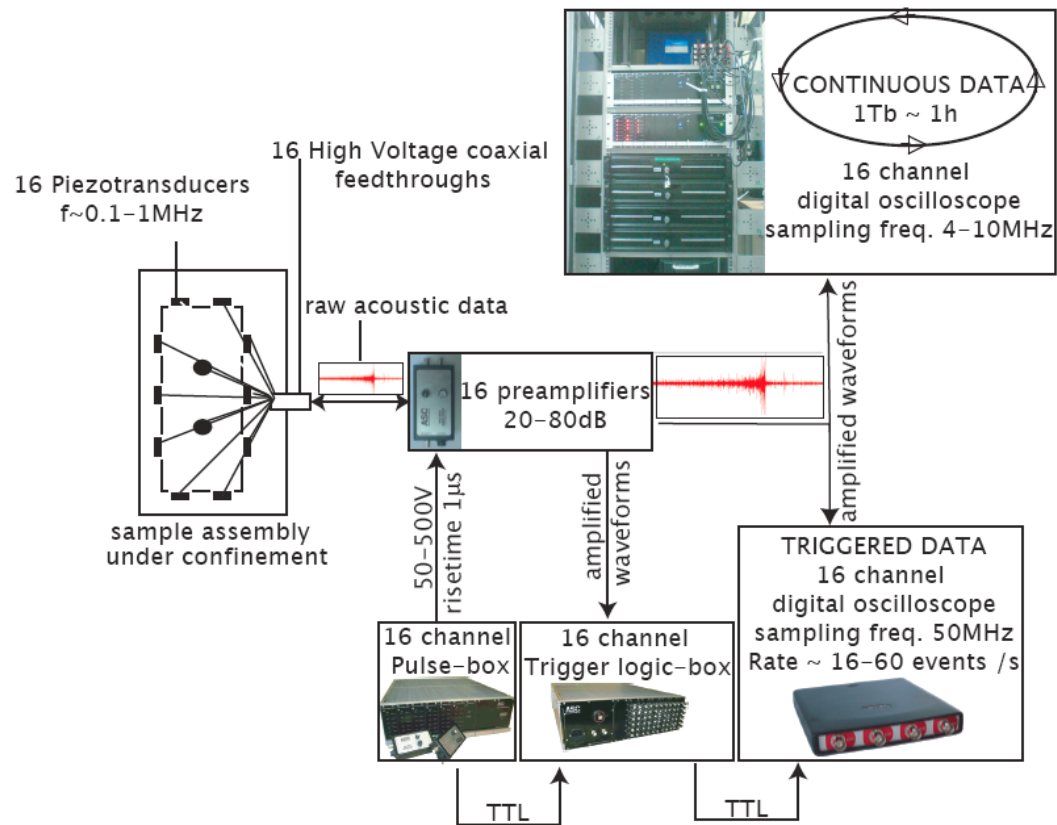
**Fracture energy:** Dyn. Strain gage

**Heat:** Thermocouple and Carbon layer



# Recording nano to micro seismicity in the lab

## Acoustic Recorder – 16 channels

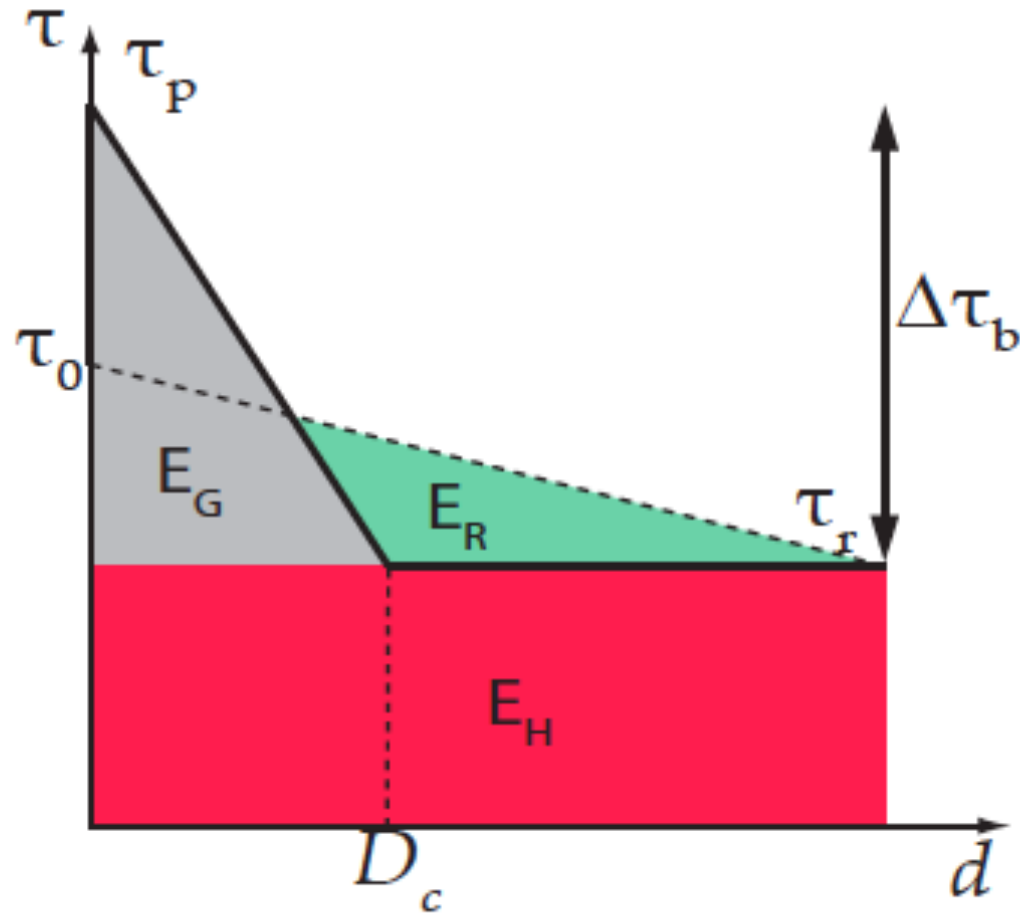


- Continuous acoustic wfms recorded using Richter minisystem (ASC Ltd., 4 MHz sampling freq. on 16 channels)
- Triggered data - up to 16 events/ sec
- Each transducer can be used as source for velocity measures (P&S)

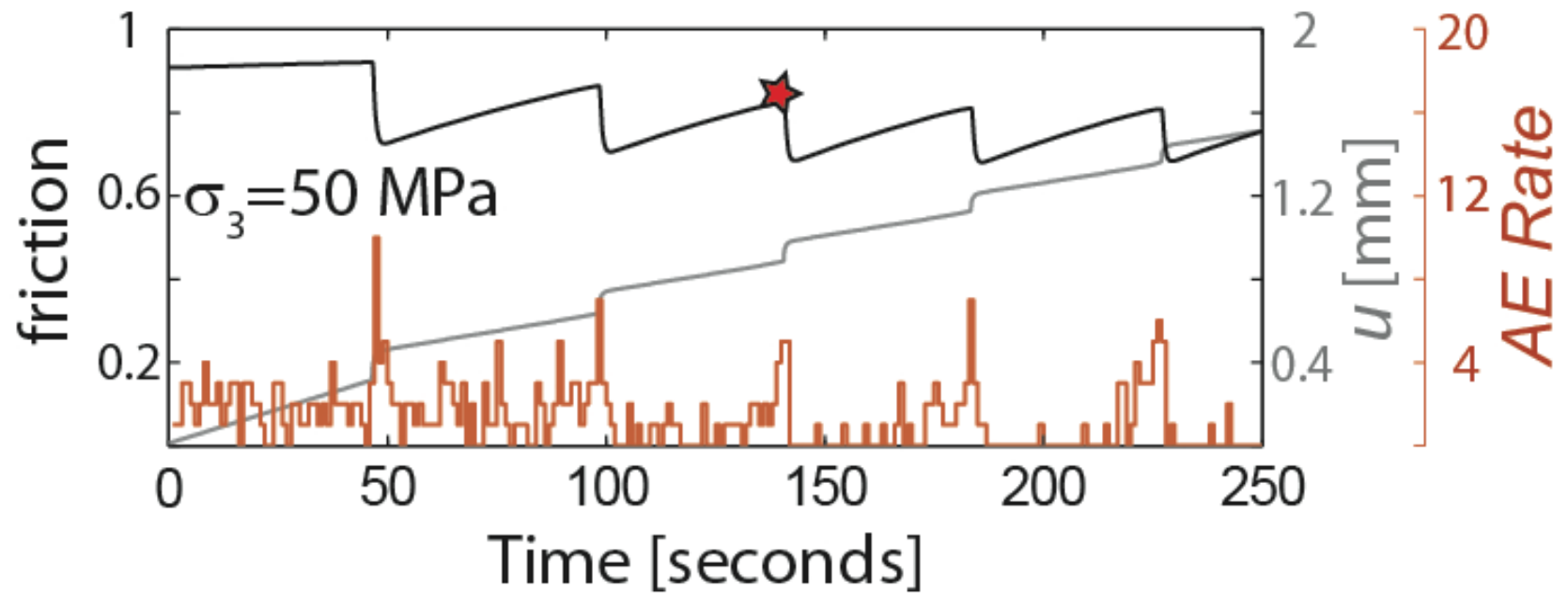
# Outline

- EQs in the lab.
- Fracture energy (breakdown work)
- Heat generation
- Radiation and rupture speed

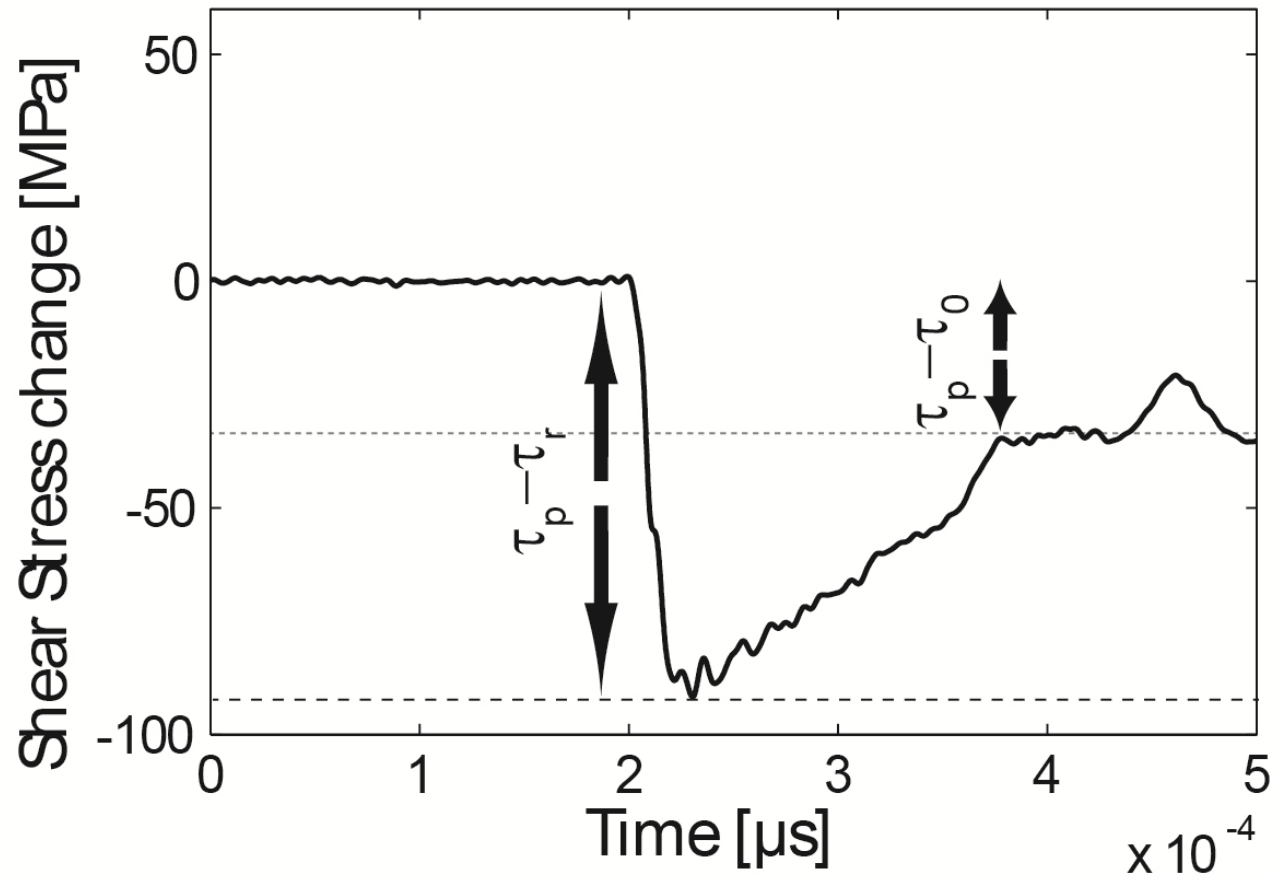
# Energy partitioning during EQs



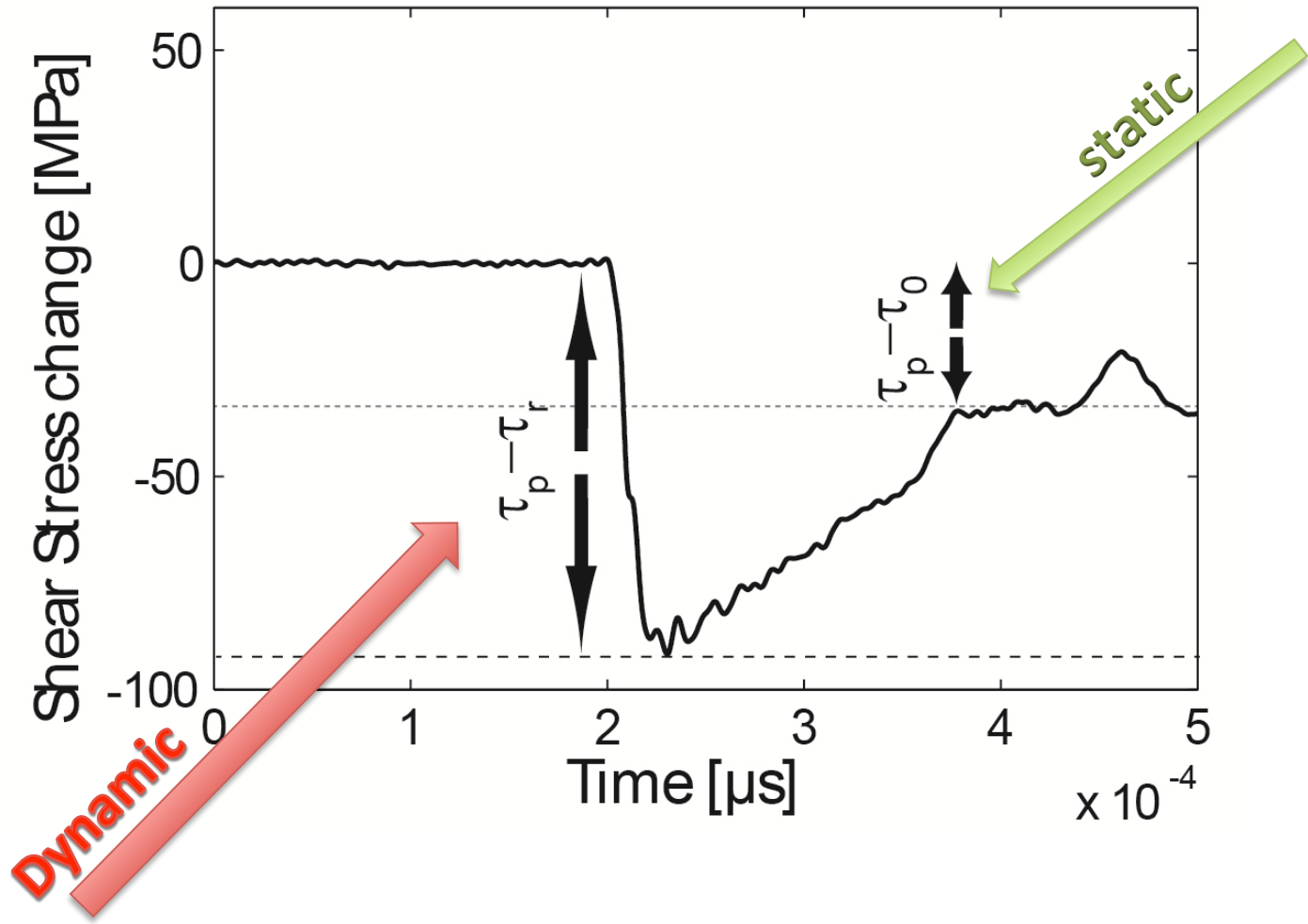
# Stick-Slip motion



# Static vs. dynamic stress drops

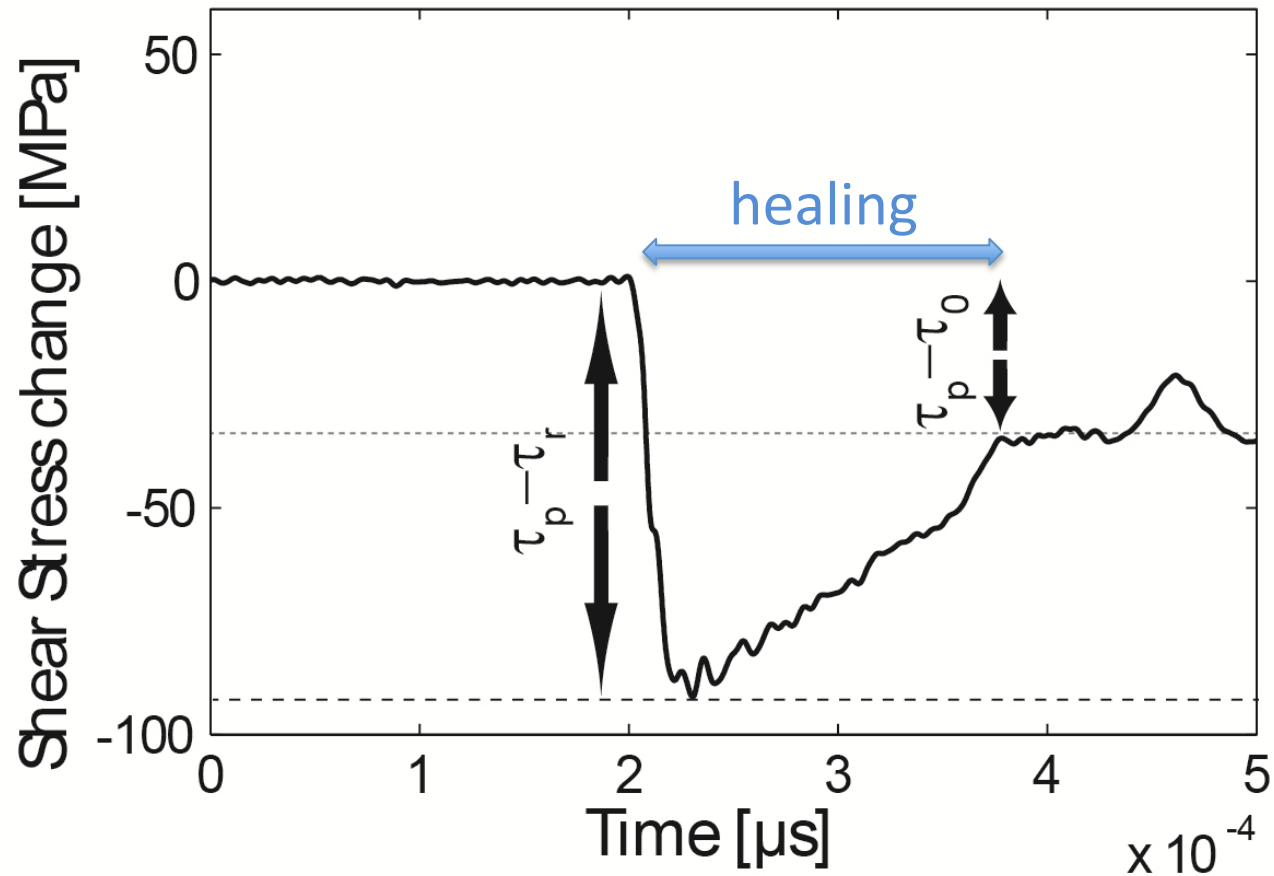


# Static vs. dynamic stress drops





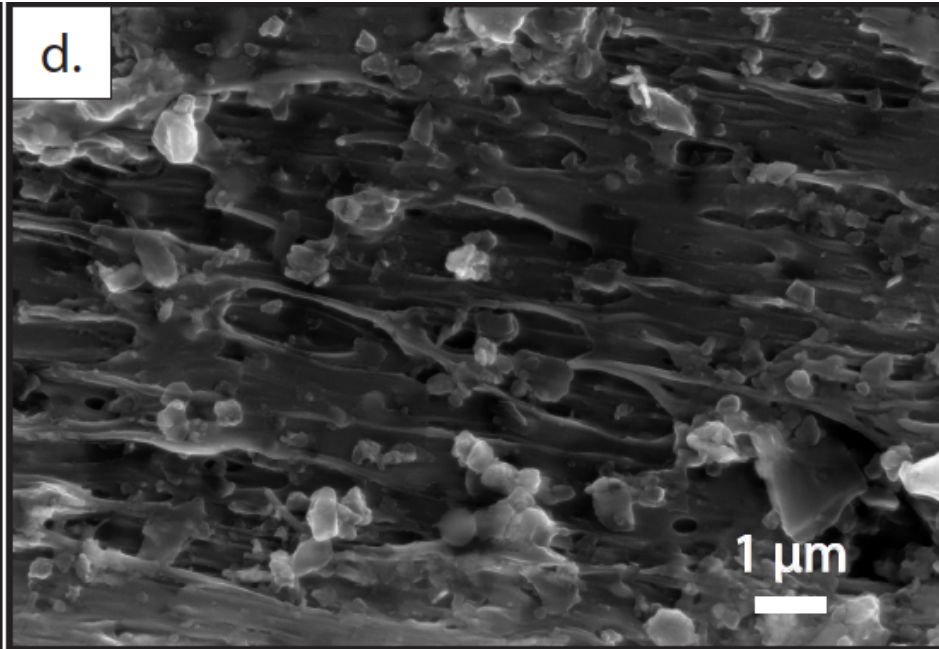
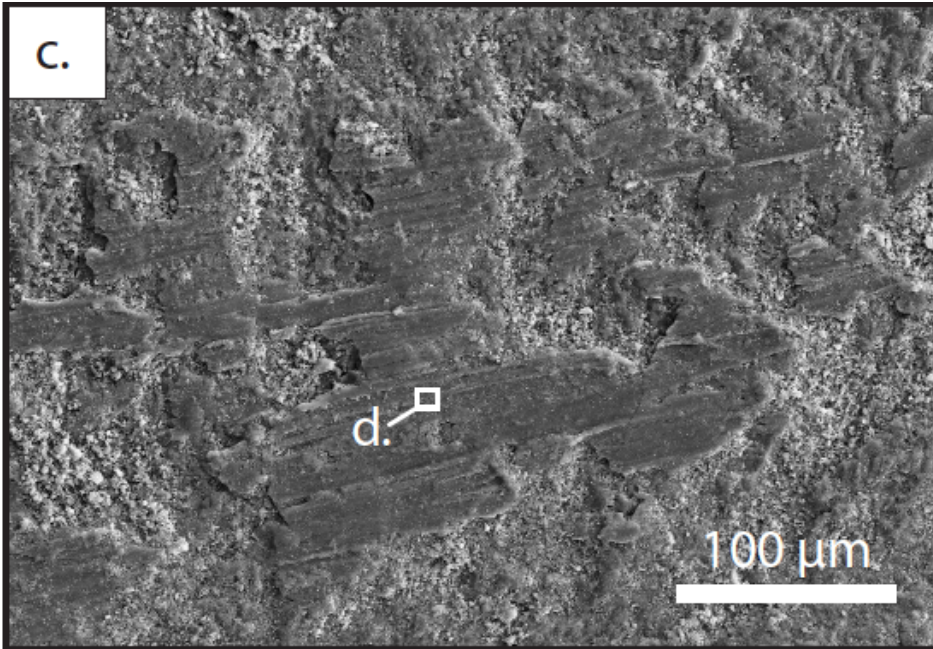
# Static vs. dynamic stress drops



