

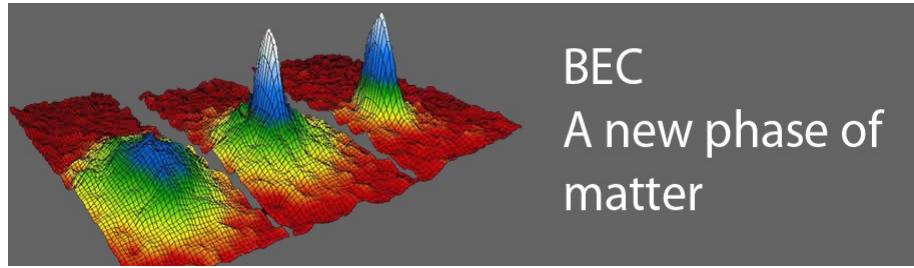
# Beyond mean-field effects in mixtures: few-body and many-body aspects

Dmitry Petrov

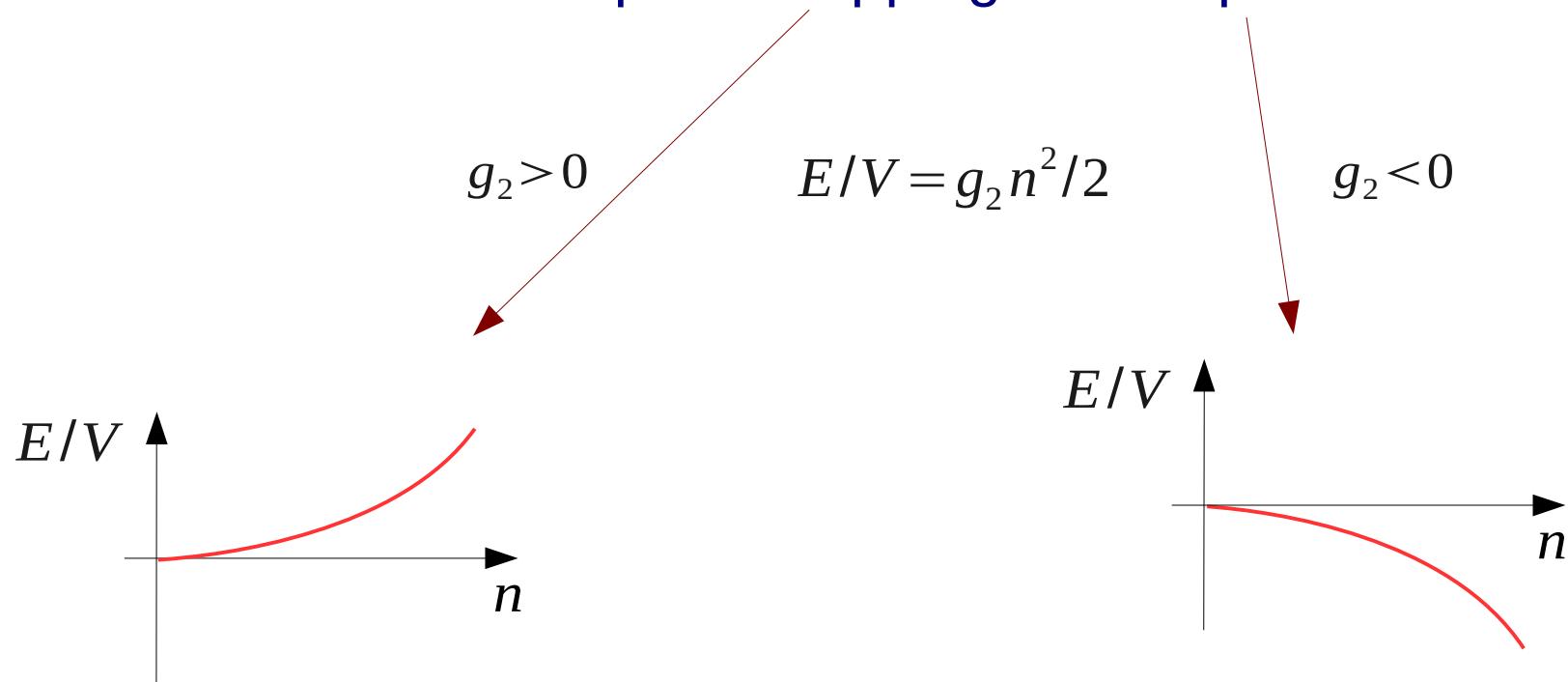
Laboratoire Physique Théorique et Modèles Statistiques (Orsay)

# **Lecture 1**

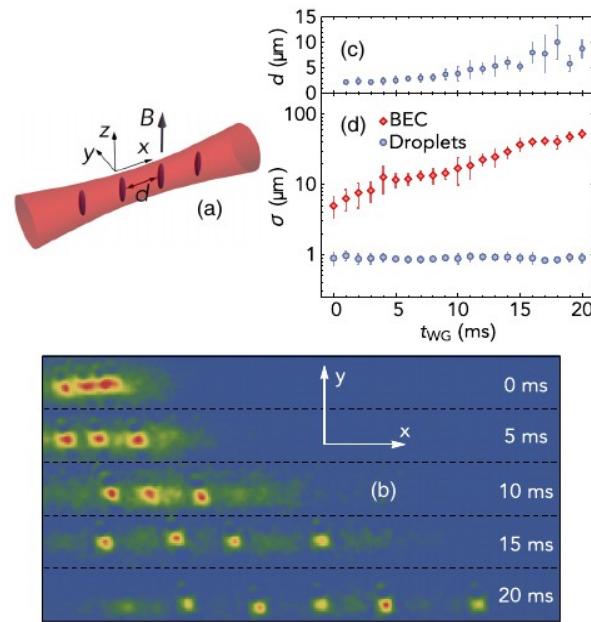
# **Quantum droplets**



GAS requires trapping or collapses



# Dipolar and mixture droplets



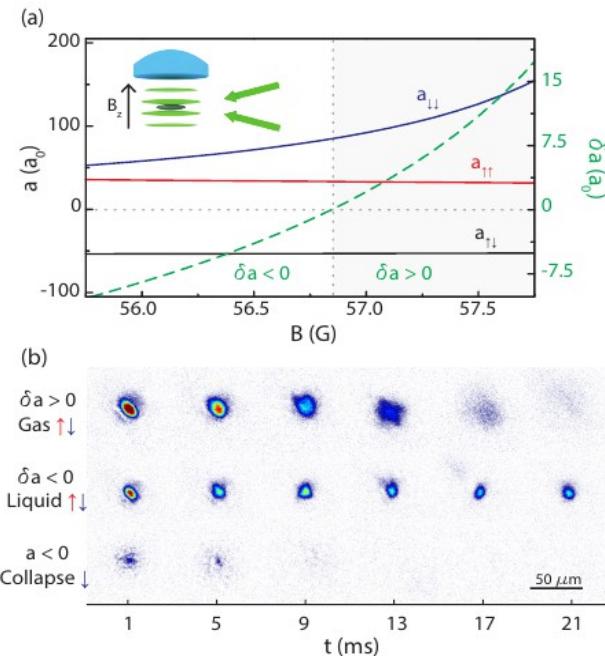
(Ferrier-Barbut et al '16)

Observation of dipolar droplets and their properties (Stuttgart, Innsbruck, Pisa)

coherent arrays of droplets = 1D supersolid

2D supersolids

...lots of theory...



(Cabrera et al '18)

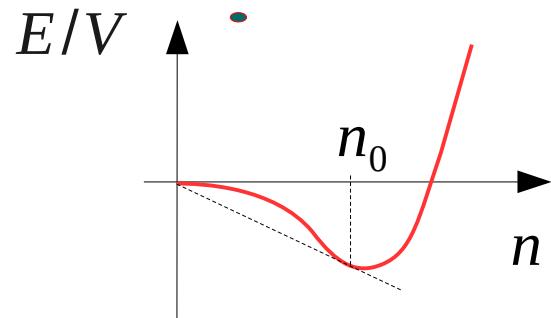
Observation of mixture droplets (Barcelona, Florence) and heteronuclear droplets (Florence, Hong-Kong)

``LHY'' gases (Aarhus)  
BMF effects in driven mixtures (Palaiseau)

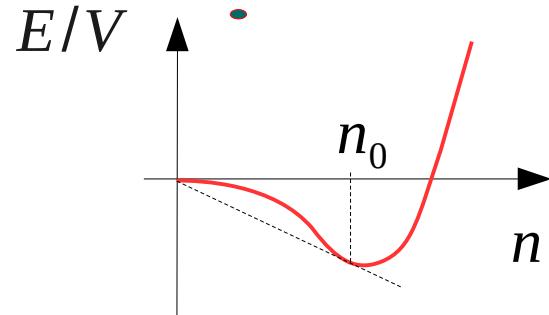
...lots of theory...

Regime of competing MF and BMF. We learned a lot about Bogoliubov theory and BMF effects!

Liquid?



Liquid?



``New" terminology:

liquid  $\neq$  fluid

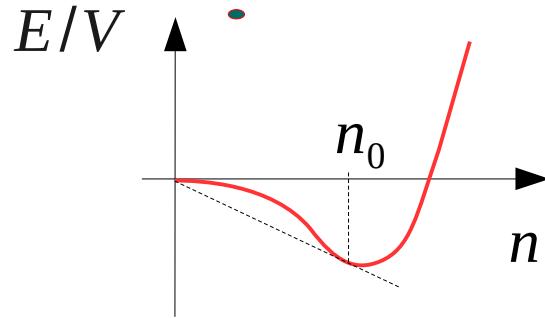
saturation density

particle-emission threshold

surface tension

surface modes, etc.

Liquid?



$$E/V \propto g_2 n^2/2 + g_\alpha n^\alpha / \alpha!, \quad \alpha > 2$$

3-body ( $\alpha=3$ )

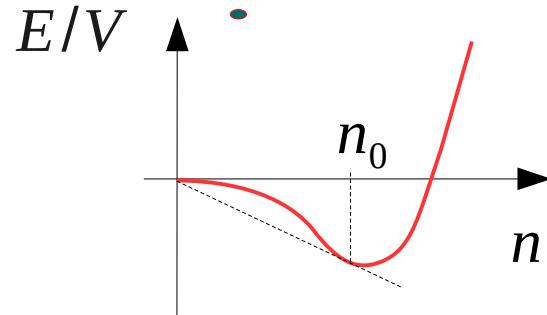
Resonant (Efimov)  
3-body force  
(Bulgac'02)

Non-resonant  
3-body force  
(DP'14)

Lee-Huang-Yang ( $\alpha=5/2$ )

Beyond-mean-field QUANTUM MECHANISM!  
(DP'15)

Liquid?



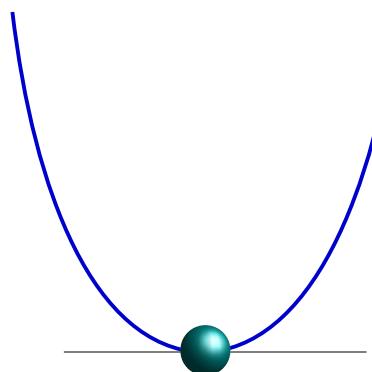
dilute liquid = stabilization against collapse at low densities

need two degrees of freedom:

1 soft, slow, “collapsing” + 1 stiff, fast, “stabilizing”

# **Quantum stabilization**

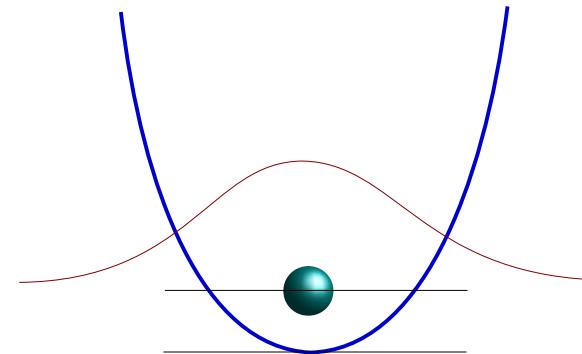
Classical



BEC analog:  
Classical or mean-field limit =  
Gross-Pitaevskii equation

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Quantum



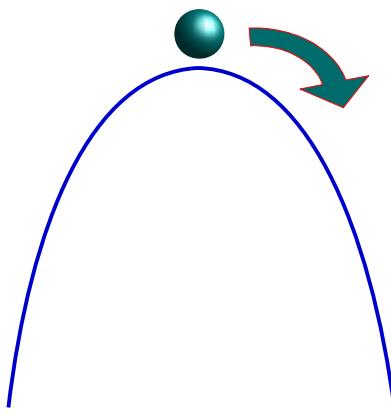
BEC analog:  
Mean field + Gaussian fluctuations  
= GP+LHY

Classical vacuum



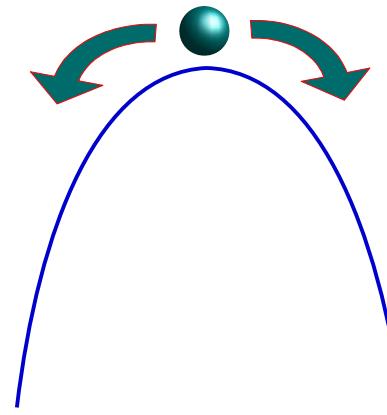
Bogoliubov vacuum

Classical



BEC analog:  
collapse

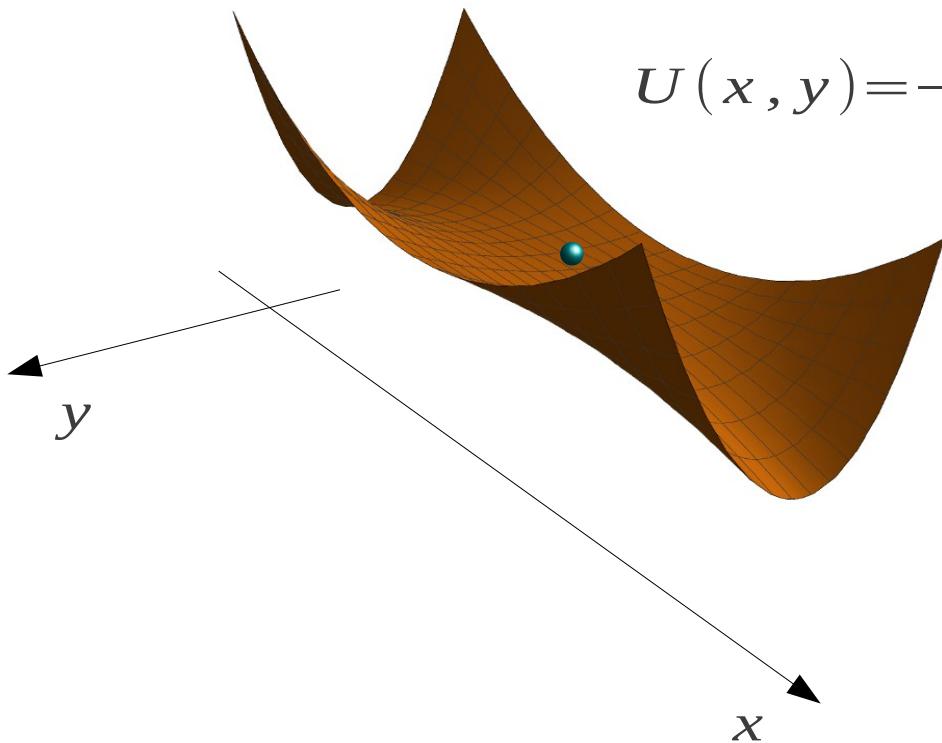
Quantum



BEC analog:  
collapse :(

Can there be a classically unstable system,  
yet stable when quantum mechanics is “switched on” ?

# Quantum stabilization idea



$$U(x, y) = -\alpha x^2 + \frac{\omega^2(x)}{2} y^2$$

Stable for sufficiently fast growing  
 $\omega(x)$

Classically unstable degree of freedom stabilized by quantum fluctuations in another degree of freedom!

**BEC analog:  
quantum droplet!**

# **LHY mechanism**

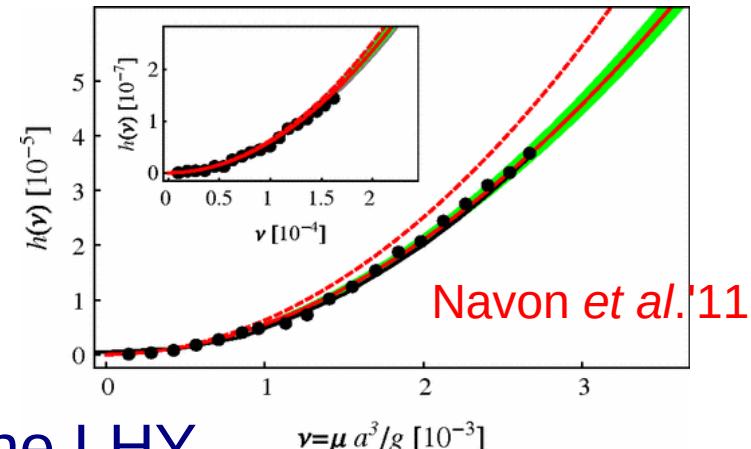
For spinless BEC:

$$\frac{E}{\text{Volume}} = \frac{g_2 n^2}{2} \left( 1 + \frac{128}{15} \sqrt{\frac{na^3}{\pi}} + \dots \right)$$

Lee-Huang-Yang correction  $\propto g_2^{5/2} n^{5/2}$

LHY correction is **UNIVERSAL** (depends only on the scattering length) and **QUANTUM** (zero-point energy of Bogoliubov phonons)!

Observed in ultracold gases where the scattering length is tunable by using Feshbach resonances (Innsbruck, MIT, ENS, JILA, Rice)



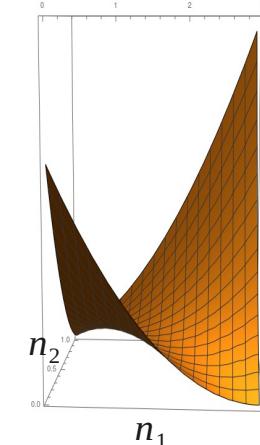
Unfortunately, the effect is perturbative and the LHY term is smaller than the mean-field one!

