

Istituto Nazionale di Fisica Nucleare Laboratori Nazionali del Gran Sasso

COSMIC-RAY PROPAGATION IN EXTRAGALACTIC SPACE AND SECONDARY MESSENGERS

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Lecture I: General introduction • UHECR protons







MAIN REFERENCES

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- V. Berezinsky, A.Z. Gazizov& S.I. Grigorieva, "Dip in UHECR spectrum as signature of proton interaction with CMB", Phys.Lett.B 612 (2005) 147-153
- V. Berezinsky, A.Z. Gazizov & S.I. Grigorieva, "On astrophysical solution to ultra-high energy cosmic rays", *Phys.Rev.D* 74 (2006) 043005
- R. Aloisio, V. Berezinsky, P. Blasi, A.Z. Gazizov & S.I. Grigorieva, "A dip in the UHECR spectrum and the transition from galactic to extragalactic cosmic rays", Astropart. Phys. 27 (2007) 76-91

• For a general introduction to the state of the art about UHECRs: arxiv: 2205.05845



INTRODUCTION





THE COSMIC-RAY ENERGY SPECTRUM

- Collection of measurements, indicating a power-law spectrum, with a few changes of spectral index
- Focus on UHE particles
 - above 10¹⁷ eV, 8 orders of magnitude larger than the rest mass of the proton... relativistic particles!
 - "Ankle", suppression at the highest energies

- Where do UHECRs come from?
- How are they accelerated to such high energies?
- What is the chemical composition of UHECRs?
- What is the origin of the changes in the spectral index?
- What do we learn about cosmic rays and their sources from current measurements?



MEASUREMENTS AT UHE EXTENSIVE AIR SHOWERS



- Cosmic-ray induced cascade of particles in the atmosphere: Extensive Air Shower (EAS)
 - Electromagnetic component
 - Muonic component
 - Hadronic component



MEASUREMENTS AT UHE PARTICLE DETECTOR ARRAYS





- Set of detectors arranged in a regular pattern
- Showers detected by searching for time coincidences of signals in neighbouring stations
- Depending on the energy range of interest, the distance between the detector stations can vary from tens of m to km







MEASUREMENTS AT UHE FLUORESCENCE DETECTORS



- Nitrogen molecules in the atmosphere are excited by charged particles in the shower
- emission



• De-excitation and change of vibrational and rotational states of the molecules lead to fluorescence



MEASUREMENTS AT UHE



Telescope Array (TA) Delta, UT, USA 507 detector stations, 680 km² 36 fluorescence telescopes

Pierre Auger Observatory

Province Mendoza, Argentina 1660 detector stations, 3000 km² 27 fluorescence telescopes





- Transition from Galactic to extragalactic cosmic rays

STATE-OF-THE-ART



The Pierre Auger Collaboration, ICRC 2017-2019



- Increase of mass with energy
- Hadronic interactions (?)
- Proton fraction at high energy (?)

• More details about mass composition in Lecture 2





 > 6sigma measurement of large scale dipole anisotropy above 6 EeV

-> evidence of extragalactic origin of UHECRs above this threshold

STATE-OF-THE-ART arxiv: 2205.05845

Starburst galaxies (radio) - expected $\Phi(E_{Auger} > 38 \text{ EeV})$ [km⁻² sr⁻¹ yr⁻¹]



• 4sigma significance correlation of UHECR events with starburst galaxies

• Magnetic deflections?

STATE-OF-THE-ART

Connections with other messengers

arxiv: 2205.05845

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THE COSMIC-RAY ACCELERATORS

 $\varepsilon = L_{\rm CR} n$

 Required energy budget to produce observed UHECRs

- Extragalactic propagation of UHECRs
 - Features of UHECR spectrum
 - UHECR astronomy (?)
 - Connection to other messengers
- UHECR interactions (in-source and propagation)

RATES OF INTERACTIONS

INGREDIENT (I): ASTROPHYSICS

- Photons of various energies and densities pervade the Universe
- For the energies of the UHECRs, relevant photon fields are:
 - Cosmic Microwave Background (CMB)
 - Discovered by Penzias and Wilson in 1965, is a relic radiation from the Big Bang; black body at temperature 2.7 K
 - UV-optical-IR (also called Extragalactic Background Light, EBL)
 - UV, optical and near IR is due to direct starlight
 - From mid IR to submm wavelengths, EBL consists of re-emitted light from dust particles

