# Optical Theory of Image Scanning Microscopy

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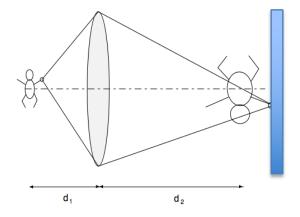
Molecular Horizons School of Chemistry and Molecular Biosciences, University of Wollongong, Australia

### Two ways to form an image

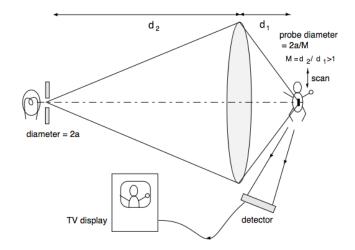
#### Full-field detection

Scanning system

detector array



#### single element detector



OPTICA ACTA, 1977, VOL. 24, NO. 10, 1051–1073

#### Image formation in the scanning microscope

#### C. J. R. SHEPPARD and A. CHOUDHURY

#### CHAPTER 1

#### The Generalized Microscope\*

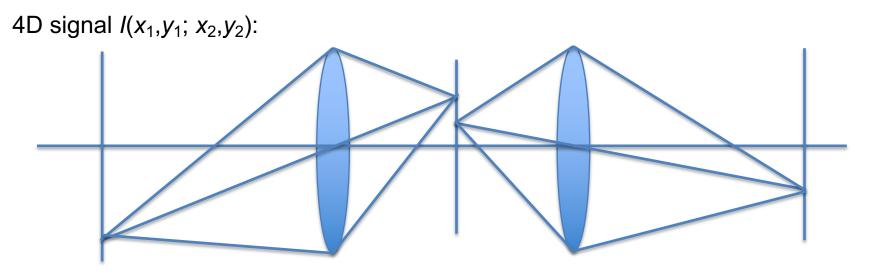
#### COLIN J. R. SHEPPARD

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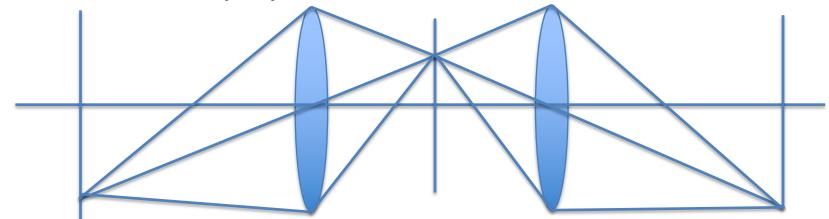
\* This chapter is based upon an invited presentation at the Symposium of the Australian Society for Electron Microscopy, University of Sydney, 1996.

Confocal and Two-Photon Microscopy: Foundations, Applications, and Advances, Edited by Alberto Diaspro. ISBN 0-471-40920-0 © 2002 by Wiley-Liss, Inc., New York. All rights reserved.

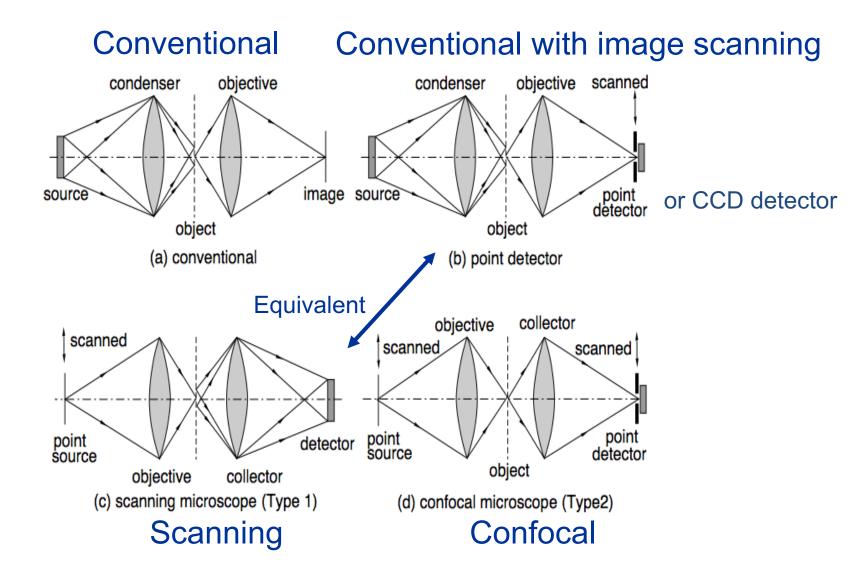
### Combine these:



Confocal case,  $x_1 = x_2$ ;  $y_1 = y_2$ :



### Scanning vs. conventional microscope



# Confocal microscopy

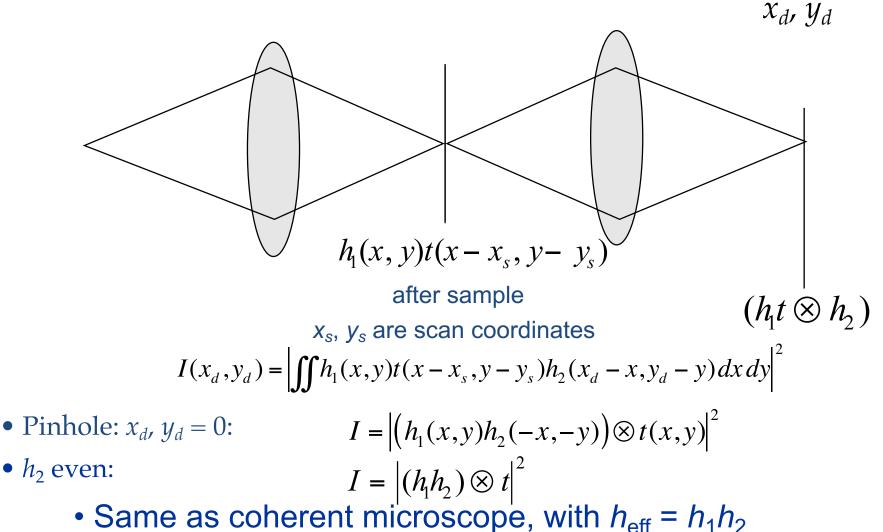
### Advantages

- **Optical sectioning** 
  - 3D imaging
  - Surface profiling
- Reduced scattered light

Imaging through scattering media, e.g. tissue
 Improved resolution (for small pinhole)

- Reflection
  - Industrial applications, surface profiling
  - Scattering media, tissue (non-invasive)
- Fluorescence
  - Autofluorescence or labelled
  - Fixed or living

### Confocal Imaging (non-fluorescence)



• Transfer function is convolution of  $c_1$  with  $c_2$ 

# Integrated intensity

Integrated intensity: transverse integral of the point spread function.

Integrated intensity falls off monotonically with distance from the focal plane, as  $1/z^2$ .

The normalized distance for it to fall to  $\frac{1}{2}$  of the in-focus value,  $u_{1/2}$ , is a measure of the optical sectioning strength.

The integrated intensity is the same as the image of a fluorescent sheet, so for a uniform fluorescent background, integration over a thick volume converges.

*u* is axial optical coordinate  $8n\pi z (\sin \alpha/2)^2/\lambda$ 

Depth of field in the scanning microscopeSeptember 1978 / Vol. 3, No. 3 / OPTICS LETTERS115

C. J. R. Sheppard and T. Wilson

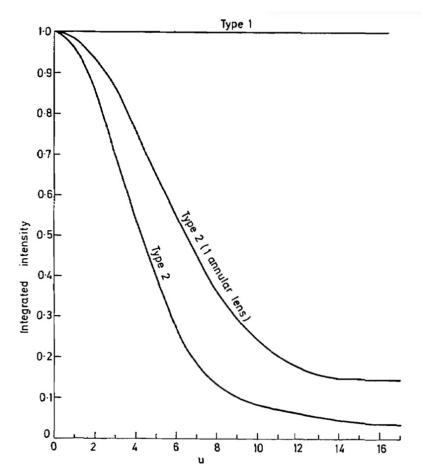


Fig. 1. The variation in the integrated intensity in the image of a single-point normalized distance u from the focal plane of the microscope for a scanning microscope of Type 1 and for scanning microscopes of Type 2 with two circular pupils, and with one circular and one annular pupil.