# Static vs. dynamic stress drops

## Molten asperity on fault surface

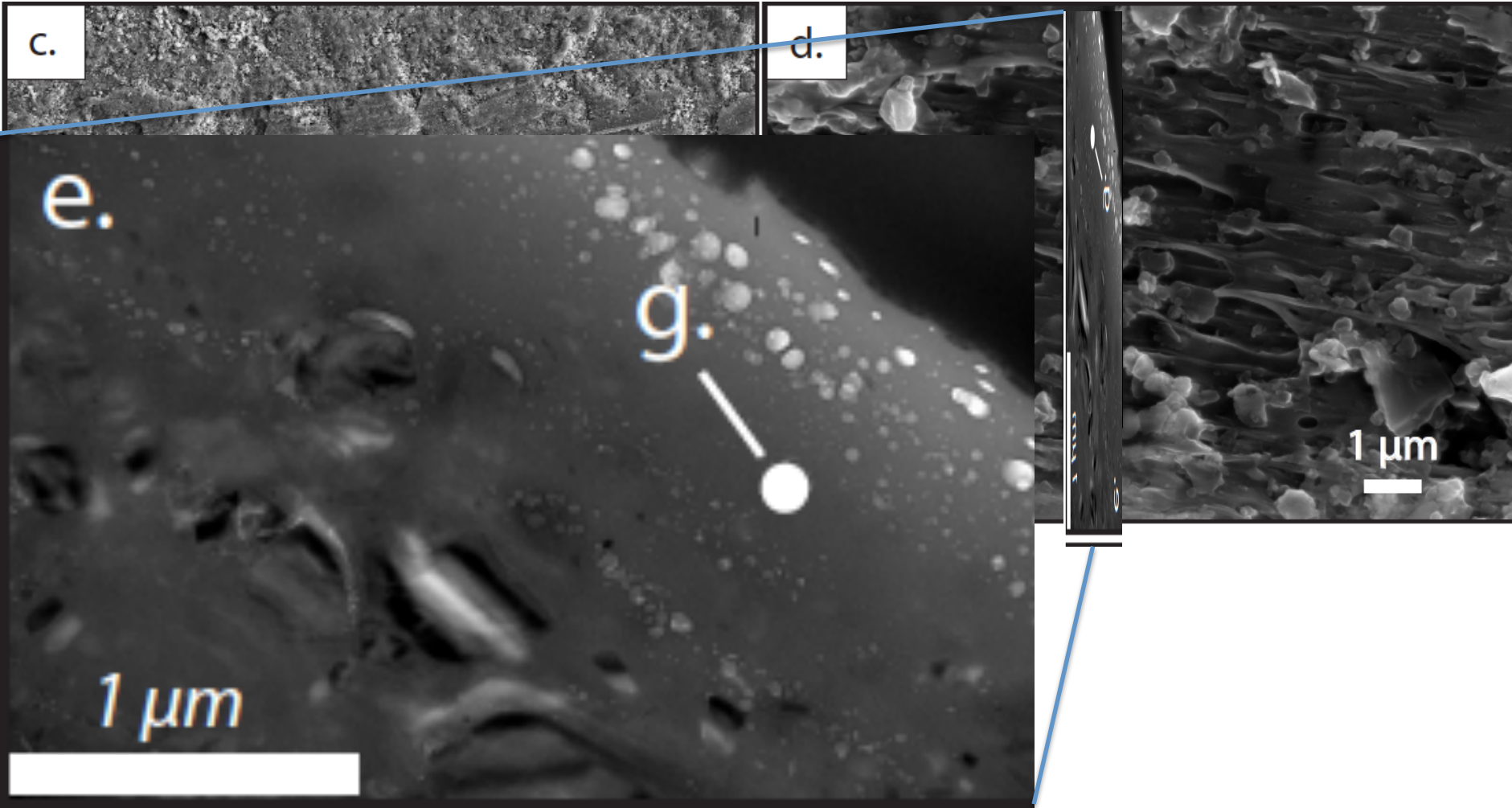
Westerly granite,  $P_c=70\text{MPa}$



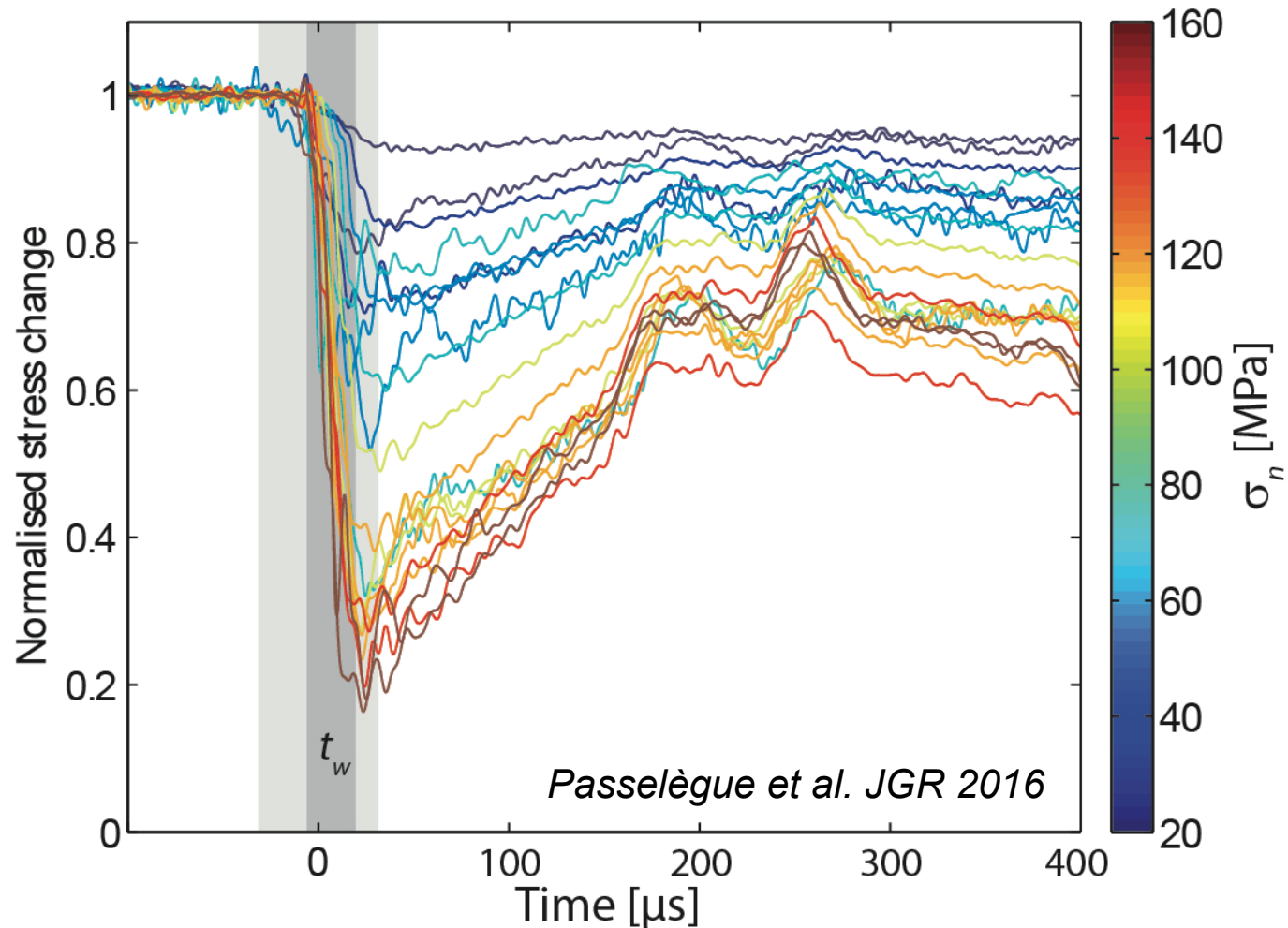
# Static vs. dynamic stress drops

## Molten asperity on fault surface

Westerly granite,  $P_c = 70 \text{ MPa}$

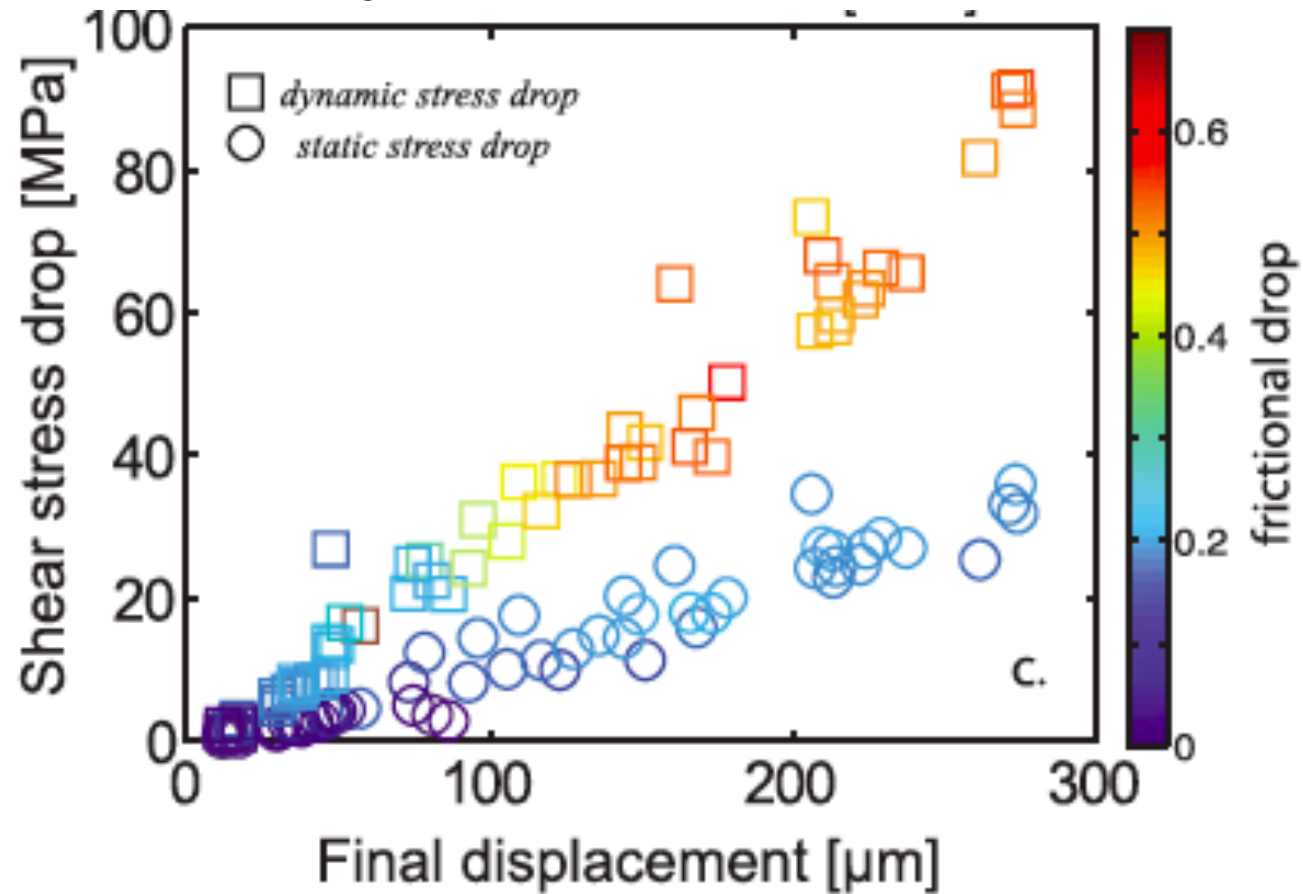


# Static vs. dynamic stress drops

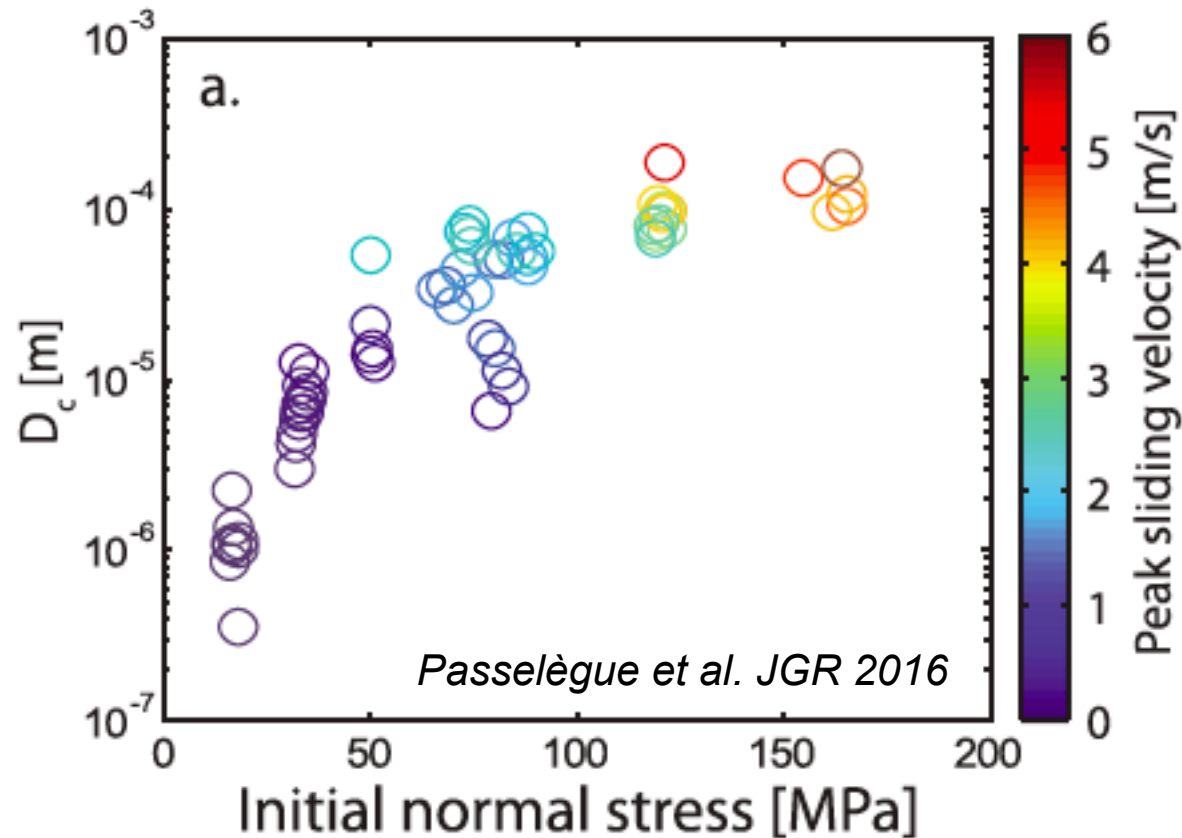


# Static vs. dynamic stress drops

*Passelègue et al. JGR 2016*



# Measuring (+/-) Dc

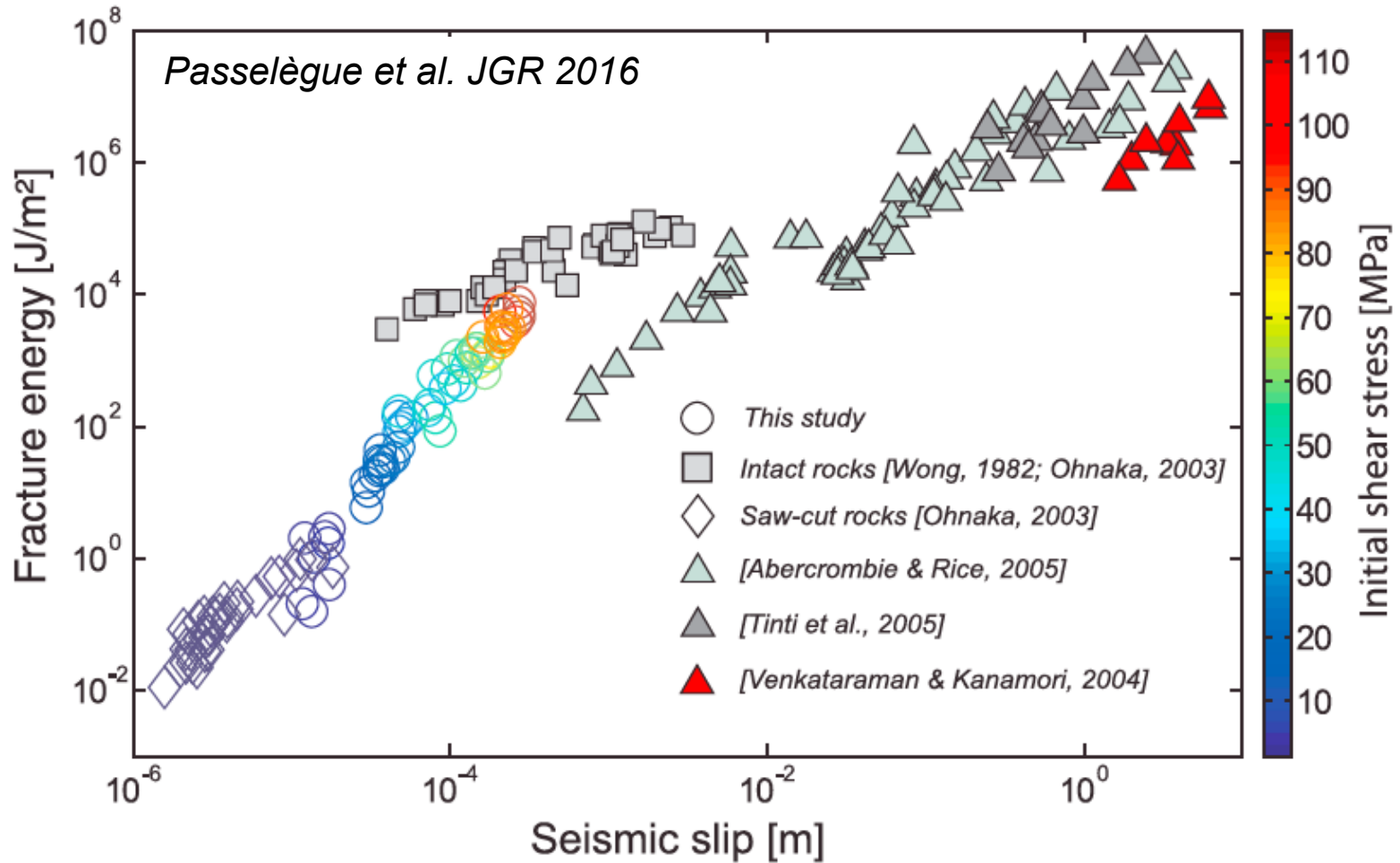


With Ida, 1972 : 
$$D_c = \frac{16(1 - \nu)}{9\pi} \frac{V_r t_c \sigma_n (f_s - f_d)}{\mu}$$



# Fracture energy

## Combining Dc and Stress vs. time measurements



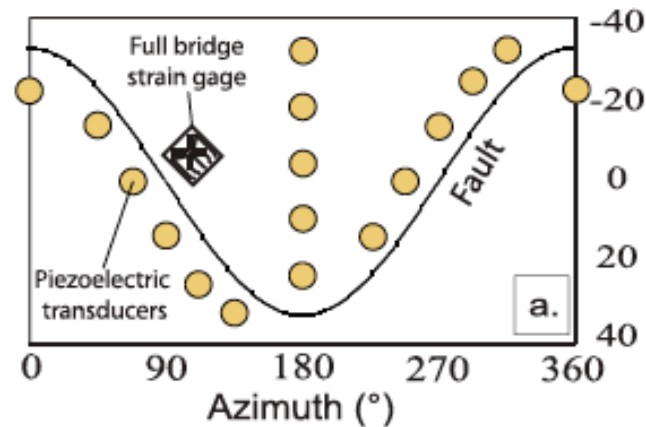
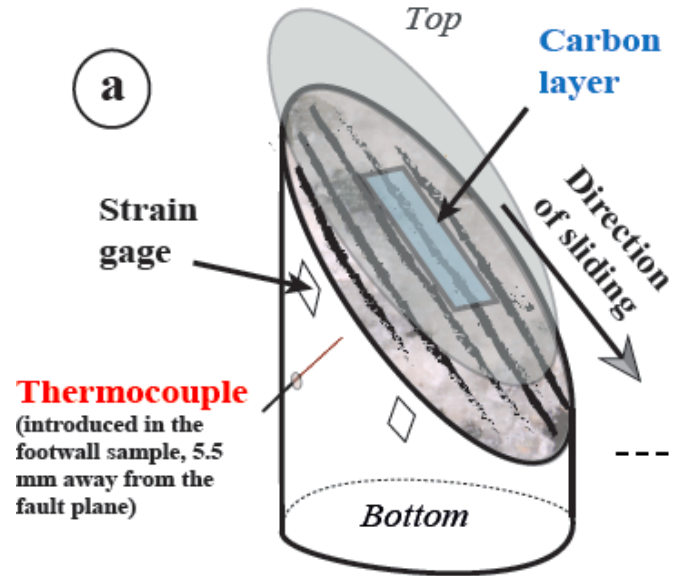
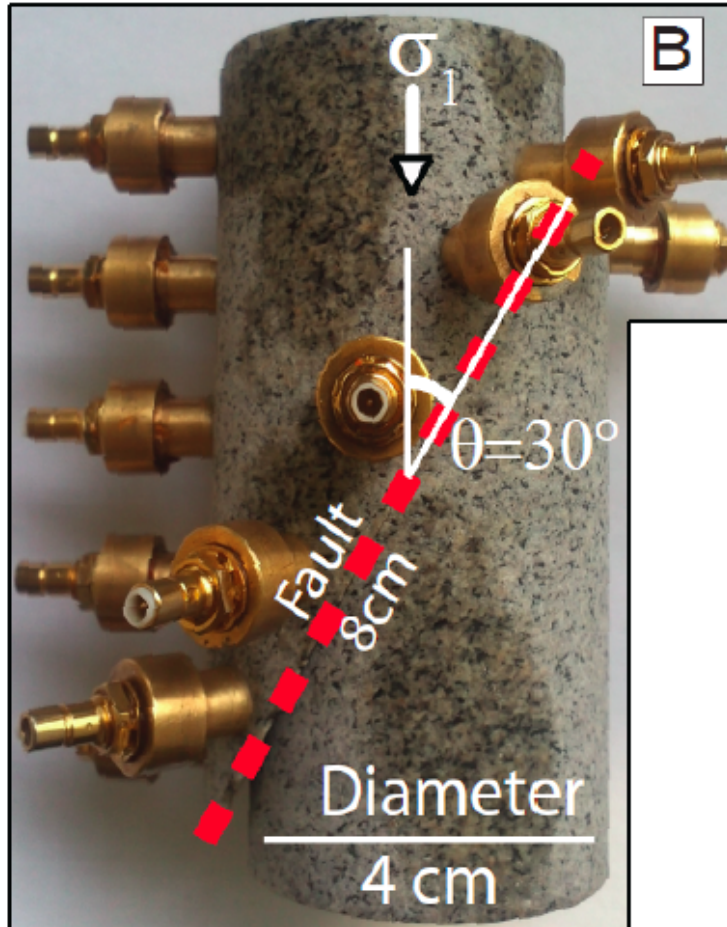
G scales with displacement, also in the lab!