INGREDIENT (2): NUCLEAR PHYSICS

- Main reactions:
 - **Photo-disintegration** (through excitation of Giant Dipole Resonance)

INTERACTIONS OF COSMIC-RAY NUCLEI

Relevant quantities for the computation of losses:

- Photon fields
- Cross sections

KINEMATICS

- Special relativity
- Four-vector algebra
- Lorentz invariant quantity: scalar product of four-vectors is an invariant
 - Energy-momentum vector will be taken into account in the following

$$a + b \rightarrow c + d$$

$$s = (E_a + E_b)^2 - (\overrightarrow{p}_a + \overrightarrow{p}_b)^2 = (E_c - E_c)^2$$

 $s_{\text{th}} = (E_a + E_b)^2 - (\vec{p}_a + \vec{p}_b)^2 = (m_c + m_d)^2$

r-vectors is an invariant ccount in the following

 $P_i = \left(\frac{E_i}{c}, \overrightarrow{p_i}\right)$

 $(\overline{p}_{d} + \overline{p}_{d})^{2} - (\overline{p}_{c} + \overline{p}_{d})^{2}$

KINEMATICS - PHOTO-PION REACTIONS

- 1965, discovery of CMB
- Greisen, Zatsepin and Kuzmin: cosmic ray particles interact with CMB photons through $\gamma p \rightarrow \pi^0 p$
 - Energy loss of protons -> end of the CR flux at the highest energies?

$$s_{\rm th} = (\varepsilon + E_p)^2 - (\overrightarrow{p}_{\gamma} + \overrightarrow{p}_p)^2 = (m_p + m_p^2 + 2E_{\rm th}\varepsilon(1 - \beta_p\cos\theta)$$
$$= m_p^2 + 2m_p\Gamma\varepsilon(1 - \beta_p\cos\theta)$$
$$= m_p^2 + 2\varepsilon'm_p$$

Greisen, PRL 1966;

Zatsepin & Kuzmin, JETP Lett 1966

 $(m_{\pi})^2$

$$E_p = \Gamma m_p \quad p_p \approx E_p$$
$$\varepsilon' = \varepsilon \Gamma (1 - \cos \theta)$$
$$E_{\rm th} = \frac{m_\pi^2 + 2m_\pi m_p}{2\varepsilon (1 - \cos \theta)} \approx 7 \times 10^4$$

KINEMATICS - PHOTO-PION REACTIONS $\gamma p \rightarrow \pi^0 p$ $\varepsilon' = \varepsilon \Gamma (1 - \cos \theta)$

- Energy of the photon in the nucleus rest frame has to be sufficient to produce pion(s)!
 - Energies of <u>hundred(s) of MeV</u>

 $\langle \varepsilon \rangle \approx 7 \times 10^{-4} \,\mathrm{eV}$ $\varepsilon' \approx \varepsilon \Gamma$ $\Gamma_{\rm th} \approx 7 \times 10^{10}$

For the case of CMB

KINEMATICS – PHO

$$\varepsilon' = \varepsilon \Gamma(1 - \cos \theta)$$

- Energy of the photon in the nucleus rest frame has to be sufficient to produce pion(s)!
 - Energies of <u>hundred(s) of MeV</u>

$$\varepsilon' \approx \varepsilon \Gamma$$
 $\langle \varepsilon \rangle \approx 7 \times 10^{-4}$
 $\Gamma_{\rm th} \approx 7 \times 10^{10}$

- -> e.g. <u>infrared photon fields</u>
- is the same, superposition model can be used in first approximation)

D-PION REACTIONS $\gamma p \rightarrow \pi^0 p$

^teV For the case of CMB

• If average energy of the photon field is larger, lower energy particles can trigger the same reaction

• If nuclei heavier than protons are involved, the threshold energy is larger (threshold Lorentz factor

KINEMATICS - PAIR PRODUCTION

Bethe-Heitler pair production

$$s_{\rm th} = (\varepsilon + E_p)^2 - (\overrightarrow{p}_{\gamma} + \overrightarrow{p}_p)^2$$
$$= m_p^2 + 2E_{\rm th}\varepsilon(1 - \beta_p\cos\theta) = (m_p^2)^2$$

Exercise: derive the energy threshold for pair production

 $\gamma p \rightarrow e^+ e^- p$

Blumenthal, PRD 1970

$$E_{\rm th} = \frac{4m_e^2 + 8m_e m_p}{2\varepsilon(1 - \cos\theta)} \approx 6 \times 10^{17} \, \mathrm{e}^{-10}$$