# Bose-Bose mixture, mean field

Mean-field energy density:

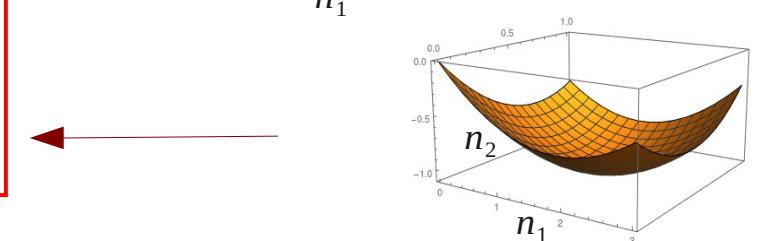
$$\frac{E_{MF}}{\text{Volume}} = \frac{g_{11} n_1^2 + g_{22} n_2^2 + 2 g_{12} n_1 n_2}{2}$$

$g_{12} > \sqrt{g_{11} g_{22}}$  phase separation

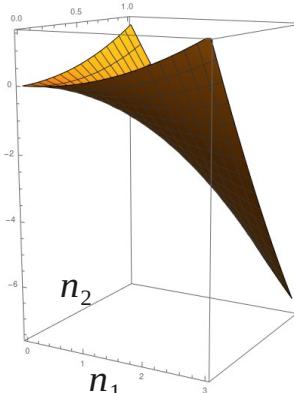


mean-field stability

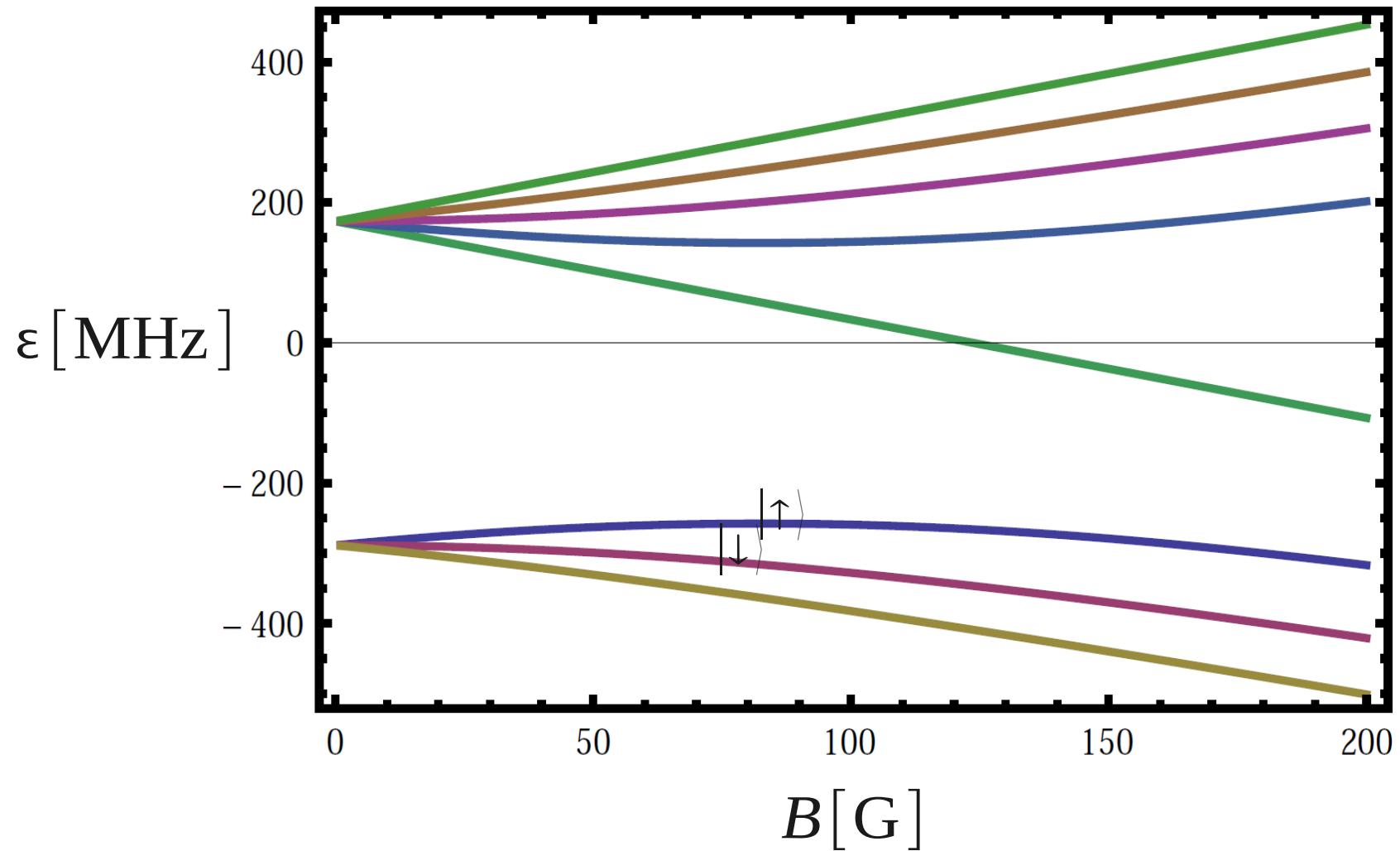
$g_{11} > 0, g_{22} > 0,$  and  $g_{12}^2 < g_{11} g_{22}$



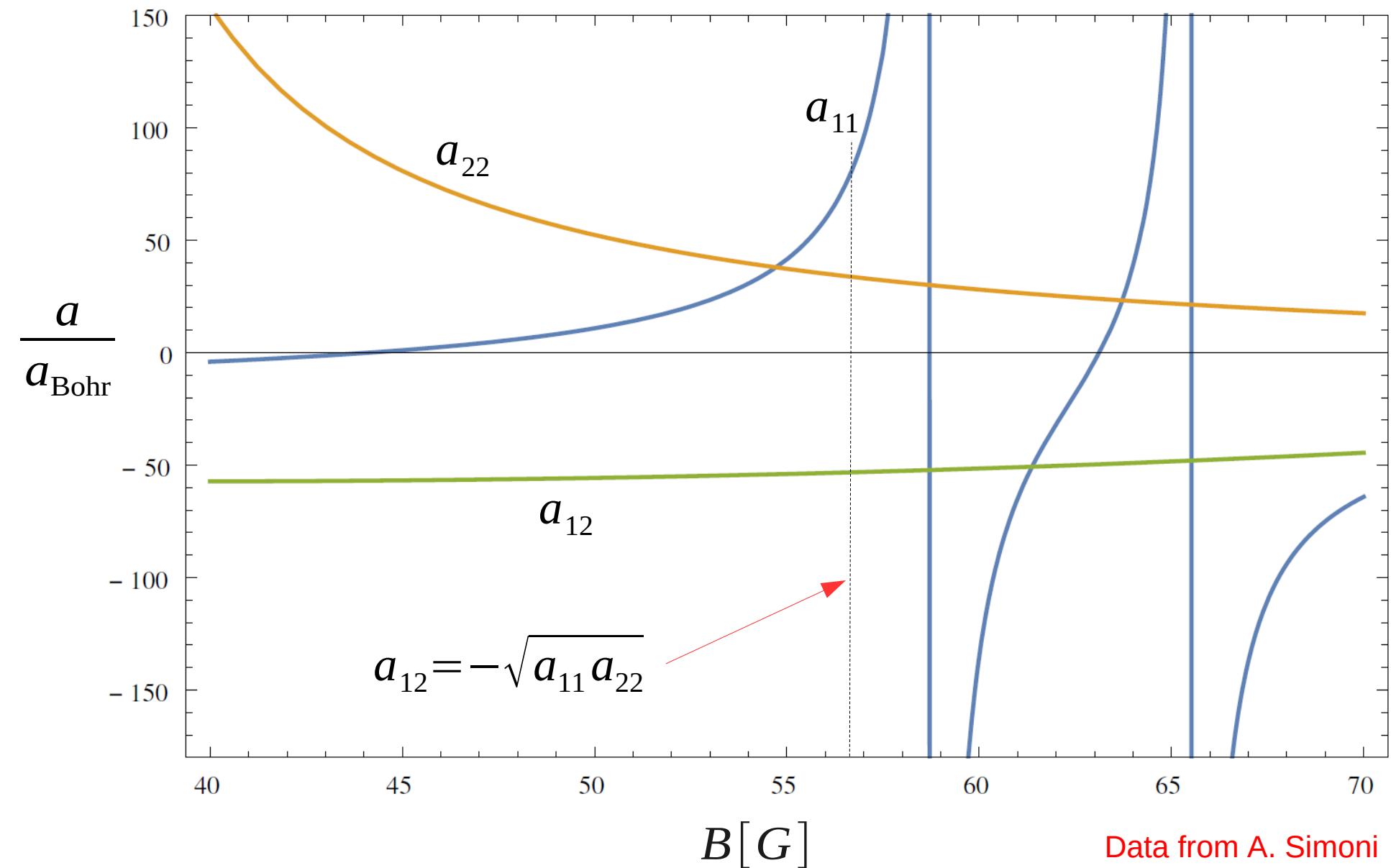
$g_{12} < -\sqrt{g_{11} g_{22}}$  collapse



$^{39}\text{K}$ :  $|F=1, m_F=0\rangle$  and  $|F=1, m_F=-1\rangle$

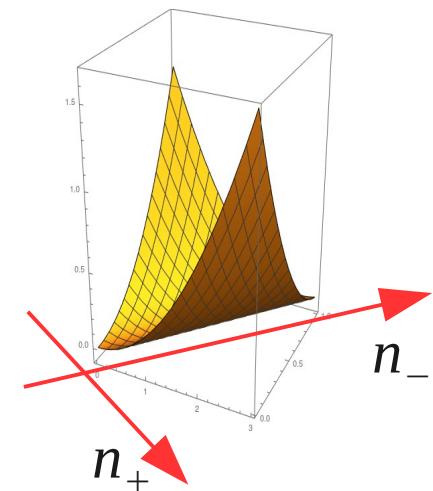
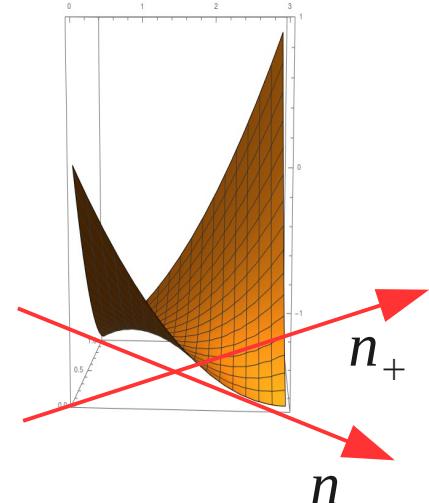
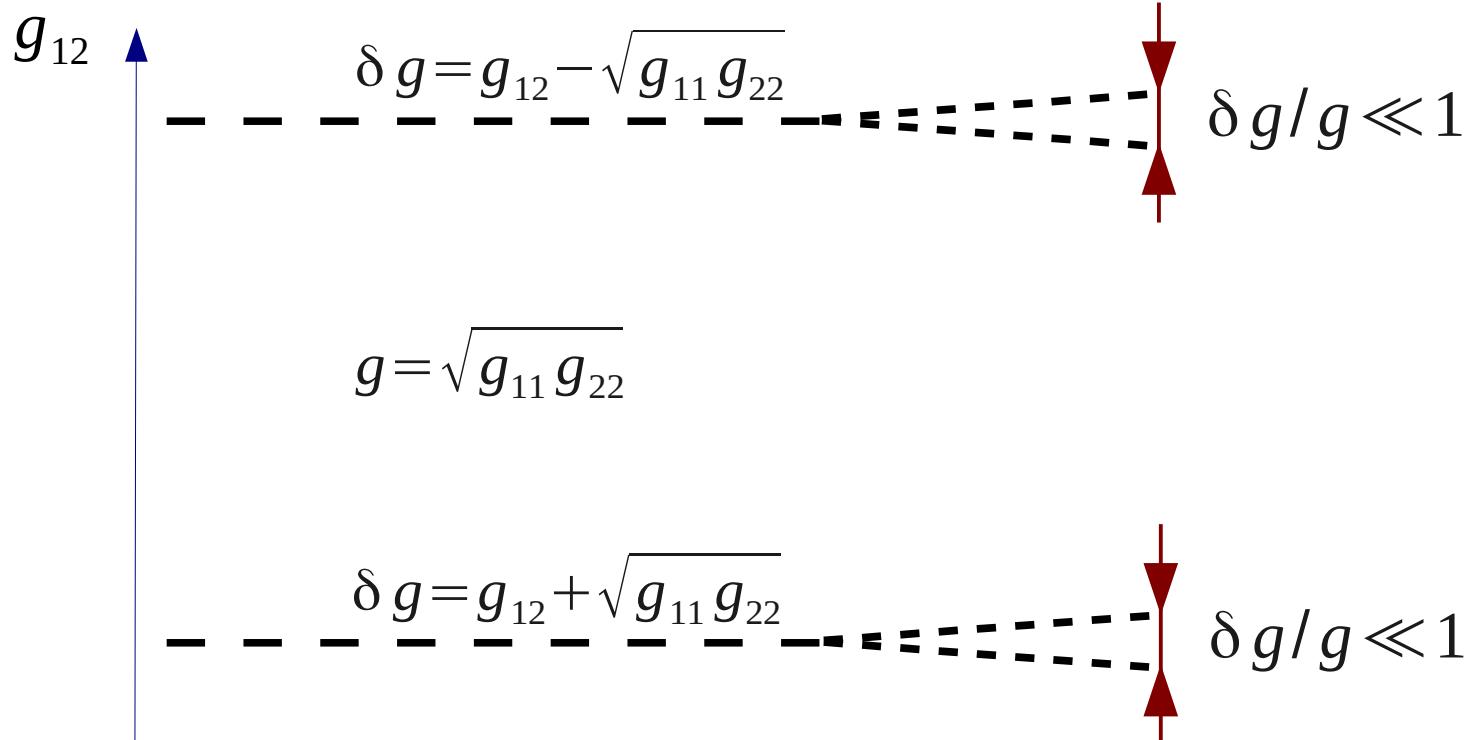


$^{39}\text{K}$ :  $|F=1, m_F=0\rangle$  and  $|F=1, m_F=-1\rangle$



# Bose-Bose mixture, mean field

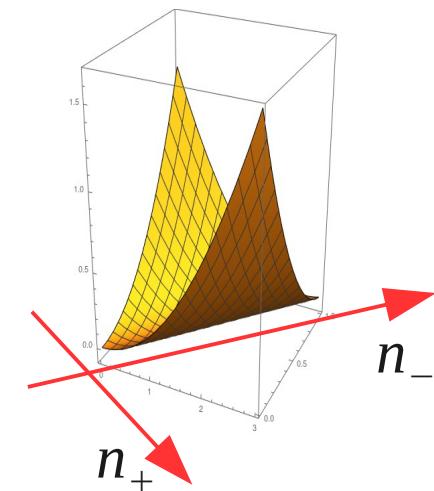
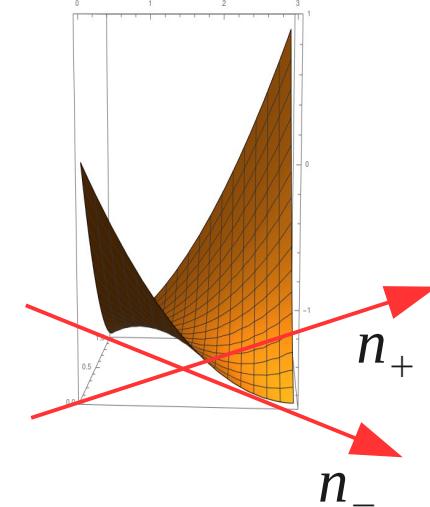
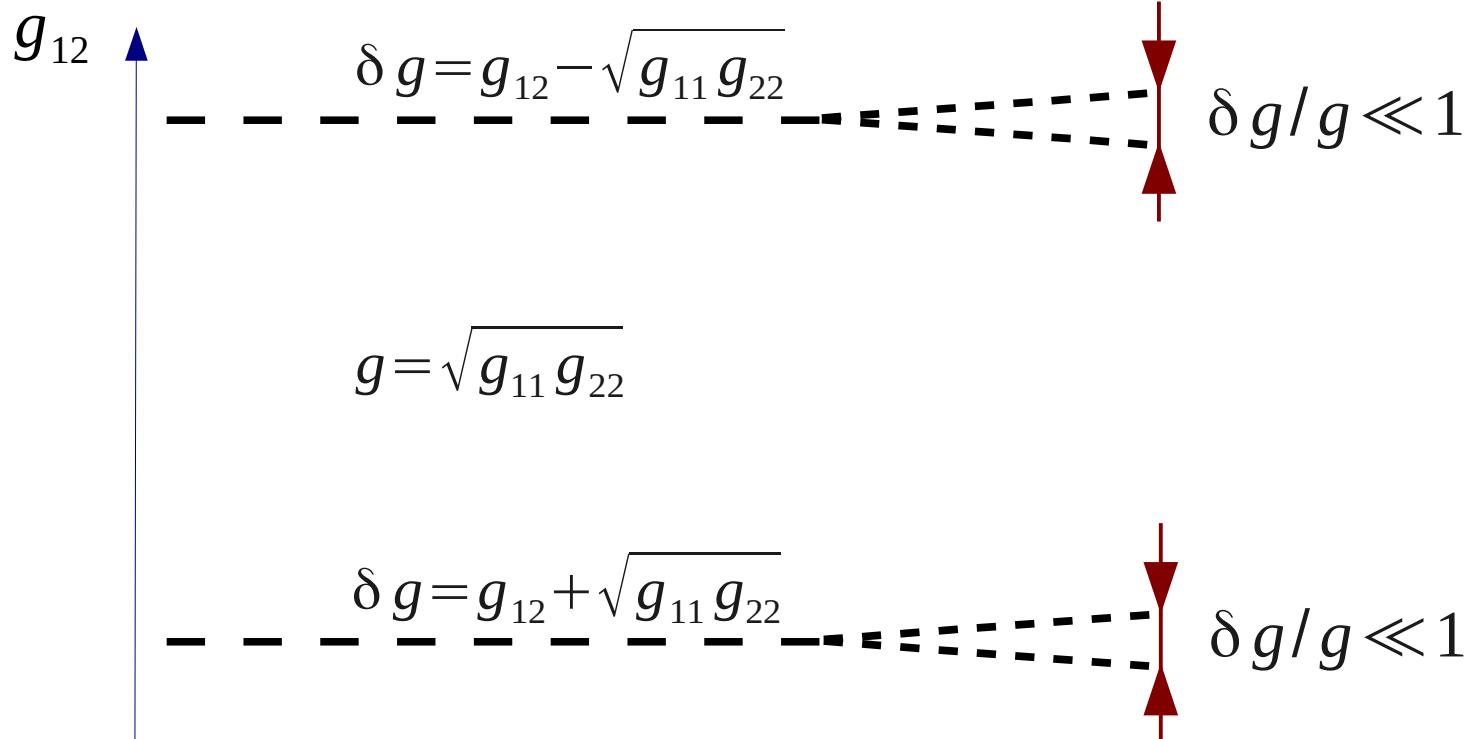
$$E_{MF} = \frac{1}{2} (n_1 n_2) \begin{pmatrix} g_{11} & g_{12} \\ g_{12} & g_{22} \end{pmatrix} \begin{pmatrix} n_1 \\ n_2 \end{pmatrix} \sim g n_+^2 \pm \delta g n_-^2$$



# Bose-Bose mixture, mean field + LHY

(Larsen'63)

$$E_{MF} + E_{LHY} = \frac{1}{2} (n_1 n_2) \begin{pmatrix} g_{11} & g_{12} \\ g_{12} & g_{22} \end{pmatrix} \begin{pmatrix} n_1 \\ n_2 \end{pmatrix} + E_{LHY} \sim g n_+^2 \pm \delta g n_-^2 + \frac{8}{15\pi^2} (g_{11} n_1 + g_{22} n_2)^{5/2}$$