# Outline

- EQs in the lab.
- Fracture energy (breakdown work)
- Heat generation
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# Stick-Slip in rocks (exp. set-up)

Saw cut Westerly granite – strain rates: 1-10  $\mu\text{m/s}$



**Foreshocks and off-fault damage:** FF AE Sensors

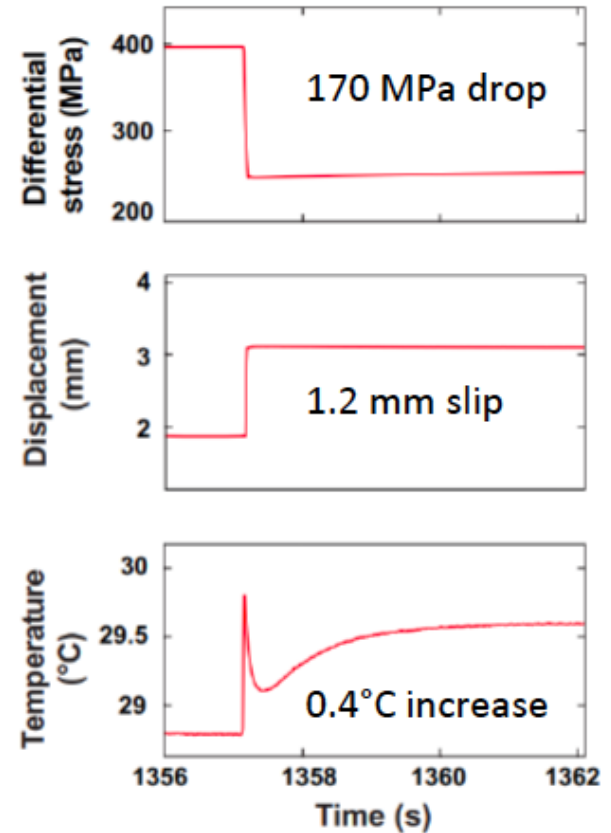
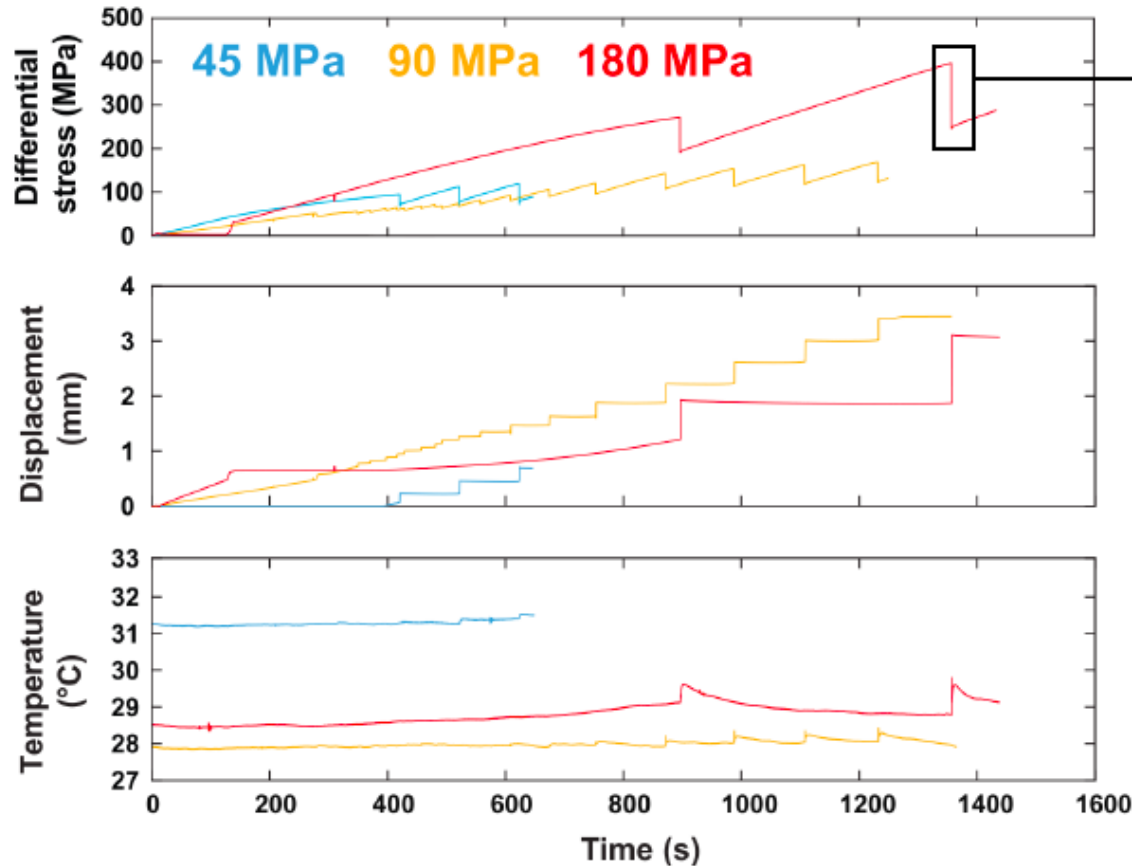
**Rupture speed:** NF AE sensors

**Fracture energy:** Dyn. Strain gage

**Heat:** Thermocouple and Carbon layer

# Measuring Heat

*Aubry et al. Sub.*

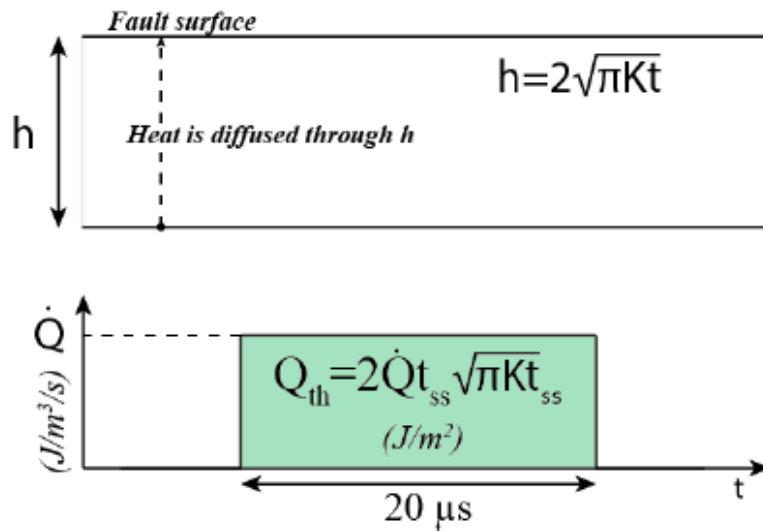


# Measuring Heat

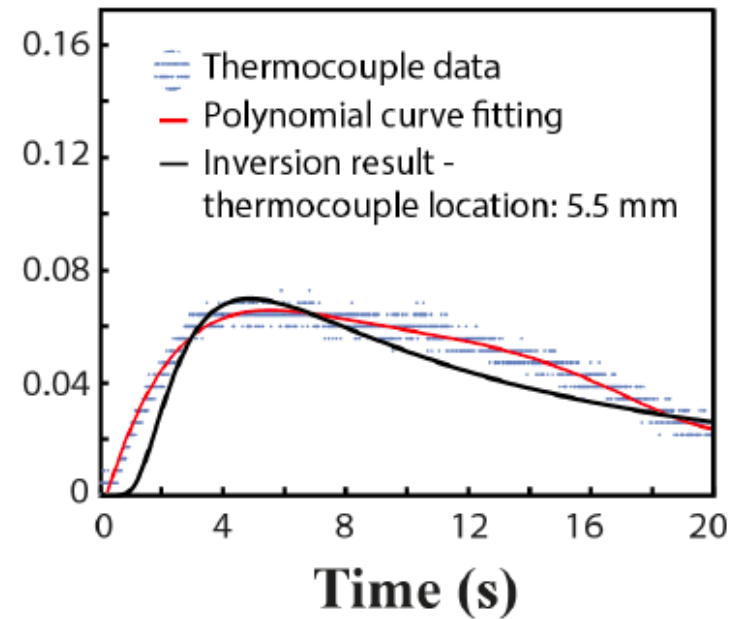
## Heat diffusion model - Thermocouple data inversion

- Constant heat rate during stick slip duration  $t_{ss} = 20 \mu s$
- Heat produced on the fault and diffused within the sample

$$\rho C_p h \frac{dT_{th}}{dt} = \dot{Q} + K \frac{d^2 T_{th}}{dy^2}$$



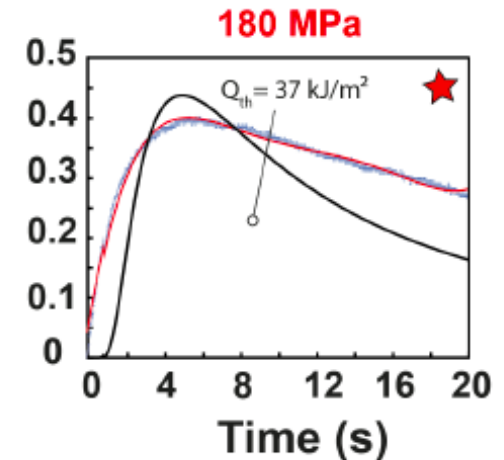
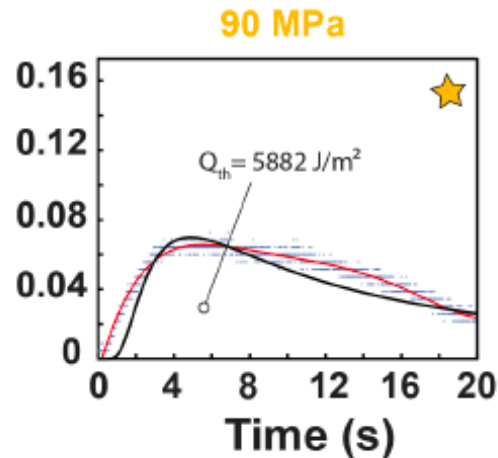
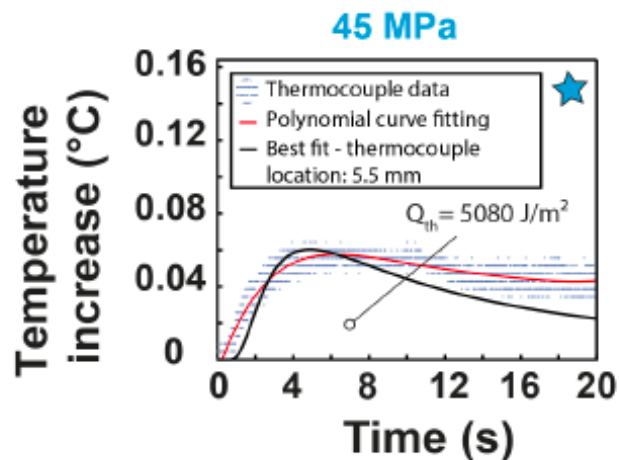
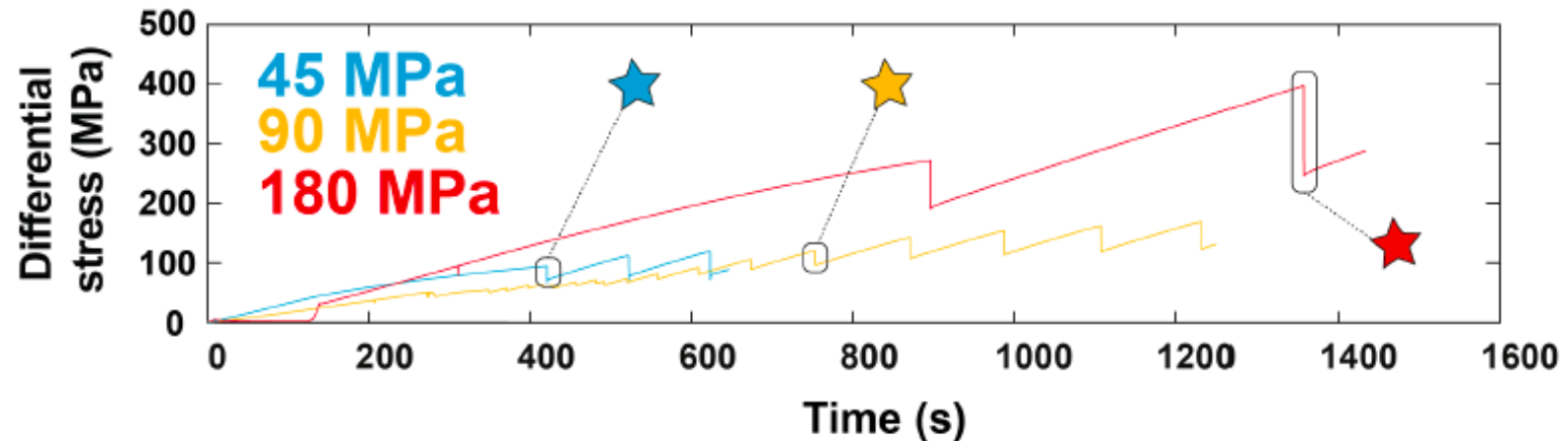
Temperature  
increase (°C)



**Thermal diffusivity  $K = 1.2e^{-6} m^2/s$  ; Lockner et al., 2015.**  
**Stick slip duration  $t_{ss} = 20 \mu s$  ; Passelègue et al., 2016.**

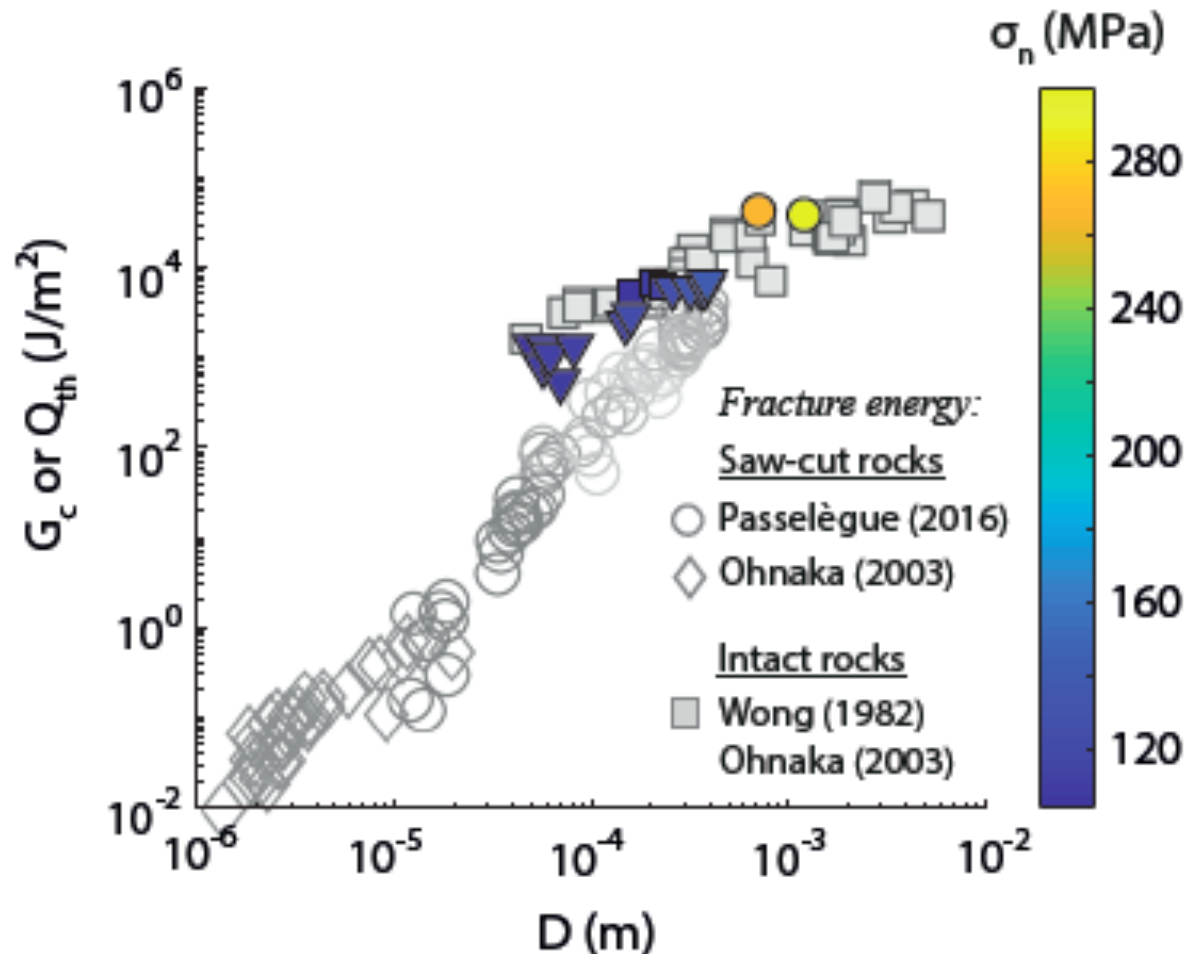
# Measuring Heat

Frictional heat produced inferred from thermocouple



# Fracture energy vs. Heat

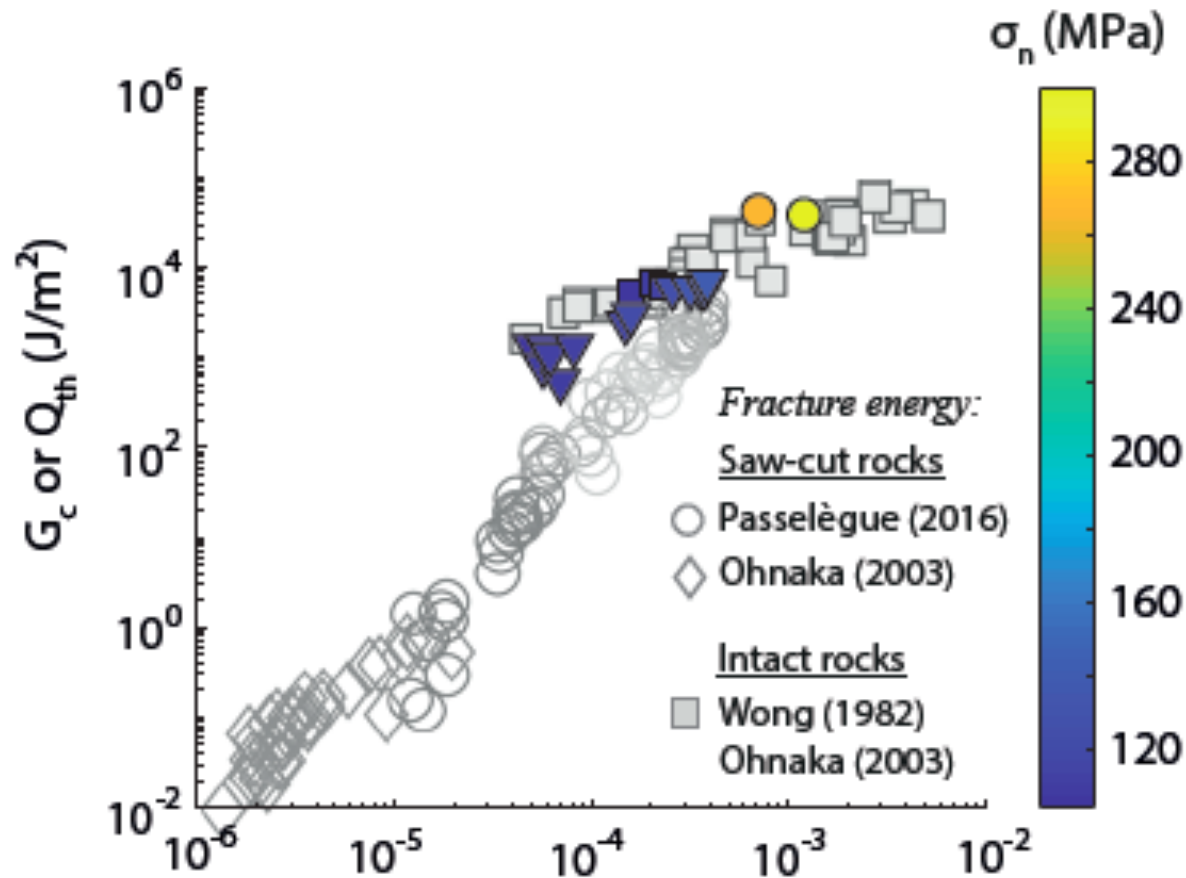
from two independent measurements!



$H \gg G$  for small slips (small stress drops)  
but comparable at larger slip (large stress drops)

# Fracture energy vs. Heat

from two independent measurements!



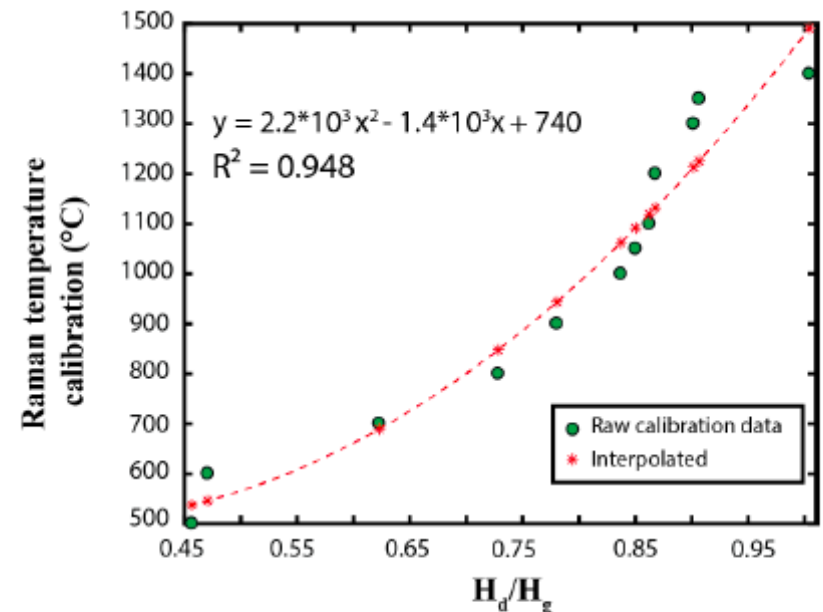
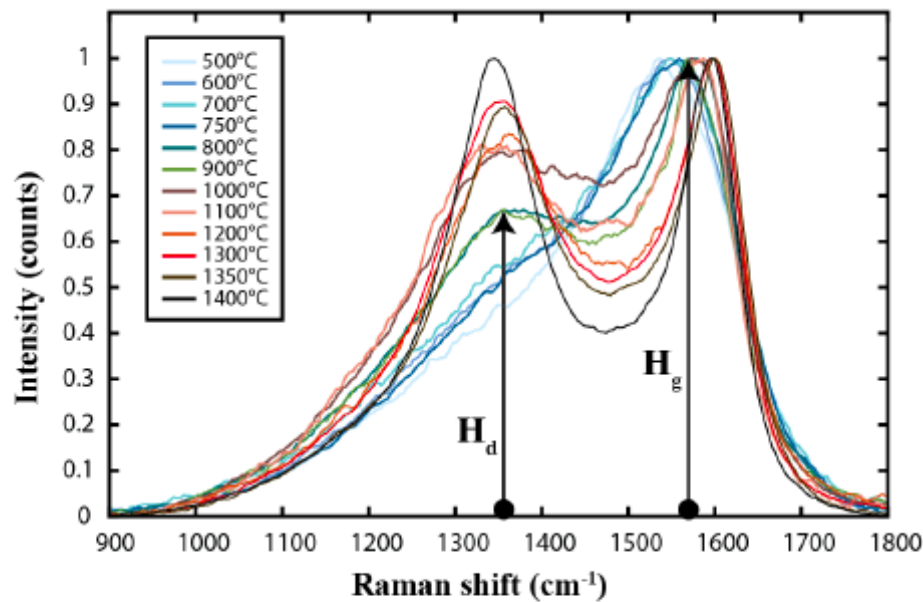
At large slips, Fracture energy (breakdown work)  
is dissipated into Heat (weakening is thermal)



# Heat dissipation...

## Mapping the interface by the help of amorphous carbon

Calibration made with specific coated samples (other than these for experiments) heated in an oven during 10 s.