## **OTF** for confocal fluorescence

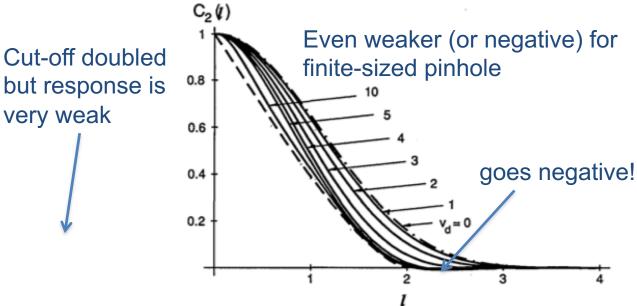


Fig. 4. Normalized in-focus (2-D) OTF for different radii of the detector. The dashed curve represents the 2-D OTF when  $v_d \rightarrow \infty$ .

Plot suggests possibility to use pupil filters to increase the magnitude of the

Optik

60, No. 4 (1982) 391–396 © Wissenschaftliche Verlagsgesellschaft mbH, Stuttgart OTF

JOSA Communications

Vol. 9, No. 1/January 1992/J. Opt. Soc. Am. A 151

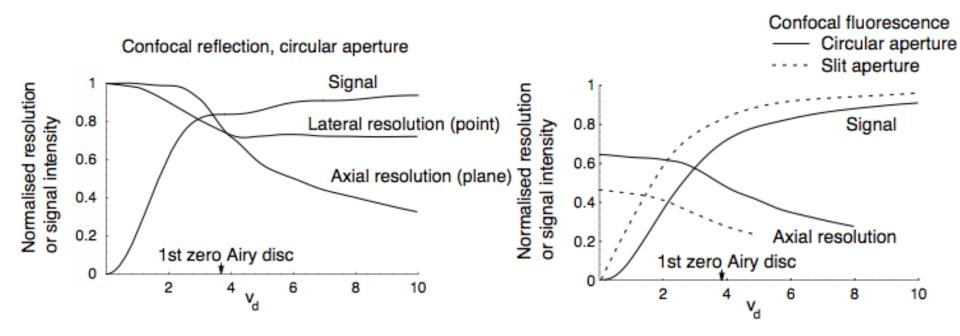
Super-resolution by confocal fluorescent microscopy

### Confocal fluorescent microscopy with a finite-sized circular detector

Min Gu and C. J. R. Sheppard

# Main problem: Finite sized pinhole

- Need finite sized pinhole to get adequate signal
- Then resolution improvement is lost



*v* is lateral optical coordinate ( $n\pi r \sin \alpha$ ) / $\lambda$ 

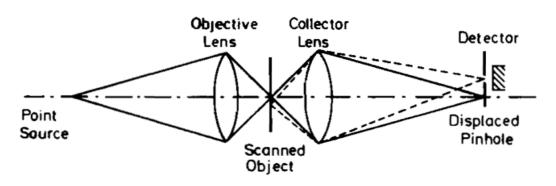
CJR Sheppard and DM Shotton Confocal Laser Scanning Microscopy, RMS, Bios, and Springer, 1997

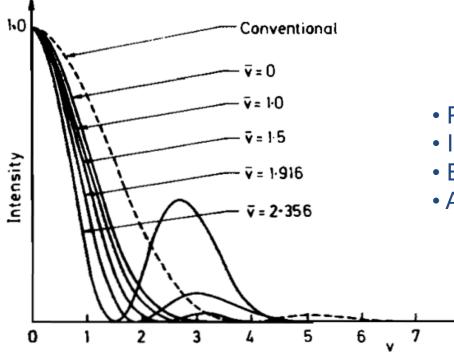
# Illumination and detector arrays

#### **Detector array in image plane**

- Structured illumination (Lukosz, 1963; Gustafsson, 2000)
- Tandem scanning (spinning disc) (Petrán, 1968)
- Singular value decomposition with detector array (Bertero & Pike, 1982)
- 'Type 3' : Maximum signal in detector plane (Reinholz, 1987)
- Pixel reassignment (Sheppard, 1988)
- Subtractive imaging (Wilson, 1984; Cogswell & Sheppard, 1990 + many others)
- Video confocal microscopy (Benedetti, 1996)
- Programmable array microscope PAM (Hanley 1999, Verveer 1998)
- Structured illumination + nonlinear (Heintzmann, 2002; Gustafsson)
- Structured detection, J Lu, Concello, Xie, Lichtmann (2009); RW Lu, Biomed Opt Exp (2013)
- Computational nonlinear scanning (CNS) microscopy (Laporte, Optica (2014))

# Offset pinhole





PSF:

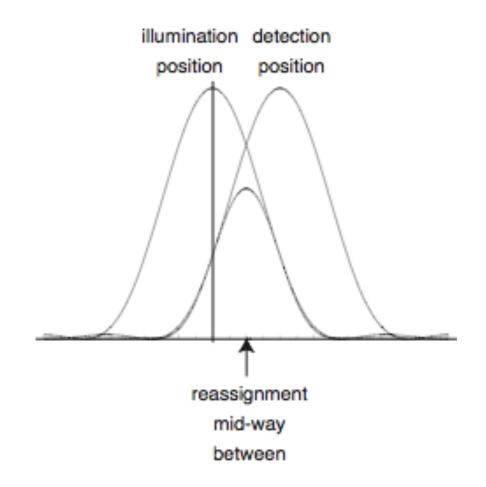
$$I(v) = \left[\frac{2J_1(v-\overline{v})}{v-\overline{v}}\right]^2 \left[\frac{2J_1(v+\overline{v})}{v+\overline{v}}\right]^2$$

- Point spread function gets narrower
- Intensity decreases
- But increased side lobes
- And effective psf shifts sideways

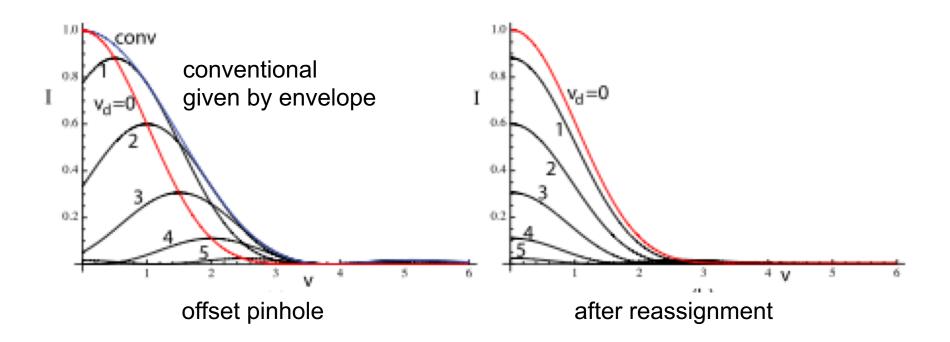
Improvement in resolution by nearly confocal microscopy

APPLIED OPTICS, Vol. 21, page 778, March 1, 1982 I. J. Cox, C. J. R. Sheppard, and T. Wilson

### Gives the image of a shifted object point



# Offset pinhole & reassignment



- Integrate without reassignment: same as conventional
- Integrate with reassignment (to centre of illumination and detection): PSF sharpened and signal improved

# Pixel reassignment

#### Abstract

A new explanation for the imaging improvement of confocal microscopy is presented. A method of further increasing the imaging performance is also discussed.

function of  $2x_s$ 

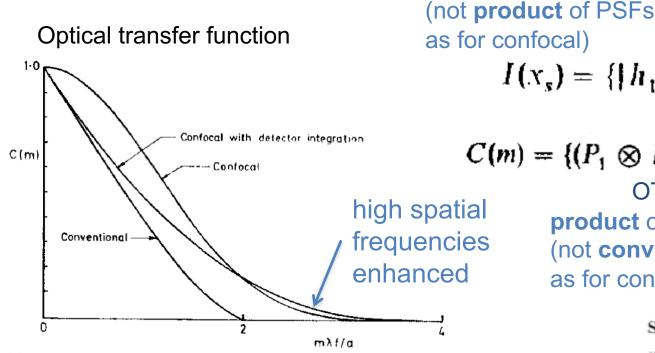


Fig. 2. Incoherent transfer functions for a fluorescence microscope. The radius of the circular pupils is a.