# Quantum droplet

$$\delta g = g_{12} + \sqrt{g_{11} g_{22}} \ll \sqrt{g_{11} g_{22}} = g$$

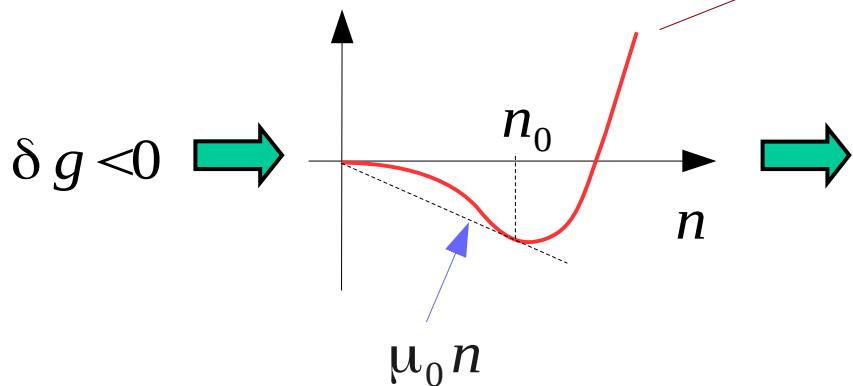
$$n_+ \propto \sqrt{g_{11}} n_1 - \sqrt{g_{22}} n_2 \approx 0$$

The mean-field term “locks” the ratio

$$\frac{n_2}{n_1} = \sqrt{\frac{g_{11}}{g_{22}}}$$

$$n_- \propto \sqrt{g_{22}} n_1 + \sqrt{g_{11}} n_2 \propto n$$

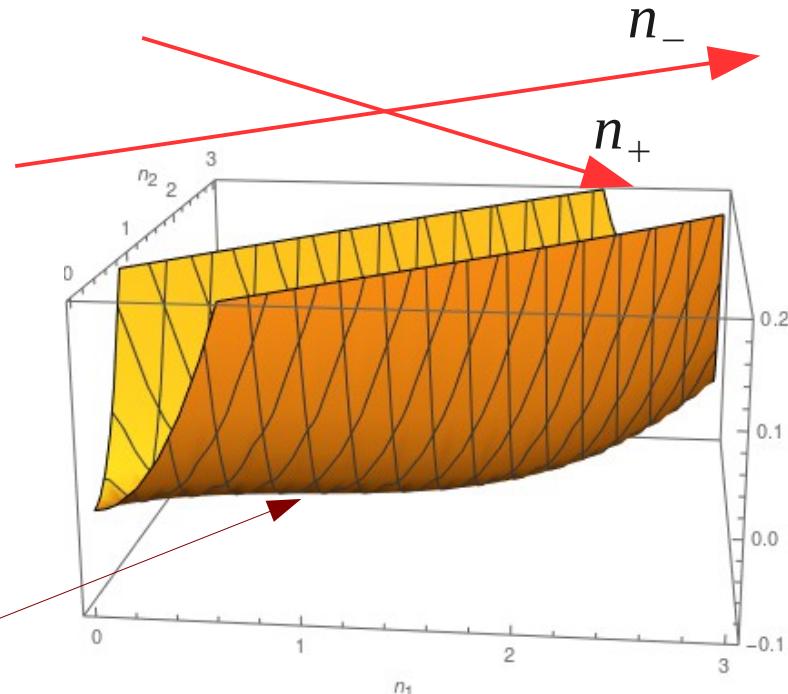
$$\frac{E}{\text{volume}} = \delta g n^2 + \dots (gn)^{5/2}$$



Equilibrium with vacuum.  
Saturation density

$$n_0 \propto \frac{1}{a^3} \left( \frac{\delta g}{g} \right)^2$$

Note:  $\delta g/g \sim \sqrt{na^3}$



# Gross-Pitaevskii eq., droplet shape

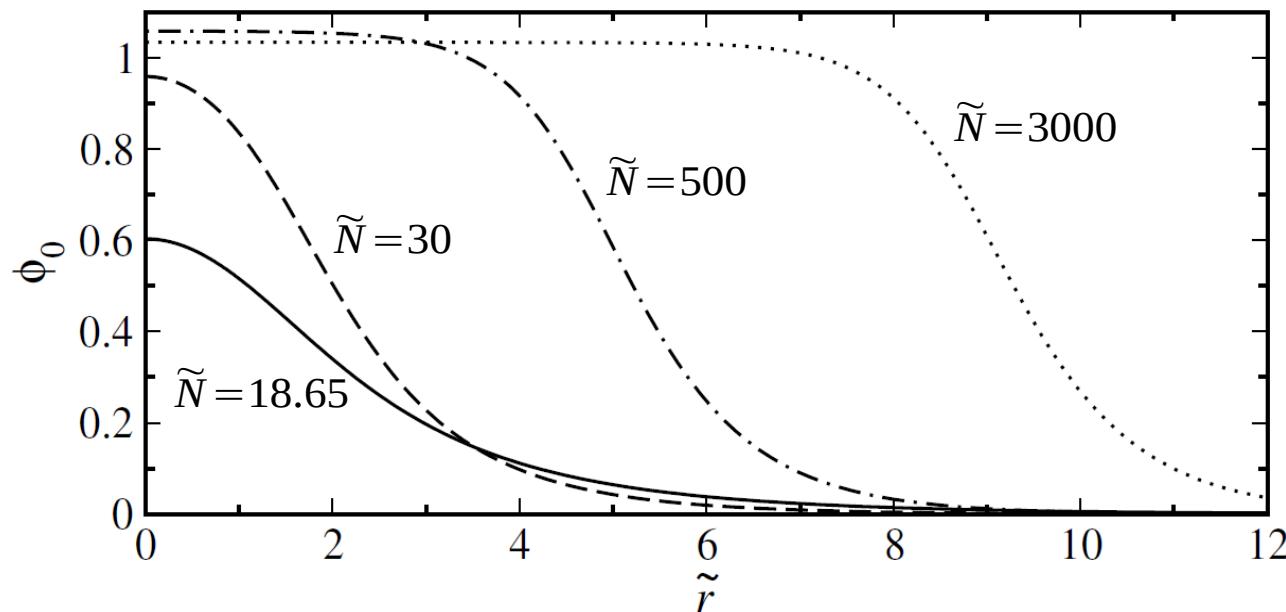
Rescaling  $\vec{r} = \xi \tilde{\vec{r}}$ ,  $t = \tau \tilde{t}$ ,  $N = n \xi^3 \tilde{N}$ , where  $\xi \propto 1/\sqrt{m|\delta g|n}$ ,  $\tau \propto 1/|\delta g|n$



$$i\partial_{\tilde{t}}\phi = (-\nabla_{\tilde{\vec{r}}}^2/2 - 3|\phi|^2 + 5|\phi|^3/2 - \tilde{\mu})\phi$$

$$\tilde{N} = \int |\phi|^2 d^3 \tilde{r}$$

Modified Gross-Pitaevskii equation  
cubic-quartic  
nonlinearities

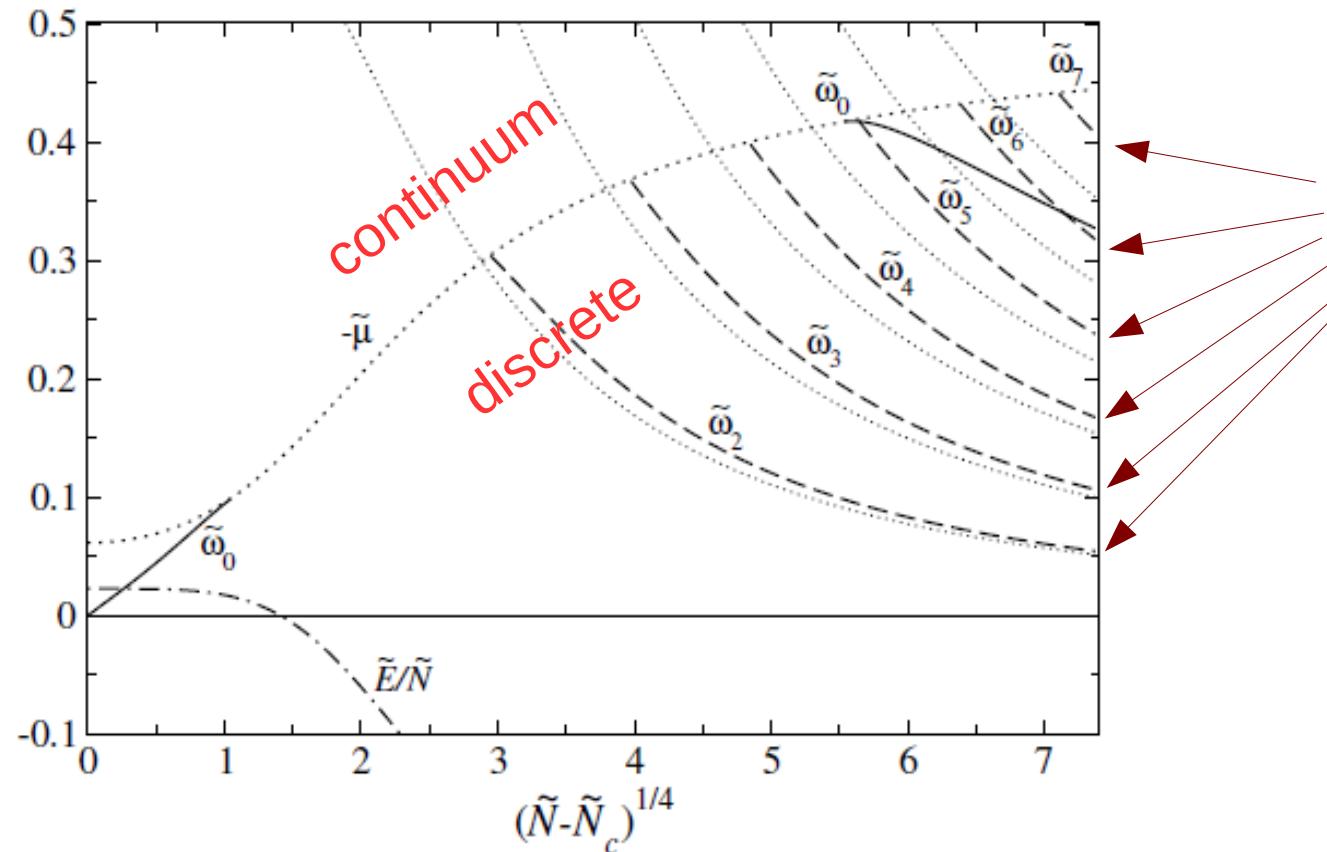


# Bogoliubov-de Gennes eqs., excitations

$$\phi(\tilde{t}, \vec{\tilde{r}}) = \phi_0(\vec{\tilde{r}}) + \delta\phi(\tilde{t}, \vec{\tilde{r}})$$



linearize  $i\partial_{\tilde{t}}\phi = (-\nabla_{\vec{\tilde{r}}}^2/2 - 3|\phi|^2 + 5|\phi|^3/2 - \tilde{\mu})\phi$  with respect to small  $\delta\phi(\tilde{t}, \vec{\tilde{r}})$



Surface modes



# **Bogoliubov method**

# Bogoliubov theory

Hamiltonian of the mixture:

$$\hat{H} = \sum_{\sigma, \mathbf{k}} \frac{k^2}{2m_\sigma} \hat{a}_{\sigma, \mathbf{k}}^\dagger \hat{a}_{\sigma, \mathbf{k}} + \frac{1}{2} \sum_{\sigma, \sigma', \mathbf{k}_1, \mathbf{k}_2, \mathbf{q}} \hat{a}_{\sigma, \mathbf{k}_1 + \mathbf{q}}^\dagger \hat{a}_{\sigma', \mathbf{k}_2 - \mathbf{q}}^\dagger U_{\sigma\sigma'}(\mathbf{q}) \hat{a}_{\sigma, \mathbf{k}_1} \hat{a}_{\sigma', \mathbf{k}_2}$$

  $\hat{a}_{\sigma, 0}, \hat{a}_{\sigma, 0}^\dagger \rightarrow a_{\sigma, 0} < \sqrt{n_\sigma}$

$$\hat{H} = H_0 + \hat{H}_2 + \hat{H}_3 + \hat{H}_4$$

$$H_0 = \frac{1}{2} \sum_{\sigma\sigma'} U_{\sigma\sigma'}(0) a_{\sigma, 0}^2 a_{\sigma', 0}^2$$

“Mean-field term” 

$$\hat{H}_2 = \sum'_{\sigma, \mathbf{k}} \left[ \frac{k^2}{2m_\sigma} + \sum_{\sigma'} U_{\sigma\sigma'}(0) a_{\sigma', 0}^2 \right] \hat{a}_{\sigma, \mathbf{k}}^\dagger \hat{a}_{\sigma, \mathbf{k}} + \frac{1}{2} \sum'_{\sigma, \sigma', \mathbf{k}} U_{\sigma\sigma'}(\mathbf{k}) a_{\sigma, 0} a_{\sigma', 0} (\hat{a}_{\sigma, \mathbf{k}}^\dagger \hat{a}_{\sigma', -\mathbf{k}}^\dagger + \hat{a}_{\sigma, \mathbf{k}} \hat{a}_{\sigma', -\mathbf{k}} + 2 \hat{a}_{\sigma, \mathbf{k}}^\dagger \hat{a}_{\sigma', \mathbf{k}})$$

“Quadratic Hamiltonian” 

$$\hat{H}_3 = \sum'_{\sigma, \sigma', \mathbf{k}_1, \mathbf{k}_2} U_{\sigma\sigma'}(\mathbf{k}_1) a_{\sigma, 0} \hat{a}_{\sigma', \mathbf{k}_1 + \mathbf{k}_2}^\dagger (\hat{a}_{\sigma, \mathbf{k}_1} + \hat{a}_{\sigma, -\mathbf{k}_1}^\dagger) \hat{a}_{\sigma', \mathbf{k}_2}$$

$$\hat{H}_4 = \frac{1}{2} \sum'_{\sigma, \sigma', \mathbf{k}_1, \mathbf{k}_2, \mathbf{q}} \hat{a}_{\sigma, \mathbf{k}_1 + \mathbf{q}}^\dagger \hat{a}_{\sigma', \mathbf{k}_2 - \mathbf{q}}^\dagger U_{\sigma\sigma'}(\mathbf{q}) \hat{a}_{\sigma, \mathbf{k}_1} \hat{a}_{\sigma', \mathbf{k}_2}$$

# Bogoliubov theory

Quadratic part:

$$\hat{H}_2 = \sum'_{\sigma, \mathbf{k}} \left[ \frac{k^2}{2m_\sigma} + \sum_{\sigma'} U_{\sigma\sigma'}(0) a_{\sigma',0}^2 \right] \hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma,\mathbf{k}} + \frac{1}{2} \sum'_{\sigma, \sigma', \mathbf{k}} U_{\sigma\sigma'}(\mathbf{k}) a_{\sigma,0} a_{\sigma',0} (\hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma',-\mathbf{k}}^\dagger + \hat{a}_{\sigma,\mathbf{k}} \hat{a}_{\sigma',-\mathbf{k}} + 2 \hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma',\mathbf{k}})$$

“Quadratic Hamiltonian” = “easy to diagonalize”

2 problems:

- 1) not so easy
- 2) the spectrum is gapped

# Bogoliubov theory

Quadratic part:

$$\hat{H}_2 = \sum'_{\sigma, \mathbf{k}} \left[ \frac{k^2}{2m_\sigma} + \sum_{\sigma'} U_{\sigma\sigma'}(0) a_{\sigma',0}^2 \right] \hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma,\mathbf{k}} + \frac{1}{2} \sum'_{\sigma, \sigma', \mathbf{k}} U_{\sigma\sigma'}(\mathbf{k}) a_{\sigma,0} a_{\sigma',0} (\hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma',-\mathbf{k}}^\dagger + \hat{a}_{\sigma,\mathbf{k}} \hat{a}_{\sigma',-\mathbf{k}} + 2 \hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma',\mathbf{k}})$$

gap comes from this term. What is the problem?

The problem is the canonical description where  $n_\sigma$  are fixed. When we create an excited atom, we deplete the condensate, i.e.,

$$a_{\sigma,0}^2 = n_\sigma - \sum'_{\mathbf{k}} \hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma,\mathbf{k}}$$

$$H_0 = \frac{1}{2} \sum_{\sigma\sigma'} U_{\sigma\sigma'}(0) a_{\sigma,0}^2 a_{\sigma',0}^2 \approx \frac{1}{2} \sum_{\sigma\sigma'} U_{\sigma\sigma'}(0) n_\sigma n_{\sigma'} - \sum'_{\sigma, \sigma', \mathbf{k}} U_{\sigma\sigma'}(0) n_{\sigma'} \hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma,\mathbf{k}}$$

$H_0 + \hat{H}_2$  becomes the Bogoliubov quadratic Hamiltonian:

$$\hat{H}_{\text{Bog}} = \frac{1}{2} \sum_{\sigma\sigma'} U_{\sigma\sigma'}(0) n_\sigma n_{\sigma'} + \sum'_{\sigma, \mathbf{k}} \frac{k^2}{2m_\sigma} \hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma,\mathbf{k}} + \frac{1}{2} \sum'_{\sigma, \sigma', \mathbf{k}} U_{\sigma\sigma'}(\mathbf{k}) \sqrt{n_\sigma n_{\sigma'}} (\hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma',-\mathbf{k}}^\dagger + \hat{a}_{\sigma,\mathbf{k}} \hat{a}_{\sigma',-\mathbf{k}} + 2 \hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma',\mathbf{k}})$$

\* the “gap” problem does not show up in the grand canonical description

# Bogoliubov theory

## Diagonalization of the quadratic Hamiltonian (industrial)

$$\hat{H}_{\text{Bog}} = \frac{1}{2} \sum_{\sigma\sigma'} U_{\sigma\sigma'}(0) n_\sigma n_{\sigma'} + \sum'_{\sigma,\mathbf{k}} \frac{k^2}{2m_\sigma} \hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma,\mathbf{k}} + \frac{1}{2} \sum'_{\sigma,\sigma',\mathbf{k}} U_{\sigma\sigma'}(\mathbf{k}) \sqrt{n_\sigma n_{\sigma'}} (\hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma',-\mathbf{k}}^\dagger + \hat{a}_{\sigma,\mathbf{k}} \hat{a}_{\sigma',-\mathbf{k}} + 2 \hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma',\mathbf{k}})$$



symmetrize by using

$$\hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma',\mathbf{k}} = (1/2)(\hat{a}_{\sigma,\mathbf{k}}^\dagger \hat{a}_{\sigma',\mathbf{k}} + \hat{a}_{\sigma,\mathbf{k}} \hat{a}_{\sigma',\mathbf{k}}^\dagger) - \delta_{\sigma\sigma'}/2$$

$$\hat{H}_{\text{Bog}} = \frac{1}{2} \sum_{\sigma\sigma'} U_{\sigma\sigma'}(0) n_\sigma n_{\sigma'} - \frac{1}{2} \sum'_{\sigma,\mathbf{k}} \left[ \frac{k^2}{2m_\sigma} + U_{\sigma\sigma}(\mathbf{k}) n_\sigma \right]$$

$$+ \frac{1}{2} \sum'_{\mathbf{k}} (\hat{a}_{\uparrow,\mathbf{k}}^\dagger \hat{a}_{\downarrow,\mathbf{k}}^\dagger \hat{a}_{\uparrow,-\mathbf{k}} \hat{a}_{\downarrow,-\mathbf{k}}) \begin{pmatrix} \frac{k^2}{2m_\uparrow} + U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow & U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} \\ U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & \frac{k^2}{2m_\downarrow} + U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow \end{pmatrix} \begin{pmatrix} U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow & U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} \\ U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow \end{pmatrix} \begin{pmatrix} \hat{a}_{\uparrow,\mathbf{k}} \\ \hat{a}_{\downarrow,\mathbf{k}} \\ \hat{a}_{\uparrow,-\mathbf{k}}^\dagger \\ \hat{a}_{\downarrow,-\mathbf{k}}^\dagger \end{pmatrix}$$

$$\begin{pmatrix} \hat{A} & \hat{B} \\ \hat{B} & \hat{A} \end{pmatrix}$$

$\hat{A}$  and  $\hat{B}$  are real symmetric matrices

In fact, we have to diagonalize

$$\begin{pmatrix} \hat{A} & \hat{B} \\ -\hat{B} & -\hat{A} \end{pmatrix}$$

# Bogoliubov theory

Properties of  $\begin{pmatrix} \hat{A} & \hat{B} \\ -\hat{B} & -\hat{A} \end{pmatrix}$ :