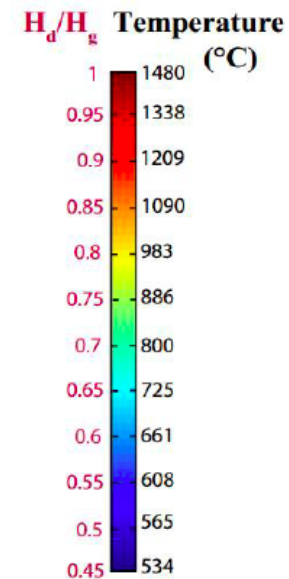
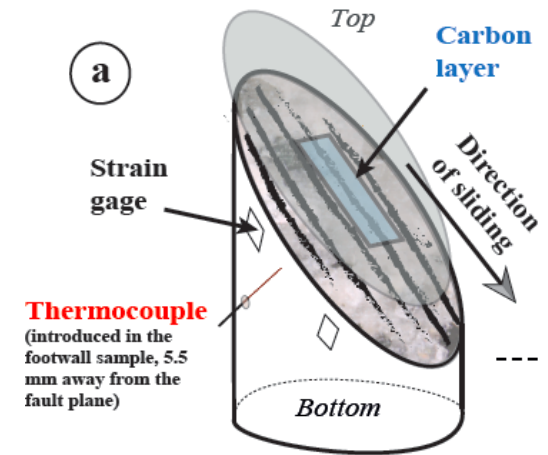
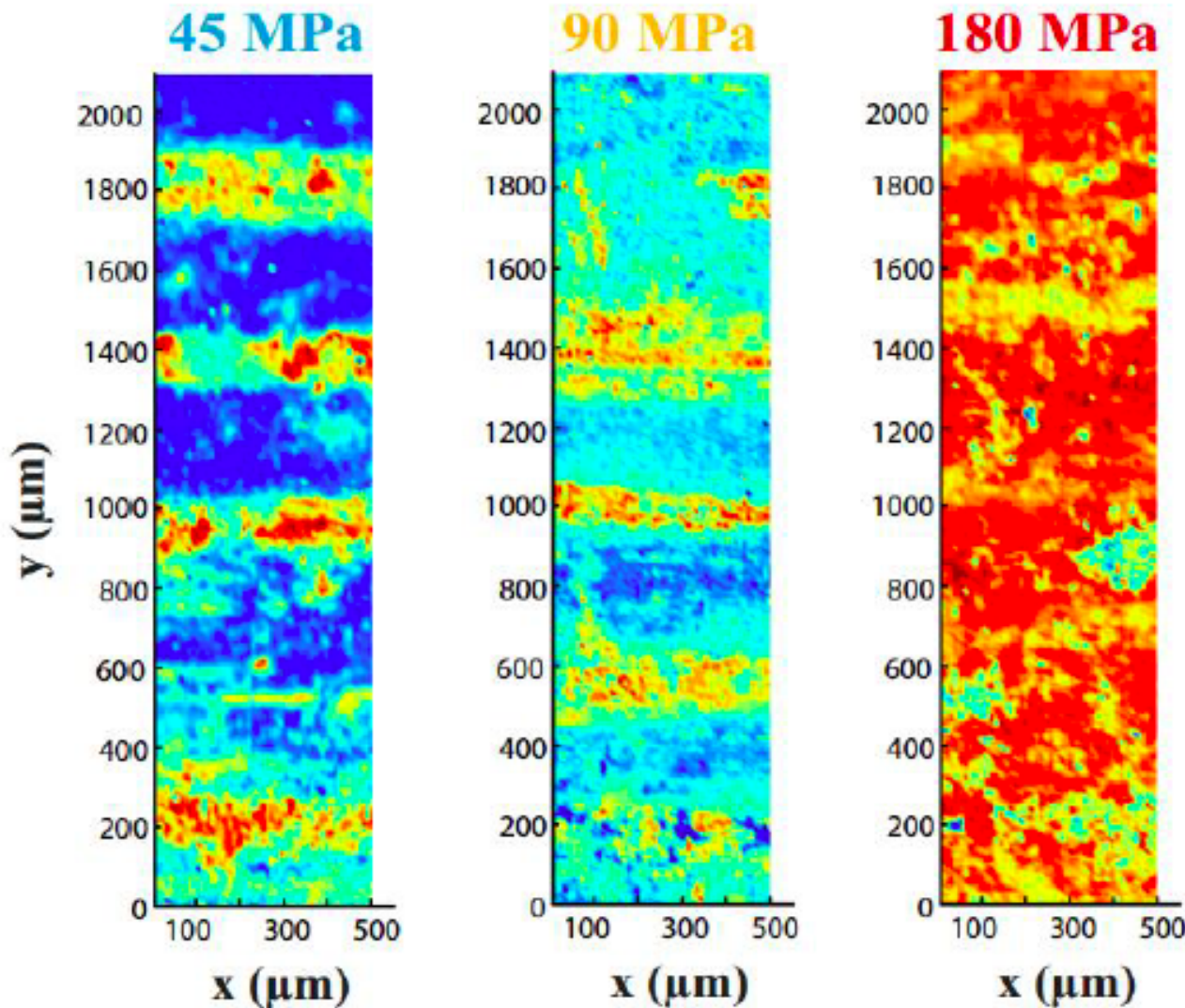


- The more advanced the process of carbonization and the higher the ratio  $H_d/H_g$ .
- We are aware of kinetic problem (10 s heating) compared to the duration of stick-slip (20 μs).

# ...is heterogeneous

## Temperature maps of the interface during frictional sliding

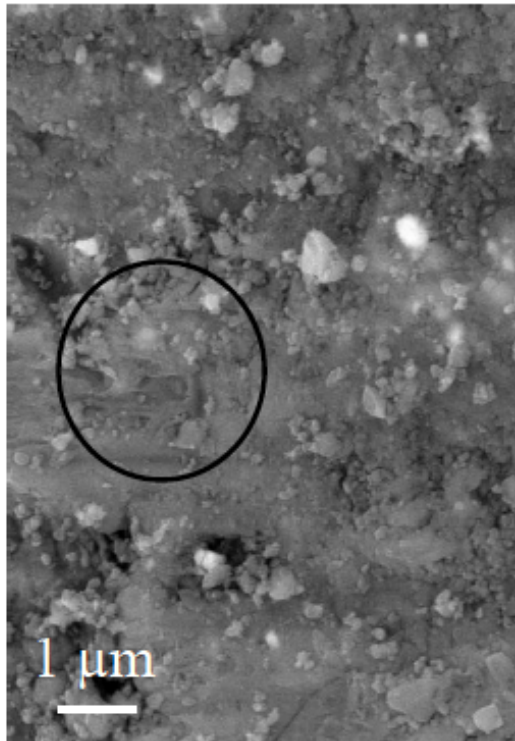
*Aubry et al. Sub.*



# Transition from asperity to bulk surface melting

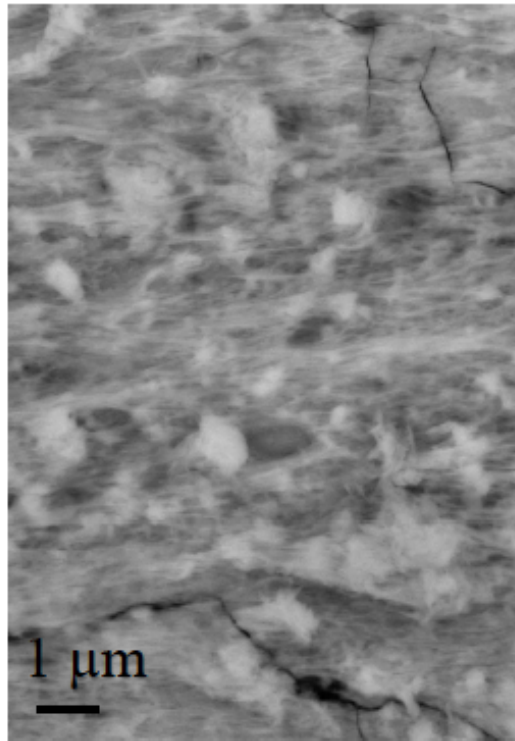
## Microstructural evidences of melting

45 MPa



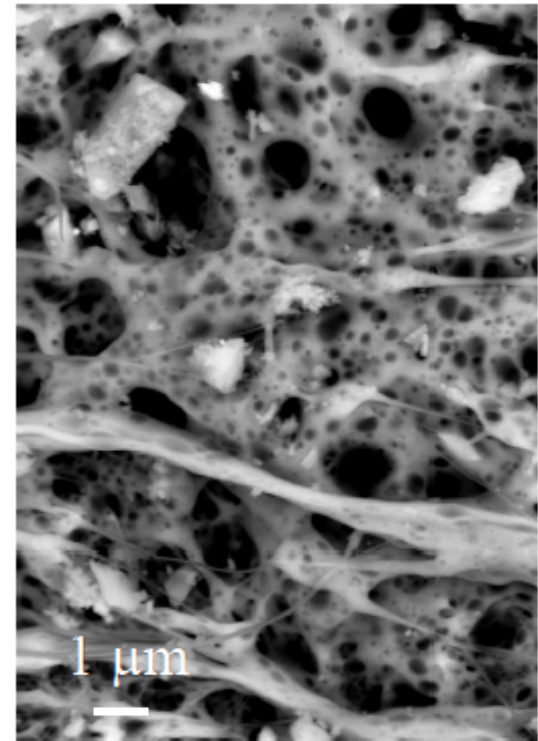
*Locally molten*

90 MPa



**Degree of melting**

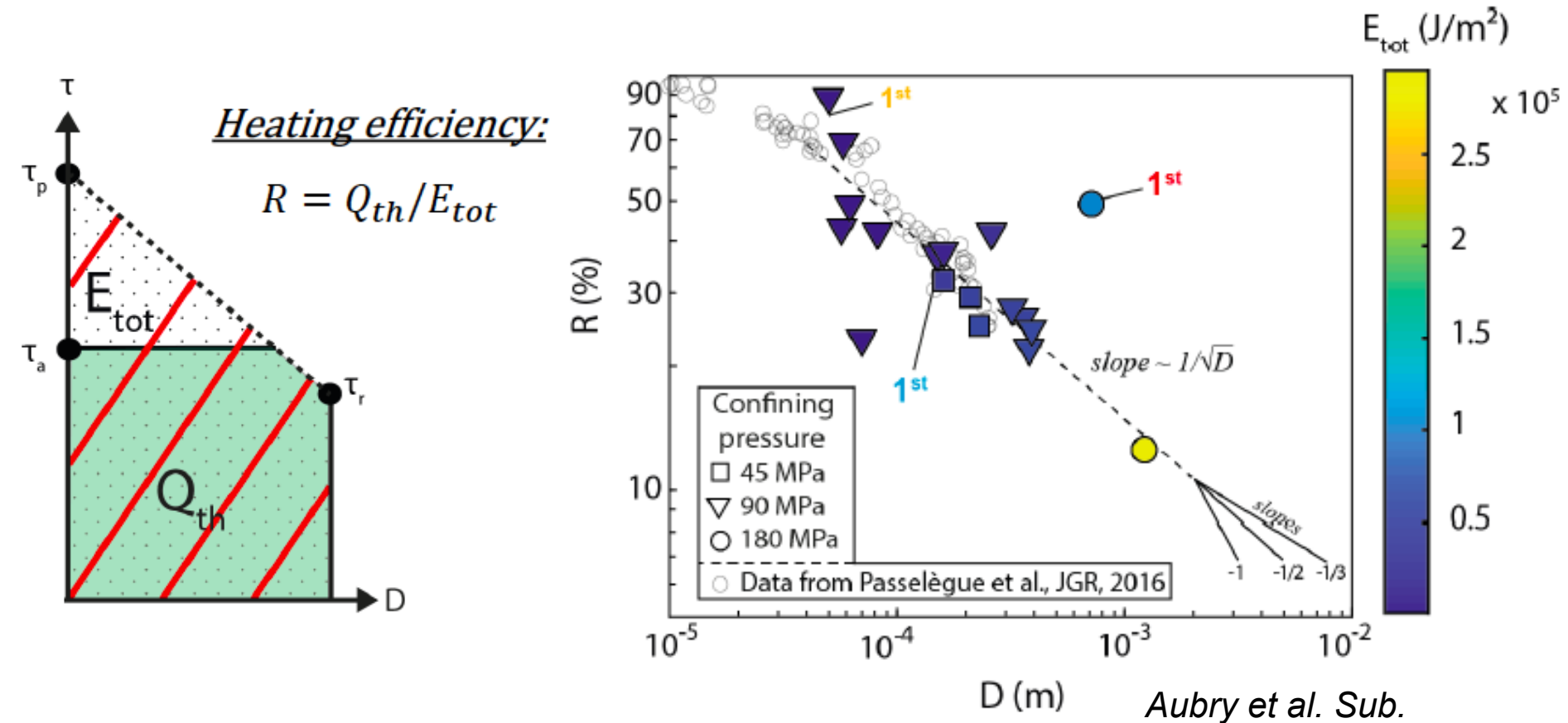
180 MPa



*Fully molten*

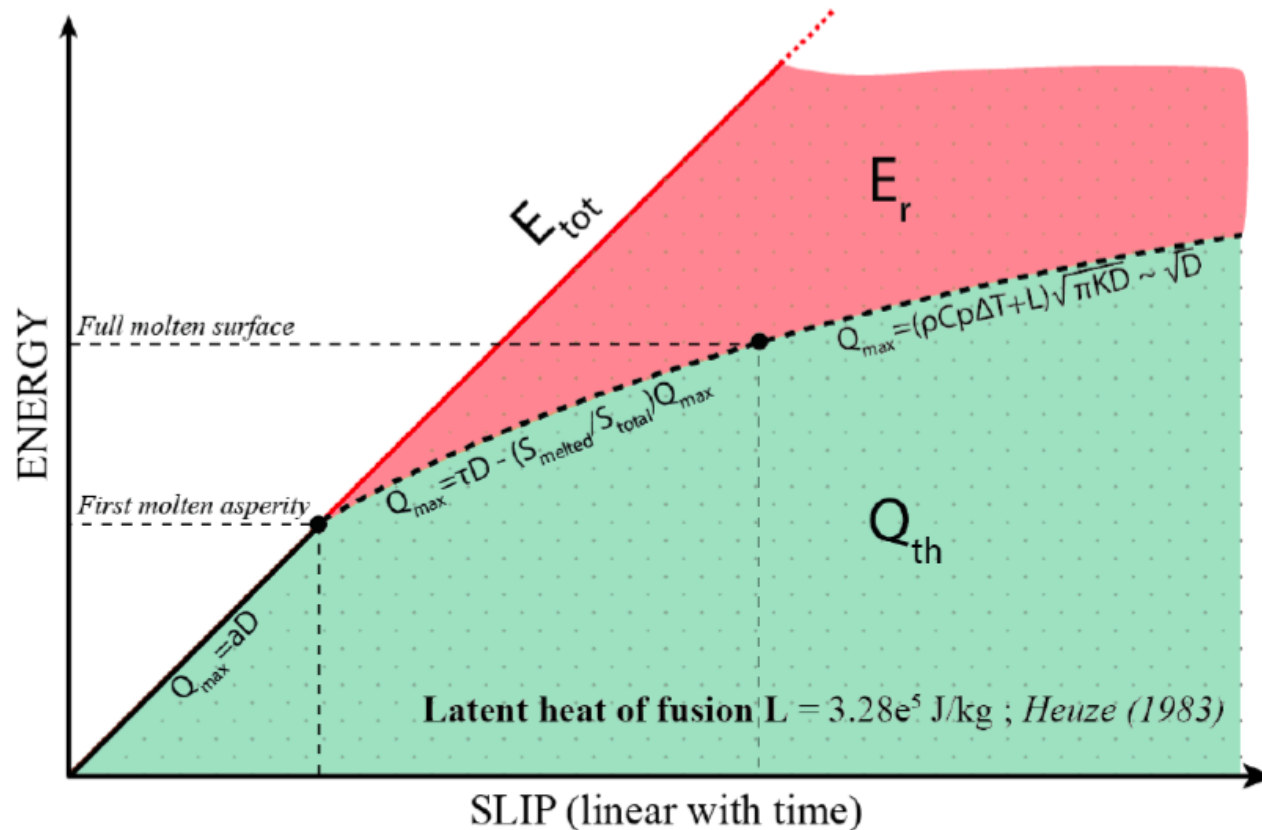
*Aubry et al. Sub.*

# Heating efficiency



**When looking at heat, rupture becomes more efficient with increasing sliding**

# Heating efficiency



Rupture becomes more efficient with increasing sliding  
**because heat is bounded**  
by melting (or phase change) temperature and heat diffusion

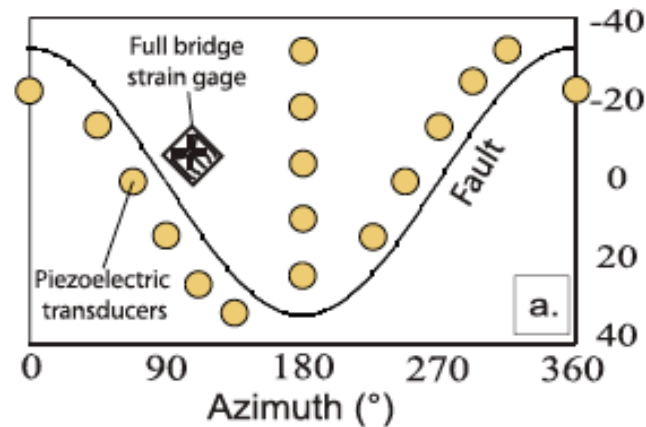
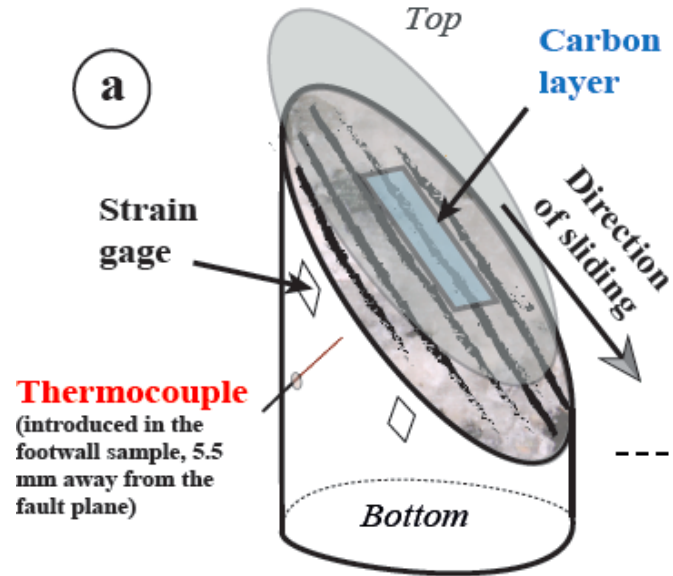
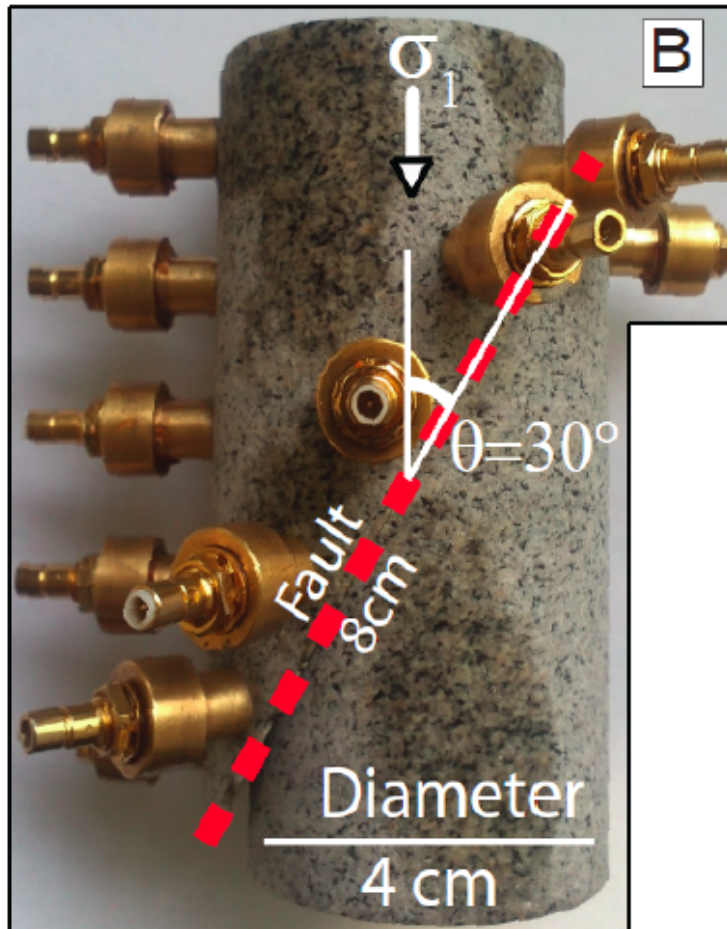
# Outline

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- Fracture energy (breakdown work)
- Heat generation
- Radiation and rupture speed



# Stick-Slip in rocks (exp. set-up)

Saw cut Westerly granite – strain rates: 1-10  $\mu\text{m/s}$



**Foreshocks and off-fault damage:** FF AE Sensors

**Rupture speed:** NF AE sensors

**Fracture energy:** Dyn. Strain gage

**Heat:** Thermocouple and Carbon layer

# Rupture speed

$V_r$  estimated using arrival time of the rupture front passing by each sensor

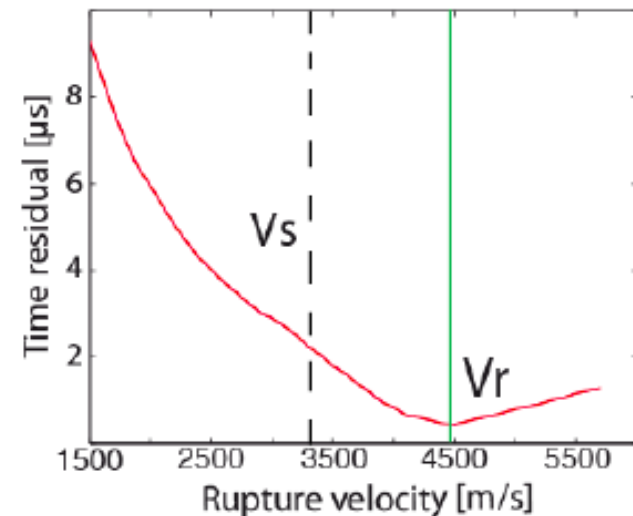
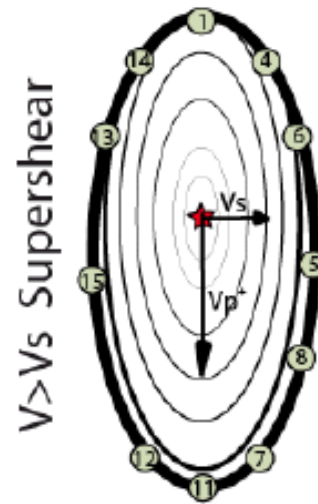
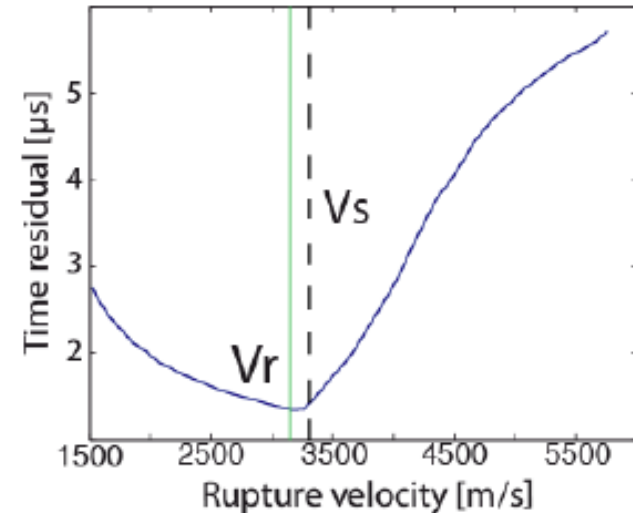
Calculation of the theoretical arrival time on each sensor for