 $I(x_s) = \{|h_1|^2 \otimes |h_2|^2\} (2x_s)$   $C(m) = \{(P_1 \otimes P_1^*) (P_2 \otimes P_2^*)\} (m \lambda f/2)$   $OTF_1 \times OTF_2$ ial
product of rescaled OTFs
(not convolution of OTFs
as for confocal)

convolution of rescaled PSFs

Super-resolution in Confocal Imaging

C. J. R. Sheppard,



80, No. 2 (1988) 53 54

### Image scanning microscopy

PRL 104, 198101 (2010)

Selected for a Viewpoint in Physics PHYSICAL REVIEW LETTERS

#### Image Scanning Microscopy

#### Claus B. Müller and Jörg Enderlein\*

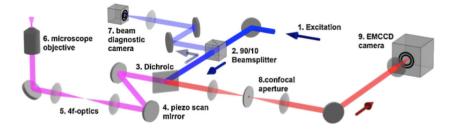


FIG. 1 (color online). ISM Setup, (1) Excitation with supercontinuum white light source and acousto-optic tunable filter, (2) 90/10 nonpolarizing beam splitter cube, (3) major dichroic mirror, (4) piezo scan mirror, (5) 4f telescope, (6) UPL APO 60x W microscope objective, (7) beam diagnostic camera, (8) confocal aperture, and (9) EM CCD detection camera system.

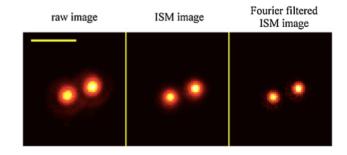
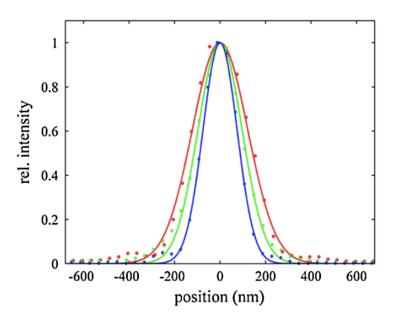
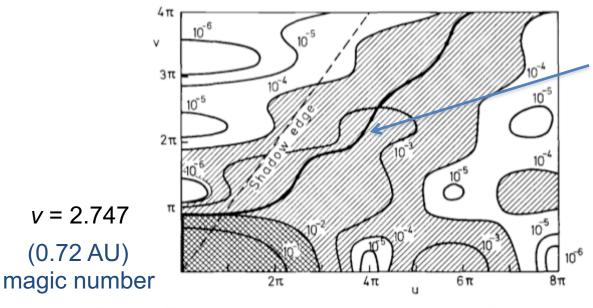


FIG. 2 (color online). Image of a single fluorescent bead of 100 nm diameter. Left panel: CLSM image; middle panel: ISM image; right panel: Fourier-weighted ISM image. The horizontal bar in the left panel has a length of 1  $\mu$ m.



# **Optical sectioning**

But, for  $v_{dmax} \rightarrow \infty$ , no optical sectioning! Need to limit size of array



points on detector array > 0.72 AU, image regions away from the focal plane

Figure 4. The intensity in the confocal image of a single point. The locus of the auto-focus scan of the image is also shown. The cross-hatched region is that in which the intensity is greater than 0.01. The corresponding region for a conventional system is shown shaded.

Locus of 
$$u_{\text{Imax}}(v)$$

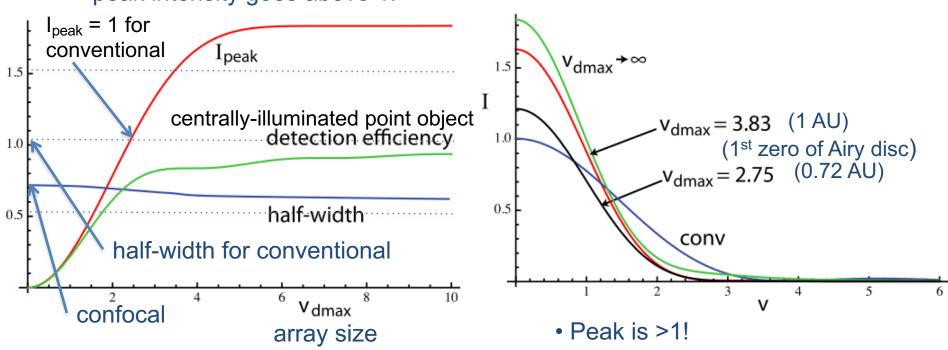
JOURNAL OF MODERN OPTICS, 1988, VOL. 35, NO. 1, 145-154

The extended-focus, auto-focus and surface-profiling techniques of confocal microscopy

C. J. R. SHEPPARD and H. J. MATTHEWS

### Integration over finite detector array

#### peak intensity goes above 1!



Resolution and signal strength improve as size of array ( $v_{dmax}$ ) increases Peak of point spread function for large

array is  $4(1-16/3\pi^2) = 1.84$ 

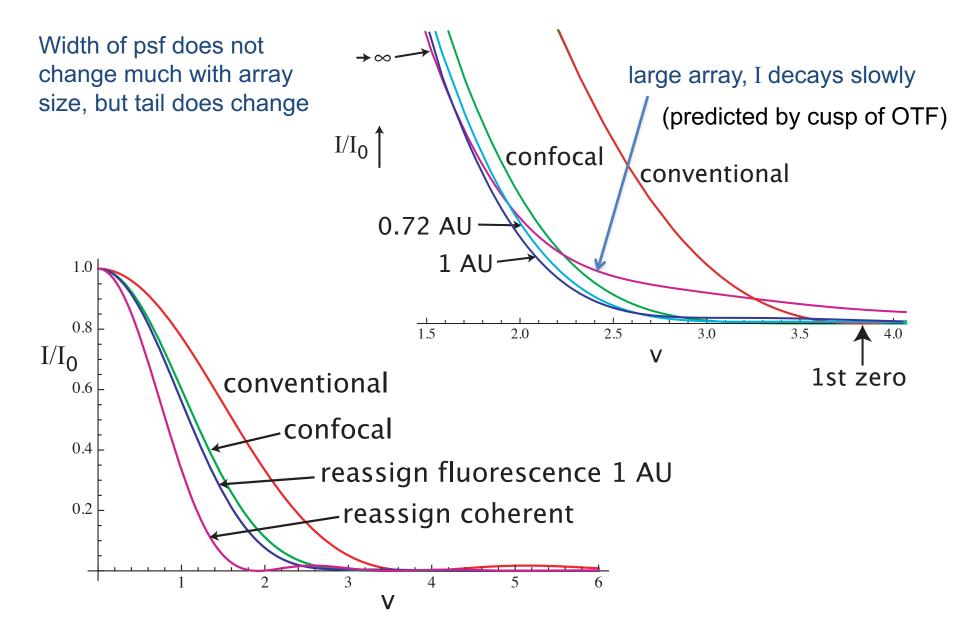
(4 elements gives ~1.4)

- Super-concentration
- Beats classical limit of etendue
- But integrated intensity is independent of reassignment

August 1, 2013 / Vol. 38, No. 15 / OPTICS LETTERS 288

Superresolution by image scanning microscopy using pixel reassignment

### Image of a point object: Effect of array size

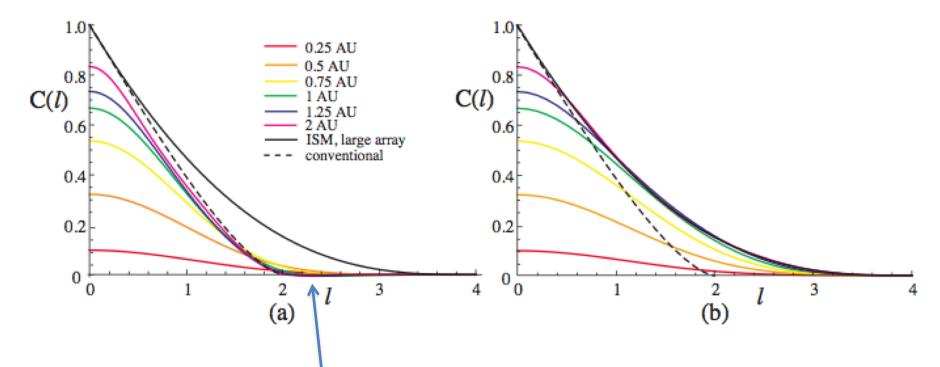


### Unnormalized OTF for confocal and ISM

For unnormalized OTF, C(0) gives signal from a fluorescent sheet

confocal with finite pinhole

ISM with finite detector array



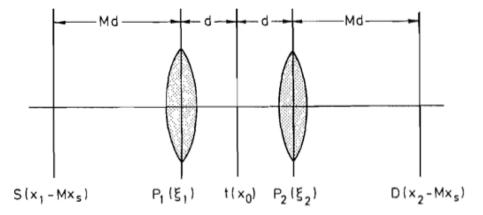
#### goes negative!