1) spectrum symmetric wrt 0     $\begin{pmatrix} \hat{A} & \hat{B} \\ -\hat{B} & -\hat{A} \end{pmatrix} \begin{pmatrix} \vec{u} \\ \vec{v} \end{pmatrix} = \epsilon \begin{pmatrix} \vec{u} \\ \vec{v} \end{pmatrix}$    $\begin{pmatrix} \hat{A} & \hat{B} \\ -\hat{B} & -\hat{A} \end{pmatrix} \begin{pmatrix} \vec{v} \\ \vec{u} \end{pmatrix} = -\epsilon \begin{pmatrix} \vec{v} \\ \vec{u} \end{pmatrix}$

2) left eigenvectors     $\begin{pmatrix} \hat{A} & \hat{B} \\ -\hat{B} & -\hat{A} \end{pmatrix} \begin{pmatrix} \vec{u} \\ \vec{v} \end{pmatrix} = \epsilon \begin{pmatrix} \vec{u} \\ \vec{v} \end{pmatrix}$    $(\vec{u}^T \ -\vec{v}^T) \begin{pmatrix} \hat{A} & \hat{B} \\ -\hat{B} & -\hat{A} \end{pmatrix} = \epsilon (\vec{u}^T \ -\vec{v}^T)$

3) normalization     $\vec{u}_i^T \vec{u}_j - \vec{v}_i^T \vec{v}_j = \delta_{ij}$

assume  $\hat{A}$  and  $\hat{B}$  are  $N \times N$  matrices

$$\hat{S} = \begin{pmatrix} \vec{u}_1 & \dots & \vec{u}_N & \vec{v}_1 & \dots & \vec{v}_N \\ \vec{v}_1 & \dots & \vec{v}_N & \vec{u}_1 & \dots & \vec{u}_N \end{pmatrix}$$

 eigenvectors of  $\begin{pmatrix} \hat{A} & \hat{B} \\ -\hat{B} & -\hat{A} \end{pmatrix}$

$$\hat{S}^T \begin{pmatrix} \hat{A} & \hat{B} \\ \hat{B} & \hat{A} \end{pmatrix} \hat{S} = \begin{pmatrix} \epsilon_1 & & & & & 0 \\ & \ddots & & & & \\ & & \epsilon_N & & & \\ & & & \epsilon_1 & & \\ & & & & \ddots & \\ 0 & & & & & \epsilon_N \end{pmatrix}$$

# Bogoliubov theory

Back to

$$\hat{H}_{\text{Bog}} = \frac{1}{2} \sum_{\sigma\sigma'} U_{\sigma\sigma'}(0) n_\sigma n_{\sigma'} - \frac{1}{2} \sum'_{\sigma,\mathbf{k}} \left[ \frac{k^2}{2m_\sigma} + U_{\sigma\sigma}(\mathbf{k}) n_\sigma \right]$$

$$+ \frac{1}{2} \sum'_{\mathbf{k}} (\hat{a}_{\uparrow,\mathbf{k}}^\dagger \hat{a}_{\downarrow,\mathbf{k}}^\dagger \hat{a}_{\uparrow,-\mathbf{k}} \hat{a}_{\downarrow,-\mathbf{k}}) \begin{pmatrix} \frac{k^2}{2m_\uparrow} + U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow & U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow & U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} \\ U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & \frac{k^2}{2m_\downarrow} + U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow & U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow \\ U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow & U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & \frac{k^2}{2m_\uparrow} + U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow & U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} \\ U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow & U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & \frac{k^2}{2m_\downarrow} + U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow \end{pmatrix} \begin{pmatrix} \hat{a}_{\uparrow,\mathbf{k}} \\ \hat{a}_{\downarrow,\mathbf{k}} \\ \hat{a}_{\uparrow,-\mathbf{k}}^\dagger \\ \hat{a}_{\downarrow,-\mathbf{k}}^\dagger \end{pmatrix}$$

Diag.

$$\begin{pmatrix} \frac{k^2}{2m_\uparrow} + U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow & U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow & U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} \\ U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & \frac{k^2}{2m_\downarrow} + U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow & U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow \\ -U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow & -U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & -\frac{k^2}{2m_\uparrow} - U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow & -U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} \\ -U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & -U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow & -U_{\uparrow\downarrow}(\mathbf{k}) \sqrt{n_\uparrow n_\downarrow} & -\frac{k^2}{2m_\downarrow} - U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow \end{pmatrix} \rightarrow E_{+,\mathbf{k}}, E_{-,\mathbf{k}}, -E_{+,\mathbf{k}}, \text{ and } -E_{-,\mathbf{k}}$$



no need to know eigenvectors!

$$\hat{H}_{\text{Bog}} = \frac{1}{2} \sum_{\sigma\sigma'} U_{\sigma\sigma'}(0) n_\sigma n_{\sigma'} + \frac{1}{2} \sum'_{\mathbf{k}} \left[ E_{+,\mathbf{k}} + E_{-,\mathbf{k}} - \frac{k^2}{2m_r} - U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow - U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow \right] + \sum_{\pm,\mathbf{k}} E_{\pm,\mathbf{k}} \hat{b}_{\pm,\mathbf{k}}^\dagger \hat{b}_{\pm,\mathbf{k}}$$

MF  $\propto g n^2$ 
LHY  $\propto (g n)^{5/2}$

# Bogoliubov theory

$$\begin{pmatrix} \frac{k^2}{2m_\uparrow} + U_{\uparrow\uparrow}(\mathbf{k})n_\uparrow & U_{\uparrow\downarrow}(\mathbf{k})\sqrt{n_\uparrow n_\downarrow} & U_{\uparrow\uparrow}(\mathbf{k})n_\uparrow & U_{\uparrow\downarrow}(\mathbf{k})\sqrt{n_\uparrow n_\downarrow} \\ U_{\uparrow\downarrow}(\mathbf{k})\sqrt{n_\uparrow n_\downarrow} & \frac{k^2}{2m_\downarrow} + U_{\downarrow\downarrow}(\mathbf{k})n_\downarrow & U_{\uparrow\downarrow}(\mathbf{k})\sqrt{n_\uparrow n_\downarrow} & U_{\downarrow\downarrow}(\mathbf{k})n_\downarrow \\ -U_{\uparrow\uparrow}(\mathbf{k})n_\uparrow & -U_{\uparrow\downarrow}(\mathbf{k})\sqrt{n_\uparrow n_\downarrow} & -\frac{k^2}{2m_\uparrow} - U_{\uparrow\uparrow}(\mathbf{k})n_\uparrow & -U_{\uparrow\downarrow}(\mathbf{k})\sqrt{n_\uparrow n_\downarrow} \\ -U_{\uparrow\downarrow}(\mathbf{k})\sqrt{n_\uparrow n_\downarrow} & -U_{\downarrow\downarrow}(\mathbf{k})n_\downarrow & -U_{\uparrow\downarrow}(\mathbf{k})\sqrt{n_\uparrow n_\downarrow} & -\frac{k^2}{2m_\downarrow} - U_{\downarrow\downarrow}(\mathbf{k})n_\downarrow \end{pmatrix}$$

 Diag.

$$E_{+,\mathbf{k}}, E_{-,\mathbf{k}}, -E_{+,\mathbf{k}}, \text{ and } -E_{-,\mathbf{k}}$$

$$E_{\pm,\mathbf{k}} = \sqrt{\frac{\omega_\uparrow^2(\mathbf{k}) + \omega_\downarrow^2(\mathbf{k})}{2}} \pm \sqrt{\frac{[\omega_\uparrow^2(\mathbf{k}) - \omega_\downarrow^2(\mathbf{k})]^2}{4} + \frac{U_{\uparrow\downarrow}^2(\mathbf{k})n_\uparrow n_\downarrow k^4}{m_\uparrow m_\downarrow}}$$

$$\omega_\sigma(\mathbf{k}) = \sqrt{U_{\sigma\sigma}(\mathbf{k})n_\sigma k^2/m_\sigma + (k^2/2m_\sigma)^2}$$



Bogoliubov spectra of individual components

Assume short-range potentials and the ultracold regime, i.e., typical  $k$  is much smaller than the range of  $U_{\sigma\sigma'}(k)$  in momentum space. Can we replace  $U_{\sigma\sigma'}(k) \rightarrow U_{\sigma\sigma'}(0)$ ?

Depends on the large- $k$  behavior of this integral and on the space dimension!



$$\hat{H}_{\text{Bog}} = \frac{1}{2} \sum_{\sigma\sigma'} U_{\sigma\sigma'}(0)n_\sigma n_{\sigma'} + \frac{1}{2} \sum'_{\mathbf{k}} \left[ E_{+,\mathbf{k}} + E_{-,\mathbf{k}} - \frac{k^2}{2m_r} - U_{\uparrow\uparrow}(\mathbf{k})n_\uparrow - U_{\downarrow\downarrow}(\mathbf{k})n_\downarrow \right] + \sum_{\pm,\mathbf{k}} E_{\pm,\mathbf{k}} \hat{b}_{\pm,\mathbf{k}}^\dagger \hat{b}_{\pm,\mathbf{k}}$$

# Bogoliubov theory

$$\hat{H}_{\text{Bog}} = \frac{1}{2} \sum_{\sigma\sigma'} U_{\sigma\sigma'}(0) n_\sigma n_{\sigma'} + \frac{1}{2} \sum'_{\mathbf{k}} \left[ E_{+,\mathbf{k}} + E_{-,\mathbf{k}} - \frac{k^2}{2m_r} - U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow - U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow \right] + \sum_{\pm,\mathbf{k}} E_{\pm,\mathbf{k}} \hat{b}_{\pm,\mathbf{k}}^\dagger \hat{b}_{\pm,\mathbf{k}}$$

$\int d^D k / (2\pi)^D$

large  $k$

$\approx - \frac{m_\uparrow U_{\uparrow\uparrow}^2(\mathbf{k}) n_\uparrow^2 + m_\downarrow U_{\downarrow\downarrow}^2(\mathbf{k}) n_\downarrow^2 + 4\mu_{\uparrow\downarrow} U_{\uparrow\downarrow}^2(\mathbf{k}) n_\uparrow n_\downarrow}{k^2}$

1D: converges, straightforward replacement,  
delta potential is well behaving in 1D

2D: logarithmic divergence, handle by  
introducing a momentum cutoff

3D: diverges, “easy” to handle (as it converges  
at low  $k$ )

$$\int \frac{d^D k}{2(2\pi)^D} \frac{m_\uparrow U_{\uparrow\uparrow}^2(0) n_\uparrow^2 + m_\downarrow U_{\downarrow\downarrow}^2(0) n_\downarrow^2 + 4\mu_{\uparrow\downarrow} U_{\uparrow\downarrow}^2(0) n_\uparrow n_\downarrow}{k^2}$$

# Renormalization in 3D

$$\hat{H}_{\text{Bog}} = \frac{1}{2} \sum_{\sigma\sigma'} U_{\sigma\sigma'}(0) n_\sigma n_{\sigma'} + \frac{1}{2} \sum'_{\mathbf{k}} \left[ E_{+,\mathbf{k}} + E_{-,\mathbf{k}} - \frac{k^2}{2m_r} - U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow - U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow \right] + \sum_{\pm,\mathbf{k}} E_{\pm,\mathbf{k}} \hat{b}_{\pm,\mathbf{k}}^\dagger \hat{b}_{\pm,\mathbf{k}}$$

subtract from here

add here

$$\frac{m_\uparrow U_{\uparrow\uparrow}^2(\mathbf{k}) n_\uparrow^2 + m_\downarrow U_{\downarrow\downarrow}^2(\mathbf{k}) n_\downarrow^2 + 4\mu_{\uparrow\downarrow} U_{\uparrow\downarrow}^2(\mathbf{k}) n_\uparrow n_\downarrow}{k^2}$$



$$E_{\text{MF}} = \frac{1}{2} \sum_{\sigma\sigma'} n_\sigma n_{\sigma'} \left[ U_{\sigma\sigma'}(0) - \sum'_{\mathbf{k}} \frac{2\mu_{\sigma\sigma'} U_{\sigma\sigma'}^2(\mathbf{k})}{k^2} \right]$$

Interaction shift per pair =  $g_{\sigma\sigma'} = 2\pi a_{\sigma\sigma'} / \mu_{\sigma\sigma'}$

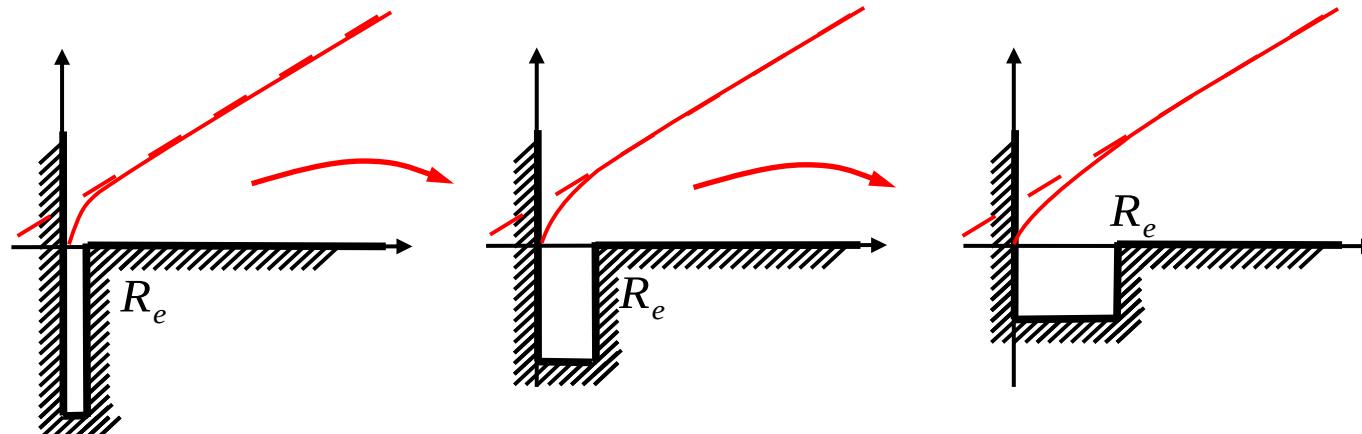
This perturbation theory cannot handle zero-range interactions

$U_{\sigma\sigma'}(\mathbf{k})$  is effective potential

Characterized by the desired low-energy scattering properties but suitable for perturbative expansion

$$|U_{\sigma\sigma'}| \ll 1/(\mu_{\sigma\sigma'} \kappa)$$

Interaction range in momentum space  $\sim 1/R_e$



# Renormalization in 3D

$$\hat{H}_{\text{Bog}} = \frac{1}{2} \sum_{\sigma\sigma'} U_{\sigma\sigma'}(0) n_\sigma n_{\sigma'} + \frac{1}{2} \sum'_{\mathbf{k}} \left[ E_{+,\mathbf{k}} + E_{-,\mathbf{k}} - \frac{k^2}{2m_r} - U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow - U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow \right] + \sum_{\pm,\mathbf{k}} E_{\pm,\mathbf{k}} \hat{b}_{\pm,\mathbf{k}}^\dagger \hat{b}_{\pm,\mathbf{k}}$$

subtract from here

add here

$$\frac{m_\uparrow U_{\uparrow\uparrow}^2(\mathbf{k}) n_\uparrow^2 + m_\downarrow U_{\downarrow\downarrow}^2(\mathbf{k}) n_\downarrow^2 + 4\mu_{\uparrow\downarrow} U_{\uparrow\downarrow}^2(\mathbf{k}) n_\uparrow n_\downarrow}{k^2}$$



$$E_{\text{LHY}} = \frac{1}{2} \sum'_{\mathbf{k}} \left[ E_{+,\mathbf{k}} + E_{-,\mathbf{k}} - \frac{k^2}{2\mu_{\uparrow\downarrow}} - U_{\uparrow\uparrow}(\mathbf{k}) n_\uparrow - U_{\downarrow\downarrow}(\mathbf{k}) n_\downarrow + \frac{m_\uparrow U_{\uparrow\uparrow}^2(\mathbf{k}) n_\uparrow^2 + m_\downarrow U_{\downarrow\downarrow}^2(\mathbf{k}) n_\downarrow^2 + 4\mu_{\uparrow\downarrow} U_{\uparrow\downarrow}^2(\mathbf{k}) n_\uparrow n_\downarrow}{k^2} \right]$$

Integral converges at  $\sim$ healing momentum  $\ll \kappa$   $\rightarrow U_{\sigma\sigma'}(k) \rightarrow U_{\sigma\sigma'}(0) \approx g_{\sigma\sigma'}$

$$E_{\text{LHY}}^{(3D)} = \frac{8}{15\pi^2} m_\uparrow^{3/2} (g_{\uparrow\uparrow} n_\uparrow)^{5/2} f^{(3D)} \left( \frac{m_\downarrow}{m_\uparrow}, \frac{g_{\uparrow\downarrow}^2}{g_{\uparrow\uparrow} g_{\downarrow\downarrow}}, \frac{g_{\downarrow\downarrow} n_\downarrow}{g_{\uparrow\uparrow} n_\uparrow} \right) \quad \text{where}$$

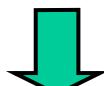
$$f^{(3D)}(z, u, x) = \frac{15}{32} \int_0^\infty \left[ \frac{1}{\sqrt{2}} \sum_{\pm} \sqrt{k^2 + \frac{xk^2}{z} + \frac{k^4}{4} + \frac{k^4}{4z^2}} \pm \sqrt{\left( k^2 - \frac{xk^2}{z} + \frac{k^4}{4} - \frac{k^4}{4z^2} \right)^2 + \frac{4xuk^4}{z}} \right.$$

$$\left. - \frac{1+z}{2z} k^2 - 1 - x + \left( 1 + x^2 z + \frac{4xz u}{1+z} \right) \frac{1}{k^2} \right] k^2 dk$$