- (i) nucleation point on the fault plane
- (ii) different initiation times
- (iii) different rupture front geometry

$$t^{th} = f(V_r, T_o, x, y)$$

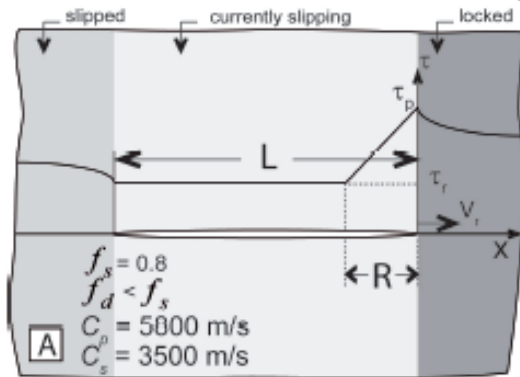
Least square function between experimental and theoretical arrivals time

$$\min \left[ \frac{\sum_N (dt^d - dt^{th})^2}{N} \right] \Rightarrow (x, y, T_o, V_r)$$

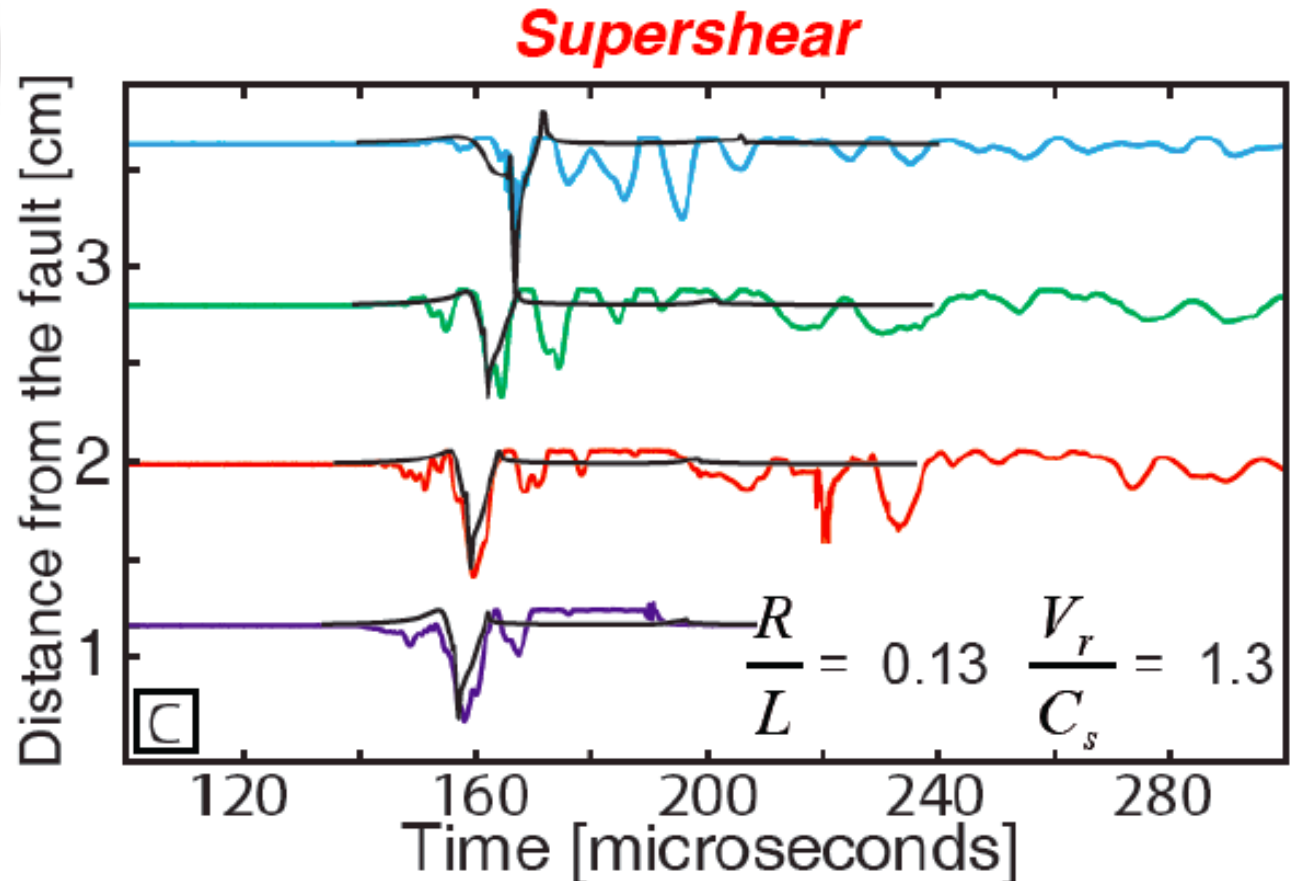




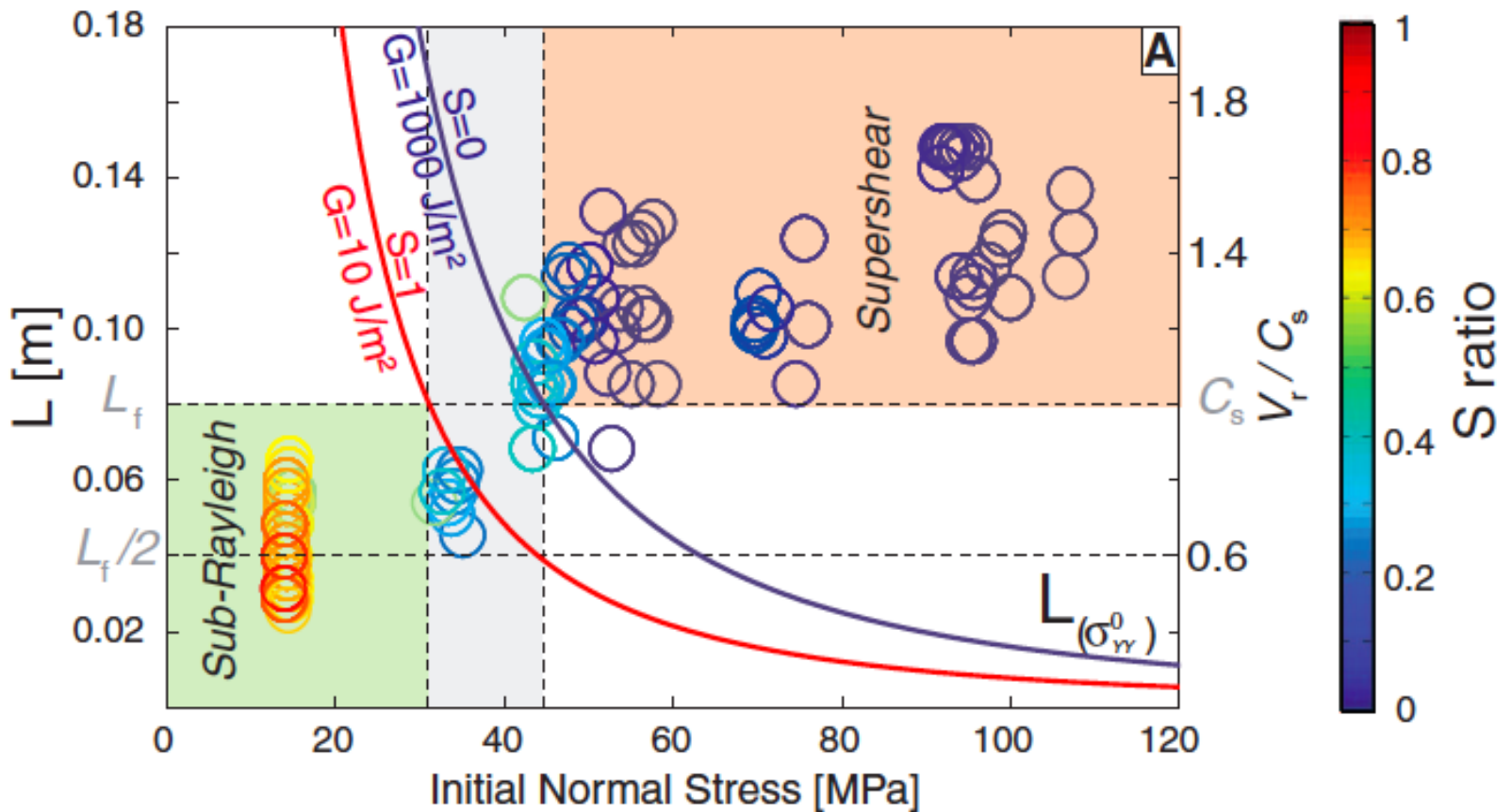
# Comparison with synthetics



2D steady state  
slip pulse model  
(Dunham and Archuleta, 2005)