#### Interpretation of the optical transfer function: Significance for image scanning microscopy

Colin J. R. Sheppard,<sup>1\*</sup> Stephan Roth,<sup>2, 3</sup> Rainer Heintzmann,<sup>2, 3</sup> Marco Castello,<sup>1, 4</sup> Giuseppe Vicidomini,<sup>1</sup> Rui Chen,<sup>5</sup> Xudong Chen,<sup>5</sup> And Alberto Diaspro<sup>1, 4, 6</sup>

Opt. Express 24(24), 27280-27287 (2016)

### General microscope with source/detector arrays



Journal of Microscopy, Vol. 124, Pt 2, November 1981, pp. 107–117. Revised paper accepted 10 March 1981

The theory of the direct-view confocal microscope

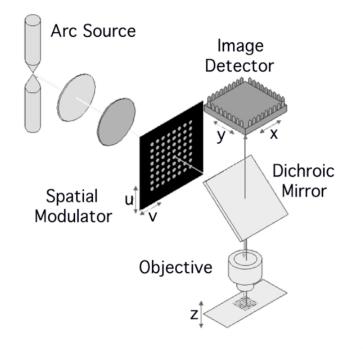
by C. J. R. SHEPPARD and T. WILSON, University of Oxford, Department of Engineering Science, Parks Road, Oxford

Intensity as a function of scanning position and detector position 4D signal:  $I(x_d, y_d; x_s, y_s)$ Microimage:  $I(x_d, y_d)$  for fixed  $x_s, y_s$ Scanned image:  $I(x_s, y_s)$  for fixed  $x_d, y_d$ 

Fluorescence (incoherent), 4D signal is:

$$I(x_1, x_2) = \int H_1(x_1 - x) H_2(x_2 - x) T(x) dx$$





— for each position of the illumination pattern, collecting raw images at a detector comprising light detector elements arranged according to coordinates x,y, each raw image described by a light intensity distribution function  $I_{uv}(x,y)$  on the image detector;

— computing a final image  $I_h(x,y)$  starting from the raw images  $I_{uv}(x,y)$ . In a first aspect of the invention, the step of computing the final image comprises executing an algorithm configured for calculating, for each light detector element, at least one value of a central moment of order  $\geq 3$  of the light intensity distribution, the central moment of order  $\geq 3$  having at each coordinate x,y a value that depends upon the asymmetry of the intensity values distribution of each raw image versus the position of the illumination pattern, wherein the central moment is defined as:

 $m_{h}(x,y) = Avg\{[I_{uv}(x,y) - Avg(I_{uv}(x,y))]^{h}\},$ [1]

wherein: h is an integer number  $\geq 3$ ; Avg( $I_{uv}(x,y)$ ) is the average of the intensity value distribution  $I_{uv}(x,y)$ , i.e. it is equal to  $(\sum_{uv}I_{uv}(x,y))/(UV)$ , u=1 ... U,v=1...V. wherein Avg( $I_{uv}(x,y)$ ) is, for each light detector element of said detector, the average of the intensity obtained for all the positions u,v of said illumination pattern.

This way, the final image takes higher values at the coordinates x,y of the light detector elements that correspond to positions at which critically focused sample portions are present.

In other words, the moments of order  $h \ge 3$ , which are used in the algorithm for computing the final video-confocal image, contain light intensity distribution data that allow to take into account the symmetry/asymmetry degree of the light intensity distribution at each pixel, i.e. at each light detector element of the detector, versus the position u,v of the illumination pattern.

The pixels, i.e. the detector elements of the detector, at which there is a higher asymmetry of the light intensity distribution correspond to sample portions that are critically focused, i.e. they correspond to sample portions that have a higher density, and/or to sample portions that emanate a higher brightness, for instance by fluorescence, by reflection or even by transmission, which points out local unevennesses and specific features of these sample portions.

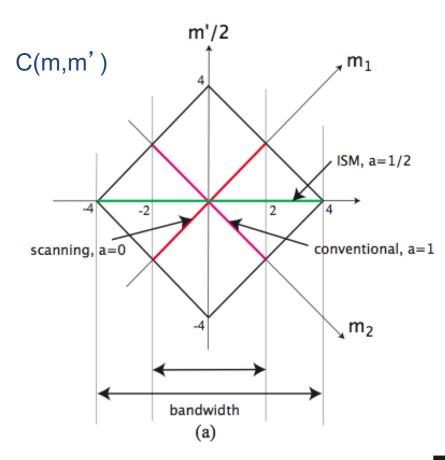
Therefore, the above-mentioned critically focused sample portions are highlighted as more bright portions in the final confocal image.

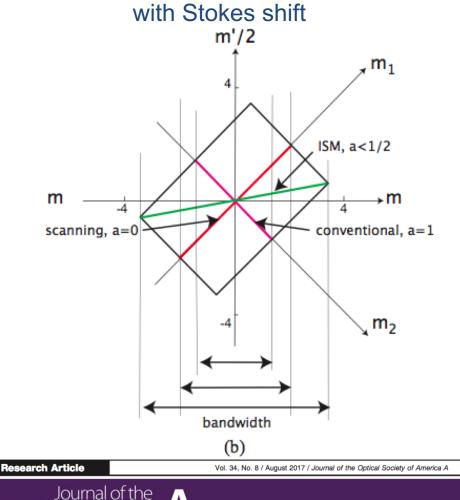
Therefore, if central moments of the light intensity distribution are used to calculate the final image, according to the invention, better performances can be achieved than by prior art methods.

#### "Improved confocal microscopy methods and devices" patent WO2013144891 (2013)

#### Fourier transform of 4D signal m 4D signal pixel reassignment: Section with slope determined $I(x_1, x_2) = \int H_1(x_1 - x) H_2(x_2 - x) T(x) dx$ by pixel reassignment factor 4D Fourier transform m $\tilde{I}(m_1, m_2) = \tilde{H}_1(m_1)\tilde{H}_2(m_2)\tilde{T}(m_1 + m_2)$ conventional Central and difference coordinates scanning $m = \frac{m_1 + m_2}{2}, m' = \frac{m_1 - m_2}{2}$ bandwidth $\tilde{I}(m,m') = \tilde{H}_1\left(m + \frac{m'}{2}\right)\tilde{H}_2\left(m - \frac{m'}{2}\right)\tilde{T}(2m)$ $m'_2$ Conventional: $\tilde{H}_1 = \delta \left( m + \frac{m'}{2} \right)$ Scanning: $\tilde{H}_2 = \delta\left(m - \frac{m'}{2}\right)$ 0.5 **Confocal:** $\int dm' \rightarrow \tilde{H}_1 \otimes \tilde{H}_2$ Pixel reassignment: m'=0m $\tilde{I}(m,m') = \tilde{H}_1(m)\tilde{H}_2(m)\tilde{T}(2m)$

# Effect of changing a





- Changing *a* changes the slope of a line through the origin
- *a*=0 is scanning, *a*=1 is conventional

Image formation in image scanning microscopy, including the case of two-photon excitation

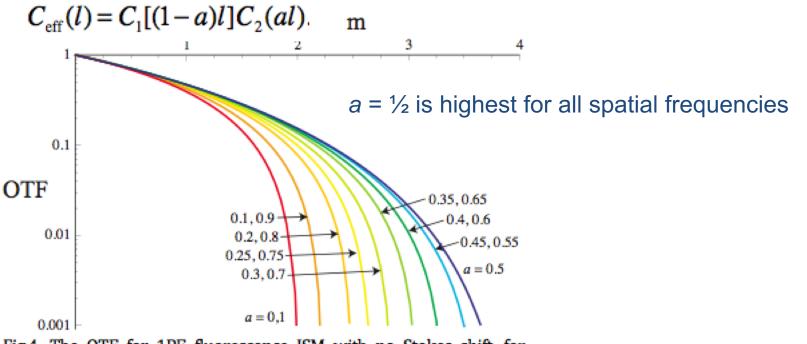
**OPTICS, IMAGE SCIENCE, AND VISION** 

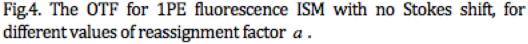
Colin J. R. Sheppard,<sup>1,\*</sup> Marco Castello,<sup>1,2</sup> Giorgio Tortarolo,<sup>1,2</sup> Giuseppe Vicidomini,<sup>1</sup> and Alberto Diaspro<sup>1,2,3</sup>