# Renormalization in 3D

$$E_{\text{LHY}}^{(3D)} = \frac{8}{15\pi^2} m_\uparrow^{3/2} (g_{\uparrow\uparrow} n_\uparrow)^{5/2} f^{(3D)} \left( \frac{m_\downarrow}{m_\uparrow}, \frac{g_{\uparrow\downarrow}^2}{g_{\uparrow\uparrow} g_{\downarrow\downarrow}}, \frac{g_{\downarrow\downarrow} n_\downarrow}{g_{\uparrow\uparrow} n_\uparrow} \right) \quad \text{where}$$

$$f^{(3D)}(z, u, x) = \frac{15}{32} \int_0^\infty \left[ \frac{1}{\sqrt{2}} \sum_{\pm} \sqrt{k^2 + \frac{xk^2}{z} + \frac{k^4}{4} + \frac{k^4}{4z^2} \pm \sqrt{\left(k^2 - \frac{xk^2}{z} + \frac{k^4}{4} - \frac{k^4}{4z^2}\right)^2 + \frac{4xuk^4}{z}}} \right.$$



$$\left. - \frac{1+z}{2z} k^2 - 1 - x + \left(1 + x^2 z + \frac{4xzu}{1+z}\right) \frac{1}{k^2} \right] k^2 dk$$

Change of variable  $k \rightarrow t$   $k^2 = \frac{4\sqrt{xuz^3}}{z^2 - 1} \left[ t - \frac{1}{t} + \frac{x-z}{\sqrt{xuz}} \right] = \frac{4\sqrt{xuz^3}}{z^2 - 1} \frac{(t-b_1)(t-b_2)}{t}$  removes internal square root



$f^{(3D)}(z, u, x)$  is a combination of elementary and elliptic functions

For  $g_{\uparrow\downarrow} = \pm\sqrt{g_{\uparrow\uparrow} g_{\downarrow\downarrow}}$  we obtain

$$f^{(3D)}(z, 1, x) = (-2 - 7xz + 2z^2 + x^2 z^2) \frac{\sqrt{x+z}}{2\sqrt{z}(z^2 - 1)}$$

$$+ (-2 - 7xz + 3z^2 + 3x^2 z^2 - 7xz^3 - 2x^2 z^4) \frac{E[\arcsin(1/z)] - E(-xz)}{2(z^2 - 1)^{3/2}}$$

$$+ (2 + 8xz - 3z^2 + 6x^2 z^2 - 2xz^3 + x^2 z^4) \frac{F[\arcsin(1/z)] - K(-xz)]}{2(z^2 - 1)^{3/2}}.$$

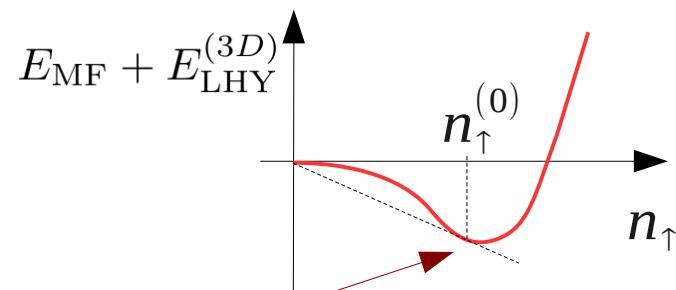
# 3D liquid properties

$$E_{\text{MF}} + E_{\text{LHY}}^{(3D)} = \frac{1}{2} \sum_{\sigma\sigma'} g_{\sigma\sigma'} n_\sigma n_{\sigma'} + \frac{8}{15\pi^2} m_\uparrow^{3/2} (g_{\uparrow\uparrow} n_\uparrow)^{5/2} f^{(3D)} \left( \frac{m_\downarrow}{m_\uparrow}, \frac{g_{\uparrow\downarrow}^2}{g_{\uparrow\uparrow} g_{\downarrow\downarrow}}, \frac{g_{\downarrow\downarrow} n_\downarrow}{g_{\uparrow\uparrow} n_\uparrow} \right)$$

Assuming  $\delta g = g_{\uparrow\downarrow} + \sqrt{g_{\uparrow\uparrow} g_{\downarrow\downarrow}} < 0$  and  $|\delta g| \ll \sqrt{g_{\uparrow\uparrow} g_{\downarrow\downarrow}}$  and  $n_\uparrow/n_\downarrow = \sqrt{g_{\downarrow\downarrow}/g_{\uparrow\uparrow}}$



$$E_{\text{MF}} + E_{\text{LHY}}^{(3D)} \approx \delta g \sqrt{\frac{g_{\uparrow\uparrow}}{g_{\downarrow\downarrow}}} n_\uparrow^2 + \frac{8}{15\pi^2} m_\uparrow^{3/2} (g_{\uparrow\uparrow} n_\uparrow)^{5/2} f^{(3D)} \left( \frac{m_\downarrow}{m_\uparrow}, 1, \sqrt{\frac{g_{\downarrow\downarrow}}{g_{\uparrow\uparrow}}} \right)$$



$$n_\uparrow^{(0)} = \frac{25\pi}{1024} \frac{1}{f^2(m_\downarrow/m_\uparrow, 1, \sqrt{g_{\downarrow\downarrow}/g_{\uparrow\uparrow}})} \frac{1}{a_{\uparrow\uparrow}^3} \frac{\delta g^2}{g_{\uparrow\uparrow} g_{\downarrow\downarrow}}$$

Dilute liquid!

$\sqrt{n a^3} \sim |\delta g|/g \ll 1$

# Summary

Instability in one degree of freedom (density) can be prevented by quantum fluctuations in other degree(s) of freedom (spin)

Quantum droplets: BMF physics is essential in spite of the weakly interacting regime, dilute liquid phase with controllable parameters

LHY (nonanalytic) scaling of the stabilizing energy  $\sim n^{5/2}$

Next lecture: other density scalings of the BMF term!

Next lecture: power of the Bogoliubov method

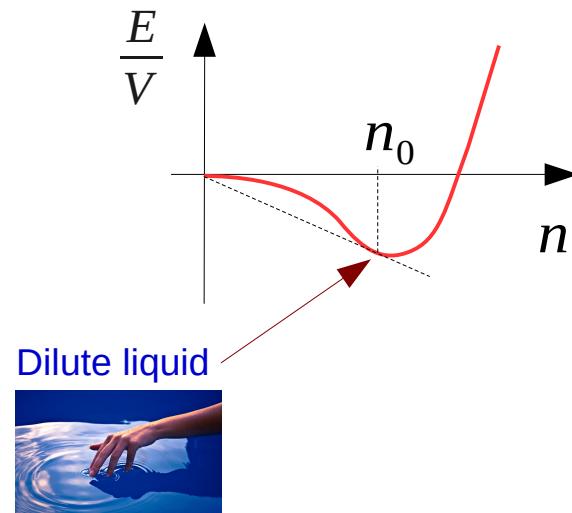
# **Lecture 2**

## **Nonanalytic vs analytic beyond mean field**

**&**

## **Three-body force**

# Dilute liquid phase

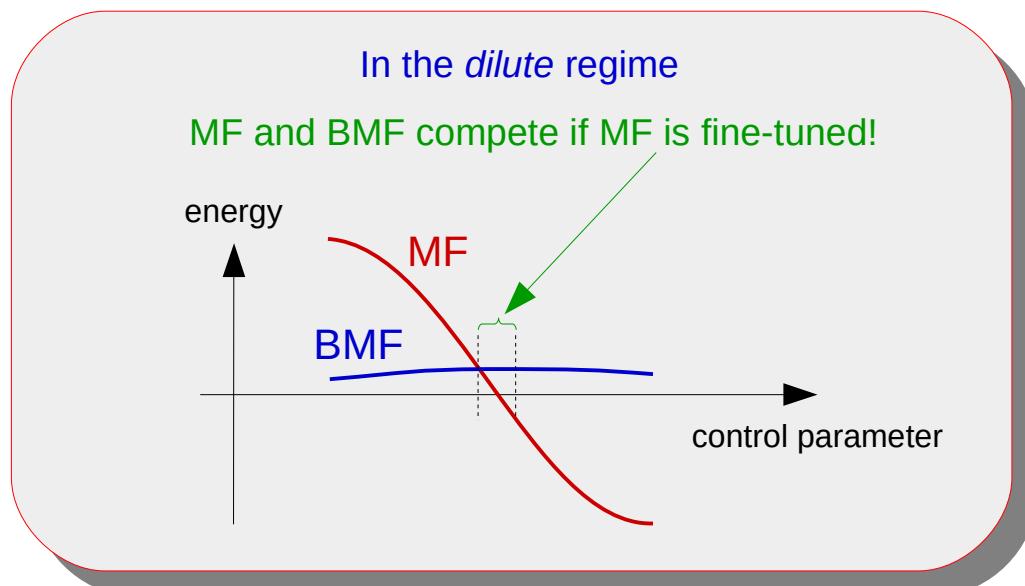


$$E = E_{\text{MF}} + E_{\text{BMF}}$$
$$E_{\text{MF}}/V = g_2 n^2/2 < 0 \quad E_{\text{BMF}}/V \propto n^{\alpha \neq 2} > 0$$

Need attractive mean-field (MF) and repulsive beyond-mean-field (BMF) terms

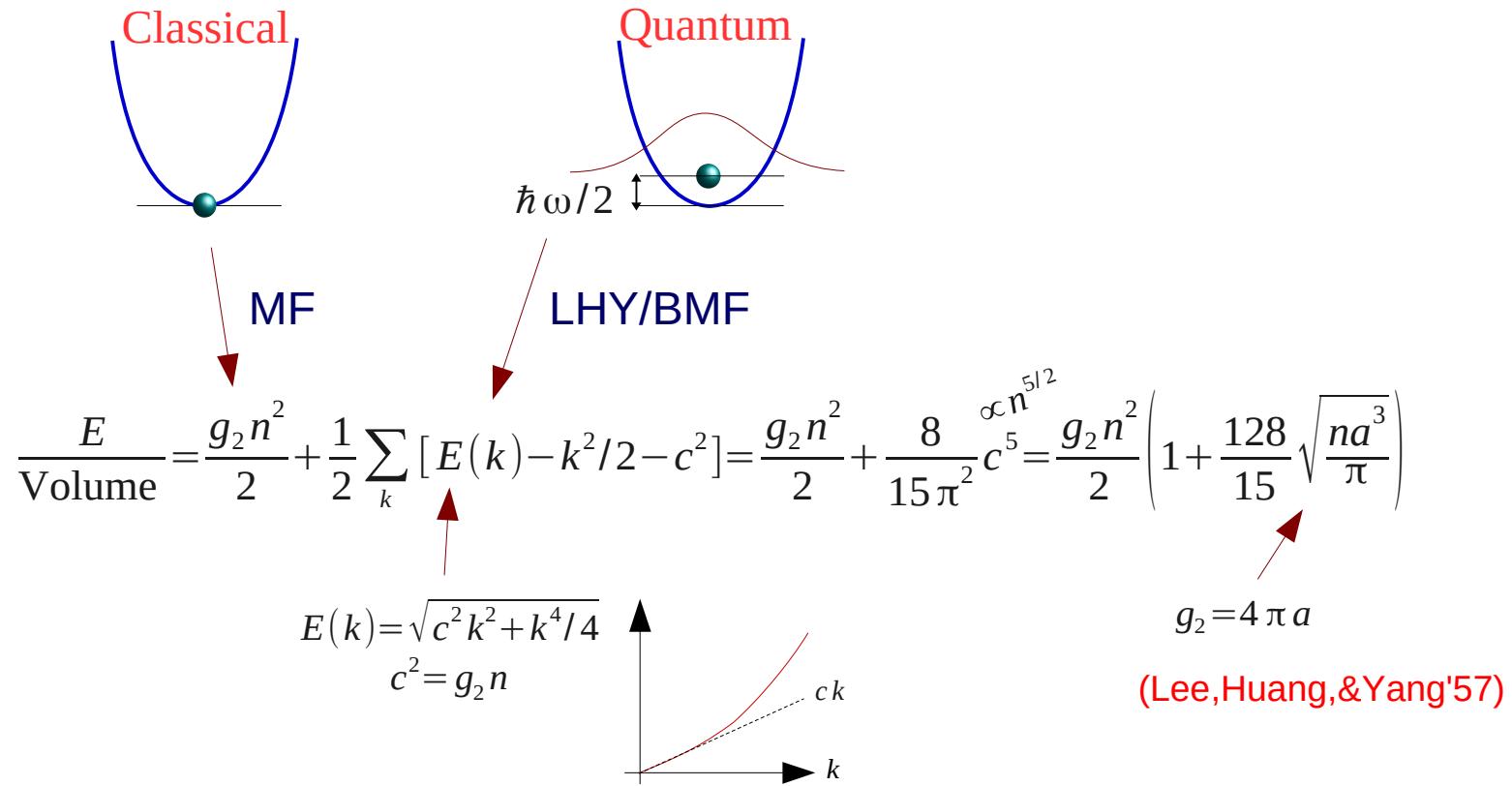
$\alpha = 3$  – “3-body” mechanism (Bulgac'02)

$\alpha = 5/2$  – “LHY” mechanism (DP'15)



Interest in higher-order interactions  
near 2-body zero crossing

# Lee-Huang-Yang (LHY) correction



LHY correction is QUANTUM

(~ zero-point energy of Bogoliubov vacuum)

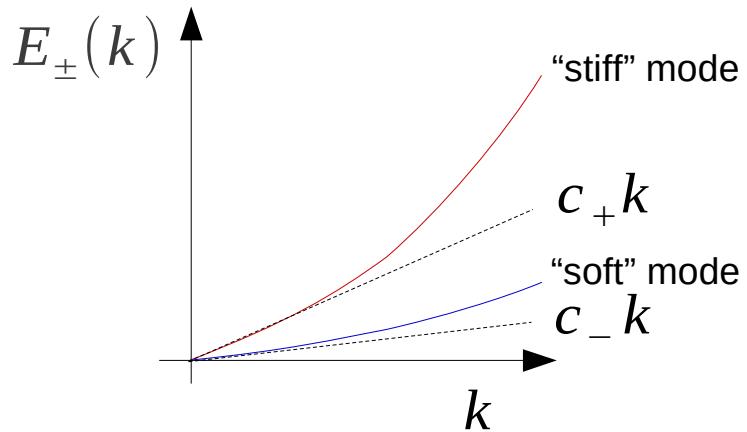
and UNIVERSAL

(depends only on the scattering length)

... but, unfortunately, VANISHES at the 2-body zero crossing!

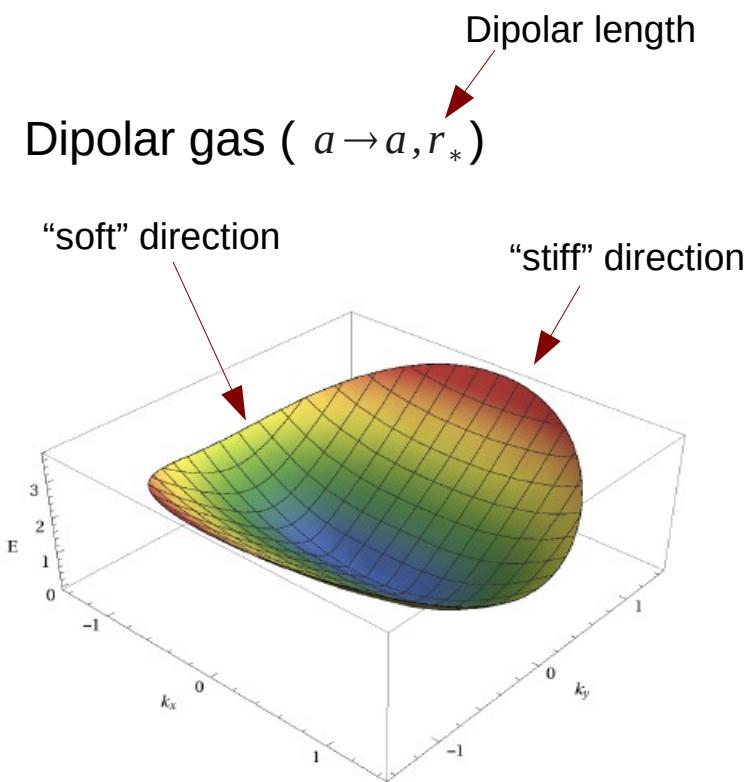
## Problem solved for systems with more control parameters

Two-component mixture ( $a \rightarrow a_{11}, a_{22}, a_{12}$ )



$$\frac{E}{\text{Volume}} = \frac{g_{11}n_1^2 + g_{22}n_2^2 + 2g_{12}n_1n_2}{2} + \frac{8}{15\pi^2} (c_+^5 + c_-^5)^{\alpha} n^{5/2}$$

(Larsen'63)



$$\frac{E_{\text{LHY}}}{\text{Volume}} = \frac{8}{15\pi^2} \frac{m^4}{\hbar^3} \langle c^5(\hat{k}) \rangle_{\hat{k}}^{\alpha} n^{5/2}$$

(Lima&Pelster'11)

Independent control over MF and BMF terms

# Low-dimensional examples

(DP, Astrakharchik'16)

**3D:**  $\frac{E_{3D}}{\text{Volume}} = \frac{1}{2} \sum_{\sigma\sigma'} g_{\sigma\sigma'} n_\sigma n_{\sigma'} + \frac{8}{15\pi^2} \sum_{\pm} c_{\pm}^5 \sim \delta g n^2 + (gn)^{5/2}$

$$\sqrt{n g^3} \ll 1$$

**2D:**  $\frac{E_{2D}}{\text{Surface}} = \frac{1}{2} \sum_{\sigma\sigma'} g_{\sigma\sigma'} n_\sigma n_{\sigma'} + \frac{1}{8\pi} \sum_{\pm} c_{\pm}^4 \ln \frac{c_{\pm}^2 \sqrt{e}}{\kappa^2} \sim g^2 n^2 \ln \frac{n}{n_0}$

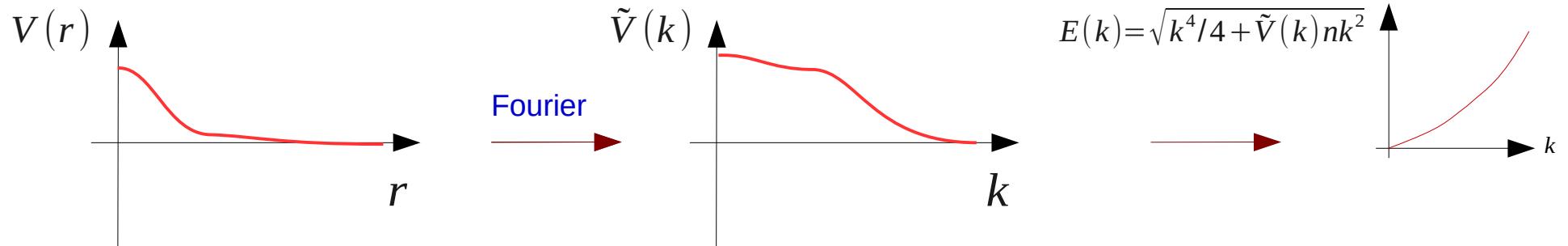
$$g_{\sigma\sigma'} = 2\pi / \ln(2e^{-\gamma}/a_{\sigma\sigma'}\kappa) \ll 1$$

**1D:**  $\frac{E_{1D}}{\text{Length}} = \frac{1}{2} \sum_{\sigma\sigma'} g_{\sigma\sigma'} n_\sigma n_{\sigma'} - \frac{2}{3\pi} \sum_{\pm} c_{\pm}^3 \sim \delta g n^2 - (gn)^{3/2}$

$$\sqrt{g/n} \ll 1$$

!