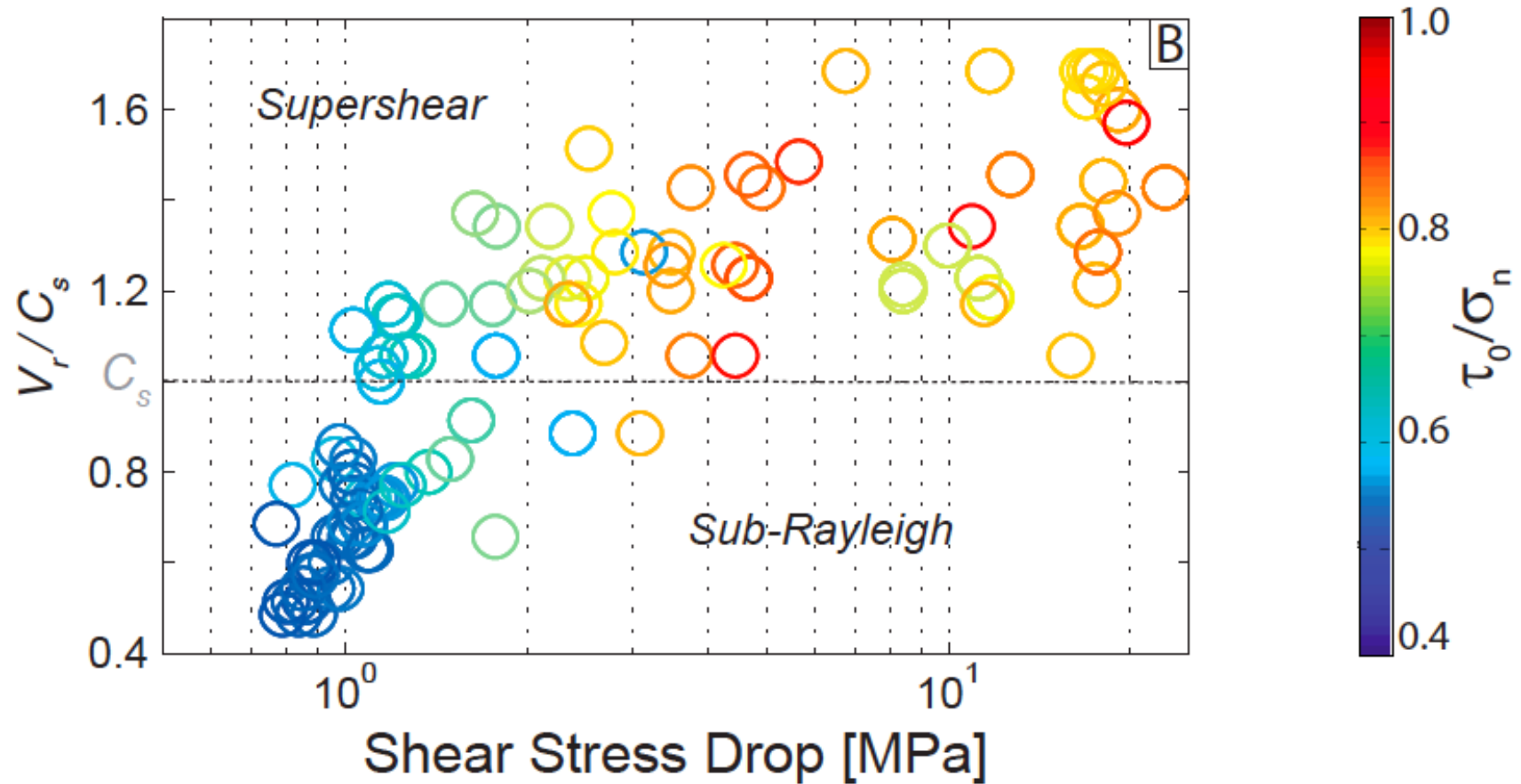


# Rupture speed, S ratio and $l_c$



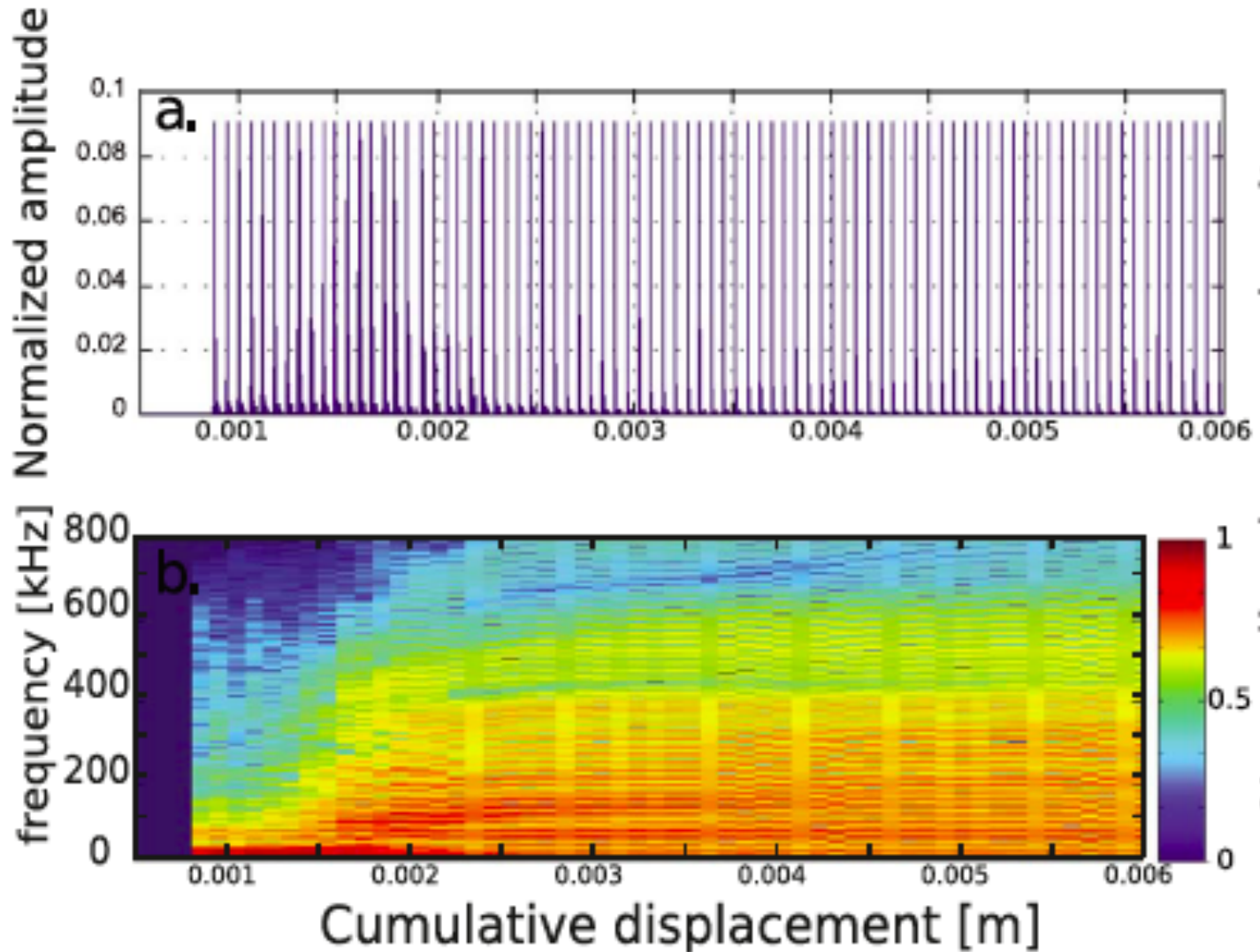
# Stress drop and rupture speed

Typical earthquake and asperity range

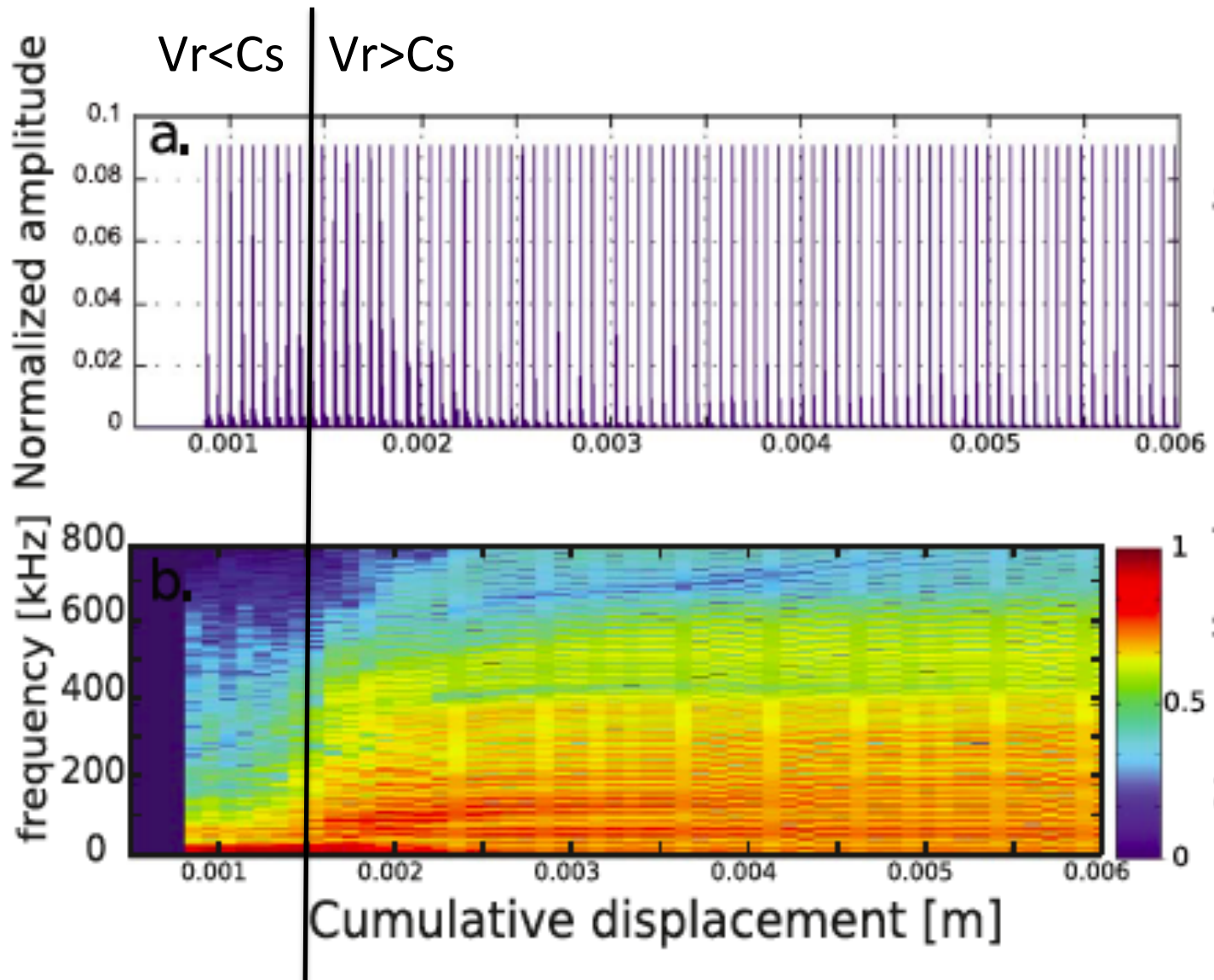


*Passelegue et al, Science 2013*

# Transition from sub-R to supershear HF radiation

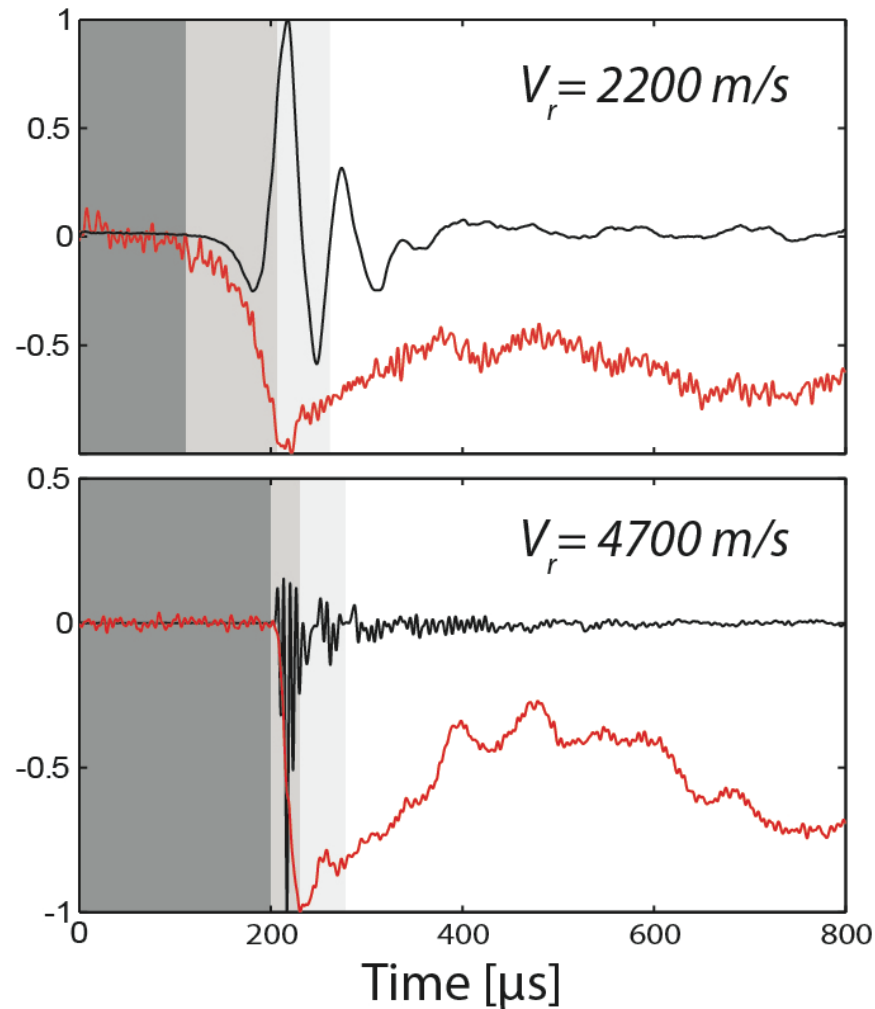


# Transition from sub-R to supershear HF radiation

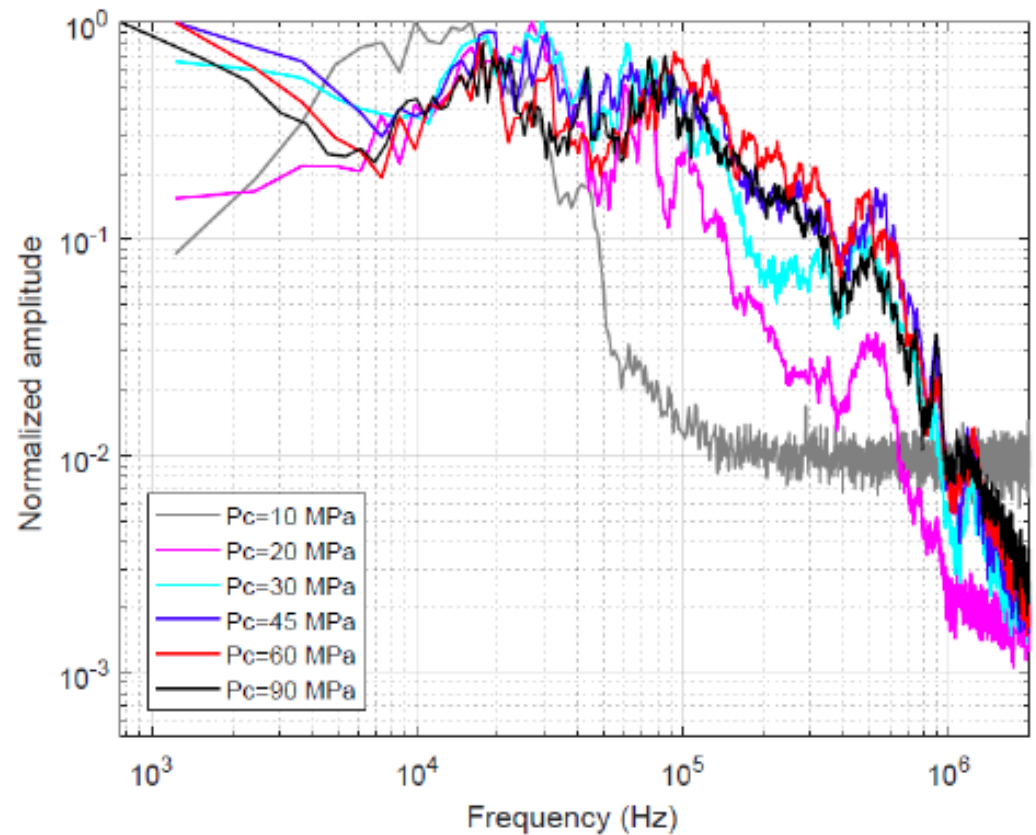
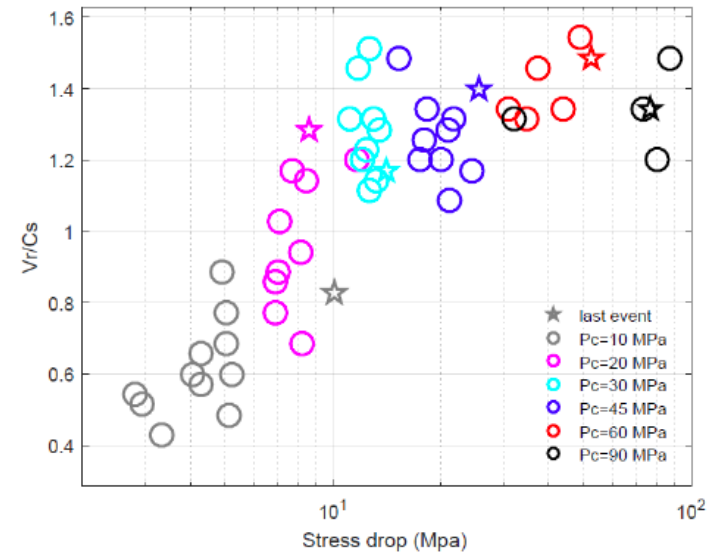


# Rupture velocity and HF radiation

Normalized near field velocity (fault //) records



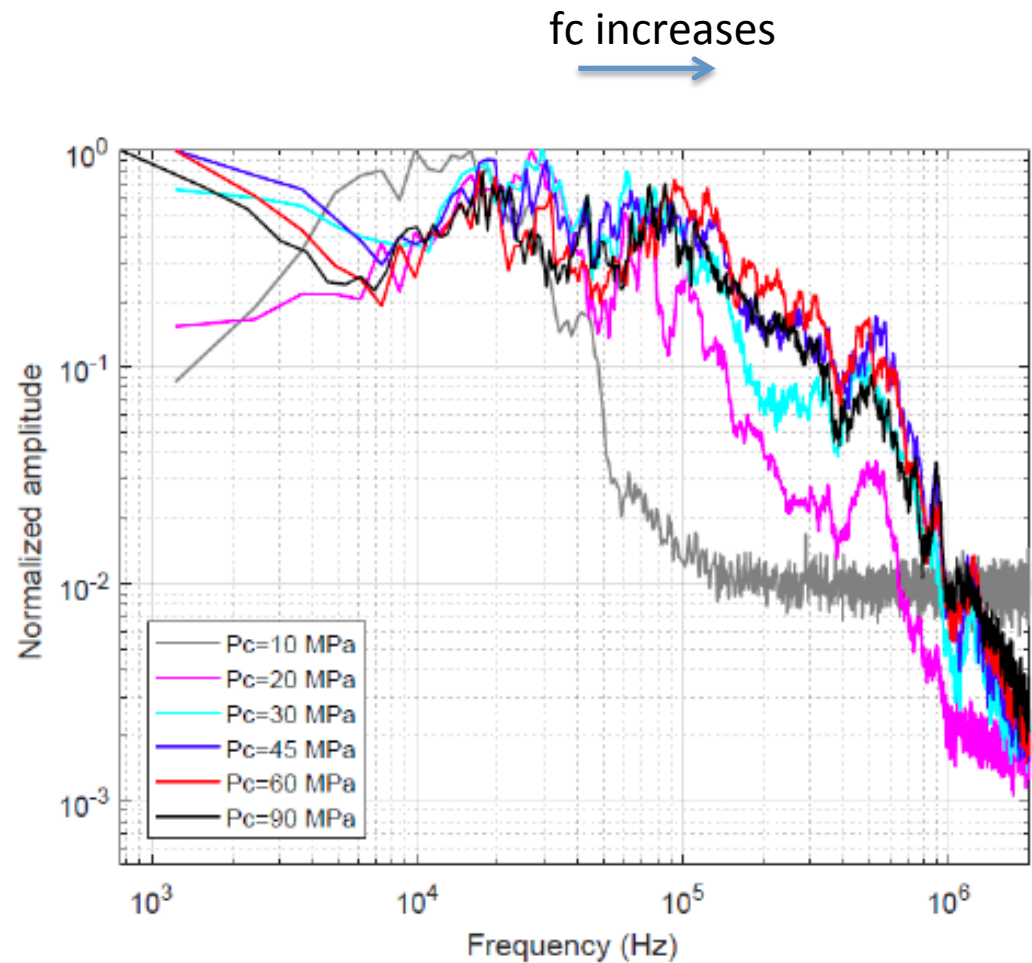
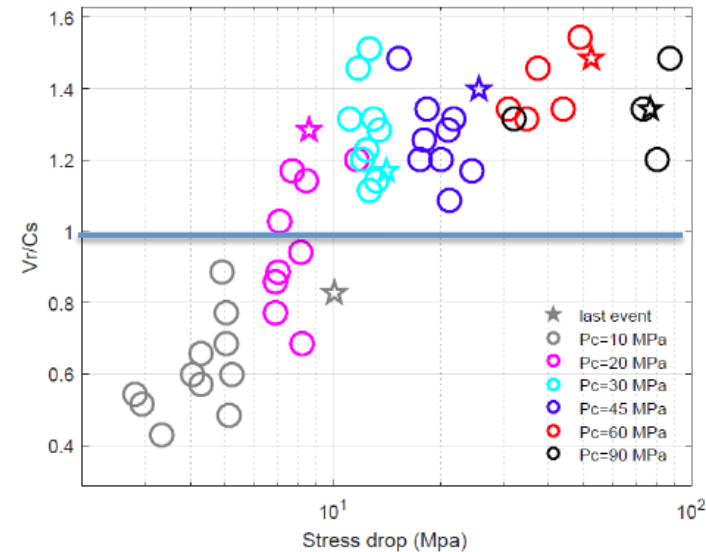
# Off-fault damage (HF) radiation



*Marty et al, in prep.*



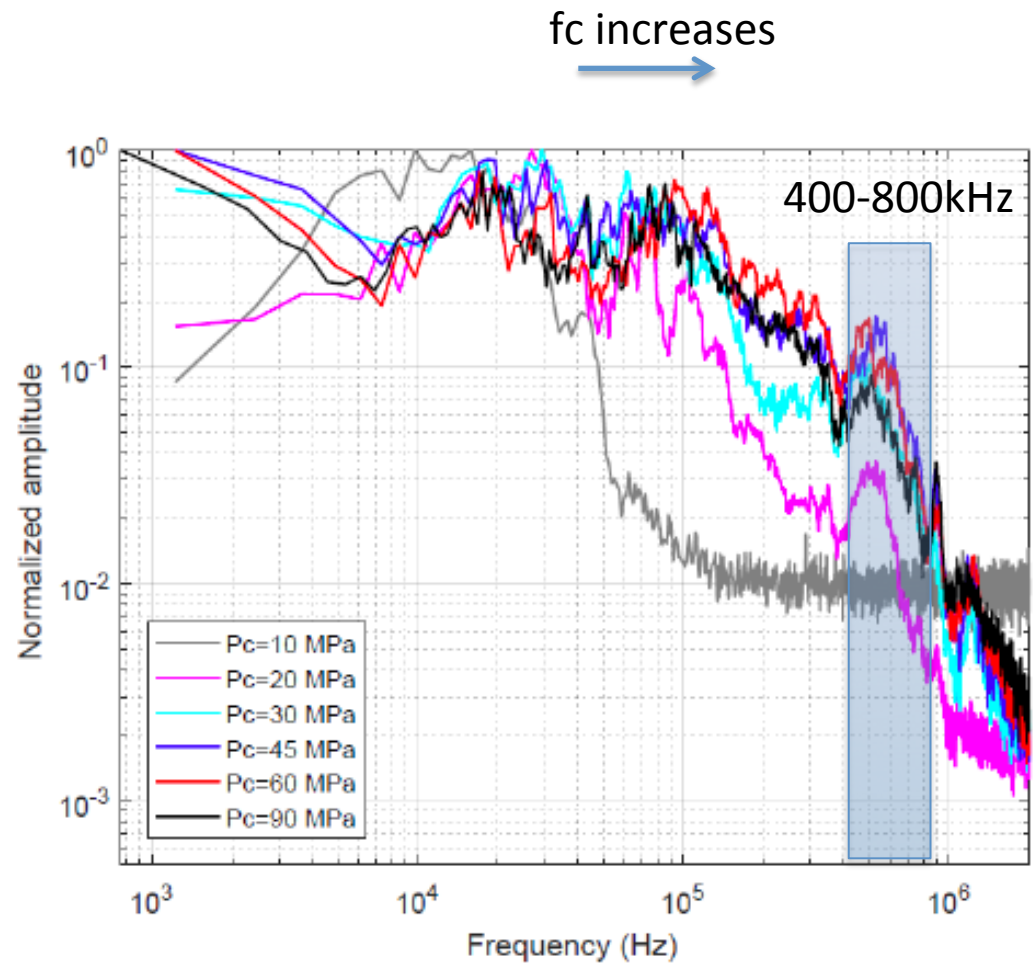
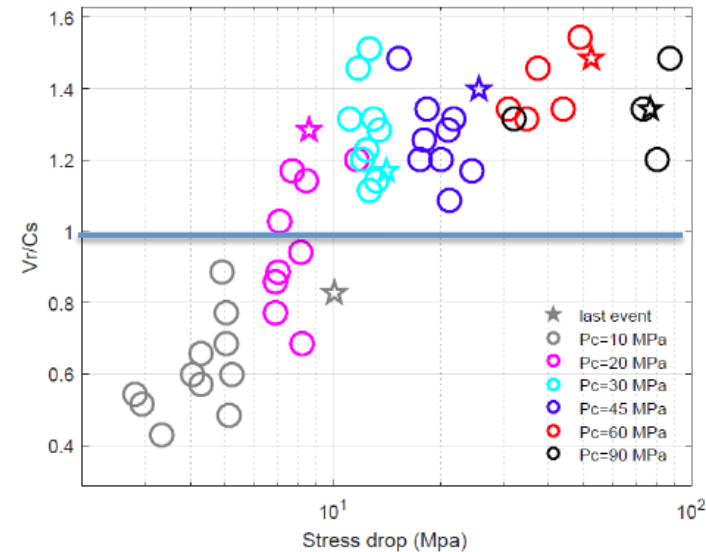
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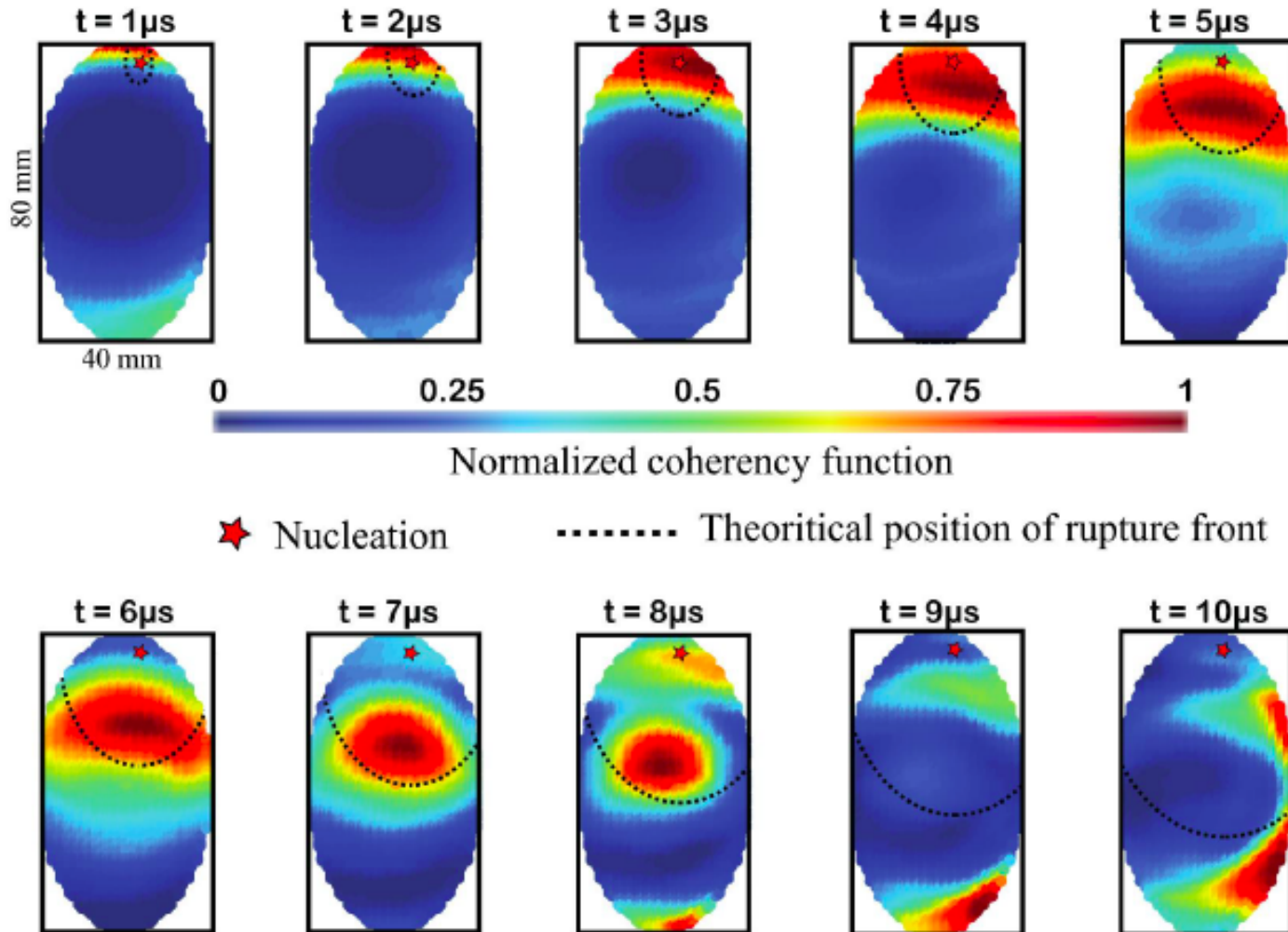


*Marty et al, in prep.*

# Off-fault damage (HF) radiation

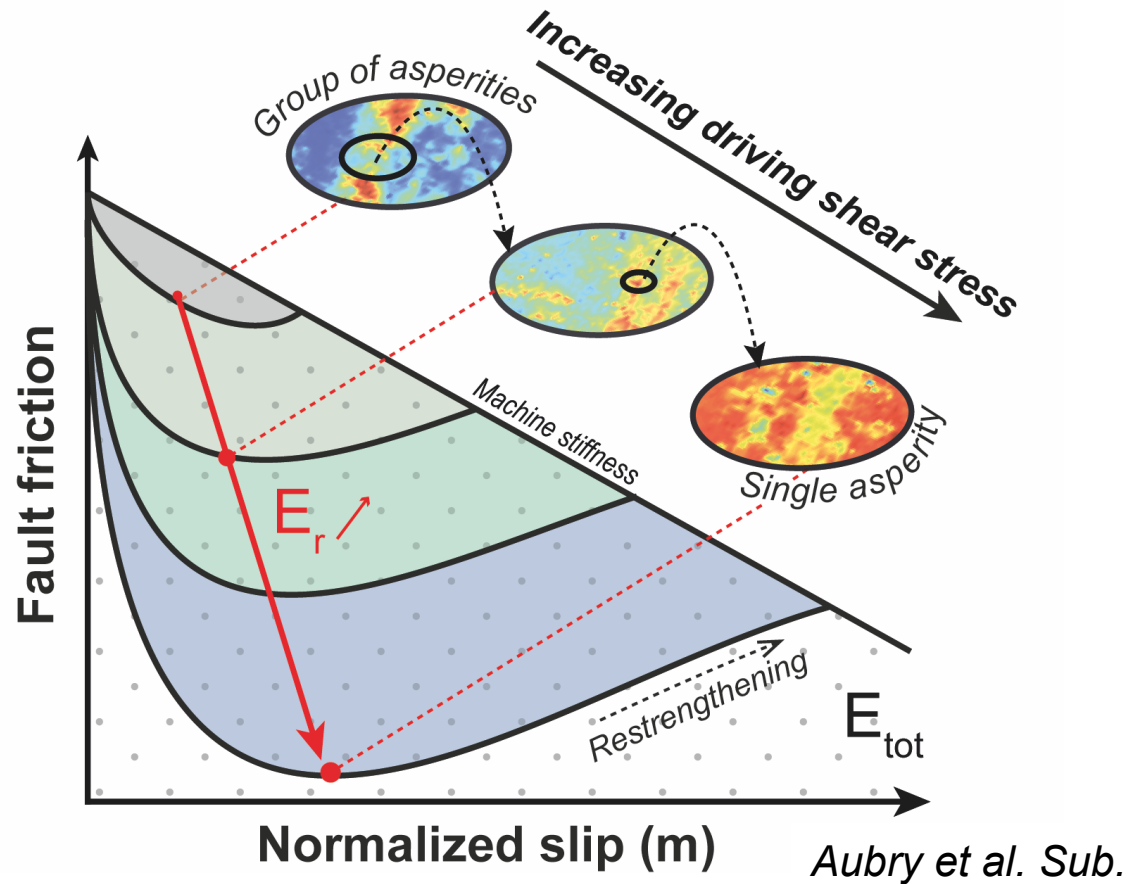
Back projection of the HF content locates at rupture front

Band pass filter 400 kHz - 800 kHz



*Marty et al, in prep.*

# Energy budget - summary



# Conclusions

- **Flash melting on asperities** (sliding velocities  $> \text{m/s}$ ) drives the discrepancy between static and dynamic stress drop and generate **high velocity ruptures**.
- **Fracture energy scales with final slip**, and at large slip, is mainly dissipated into heat (which triggers thermal cracking, so it's a feedback loop), because large slips are accompanied by near-total stress drops.
- **Faster (Supershear) ruptures are accompanied by HF radiation**. Back projection shows that this HF radiation originates at (or close to) rupture front (dynamic off-fault damage triggering and/or breakdown zone).
- **During sliding, heat generation is limited to asperities**. Increasing seismic efficiency corresponds to the transition from the behavior of multiple asperities (low seismic efficiency) to that of a single asperity (high seismic efficiency).