**Optical Society** 

### Any reassignment factor *a* is valid

- Can use different reassignment factors a for different spatial frequencies
- For a large array, OTF is



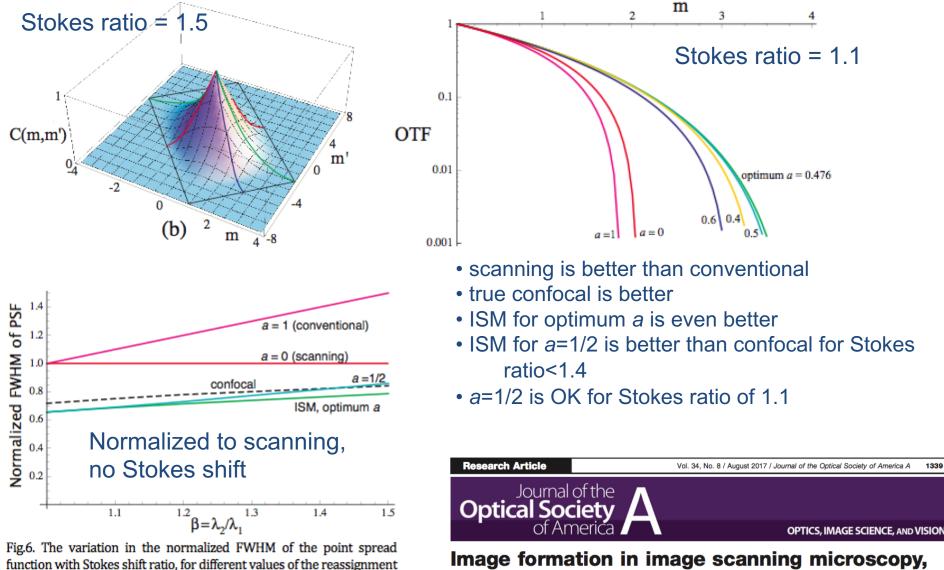




#### Superresolution by image scanning microscopy using pixel reassignment

Colin J. R. Sheppard,<sup>1,\*</sup> Shalin B. Mehta,<sup>2</sup> and Rainer Heintzmann<sup>3,4,5</sup>

### With Stokes shift, large array

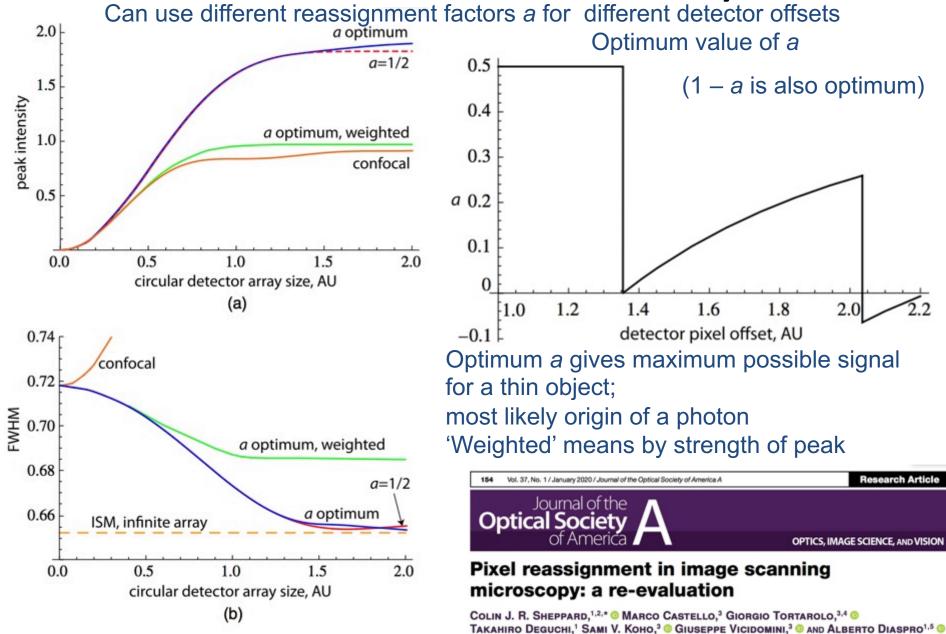


factor a, for ISM with a large detector array.

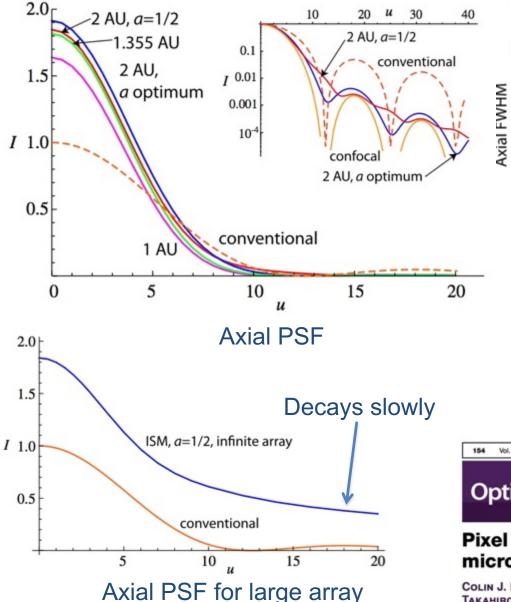
Image formation in image scanning microscopy, including the case of two-photon excitation

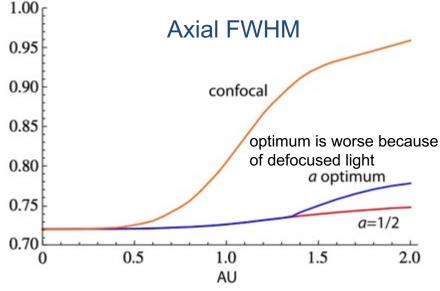
COLIN J. R. SHEPPARD,<sup>1,\*</sup> MARCO CASTELLO,<sup>1,2</sup> GIORGIO TORTAROLO,<sup>1,2</sup> GIUSEPPE VICIDOMINI,<sup>1</sup> AND ALBERTO DIASPRO<sup>1,2,3</sup>

### Circular detector array



### Axial PSF





The FWHM of the axial PSF, normalized to unity for a conventional microscope. The FWHM for ISM remains almost independent of detector array size up to a size of 1.355 AU.



#### Pixel reassignment in image scanning microscopy: a re-evaluation

Colin J. R. Sheppard,<sup>1,2,\*</sup> <sup>©</sup> Marco Castello,<sup>3</sup> Giorgio Tortarolo,<sup>3,4</sup> <sup>©</sup> Takahiro Deguchi,<sup>1</sup> Sami V. Koho,<sup>3</sup> <sup>©</sup> Giuseppe Vicidomini,<sup>3</sup> <sup>©</sup> and Alberto Diaspro<sup>1,5</sup> <sup>©</sup>

### Two-photon fluorescence ISM

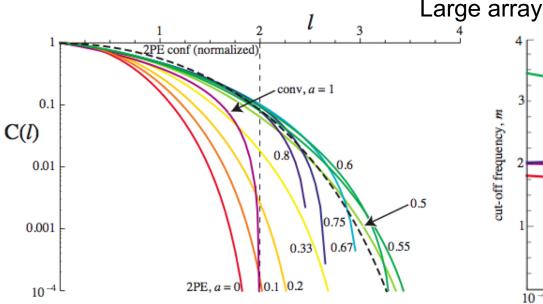


Fig. 1. The OTF for two-photon fluorescence with different reassignment factors, *a*. A value of zero gives a 2PE fluorescence microscopy with a large detector. A value of unity gives an image identical to that in a conventional 1PE fluorescence microscope. The