Rate of interactions of a particle propagating through CMB

$$\frac{dN_{\text{int}}}{dt} = c \int (1 - \cos\theta) n_{\gamma}(\varepsilon, \cos\theta) \sigma(\varepsilon') d\varepsilon'$$

 $d\cos\theta d\varepsilon$

Rate of interactions of a particle propagating through CMB

$$\frac{dN_{\text{int}}}{dt} = c \int (1 - \cos\theta) n_{\gamma}(\varepsilon, \cos\theta) \sigma(\varepsilon')$$

$$\frac{dN_{\gamma}}{dVd\varepsilon} = n_{\gamma}(\varepsilon) = \frac{1}{\pi^2(\hbar c)^3} \frac{\varepsilon^2}{\exp(\varepsilon/k_B T) - \varepsilon}$$

 $d\cos\theta d\varepsilon$

• Energy density of CMB photons, black body

• We assume isotropy

 $n_{\gamma}(\varepsilon,\cos\theta) \approx n_{\gamma}(\varepsilon)$

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Rate of interactions of a particle propagating through CMB

$$\frac{dN_{\text{int}}}{dt} = c \int (1 - \cos \theta) n_{\gamma}(\varepsilon, \cos \theta) \sigma(\varepsilon')$$

- Cross section results in superposition of resonances, formed in the absorption of the photon
 - Delta is the main resonance, decaying in pion and proton
- For heavier nuclei, the superposition model can be used as first approximation

 $d\cos\theta\,d\varepsilon$

$$\frac{dN_{\text{int}}}{dt} = c \int (1 - \cos\theta) n_{\gamma}(\varepsilon, \cos\theta) \sigma(\varepsilon)$$

- In order to compute the integral we take into account:
 - The relation between the photon energy in the lab and the photon energy in the proton rest frame
 - The transformation:

$$\frac{dN_{\text{int}}}{dt} = \frac{c}{2\Gamma^2} \int_{\varepsilon'_{\text{th}}}^{\infty} \sigma(\varepsilon')\varepsilon' \int_{\varepsilon'/2\Gamma}^{+\infty} \frac{n_{\gamma}(\varepsilon)}{\varepsilon^2} d\varepsilon d\varepsilon'$$

Gaisser, Engel & Resconi Berezinsky, Grigorieva & Gazizov 2006

 ε') $d\cos\theta d\varepsilon$

 $\varepsilon' = \varepsilon \Gamma (1 - \cos \theta)$ $d\varepsilon' = -\Gamma\varepsilon d\cos\theta$

 If we take into account the CMB photon field, the second integral is analytical • suggested transformation $y = e^{\varepsilon/k_BT} - 1$

$$\frac{dN_{\rm int}}{dt} = \frac{ck_BT}{2\pi^2(\hbar c)^3\Gamma^2} \int_{\varepsilon'_{\rm th}}^{\infty} \varepsilon' \sigma(\varepsilon') \left\{ -\ln\left[1 - \exp\left(-\frac{\varepsilon'}{2\Gamma k_BT}\right)\right] d\varepsilon' \right\}$$

Exercise: derive the energy loss length in the case of CMB

 $\frac{dN_{\text{int}}}{dt} = \frac{c}{2\Gamma^2} \int_{\varepsilon'}^{\infty} \sigma(\varepsilon')\varepsilon' \int_{\varepsilon'/2\Gamma}^{\infty} \frac{n_{\gamma}(\varepsilon)}{\varepsilon^2} d\varepsilon d\varepsilon'$

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Berezinsky, Grigorieva & Gazizov 2006

ENERGY LOSS LENGTH

The fractional energy loss rate (for an arbitrary photon field) is then:

$$\frac{1}{E}\frac{dE}{dt} = -\frac{c}{2\Gamma^2}\int_{\varepsilon'_{\text{th}}}^{\infty} \varepsilon' f(\varepsilon')\sigma(\varepsilon')\int_{\varepsilon'/2\Gamma}^{\infty}\frac{n_{\gamma}(\varepsilon)}{\varepsilon^2}$$

Energy loss length:

The trajectory of a particle can be followed as:

e) - dede'