# Where does nonanalyticity come from?



$$\begin{aligned} \frac{E}{\text{Volume}} &= \frac{\tilde{V}(0)n^2}{2} + \frac{1}{2} \sum_k [\sqrt{k^4/4 + \tilde{V}(k)n k^2} - k^2/2 - \tilde{V}(k)n] \\ &= \frac{\tilde{V}(0)n^2}{2} + \sum_k \left( -\frac{n^2 \tilde{V}^2(k)}{2k^2} + \frac{n^3 \tilde{V}^3(k)}{k^4} + \dots \right) \end{aligned}$$

Renormalization of two-body interaction

Effective three-body force?  
Analytic?  $\sim n^3$

Not really. Integral is infrared divergent. Bogoliubov theory cuts it off at  $k \sim \sqrt{\tilde{V}(0)n}$  making it nonanalytic

Analytic BMF (3-body):

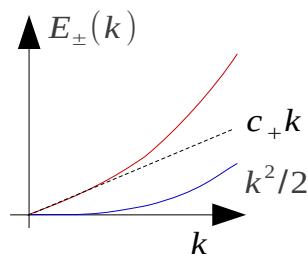
- 1) if  $\tilde{V}(0) = 0$
- 2) if the spectrum is gapped (different Hamiltonian)

# Driven mixture (Cappellaro et al'17, Lavoine et al'21)

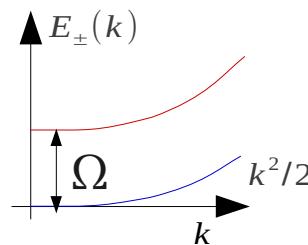
$$\hat{H} = \sum_{\sigma, \mathbf{k}} \frac{k^2}{2} \hat{a}_{\sigma, \mathbf{k}}^\dagger \hat{a}_{\sigma, \mathbf{k}} + \frac{1}{2} \sum_{\sigma, \sigma', \mathbf{k}_1, \mathbf{k}_2, \mathbf{q}} \hat{a}_{\sigma, \mathbf{k}_1 + \mathbf{q}}^\dagger \hat{a}_{\sigma', \mathbf{k}_2 - \mathbf{q}}^\dagger U_{\sigma \sigma'}(\mathbf{q}) \hat{a}_{\sigma, \mathbf{k}_1} \hat{a}_{\sigma', \mathbf{k}_2} - \frac{\Omega}{2} (\hat{a}_{\uparrow, \mathbf{k}}^\dagger \hat{a}_{\downarrow, \mathbf{k}} + \hat{a}_{\downarrow, \mathbf{k}}^\dagger \hat{a}_{\uparrow, \mathbf{k}})$$

RF Rabi frequency,  
or tunneling  
amplitude (bilayer,  
bitube geometry)

$\Omega=0$



finite  $\Omega$



$\sqrt{\Omega}$  is a new momentum scale which can cut off the infrared divergence instead of the healing momentum

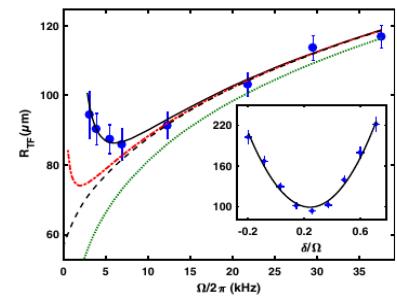
$$E_{\text{LHY}} = \frac{8}{15\pi^2} C_+^5 \propto n^{5/2}$$

2-body renorm.

$$E_{\text{LHY}} \stackrel{gn \ll \Omega}{\approx} \frac{\sqrt{\Omega} g^2}{2\sqrt{2}\pi} \frac{n^2}{2} + \frac{3g^3}{4\sqrt{2}\pi\sqrt{\Omega}} \frac{n^3}{3!} + \dots$$

Effective three-body force  
third order in the interaction

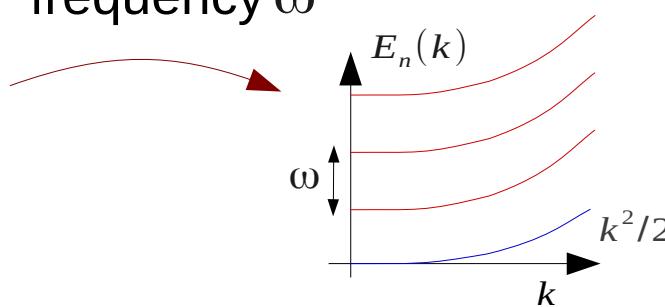
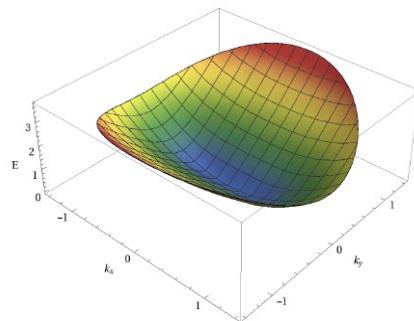
Agreement with 3-body analysis (DP'14)



(Lavoine et al'21)

# Dimensional crossover Edler et al'17, Zin et al'18&'19, Ilg et al'18

quasi-low-D  
confinement with  
frequency  $\omega$



Appearance of effective three-body terms  
also in the third order

# Effective 3-body force (2<sup>nd</sup> order)

Higher-order interactions in the Bose-Hubbard model  
(Li et al.'06, Tiesinga et al.'09,11, Hazzard&Mueller'10)



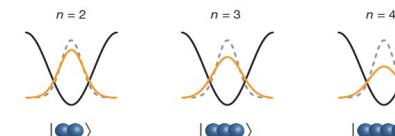
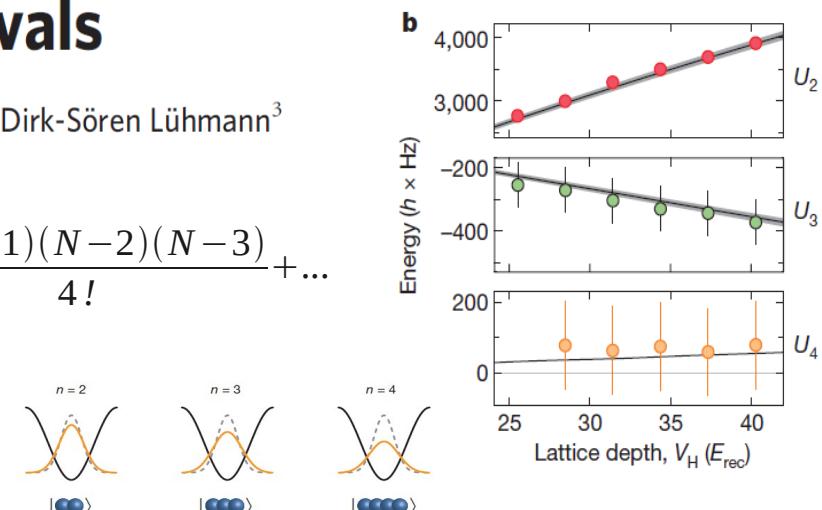
3-body term – second order in interaction and attractive

## Time-resolved observation of coherent multi-body interactions in quantum phase revivals

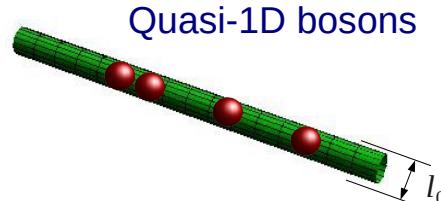
Sebastian Will<sup>1,2</sup>, Thorsten Best<sup>1</sup>, Ulrich Schneider<sup>1,2</sup>, Lucia Hackermüller<sup>1</sup>, Dirk-Sören Lühmann<sup>3</sup>  
& Immanuel Bloch<sup>1,2,4</sup>

$$E(N) = U_2 \frac{N(N-1)}{2!} + U_3 \frac{N(N-1)(N-2)}{3!} + U_4 \frac{N(N-1)(N-2)(N-3)}{4!} + \dots$$

$\underbrace{\phantom{U_2 \frac{N(N-1)}}}_{g \sim a \omega^{3/2} \gg} \quad \underbrace{\phantom{U_3 \frac{N(N-1)(N-2)}}}_{g^2/\omega \gg} \quad \underbrace{\phantom{U_4 \frac{N(N-1)(N-2)(N-3)}}}_{g^3/\omega^2}$



Another example:



$$H_{\text{eff 1D}} = -\frac{1}{2} \sum_{i=1}^N \frac{\partial^2}{\partial x_i^2} + g_2 \sum_{i < j} \delta(x_i - x_j) + g_3 \sum_{i < j < k} \delta(x_i - x_j) \delta(x_j - x_k)$$

$$g_2 = \frac{2a}{l_0} \left( 1 + \frac{Ca}{\sqrt{2}l_0} + \dots \right)$$

(Olshanii'98)

$$g_3 = -12 \log\left(\frac{4}{3}\right) \frac{a^2}{l_0^2}$$

(Muryshev et al'02)

**Three-body force:**  
**Why interesting?**  
**Is it second or third order?**  
**Can Bogoliubov theory correctly handle it?**

# Why interesting?

Bosons +  $g_2 < 0$   Collapse

Bosons +  $g_2 < 0 + g_3 > 0$   Free space → self-trapped droplet state Bulgac'02:

Neglecting surface tension, flat density profile  $n = 3|g_2|/2g_3$

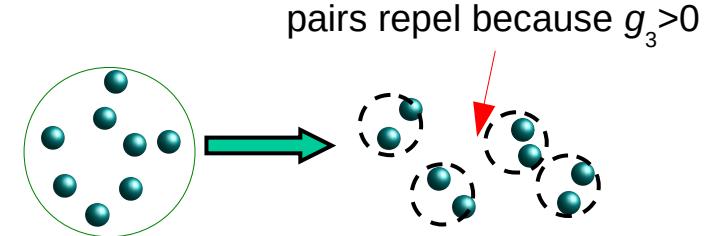
Including surface tension → surface modes



Increasing  $g_2 < 0$   bosonic pairing Nozieres&Saint James'82

Topological transition, not crossover!

Radzhovsky et al., Romans et al., Lee&Lee'04



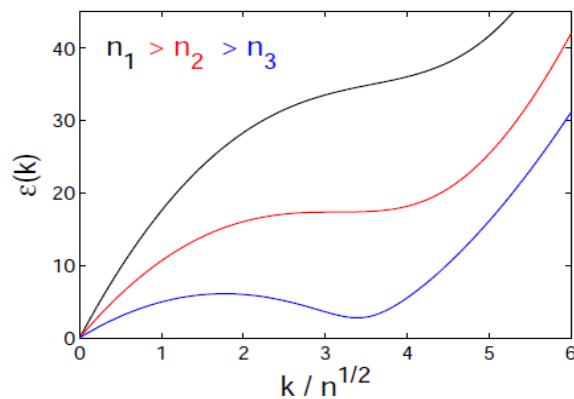
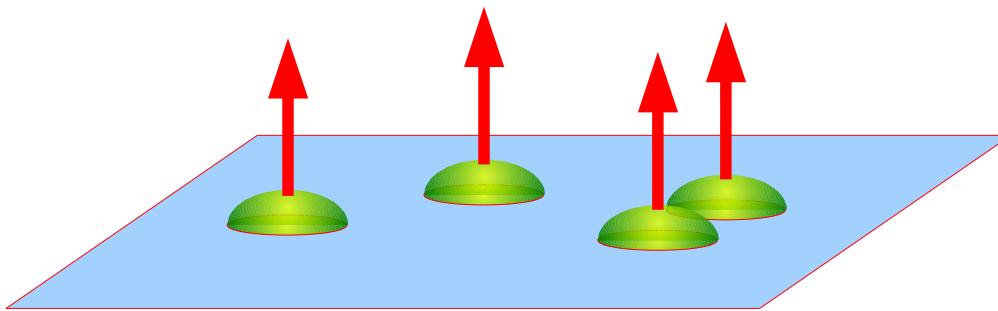
Pairing on a lattice with three-body constraint:

Daley et al.'09-, Ng&Yang'11, Bonnes&Wessel'12,...

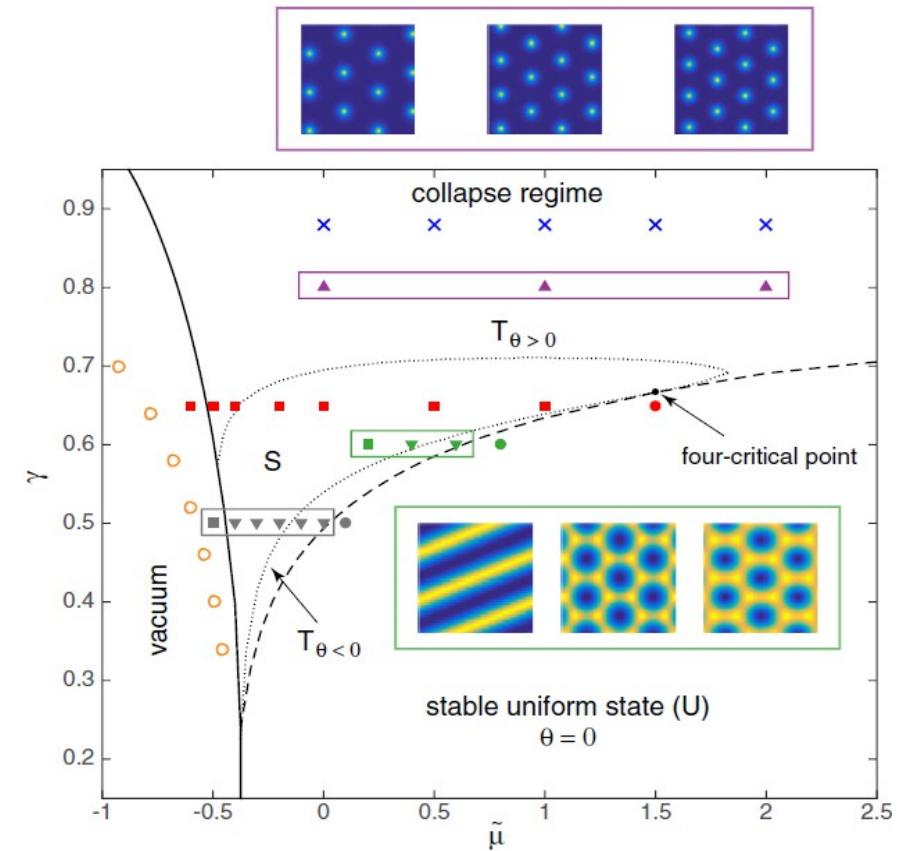
$g_3$  is necessary! = Pauli pressure in the BCS-BEC crossover!

# Why interesting?

## 2D dipoles



## Phase diagram

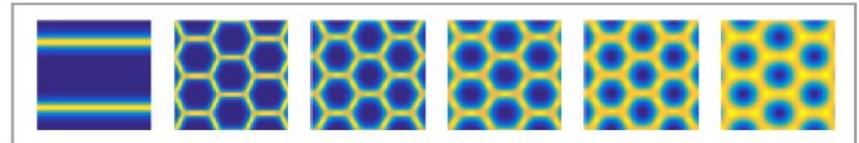


Rotonized superfluid & supersolid



Mechanical stability for  $g_3 > 0$

Lu et al'15



# Dimensions of X-dimensional coupling constants

X-dimensional 2-body scattering  $\longrightarrow g_2 \propto (\hbar^2/m) \times \text{length}^{X-2}$



X-dimensional 3-body scattering = (2X)-dimensional 2-body scattering



X-dimensional 3-body scattering  $\longrightarrow g_3 \propto (\hbar^2/m) \times \text{length}^{2X-2}$

In particular,

3D:  $g_3 \propto (\hbar^2/m) \times \text{length}^4$

2D:  $g_3 \propto (\hbar^2/m) \times \text{length}^2$

1D:  $g_3 \propto (\hbar^2/m) / \ln(k \times \text{length}) = \text{small parameter}$

# Dimensions of X-dimensional coupling constants

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X-dimensional 3-body scattering  $\longrightarrow g_3 \propto (\hbar^2/m) \times \text{length}^{2X-2}$

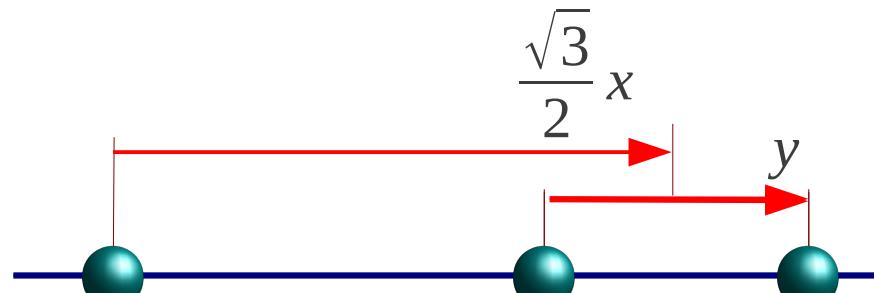
In particular,

3D:  $g_3 \propto (\hbar^2/m) \times \text{length}^4$

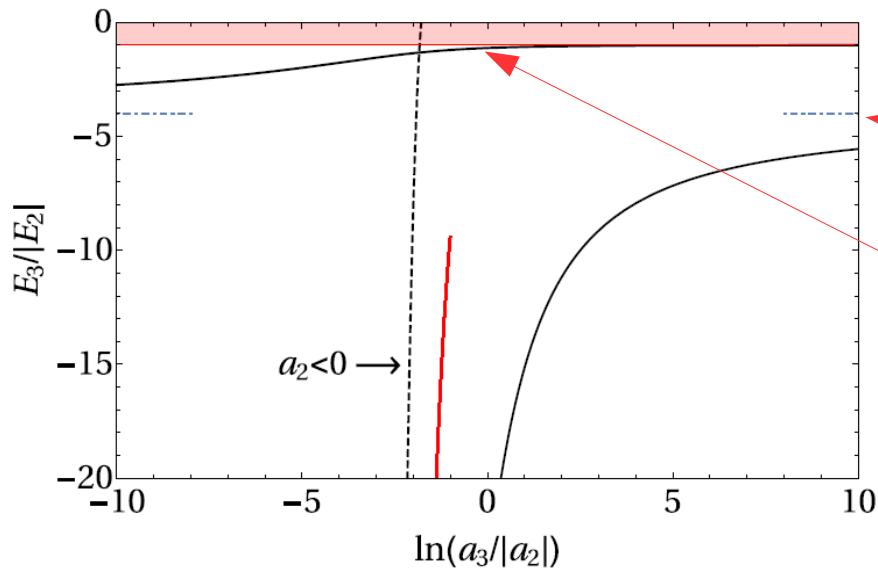
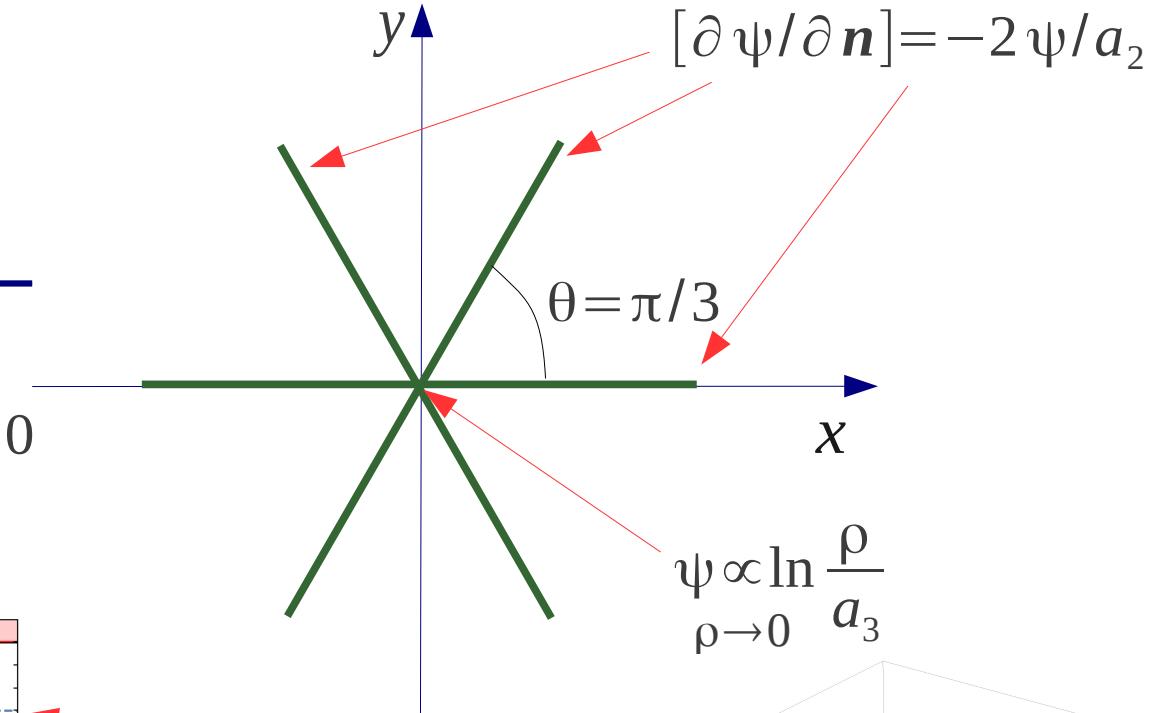
2D:  $g_3 \propto (\hbar^2/m) \times \text{length}^2$