• Can alter reassignment factor *a* with spatial frequency

• OTF is

### $C_{\rm eff}(l) = C_1[(1-a)l]C_2(al).$

• Resolution improved compared with two-photon fluorescence with a large single-element detector

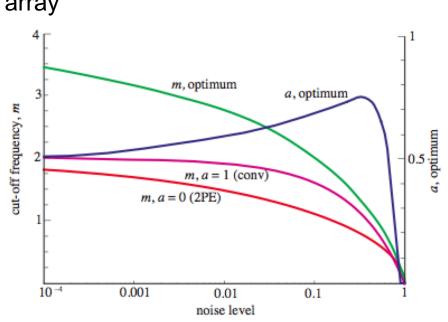
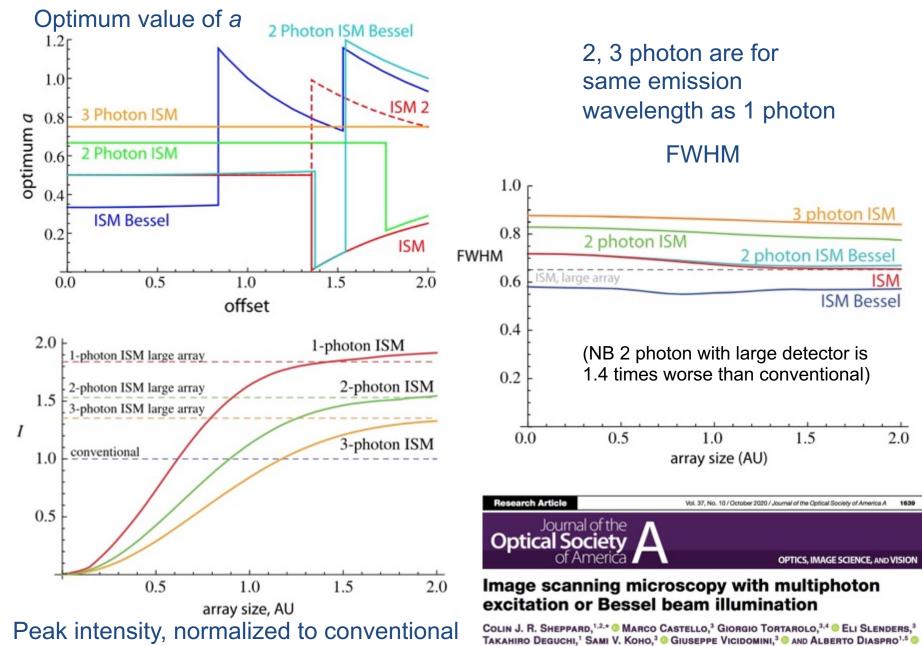


Fig.2. The useful cut-off frequency m, as a function of the noise level, for pixel reassignment with the optimum value of reassignment factor a (green curve). The optimum value of a is also shown (blue curve). The useful cut-off frequencies for conventional 1PE and 2PE are shown for comparison (purple and red curves, respectively).

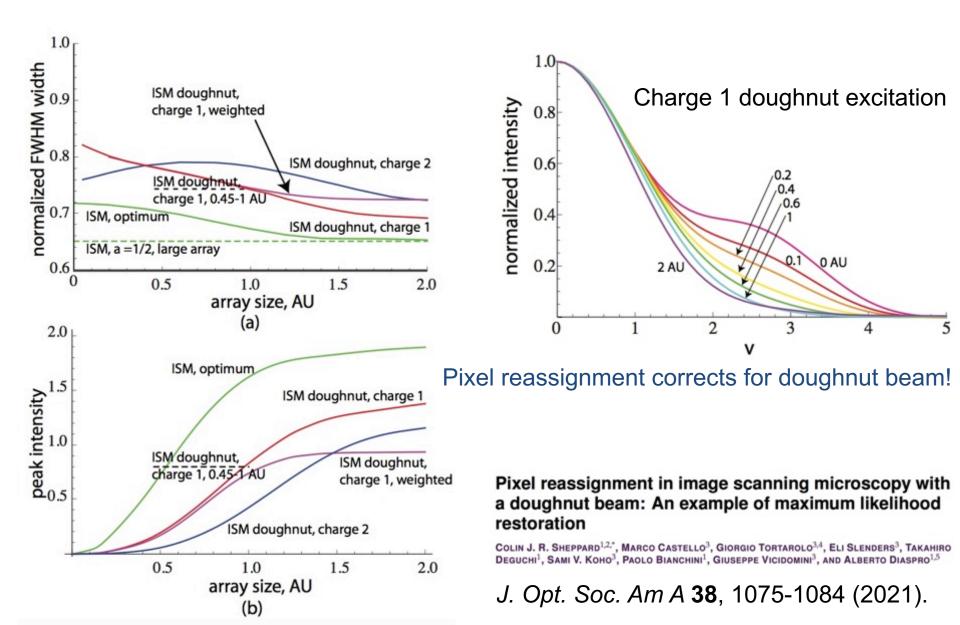


Colin J. R. Sheppard,<sup>1,\*</sup> Marco Castello,<sup>1,2</sup> Giorgio Tortarolo,<sup>1,2</sup> Giuseppe Vicidomini,<sup>1</sup> and Alberto Diaspro<sup>1,2,3</sup>

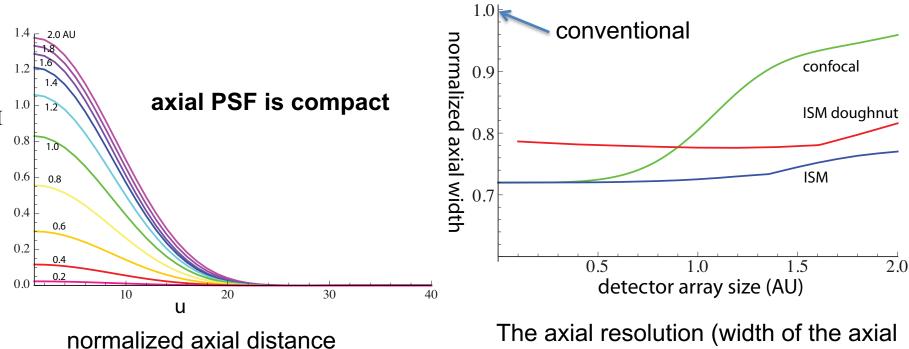
### ISM with Bessel beam, and 2 photon ISM



### Doughnut beam excitation

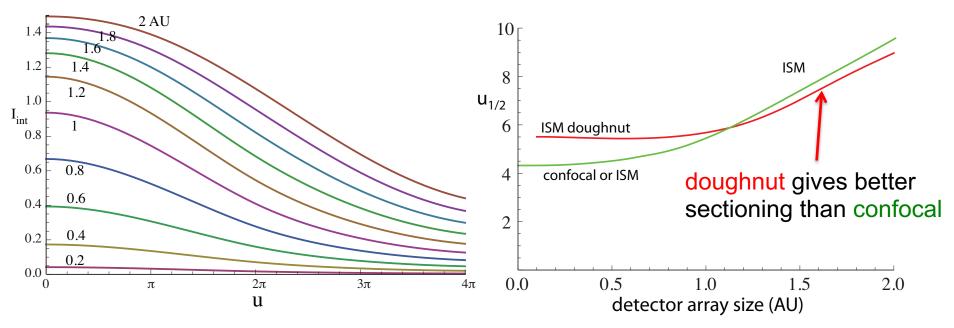


### Axial PSF



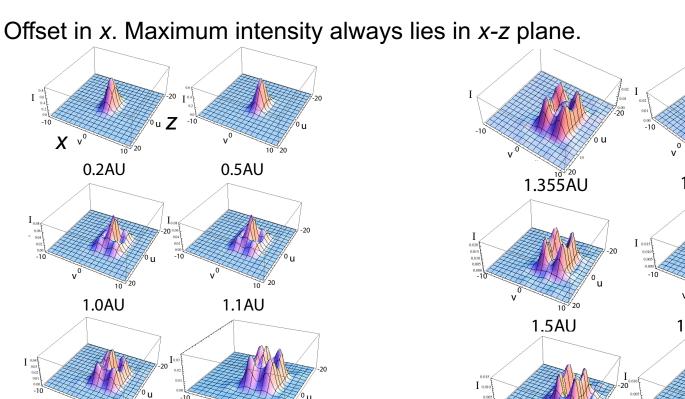
The axial cross-section through the PSF for dISM with a circular diskshaped detector array and charge 1 doughnut beam illumination, after reassignment. The axial resolution (width of the axial cross-section through the PSF, normalized to unity for conventional imaging) for dISM with a circular disk-shaped detector array and charge 1 doughnut beam illumination, after reassignment. The behavior for ISM, and for confocal microscopy with a pinhole of the same size as the array are also shown.