 $l_{\rm loss} = -c \left(\frac{1}{E}\frac{dE}{dt}\right)^{-1} = -E\frac{ds}{dE}$

 $\frac{dE}{ds} = -\frac{E}{l_{1000}}$

Gaisser, Engel & Resconi

ENERGY LOSS LENGTH

$$l_{\rm loss} = -c \left(\frac{1}{E}\frac{dE}{dt}\right)^{-1} = -E\frac{ds}{dE}$$

$$\frac{1}{E}\frac{dE}{dt} = -H_0$$
$$\frac{1}{E}\frac{dE}{dt} = -\frac{c}{2\Gamma^2}\int_{\varepsilon'_{\rm th}}^{\infty} \varepsilon' f(\varepsilon')\sigma(\varepsilon')\int_{\varepsilon'/2\Gamma}^{\infty} \frac{n_{\gamma}(\varepsilon)}{\varepsilon^2} d\varepsilon d\varepsilon'$$

Plot by C. Evoli

FLUX AT EARTH

R(t)Scale factor

Cosmological redshift $1 + z = \frac{R(t_0)}{R(t_1)}$

$$\frac{dt}{dz} = -\frac{1}{H_0(1+z)\sqrt{(1+z)^3\Omega_m + \Omega_\Lambda}}$$

MFTRICS

 $ds^{2} = dt^{2} - c^{2}R^{2}(t)\left(\frac{dr^{2}}{1 - kr^{2}} + r^{2}(d\theta^{2} + \sin^{2}\theta d\phi^{2})\right)$

Source at cosmological distance, z_g emitting $Q(E_g(E, z))$

$$I(E)dE = \frac{Q(E_g(E, z))}{(1 + z_g)4\pi(R(t_0)r)^2}dE_g$$

$$J(E,z) = \frac{1}{(4\pi)^2} \frac{Q(E_g(E,z))}{(1+z_g)(R(t_0)r)^2} \frac{dE_g}{dE}$$

Energy loss rate

$$\beta(E) = -\frac{1}{E}\frac{dE}{dt}$$
$$b(E) = -\frac{dE}{dt} = E\beta(E)$$

- Temperature of CMB $T(z) = T_0(1 + z)$
- Density of photons $n_{\gamma}(z) = n_{\gamma,0}(1+z)^3$
 - Larger density of photons in the past, larger probability of interaction

Dependence on redshift

 $\beta(E, z) = (1 + z)^3 \beta_0((1 + z)E)$

 $b(E, z) = (1 + z)^2 b_0((1 + z)E)$

ENERGY LOSS LENGTH - DEPENDENCE ON REDSHIFT

$l_{\rm loss}(E,z) = (1+z)^{-3} l_{\rm loss}((1+z)E, z=0)$

Evolution of energy as a function of time/redshift

$$-\frac{1}{E_{g}}\frac{dE_{g}}{dt} = -\frac{1}{E_{g}}\frac{dE_{g}}{dz}\frac{dz}{dt} = \beta(E_{g}, z(t))$$
$$\frac{dE_{g}}{dz} = -E_{g}\frac{dt}{dz}\beta(E_{g}, z(t))$$
$$\left(\frac{dE_{g}}{dz} = E_{g}\left\{\frac{(1+z)^{2}\beta_{0}(E_{g}, z)}{H_{0}\sqrt{(1+z)^{3}\Omega_{m}+\Omega_{\Lambda}}} + \frac{1}{1+z}\right\}\right)$$

$$\left(\frac{dt}{dz}\right)^{-1} = -H_0(1+z)\sqrt{(1+z)^3\Omega_{\rm m}} + \Omega_{\Lambda}$$

Energy loss rate as a function of time/redshift

$$\beta(E,z) = -\frac{1}{E}\frac{dE}{dz}\left(\frac{dt}{dz}\right)^{-1}$$

$$E_{g}(z) = E + \int_{t}^{t_{0}} dt \left[\left(\frac{dE}{dt} \right)_{ad} + \left(\frac{dE}{dt} \right)_{int} \right]$$

$$E_{g}(z) = E + \int_{0}^{z} dz' \frac{E_{g}(z')}{1+z'} + \int_{0}^{z} dz' \frac{1+z'}{H(z')} b_{0}((1+z')E_{g}(z'))$$

$$y(z) = 1 + \int_0^z dz' \frac{y(z')}{1+z'} + \int_0^z dz' \frac{1+z'}{H(z')} \frac{db_0((1+z')E_g(z'))}{dE}$$