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**AT LABORATORY SCALE  
BUT IN THE RIGHT  $\sigma$ -P-T CONDITIONS**

# Thanks for your attention!

Thanks to:

@ ENS: **Samson Marty, Jérôme Aubry**, Blandine Gardonio, Harsha Bhat, Raul Madariaga

@ EPFL: **François Passelègue**

@ IPGP: Frédéric Girault, Javier Escartin, Claudio Satriano

@ Durham U.: Stefan Nielsen

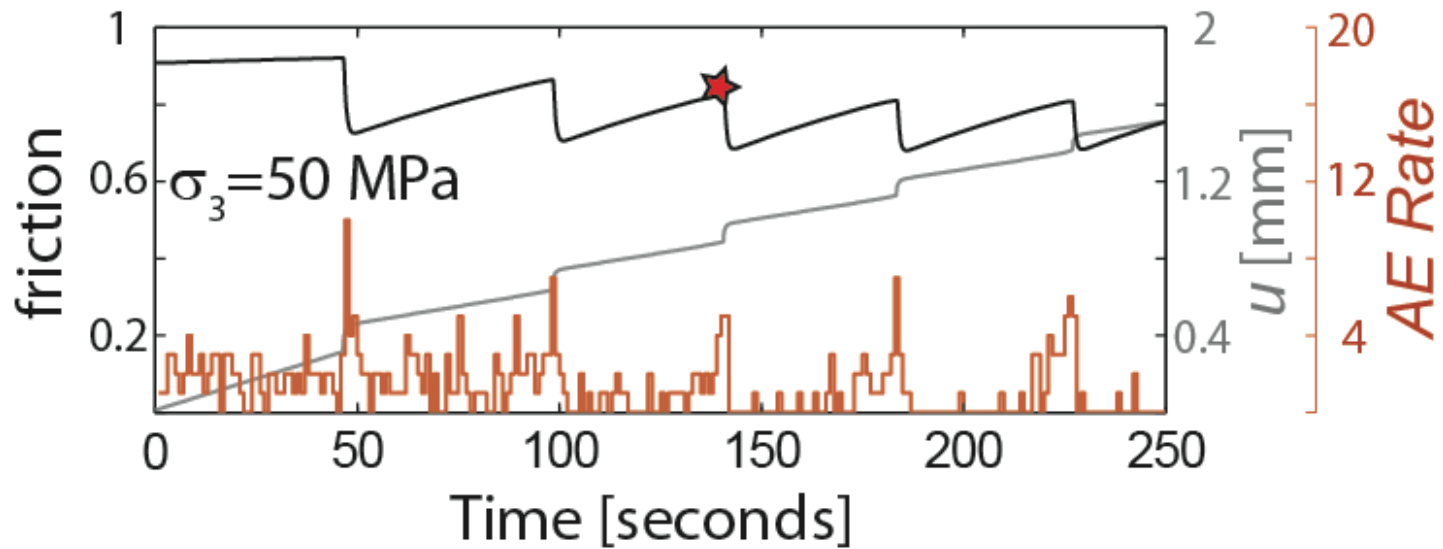
Funding agencies:



**European Research Council**

Established by the European Commission

# Precursors to Stick-Slip

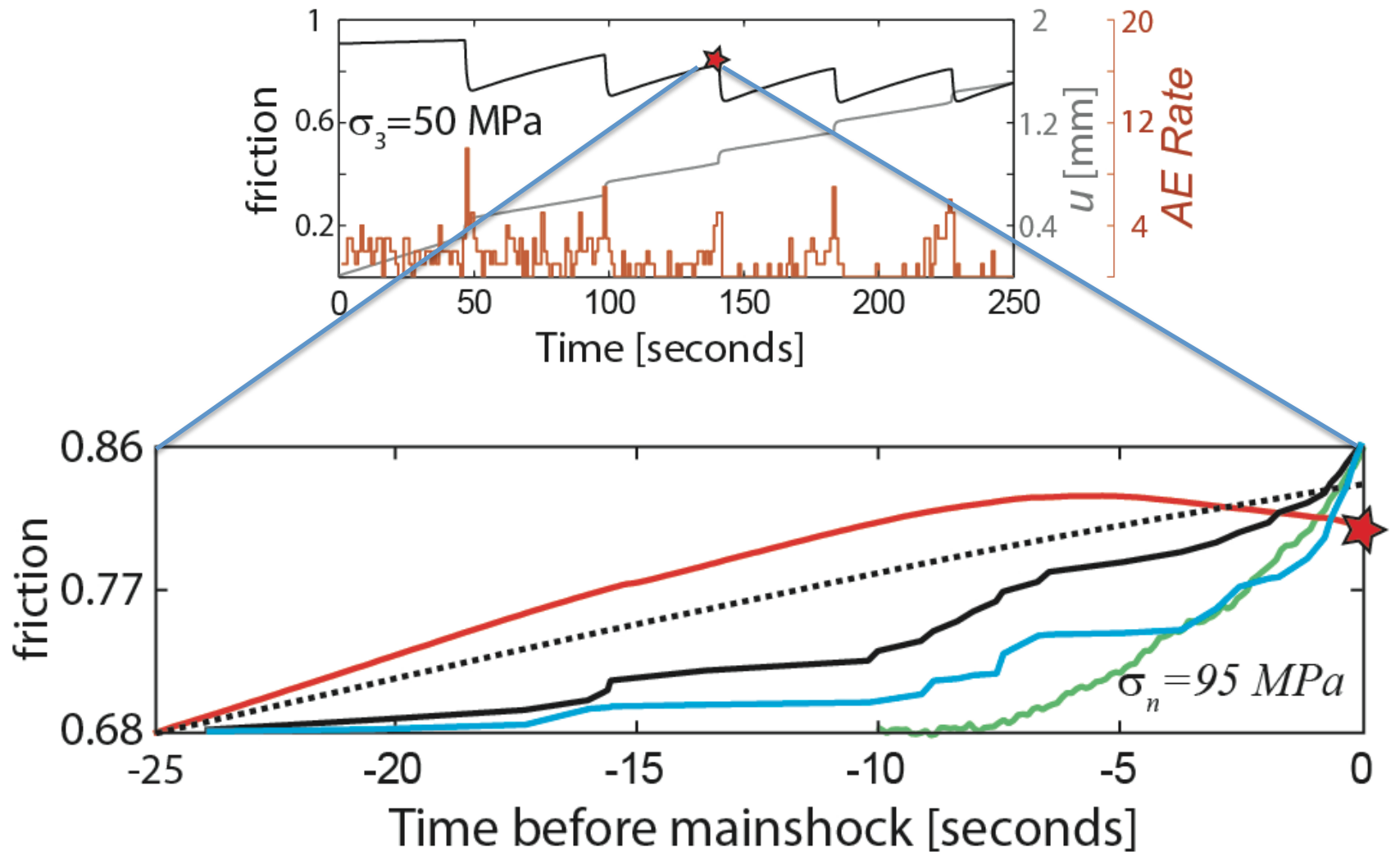




# Outline

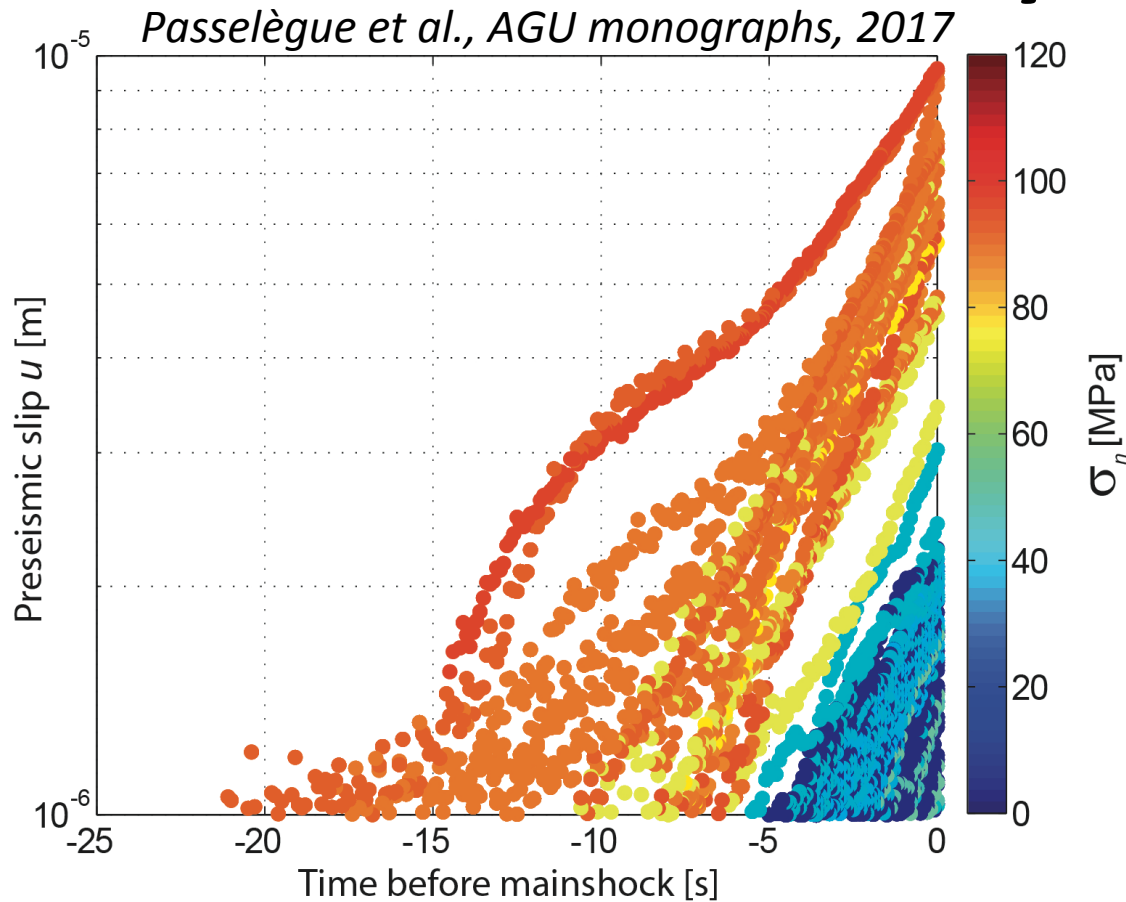
- EQs in the lab.
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- Rupture Speed and off-fault damage
- Fracture energy (breakdown work)
- Heat generation

# Precursors to Stick-Slip



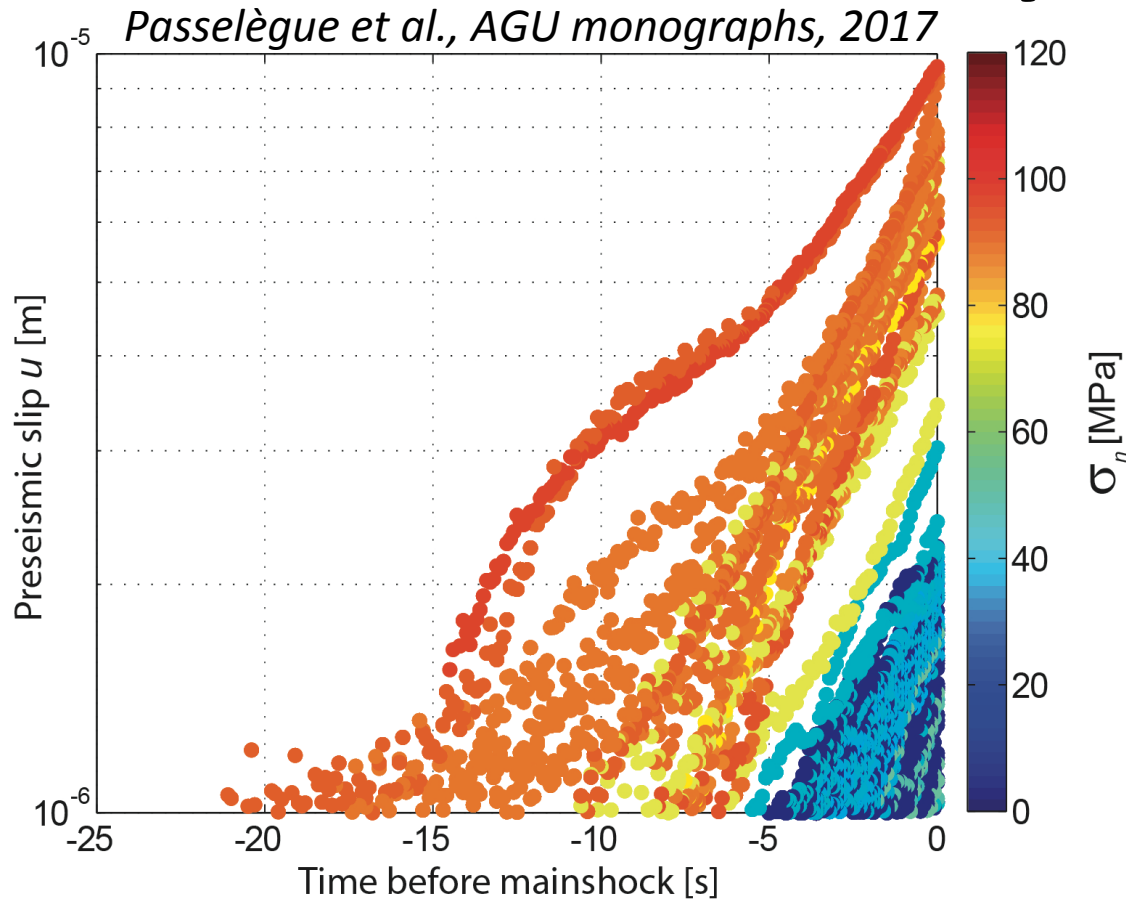
*Passelègue et al., AGU monographs, 2017*

# Precursory slip



$$u(t) = u_c e^{\frac{t}{t_c}}$$

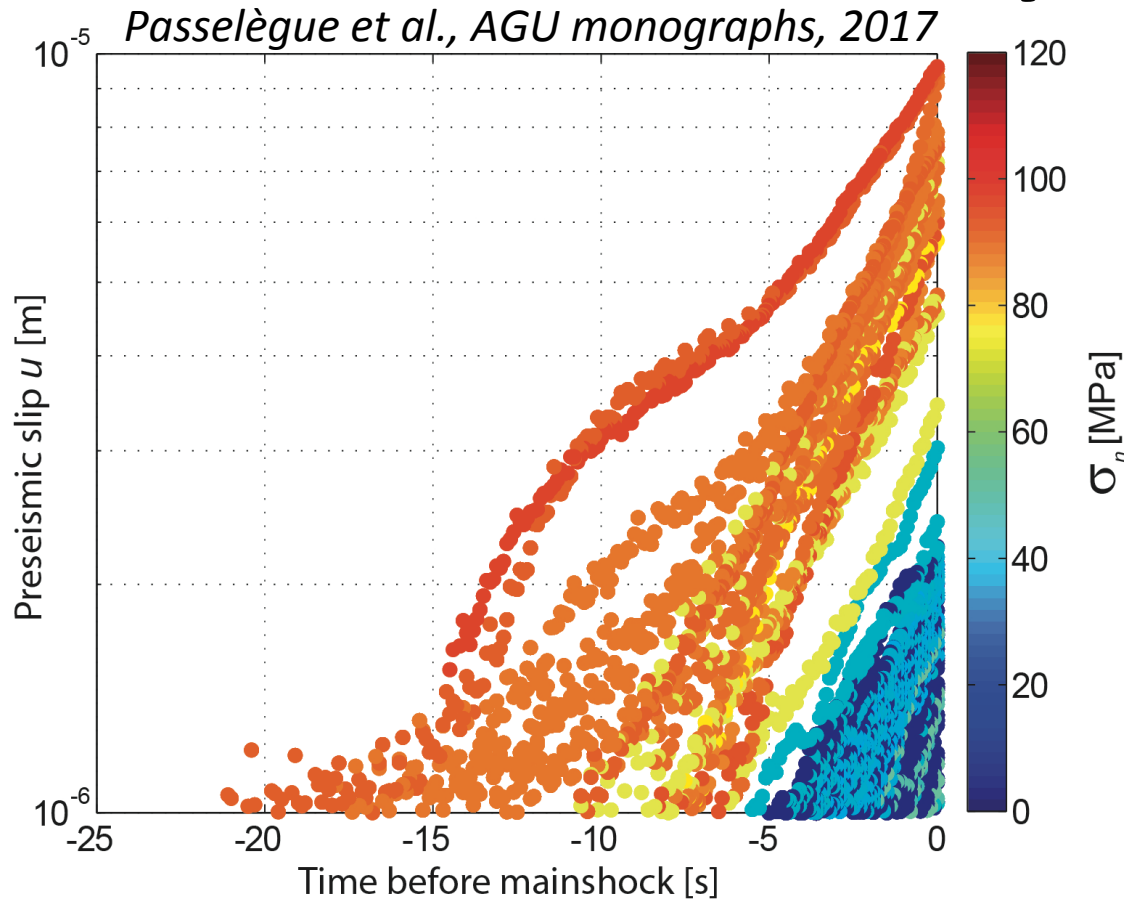
# Precursory slip



$$u(t) = u_c e^{\frac{t}{t_c}}$$

Here  $t_c \approx \text{cst} \approx 7\text{s}$

# Precursory slip



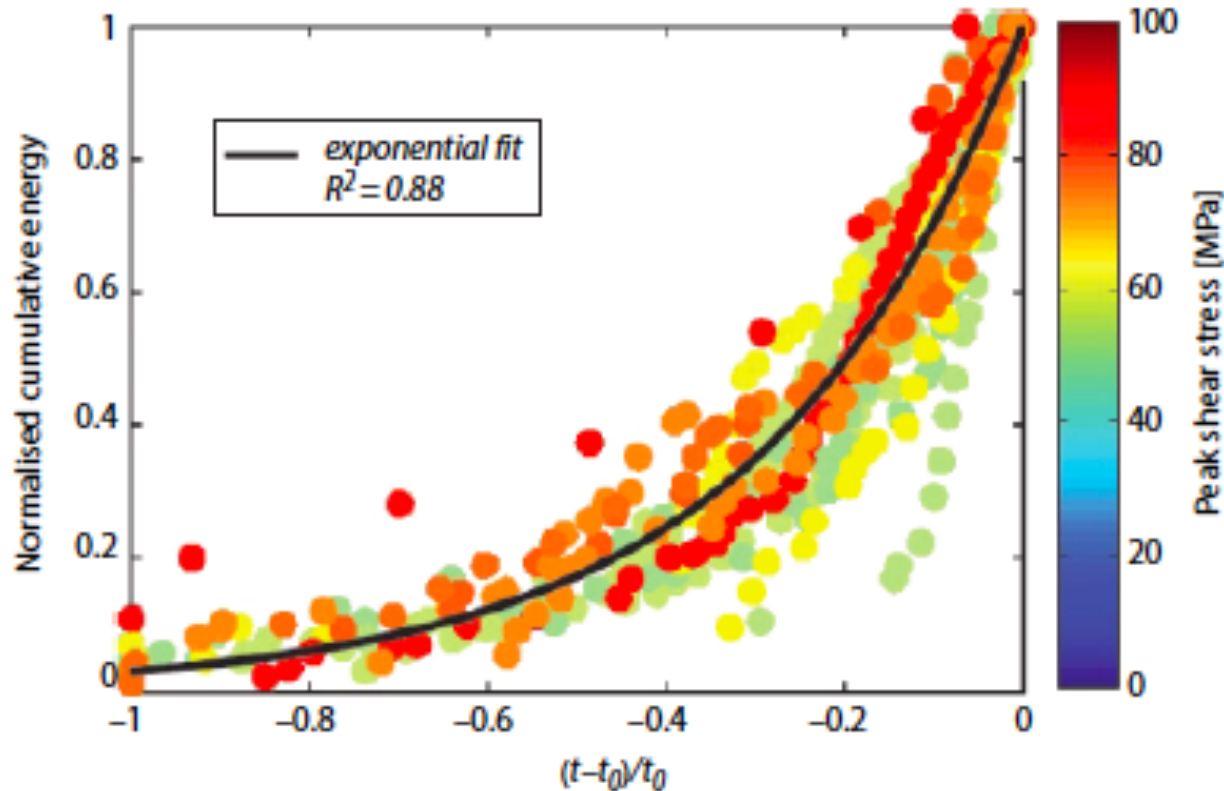
$$u(t) = u_c e^{\frac{t}{t_c}}$$

Here  $t_c \approx \text{cst} \approx 7\text{s} \approx \frac{k\mu}{p_0} \frac{D_c}{f_s - f_d} = L_0/v_{r0}$

*From Latour et al., 2013*

# Foreshock dynamics

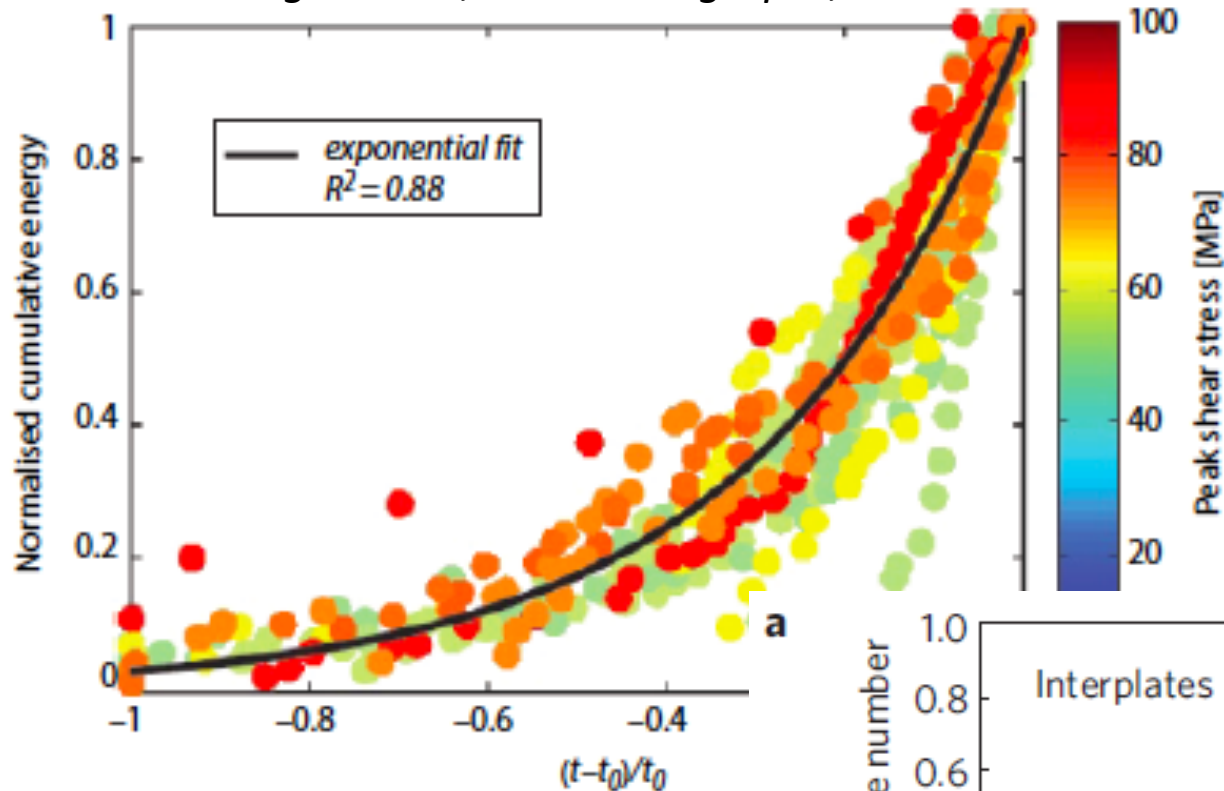
*Passelègue et al., AGU monographs, 2017*



$$\frac{M(t)}{M_p} = e^{\alpha \frac{(t-t_0)}{t_0}}$$

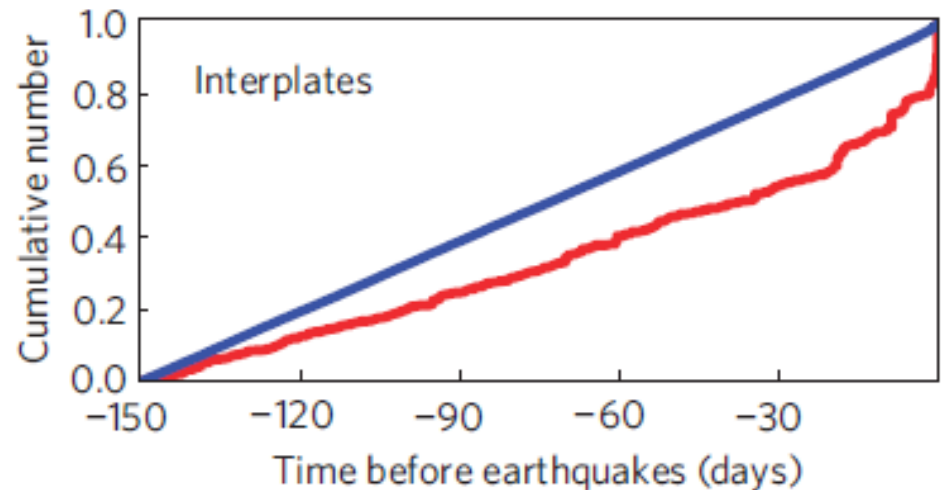
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*Passelègue et al., AGU monographs, 2017*