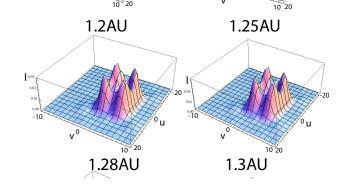
### Integrated intensity: A measure of optical sectioning

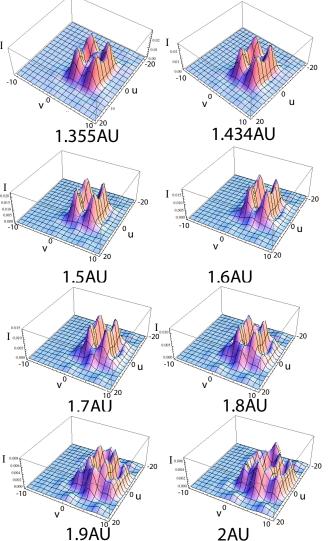


The variation in integrated intensity with defocus for dISM with charge 1 doughnut illumination. Curves are plotted for array sizes from 0.2 AU to 2 AU insteps of 0.2 AU. The axial distance  $u_{1/2}$  for the integrated intensity to fall to half the in-focus value, as a function of detector array size, for dISM with charge 1 doughnut illumination or with Airy disk illumination. The behavior for confocal microscopy with a pinhole of the same size as the array is also shown.

# Cross-sections through PSF for offset point detector



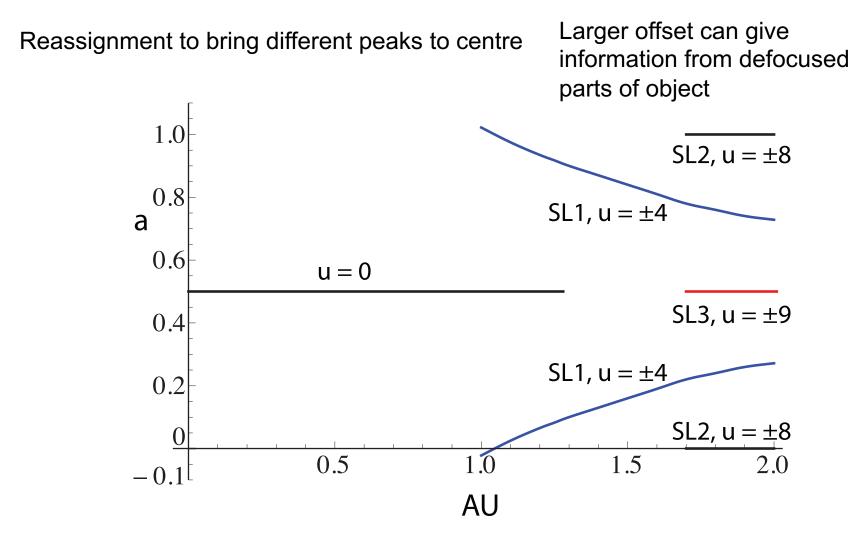




## Signal from offset detector

- v<sub>d</sub>=4.9046, 1.28AU, 5 equal peaks in *x*-*z* plane
- v<sub>d</sub>=5.192, 1.355AU, 3 equal peaks in *x* for *z*=0
- v<sub>d</sub>=5.494, 1.434AU, leaves focal plane, *I*(*z*) is flat

### Pixel reassignment factor, a



### Signal from centrally illuminated point object

The intensity in the plane of the detector for a centrally illuminated point object is  $I_{\text{point}}(V) = H_2(v)$ . The signal  $S_{\text{point}}$  from a centrally illuminated point object with a pinhole of radius  $v_d$  is

$$S_{\text{point}}(v_d) = 1 - J_0^2(v_d) - J_1^2(v_d).$$
 (3)

(Well known result. In e.g. Born & Wolf)

#### Fluorescent sheet, or integrated intensity

The intensity in the plane of the detector for a fluorescent sheet is

where  $\otimes_{2D}$  is a 2D convolution, and  $I_{\text{sheet}}(0) = 1$ . The background from a fluorescent sheet, or the integrated intensity from a point object, with a pinhole of radius  $v_d$  is

$$S_{\text{sheet}}(v) = 0.405 \, v_d \int_0^2 \left[ \arccos\left(\frac{l}{2}\right) - \frac{l}{2}\sqrt{1 - \frac{l^2}{4}} \right]^2 J_1(lv_d) \, \mathrm{d}l,$$
(5)

where it is normalized to unity for large  $v_d$ .

The 3D OTF is

$$C_{3D}(l,s) = \frac{1}{l} \sqrt{1 - \left(\frac{l}{2} + \frac{|s|}{l}\right)^2}.$$
 (6)

Then the projection along *s* of the square of the 3D OTF is

$$F(l) = 2 \int_0^{l(1-l/2)} C_{3D}^2(l,s) \, \mathrm{d}s = \frac{(2-l)^2(4+l)}{12l}.$$
 (7)

The intensity in the detector plane for a featureless fluorescent volume is

$$\begin{split} I_{\rm vol}(v) &= \int_0^2 F(l) J_0(vl) \, \mathrm{d}l \\ &= J_0(2v) - \frac{4}{3} J_2(2v) - \frac{1}{9} J_4(2v) \\ &+ \frac{4}{3} \pi \left[ J_1(2v) \mathbf{H}_0(2v) - J_0(2v) \mathbf{H}_1(2v) \right], \end{split}$$

where  $\mathbf{H}_n$  is a Struve function of order *n*. I(0) = 1, and for large  $v, I \rightarrow 4/3v$ .

The background from a featureless volume object is obtained by integrating over the pinhole, radius  $v_d$ , so that

$$B_{\text{vol}} = v_d \int_0^2 C_{3D}^2 J_1(v_d l) \, dl$$
  
=  $(\frac{3}{4} + v_d^2) J_0(2v_d) + (\frac{1}{4} - v_d^2) J_2(2v_d) - \frac{3}{4}$   
+  $\pi v_d^2 [J_1(2v)\mathbf{H}_0(2v) - J_0(2v)\mathbf{H}_1(2v)]$ , (9) (New analytical result)

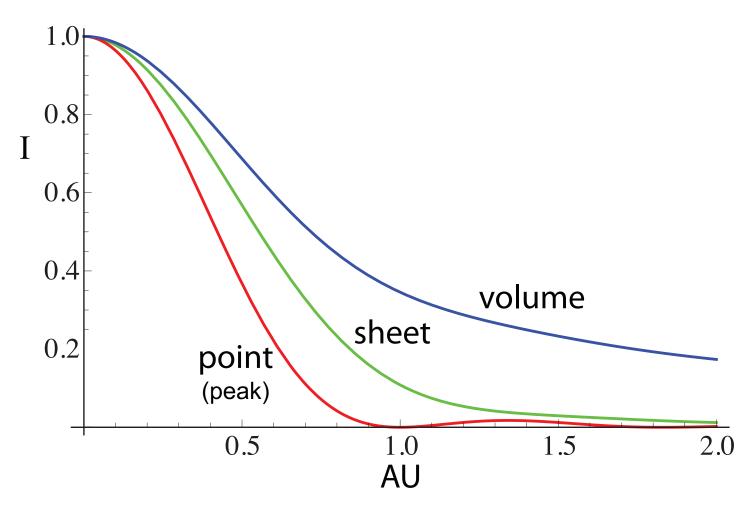
where it has been renormalized so that a good approximation for  $v_d > 1.5$ , is that  $B_{\text{vol}} \approx (v_d - 3/4)$ . The background increasas linearly with  $v_d$  for large pinhole sizes.