1D:  $g_3 \propto (\hbar^2/m) / \ln(k \times \text{length}) = \text{small parameter}$

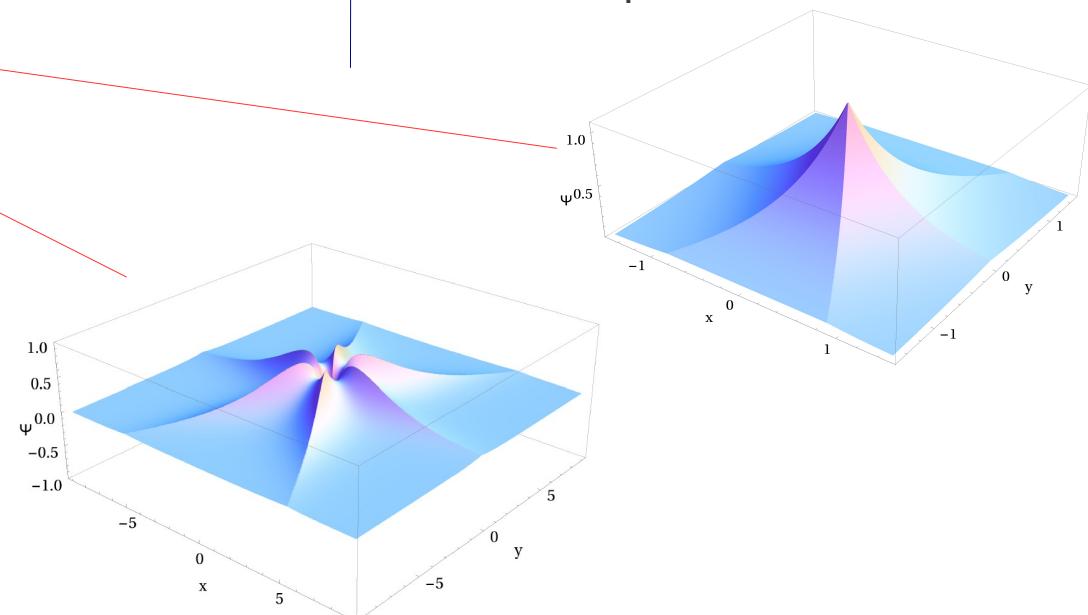
# Three-body problem with $a_2$ and $a_3$



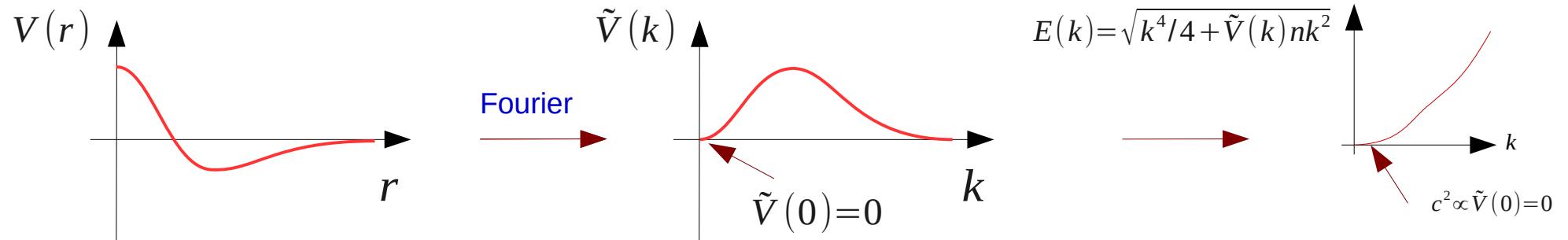
$$(-\partial^2/\partial x^2 - \partial^2/\partial y^2 - mE)\psi(\rho) = 0$$



(Guijarro et al.'18, Nishida'18)



# 2-body interaction of zero mean



$$\frac{E}{\text{Volume}} = \frac{\tilde{V}(0)n^2}{2} + \frac{1}{2} \sum_k [\sqrt{k^4/4 + \tilde{V}(k)nk^2} - k^2/2 - \tilde{V}(k)n] = \frac{\tilde{V}(0)n^2}{2} + \sum_k \left( -\frac{n^2 \tilde{V}^2(k)}{2k^2} + \frac{n^3 \tilde{V}^3(k)}{k^4} + \dots \right)$$

Renormalization of two-body interaction

Effective three-body force  
third order in the interaction

``3-body'' 3<sup>rd</sup> order scaling 😊

Closed-form expression 😊

Where could the 2<sup>nd</sup> order 3-body term come from?

Not sure if Bogoliubov handles it correctly

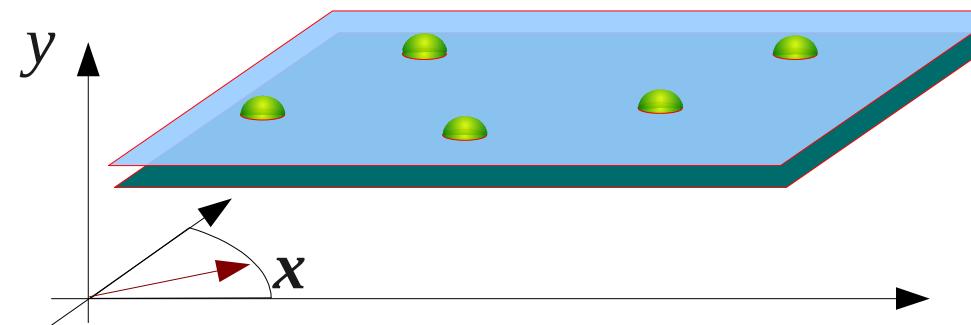
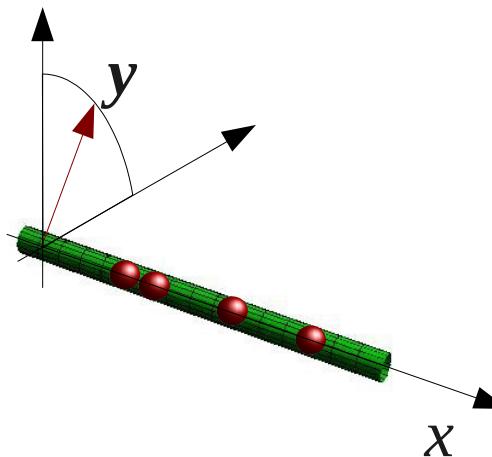
$$g_3^{(3)} = 6 \sum_k \frac{\tilde{V}^3(k)}{k^4}$$

Converges since  $\tilde{V}(0)=0$

# Model

Hamiltonian:  $\hat{H} = \sum_{i=1}^N -\partial_{\mathbf{x}_i}^2/2 - \partial_{\mathbf{y}_i}^2/2 + U(\mathbf{y}_i) + \sum_{i>j} V(\mathbf{x}_i - \mathbf{x}_j, \mathbf{y}_i - \mathbf{y}_j)$

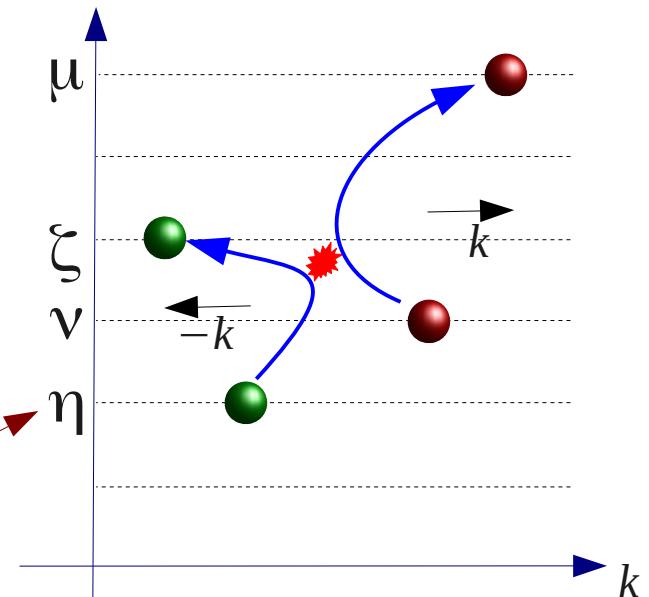
Quasi-low-D confinement      Interaction



Interaction matrix elements [assume  $V(\mathbf{r}) = V(-\mathbf{r})$ ]

$$\begin{aligned} V_{\mu\nu}^{\zeta\eta}(\mathbf{k}) &= [V_{\nu\mu}^{\eta\zeta}(-\mathbf{k})]^* = V_{\zeta\eta}^{\mu\nu}(-\mathbf{k}) \\ &= \int d\mathbf{y} d\mathbf{y}' d\mathbf{x} e^{i\mathbf{k}\mathbf{x}} V(\mathbf{x}, \mathbf{y} - \mathbf{y}') \psi_{\zeta}^*(\mathbf{y}) \psi_{\mu}^*(\mathbf{y}') \psi_{\eta}(\mathbf{y}) \psi_{\nu}(\mathbf{y}') \end{aligned}$$

single-particle states in  $U(y)$



# 1<sup>st</sup> quantization vs Bogoliubov perturbation theory

$$\hat{H} = \sum_{i=1}^N -\partial_{\mathbf{x}_i}^2/2 - \partial_{\mathbf{y}_i}^2/2 + U(\mathbf{y}_i) + \sum_{i>j} V(\mathbf{x}_i - \mathbf{x}_j, \mathbf{y}_i - \mathbf{y}_j)$$



Landau & Lifshitz

$$E_{\bar{n}}^{(1)} = \bar{V}_{\bar{n}\bar{n}},$$

$$E_{\bar{n}}^{(2)} = -\sum'_{\bar{m}} |\bar{V}_{\bar{m}\bar{n}}|^2 / \omega_{\bar{m}\bar{n}},$$

$$E_{\bar{n}}^{(3)} = \sum'_{\bar{k}} \sum'_{\bar{m}} \frac{\bar{V}_{\bar{n}\bar{m}} \bar{V}_{\bar{m}\bar{k}} \bar{V}_{\bar{k}\bar{n}}}{\omega_{\bar{m}\bar{n}} \omega_{\bar{k}\bar{n}}} - E_{\bar{n}}^{(1)} \sum'_{\bar{m}} \frac{|\bar{V}_{\bar{m}\bar{n}}|^2}{\omega_{\bar{m}\bar{n}}^2}$$



$$E[N] = E^{(1)}[N] + E^{(2)}[N] + E^{(3)}[N] + \dots$$

$$g_2^{(1)} \binom{N}{2} = V_{00}^{00}(\mathbf{0}) \binom{N}{2}$$

MF

$$g_2^{(2)} = - \sum_{\mathbf{k}, \nu, \mu} \frac{|V_{0\mu}^{0\nu}(\mathbf{k})|^2}{k^2 + \epsilon_\nu + \epsilon_\mu}$$

2-body renormalization

$$g_2^{(2)} \binom{N}{2} + g_3^{(2)} \binom{N}{3}$$

3-body 2<sup>nd</sup> order attraction  
Vanishes in pure dimensions!

$$\hat{H} = \sum_{\mathbf{q}, \nu} (q^2/2 + \epsilon_\nu) \hat{a}_{\mathbf{q}, \nu}^\dagger \hat{a}_{\mathbf{q}, \nu}$$

$$+ \frac{1}{2} \sum_{\mathbf{q}_1, \mathbf{q}_2, \mathbf{k}, \nu, \mu, \eta, \zeta} V_{\mu\nu}^{\zeta\eta}(\mathbf{k}) \hat{a}_{\mathbf{q}_2 + \mathbf{k}, \mu}^\dagger \hat{a}_{\mathbf{q}_1 - \mathbf{k}, \zeta}^\dagger \hat{a}_{\mathbf{q}_2, \nu} \hat{a}_{\mathbf{q}_1, \eta}$$



$$H_0 = V_{00}^{00}(\mathbf{0}) n_0^2 / 2,$$

$$\hat{H}_{sp} = \sum_{\mathbf{q}, \nu} (q^2/2 + \epsilon_\nu) \hat{a}_{\mathbf{q}, \nu}^\dagger \hat{a}_{\mathbf{q}, \nu},$$

$$\hat{H}_1 = n_0^{3/2} \sum_{\nu} V_{\nu 0}^{00}(\mathbf{0}) \hat{a}_{0, \nu}^\dagger + V_{0\nu}^{00}(\mathbf{0}) \hat{a}_{0, \nu},$$

$$\begin{aligned} \hat{H}_2 = \frac{n_0}{2} \sum_{\nu, \mu, \mathbf{k}}' V_{\mu 0}^{\nu 0}(\mathbf{k}) \hat{a}_{\mathbf{k}, \mu}^\dagger \hat{a}_{-\mathbf{k}, \nu}^\dagger + V_{0\mu}^{0\nu}(\mathbf{k}) \hat{a}_{-\mathbf{k}, \mu} \hat{a}_{\mathbf{k}, \nu} \\ + 2[V_{\mu\nu}^{00}(\mathbf{0}) + V_{\mu 0}^{0\nu}(\mathbf{k})] \hat{a}_{\mathbf{k}, \mu}^\dagger \hat{a}_{\mathbf{k}, \nu}, \end{aligned}$$

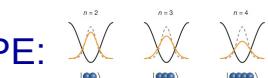
$$\begin{aligned} \hat{H}_3 = \sqrt{n_0} \sum_{\mathbf{q}, \mathbf{k}, \nu, \mu, \eta}' V_{\nu 0}^{\mu\eta}(\mathbf{k}) \hat{a}_{\mathbf{k}, \nu}^\dagger \hat{a}_{\mathbf{q}, \mu}^\dagger \hat{a}_{\mathbf{q} + \mathbf{k}, \eta} \\ + V_{\eta\mu}^{0\nu}(\mathbf{k}) \hat{a}_{\mathbf{q} + \mathbf{k}, \eta}^\dagger \hat{a}_{\mathbf{k}, \nu} \hat{a}_{\mathbf{q}, \mu}, \end{aligned}$$

$$\hat{H}_4 = \frac{1}{2} \sum_{\mathbf{q}_1, \mathbf{q}_2, \mathbf{k}, \nu, \mu, \eta, \zeta}' V_{\mu\nu}^{\zeta\eta}(\mathbf{k}) \hat{a}_{\mathbf{q}_2 + \mathbf{k}, \mu}^\dagger \hat{a}_{\mathbf{q}_1 - \mathbf{k}, \zeta}^\dagger \hat{a}_{\mathbf{q}_2, \nu} \hat{a}_{\mathbf{q}_1, \eta}$$

$$\langle \hat{H}_2 | \hat{H}_{sp}^{-1} | \hat{H}_2 \rangle \longrightarrow g_2^{(2)}$$

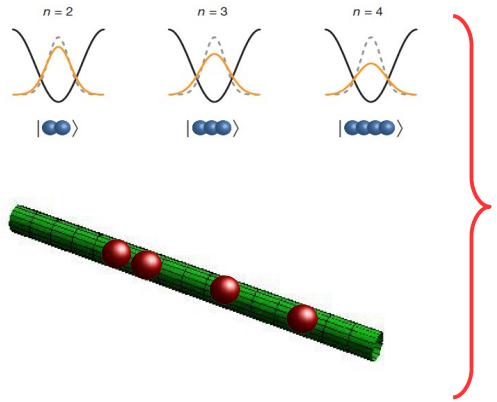
$$\langle \hat{H}_1 | \hat{H}_{sp}^{-1} | \hat{H}_1 \rangle \longrightarrow g_3^{(2)}$$

$g_3^{(2)}$  also follows from GPE:



Bogoliubov

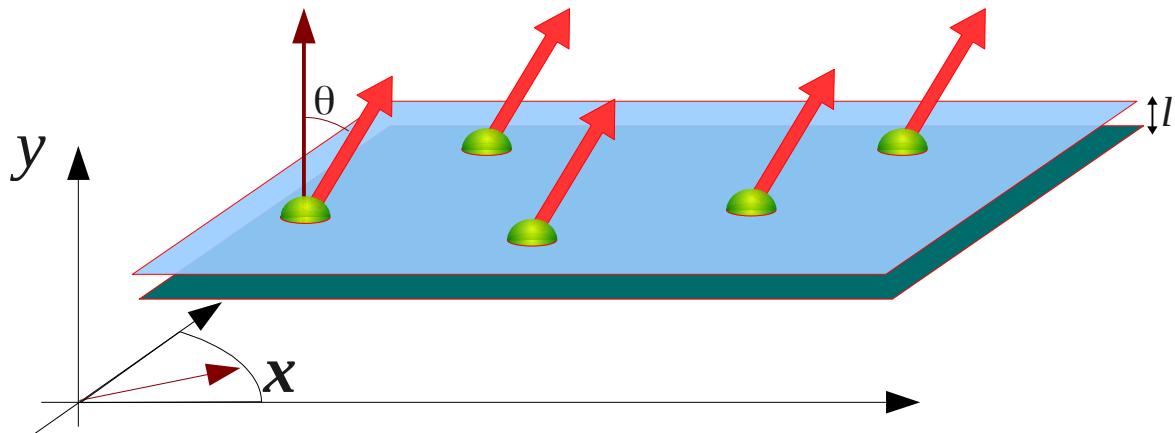
$$V_{00}^{00}(k=0)=0 \quad \xrightarrow{\text{in "simple" cases}} \quad V_{\nu\mu}^{\eta\zeta}(k=0)=0$$