$$\frac{M(t)}{M_p} = e^{\alpha \frac{(t-t_0)}{t_0}}$$

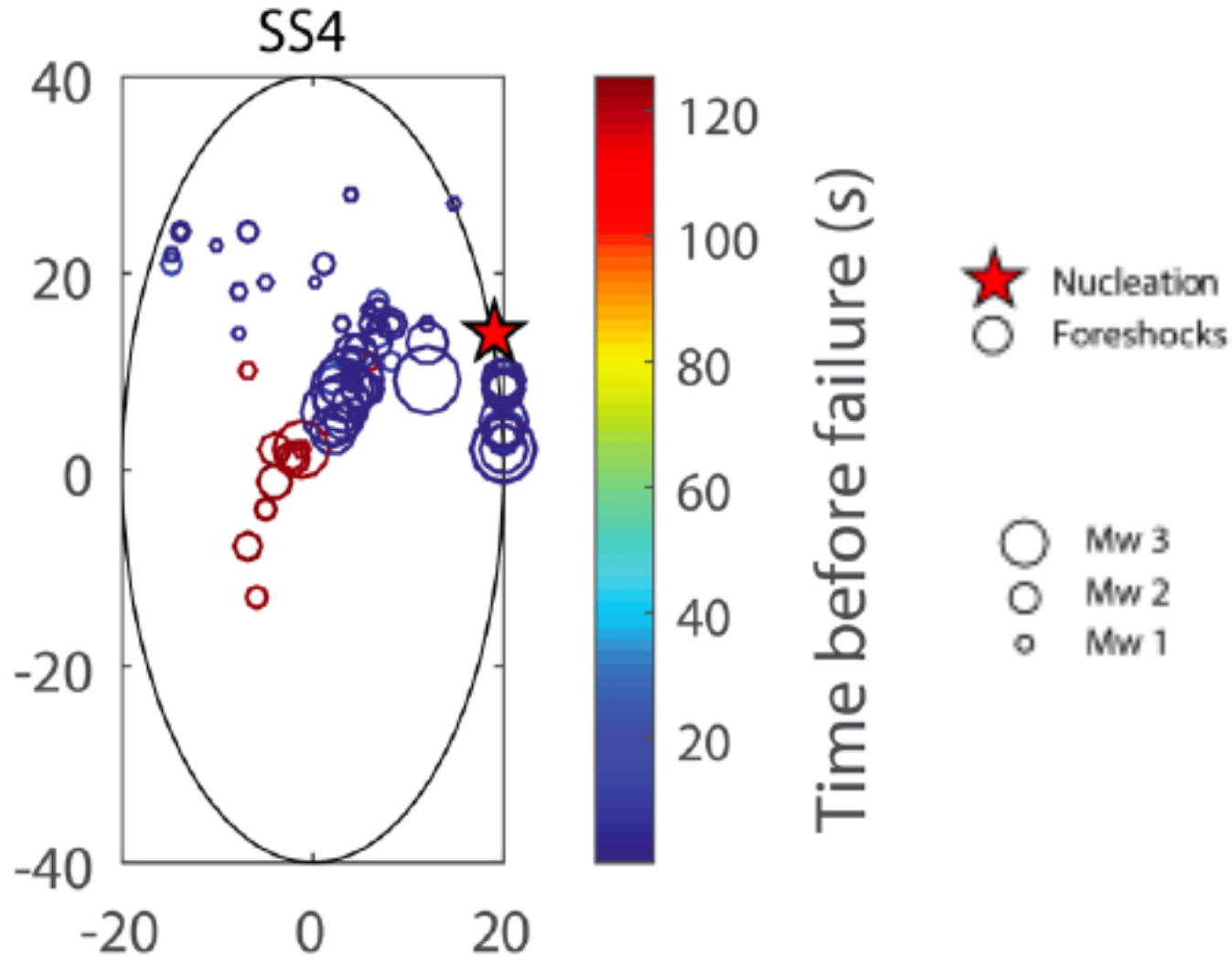
Similar to  
*Bouchon et al., 2013*





# Foreshock dynamics

- Foreshocks are spatially and temporally correlated

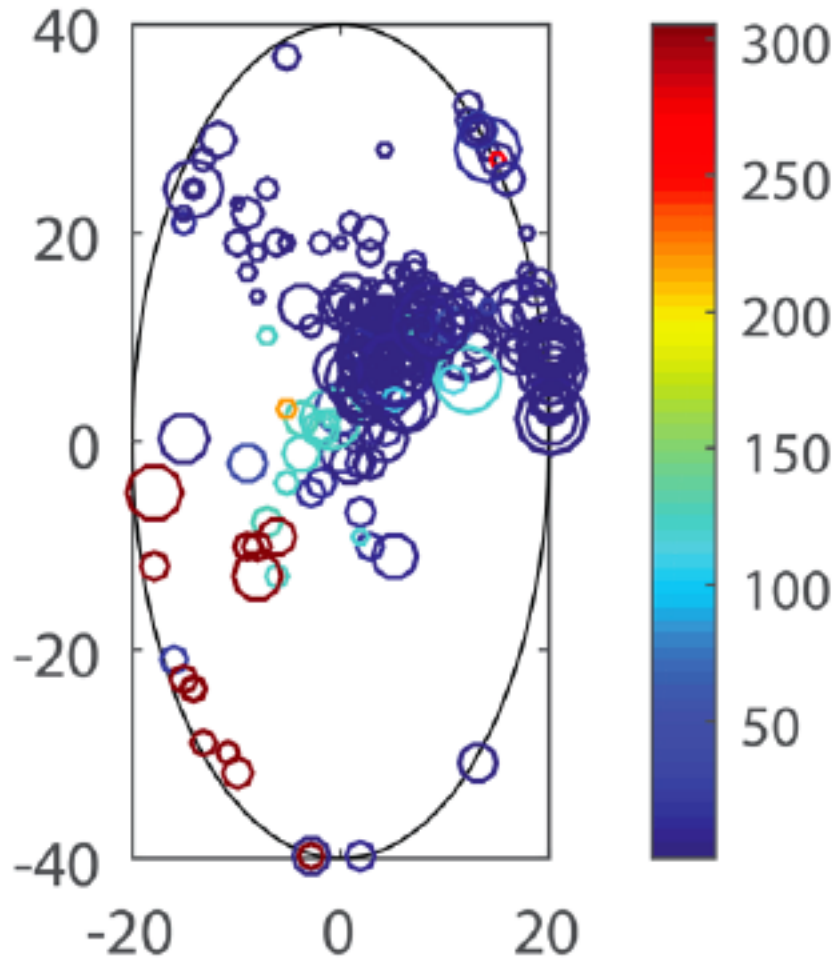


*Marty et al. AGU, 2017*

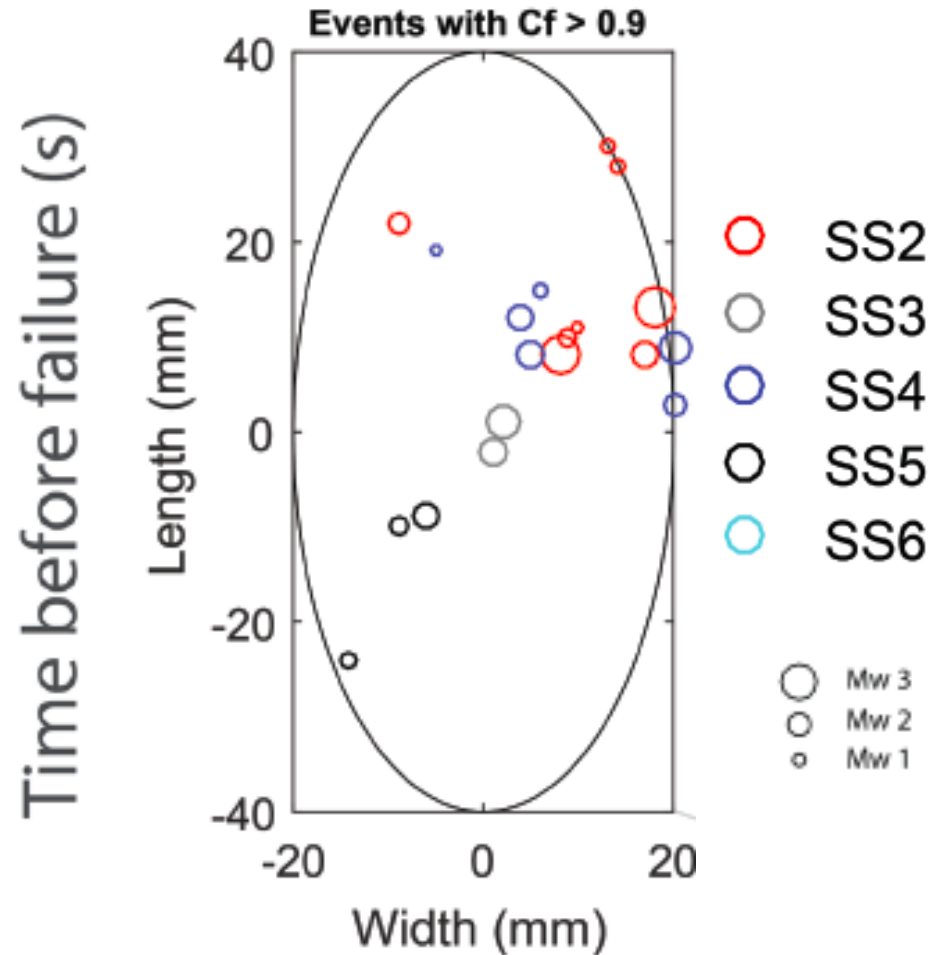
# Foreshock dynamics

- Life of a single asperities during and over several cycles

All foreshocks



Repeaters/similar family of events

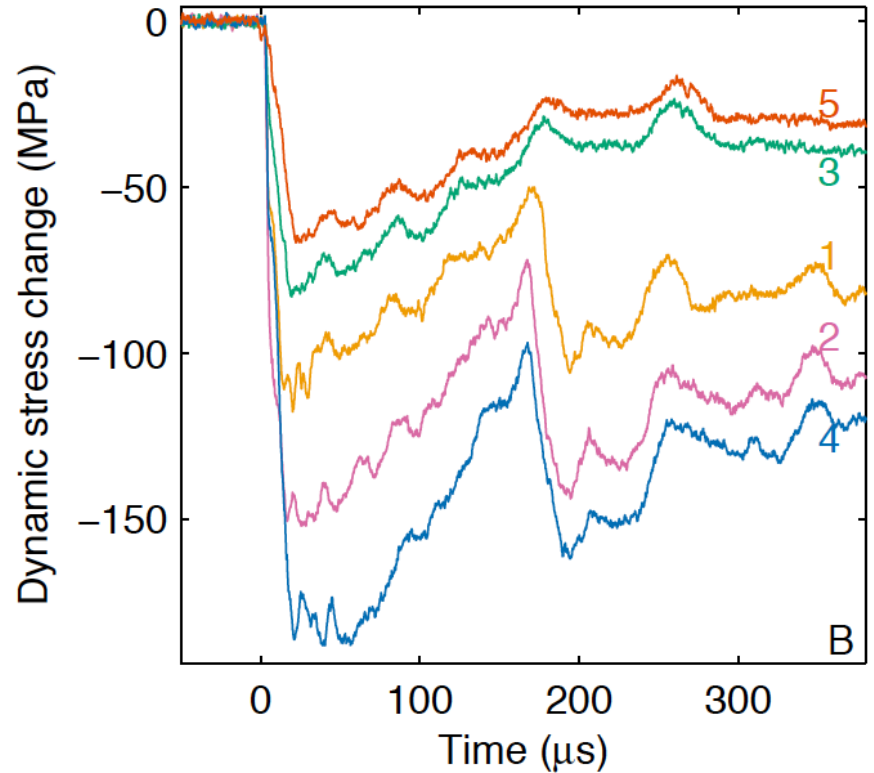
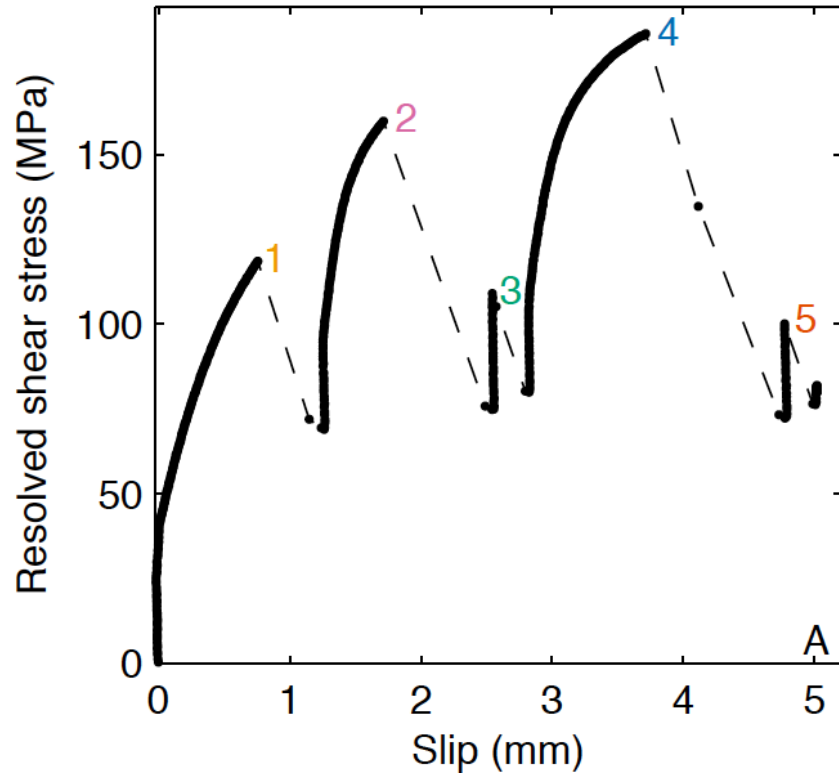


# Outline

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- Precursory processes to Stick-slip
- Rupture Speed and off-fault damage
- Fracture energy (breakdown work)
- Heat generation
- Mineral coupling

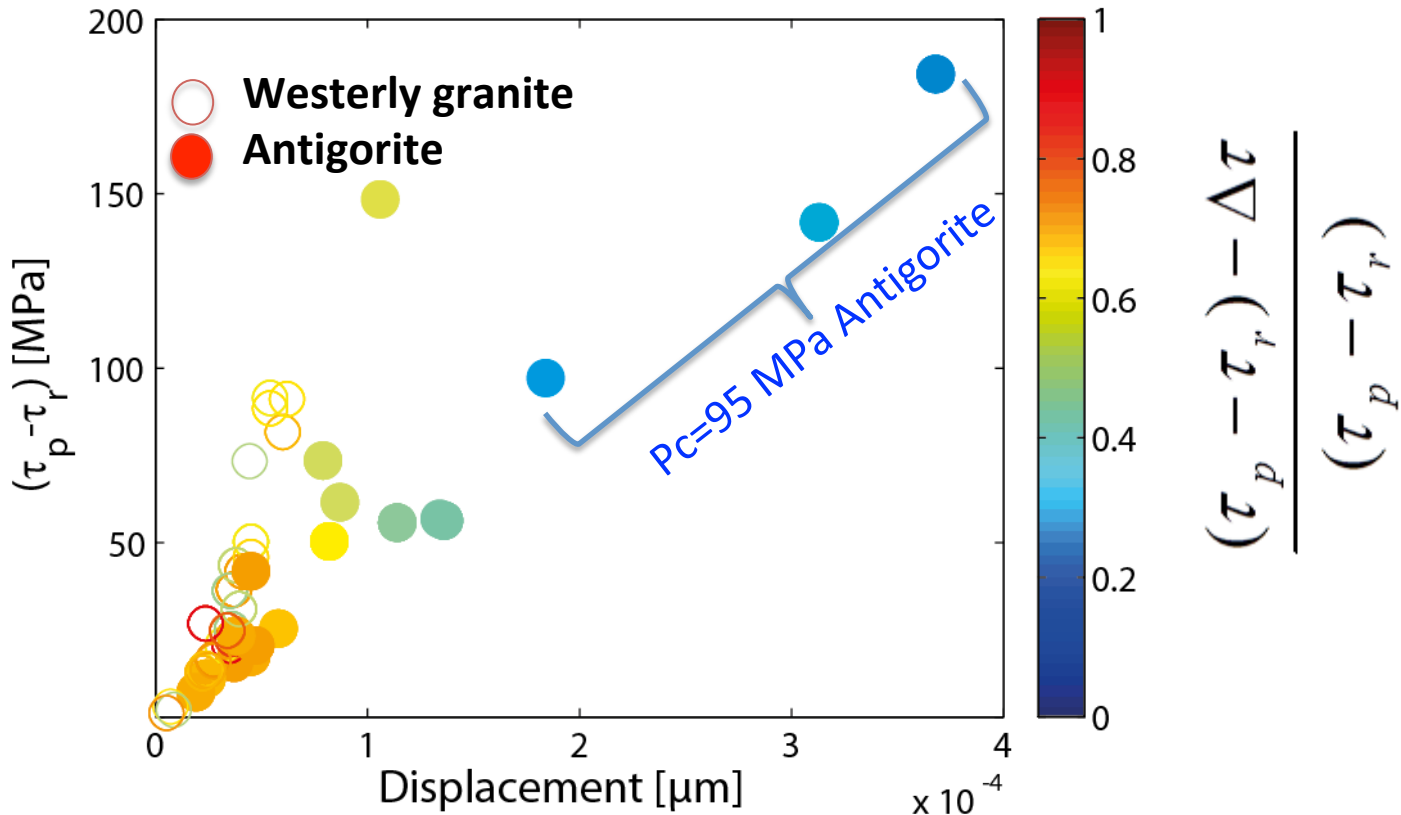
# Mineral coupling

Saw cut Alpine Corsica Serpentine ( $\approx 100\%$  antigorite,  $P_c = 95\text{ MPa}$ )



*Brantut et al., Geology 2016*

# Mineral coupling



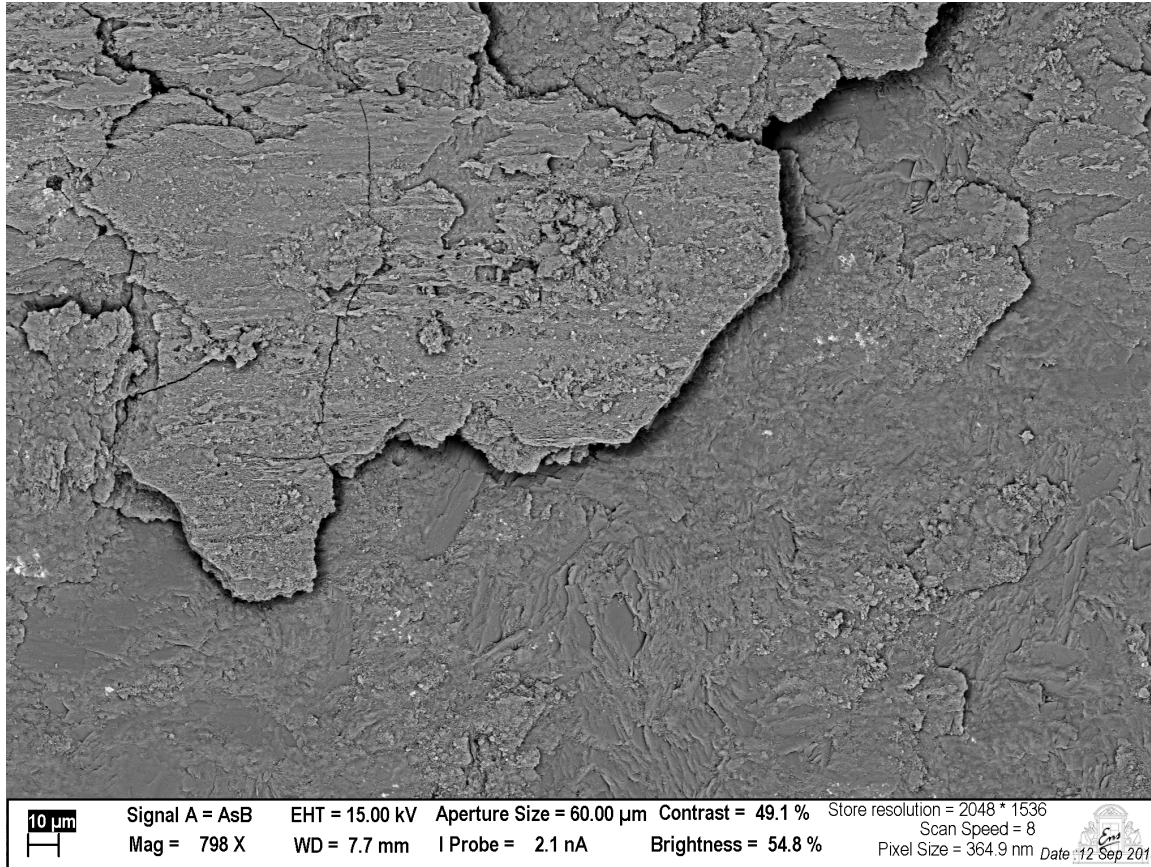
Displacement scales with stress drop

$$\Delta\sigma \approx C\mu D / L$$

# Mineral coupling

## Fault surface and fault gouge

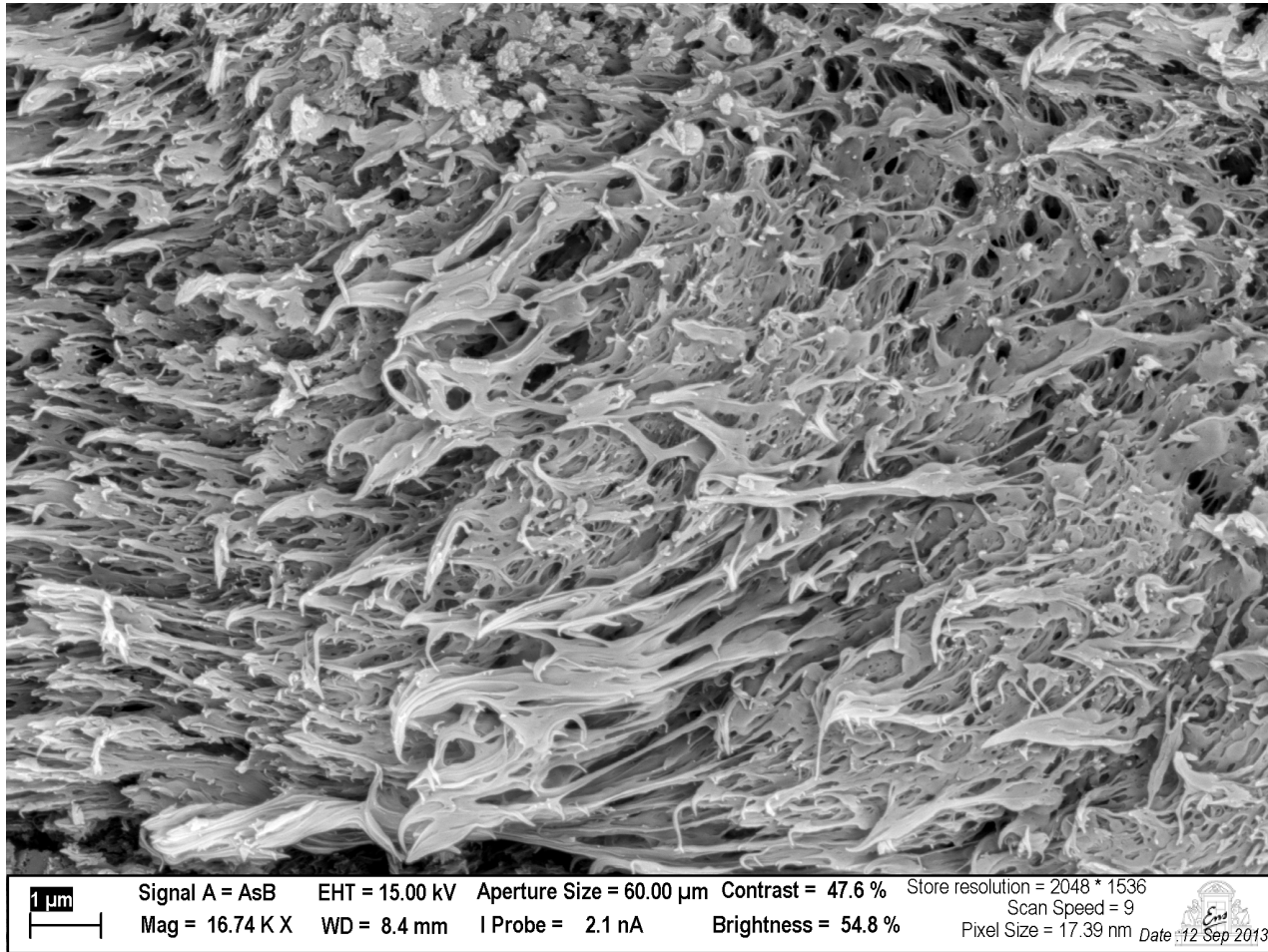
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