$$\frac{1}{y}\frac{dy}{dz} = \frac{1}{1+z} + \frac{(1+z)^2}{H(z)}\frac{db((1+z)E_g(z))}{d((1+z)E_g(z))}$$

dz = -H(z)(1+z)dt

$$b(E,z) = -\frac{dE}{dt} = (1+z)^2 b_0 ((1+z)^2) b_0 (1+z)^2 b_0 (1+z$$

$$= 1 + \int_0^z dz' \frac{y(z')}{1+z'} + \int_0^z dz' \frac{(1+z')^2}{H(z')} \frac{db_0((1+z')E_g(z'))}{d((1+z')E_g(z'))} y(z')$$

$$y(z) = (1+z) \exp\left\{\frac{1}{H_0} \int_0^z dz' \frac{(1+z')^2}{\sqrt{(1+z')^3 \Omega_m + \Omega_\Lambda}} \frac{db_0((1+z')E_g(z'))}{d((1+z')E_g(z'))}\right\}$$

Berezinsky & Grigorieva 1988

$$y(z) = (1+z) \exp\left\{\frac{1}{H_0} \int_0^z dz' (1+z')^3 \left|\frac{dt}{dz}\right| \left(\frac{db_0}{dE}\right)_{(1+z)E_g(E,z)}\right\}$$

Plots by C. Evoli

FIUX FROM A DISTRIBUTION OF SOURCES

Single source

$J(E,z) = \frac{1}{(4\pi)^2} \frac{Q(E_g(E,z))}{(1+z_g)(R(t_0)r)^2} \frac{dE_g}{dE}$

$$\frac{1}{4\pi}\frac{dV}{dz} = (1+z)^3 c d_A^2 \left|\frac{dt}{dz}\right|$$

Distribution of sources

$$J(E) = \frac{1}{(4\pi)^2} \int dV \frac{\tilde{Q}(E_g(E,z))}{(1+z)(rR(t_0))^2} \frac{dz}{dz}$$

$$J(E) = \frac{c}{4\pi} \int dz \left| \frac{dt}{dz} \right| \tilde{Q}(E_g(E, z), z) \frac{dE}{dz}$$

$$\tilde{Q} = n_0 Q$$
$$Q(E) \propto E^{-\gamma} \times f(E_{\text{max}})$$
$$L_{\text{CR}} = \int E Q_{\text{inj}}(E) dE$$

EXPECTED SPECTRUM AT EARTH

Gaisser, Engel & Resconi

Expected spectrum at Earth (multiplied by E³) from identical sources emitting protons with $E^{-2.38}$ spectra and cosmological evolution parameter m = 2.55

- Contribution of sources at different distances. From right to left we observe:
 - Closest sources: same slope as the one at injection
 - Bump feature: pile-up of protons below photo-pion production threshold
 - Dip
- Total spectrum
 - Bumps produce a flatter spectrum when summed up

EXPECTED SPECTRUM AT EARTH

Gaisser, Engel & Resconi

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Dependence on details of injection

 $Q_{\rm inj}(E) \propto (1+z)^m E^{-\gamma} \times f(E_{\rm max})$

INTERPRETATION

- Analytical (or Monte Carlo) computation of expected protons at Earth, under some assumptions:
 - Identical sources
 - Power-law spectrum at escape up to max energy
- Comparison to data
- Best parameters (at the source) that reproduce the spectrum at Earth (after propagation)
- High-energy region -> could constrain the maximum energy at the source (?)
- Low-energy region -> could constrain spectral index and cosmological evolution of sources (?)

INTERPRETATION

- Features of the spectrum at Earth might be connected to effects of propagation
 - pair-production energy losses -> dip
 - Not sensitive to details of local distribution of sources
 - photo-pion energy losses -> suppression
 - Sensitive to details of local distribution of sources (minimum redshift, density)

• Sensitive to details of spectrum at source (maximum energy at the escape from sources)

INTERPRETATION

- Features of the spectrum at Earth might be connected to effects of propagation
 - pair-production energy losses -> dip
 - Not sensitive to details of local distribution of sources
 - photo-pion energy losses -> suppression
 - Sensitive to details of local distribution of sources (minimum redshift, density)
 - Sensitive to details of spectrum at source (maximum energy at the escape from sources)

- Interpretation becomes even more uncertain if UHECRs are nuclei heavier than hydrogen !
 - Lecture 2