In these "simple" cases

$$\text{MF} \sim V_{00}^{00}(k=0) \propto a \quad \longrightarrow g_3^{(2)} = -6 \sum_{\nu} \frac{|V_{\nu 0}^{00}(0)|^2}{\epsilon_{\nu}} \propto -a^2$$

Quasi-2D dipoles is also a simple case:



$$V(\mathbf{r}) = r_* \frac{r^2 - 3(x_1 \sin \theta + y \cos \theta)^2}{r^5} + 4\pi a \delta(\mathbf{r})$$

Fourier

$$V(\mathbf{k}, p) = 4\pi r_* \left[ \frac{(k_1 \sin \theta + p \cos \theta)^2}{k^2 + p^2} - \frac{1}{3} + \frac{a}{r_*} \right]$$



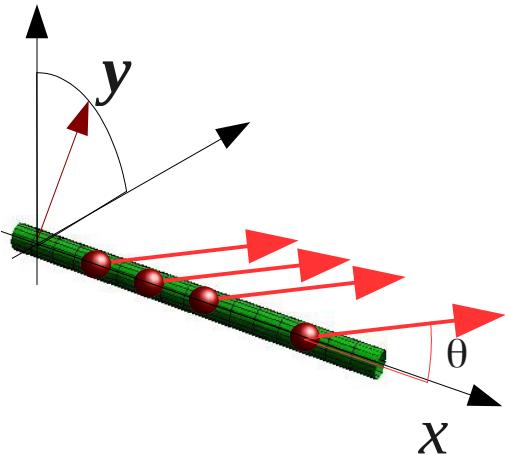
$$V_{\nu\mu}^{\eta\zeta}(k=0)=0 \quad \longleftrightarrow \quad a = a_* = (1/3 - \cos^2 \theta)r_*$$



$V_{00}^{00}(k=0)=0$  and  $g_3^{(2)}=0$  simultaneously

$$V_{00}^{00}(k=0)=0 \quad \text{not valid in general} \quad V_{v\mu}^{\eta\xi}(k=0)=0$$

Example is quasi-1D dipoles:



$$V(\mathbf{r}) = r_* \frac{r^2 - 3(x \cos \theta + y_1 \sin \theta)^2}{r^5} + 4\pi a \delta(\mathbf{r})$$

$$\int dx V(x, \mathbf{y}) = 4\pi(a - a_*)\delta(\mathbf{y}) + 2r_* \sin^2 \theta \frac{y_2^2 - y_1^2}{y^4}$$

$$a_* = \left( \frac{1}{3} - \frac{\sin^2 \theta}{2} \right) r_*$$

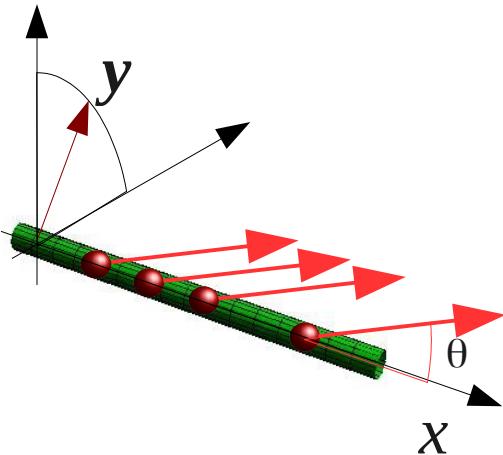
$$g_3^{(2)} = -12 \ln \frac{4}{3} \left( \frac{a - a_*}{l} \right)^2 + \left( \frac{3}{2} - 6 \ln \frac{4}{3} \right) \left( \frac{r_*}{l} \right)^2 \sin^4 \theta$$

$V_{00}^{00}(k=0)=0$  when  $a=a_*$ , but all  $V_{v\mu}^{\eta\xi}(k=0)=0$   
only when  $a=a_*$  AND  $\theta=0$

Independent control of 2-body and 3-body interactions!

$$V_{00}^{00}(k=0)=0 \quad \text{not valid in general} \quad V_{v\mu}^{\eta\xi}(k=0)=0$$

Example is quasi-1D dipoles:

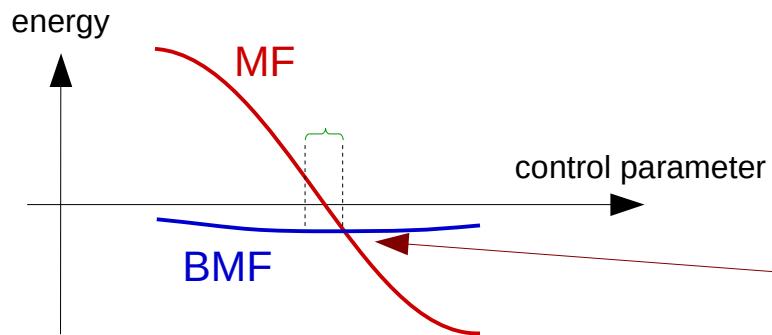


$$V(\mathbf{r}) = r_* \frac{r^2 - 3(x \cos \theta + y_1 \sin \theta)^2}{r^5} + 4\pi a \delta(\mathbf{r})$$

$$\int dx V(x, \mathbf{y}) = 4\pi(a - a_*)\delta(\mathbf{y}) + 2r_* \sin^2 \theta \frac{y_2^2 - y_1^2}{y^4}$$

$$a_* = \left( \frac{1}{3} - \frac{\sin^2 \theta}{2} \right) r_*$$

$$g_3^{(2)} = -12 \ln \frac{4}{3} \left( \frac{a - a_*}{l} \right)^2 + \left( \frac{3}{2} - 6 \ln \frac{4}{3} \right) \left( \frac{r_*}{l} \right)^2 \sin^4 \theta$$



$V_{00}^{00}(k=0)=0$  when  $a=a_*$ , but all  $V_{v\mu}^{\eta\xi}(k=0)=0$   
only when  $a=a_*$  AND  $\theta=0$

Independent control of 2-body and 3-body interactions!  
... although  $g_3^{(2)} \leq 0$

Hereafter assume

$$\int d\mathbf{x} V(\mathbf{x}, \mathbf{y}) = 0 \iff V_{v\mu}^{\eta\zeta}(k=0) = 0$$

(holds for quasi-2D dipoles when  $a=a_*$  and for quasi-1D dipoles when  $a=a_*$  and  $\theta=0$ )



$$E[N] = E^{(1)}[N] + E^{(2)}[N] + E^{(3)}[N] + \dots$$

$$g_3^{(3)} \binom{N}{3} + g_2^{(3)} \binom{N}{2}$$

where

$$g_3^{(3)} = 6 \sum_{\mathbf{k}, \nu, \mu, \eta} \frac{V_{0\nu}^{0\eta}(\mathbf{k}) V_{\eta 0}^{0\mu}(\mathbf{k}) V_{\mu 0}^{\nu 0}(\mathbf{k})}{(k^2 + \epsilon_\nu + \epsilon_\eta)(k^2 + \epsilon_\nu + \epsilon_\mu)}$$

and

$$g_2^{(3)} = \sum_{\nu, \mu, \eta, \zeta, \mathbf{k}, \mathbf{q}} \frac{V_{0\eta}^{0\zeta}(-\mathbf{q}) V_{\eta\nu}^{\zeta\mu}(\mathbf{q} - \mathbf{k}) V_{\nu 0}^{\mu 0}(\mathbf{k})}{(k^2 + \epsilon_\nu + \epsilon_\mu)(q^2 + \epsilon_\eta + \epsilon_\zeta)}$$

$$\hat{H}_{sp} = \sum_{\mathbf{q}, \nu} (q^2/2 + \epsilon_\nu) \hat{a}_{\mathbf{q}, \nu}^\dagger \hat{a}_{\mathbf{q}, \nu}$$

$$\begin{aligned} \hat{H}_2 = & \frac{n_0}{2} \sum'_{\nu, \mu, \mathbf{k}} V_{\mu 0}^{\nu 0}(\mathbf{k}) \hat{a}_{\mathbf{k}, \mu}^\dagger \hat{a}_{-\mathbf{k}, \nu}^\dagger + V_{0\mu}^{0\nu}(\mathbf{k}) \hat{a}_{-\mathbf{k}, \mu} \hat{a}_{\mathbf{k}, \nu} \\ & + 2[V_{\mu\nu}^{00}(\mathbf{0}) + V_{\mu 0}^{0\nu}(\mathbf{k})] \hat{a}_{\mathbf{k}, \mu}^\dagger \hat{a}_{\mathbf{k}, \nu} \end{aligned}$$

$$\langle \hat{H}_2 | \hat{H}_{sp}^{-1} | \hat{H}_2 | \hat{H}_{sp}^{-1} | \hat{H}_2 \rangle$$

$g_3^{(3)}$  is well described by Bogoliubov

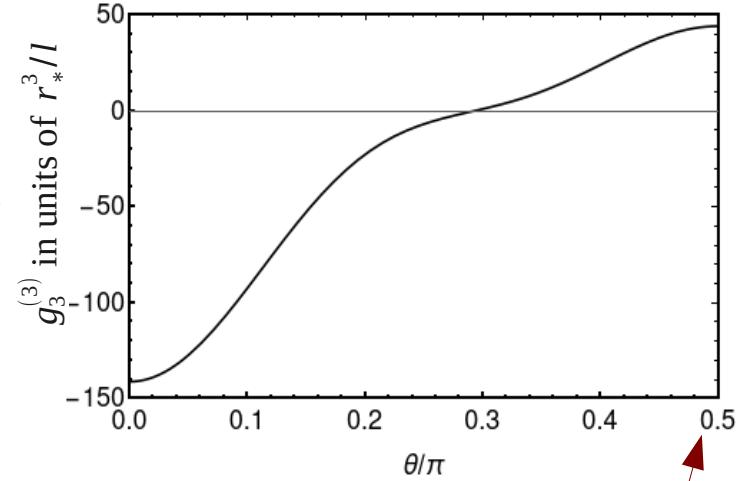
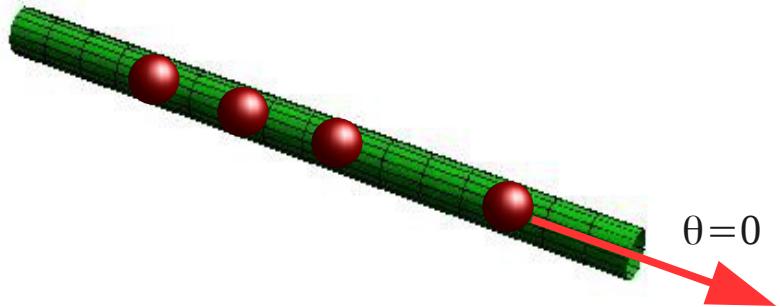
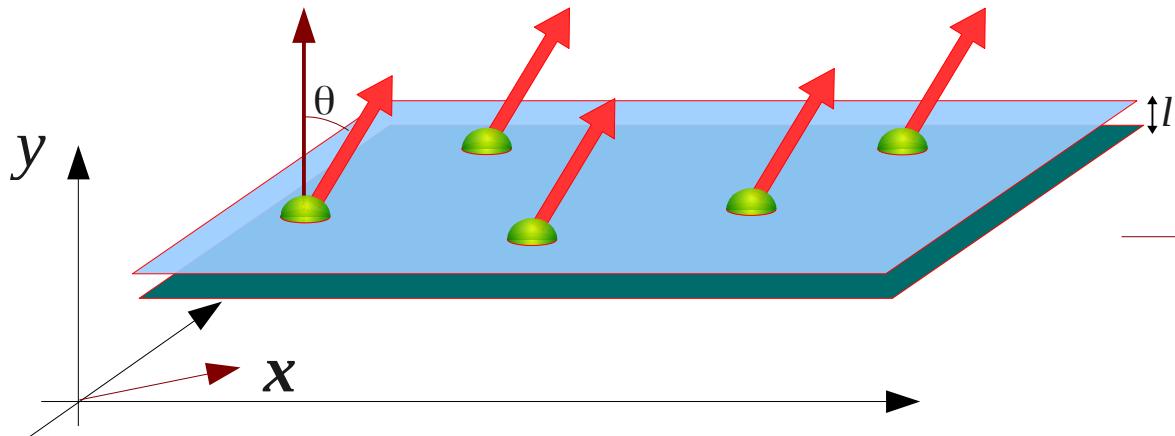
$$\langle \hat{H}_2 | \hat{H}_{sp}^{-1} | \hat{H}_4 | \hat{H}_{sp}^{-1} | \hat{H}_2 \rangle$$

$g_2^{(3)}$  is formally beyond Bogoliubov, as we need

$$\hat{H}_4 = \frac{1}{2} \sum'_{\mathbf{q}_1, \mathbf{q}_2, \mathbf{k}, \nu, \mu, \eta, \zeta} V_{\mu\nu}^{\zeta\eta}(\mathbf{k}) \hat{a}_{\mathbf{q}_2 + \mathbf{k}, \mu}^\dagger \hat{a}_{\mathbf{q}_1 - \mathbf{k}, \zeta}^\dagger \hat{a}_{\mathbf{q}_2, \nu} \hat{a}_{\mathbf{q}_1, \eta}$$

## Applications of

$$g_3^{(3)} = 6 \sum_{\mathbf{k}, \nu, \mu, \eta} \frac{V_{0\nu}^{0\eta}(\mathbf{k}) V_{\eta 0}^{0\mu}(\mathbf{k}) V_{\mu 0}^{\nu 0}(\mathbf{k})}{(k^2 + \epsilon_\nu + \epsilon_\eta)(k^2 + \epsilon_\nu + \epsilon_\mu)}$$



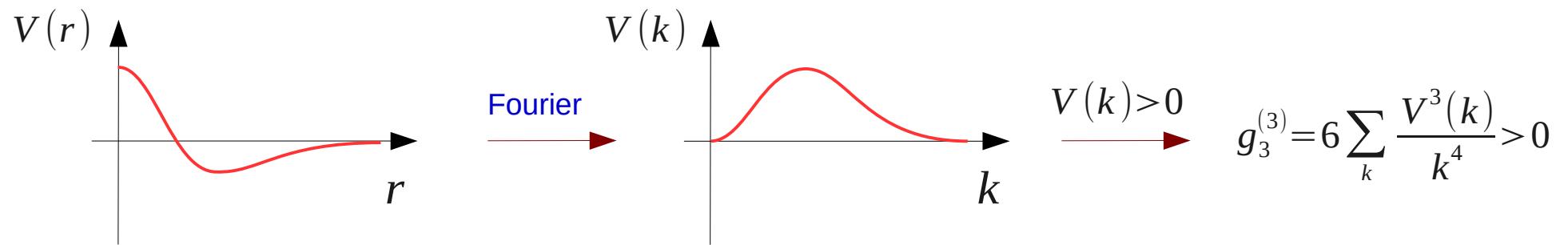
$$g_3^{(3)} = 4.65 (r_* / l)^3$$

In both cases (if  $r_* > 0$ ):

repulsive 3<sup>rd</sup> order 3-body interaction for dipoles oriented along unconfined direction(s) !

Happens when the 2-body potential is attractive at long range

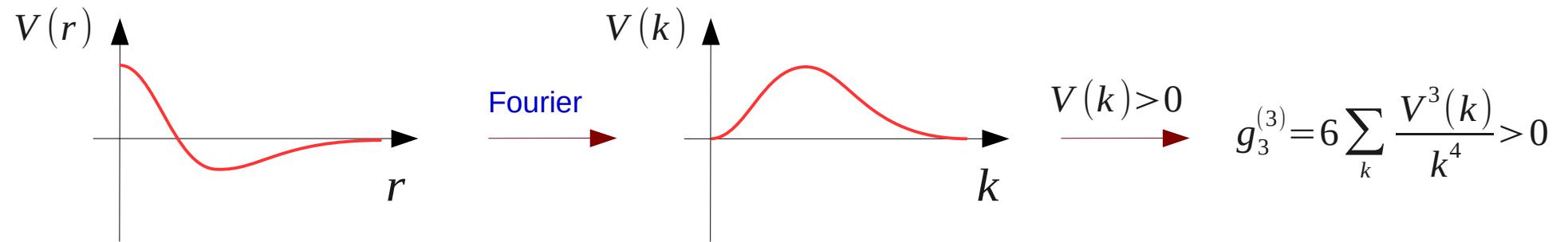
# 2-body tail – 3-body sign correspondence



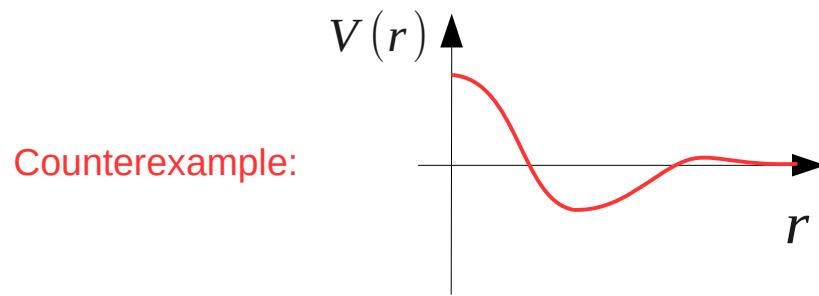
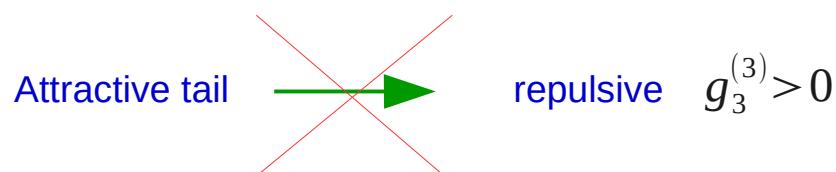
For ``not very exotic'' 2-body potentials (double-Gaussian, Yukawa-plus-delta, quasi-low-D dipolar case) the rule is:

Attractive tail  $\longrightarrow$  repulsive  $g_3^{(3)} > 0$

# 2-body tail – 3-body sign correspondence



For ``not very exotic'' 2-body potentials (double-Gaussian, Yukawa-plus-delta, quasi-low-D dipolar case) the rule is:



# Summary

- Bogoliubov spectrum  $\leftrightarrow$  type of the LHY term

Phononic  $\rightarrow$  nonanalytic LHY

Gapped or  $V(k=0)=0 \rightarrow$  regular expansion in powers of density

- Bogoliubov theory is a powerful three-body solver!
- Closed perturbative expressions:

$$g_3^{(2)} = -6 \sum_v \frac{|V_{v0}^{00}(0)|^2}{\epsilon_v}$$
$$g_3^{(3)} = 6 \sum_{\mathbf{k}, \nu, \mu, \eta} \frac{V_{0\nu}^{0\eta}(\mathbf{k}) V_{\eta 0}^{0\mu}(\mathbf{k}) V_{\mu 0}^{\nu 0}(\mathbf{k})}{(k^2 + \epsilon_\nu + \epsilon_\eta)(k^2 + \epsilon_\nu + \epsilon_\mu)}$$

- $g_2^{(3)}$  is not captured by Bogoliubov!

- Applications to quasi-low-D dipolar bosons

Thank you for your attention!