### Volume object

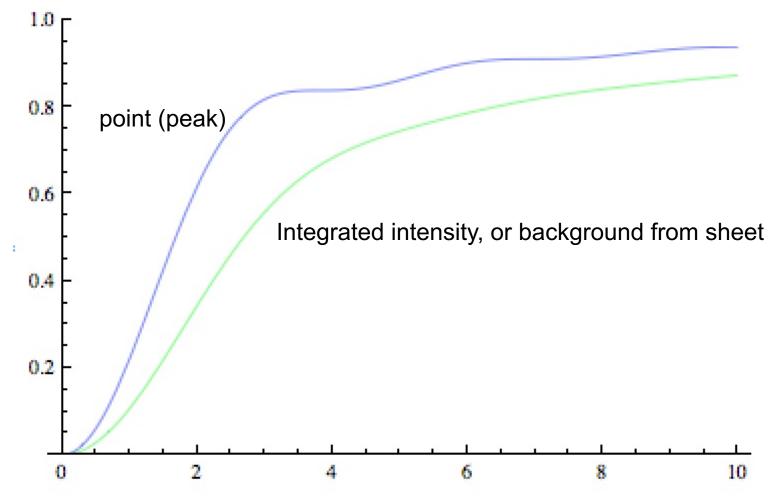
(1<sup>st</sup> part from Gu M, Sheppard, CJR (1991) Effects of finite-sized detector on the OTF of confocal fluorescent microscopy, *Optik* **89**, 65-69. 2<sup>nd</sup> part from Sheppard CJR, Gan XS, Gu M, Roy M (2007) Signal-to-Noise Ratio in Confocal Microscopes, Chapter 22 in *The Handbook of Biological Confocal Microscopy*, 3rd edition, J. Pawley, ed. Springer, New York, pp. 442-452)

#### (8) (New analytical result)

### Intensity in detector plane

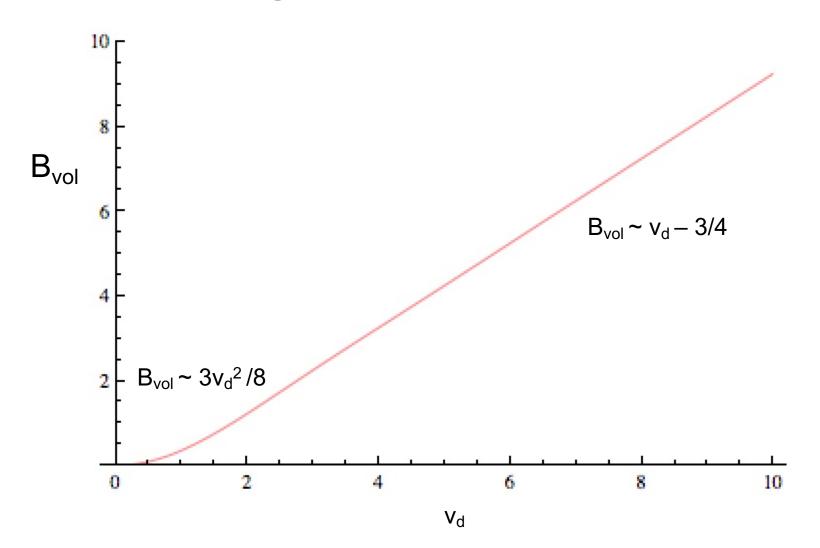


## Signal from point, and integrated intensity, or background from a sheet

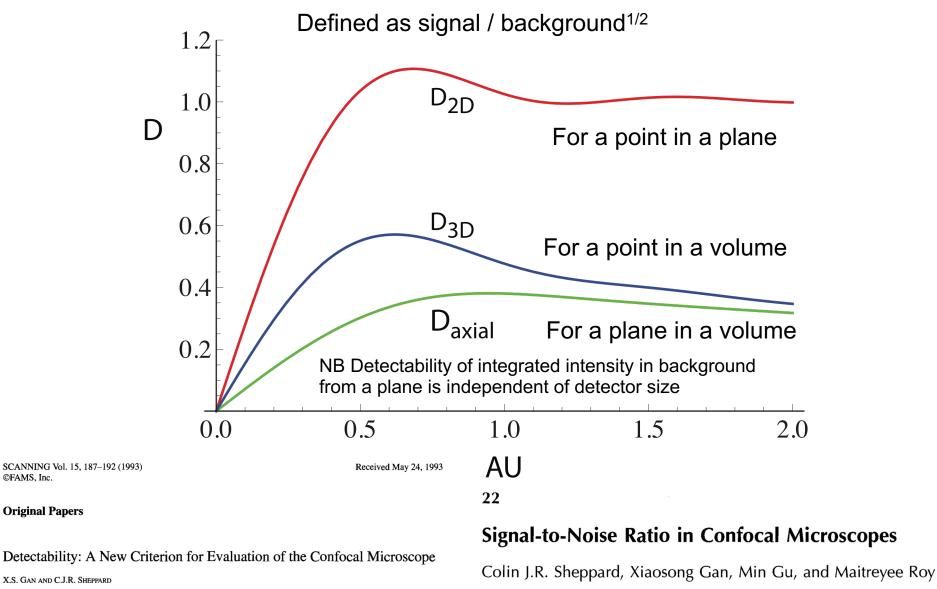


 $V_{d}$ 

### Background from volume



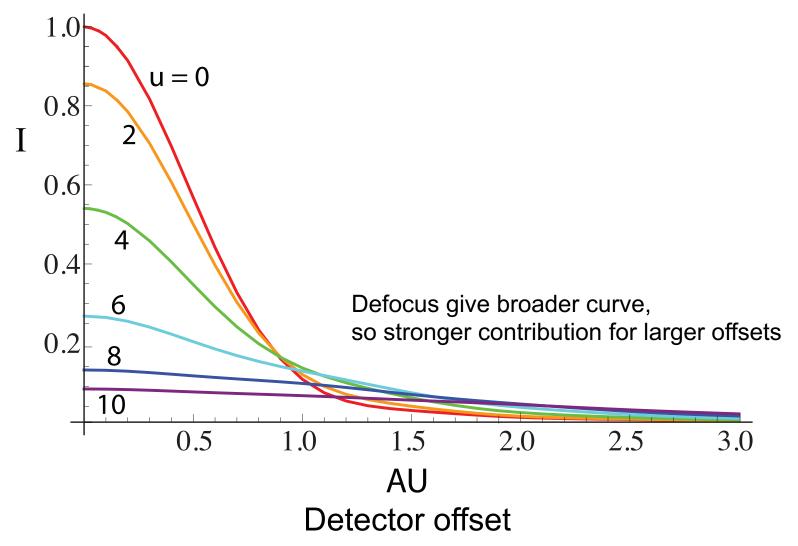
### Detectability



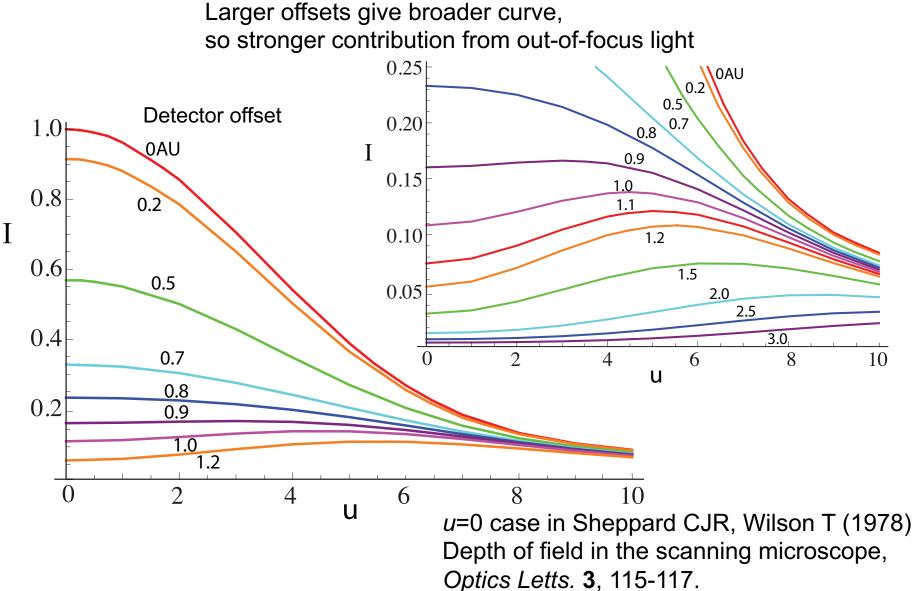
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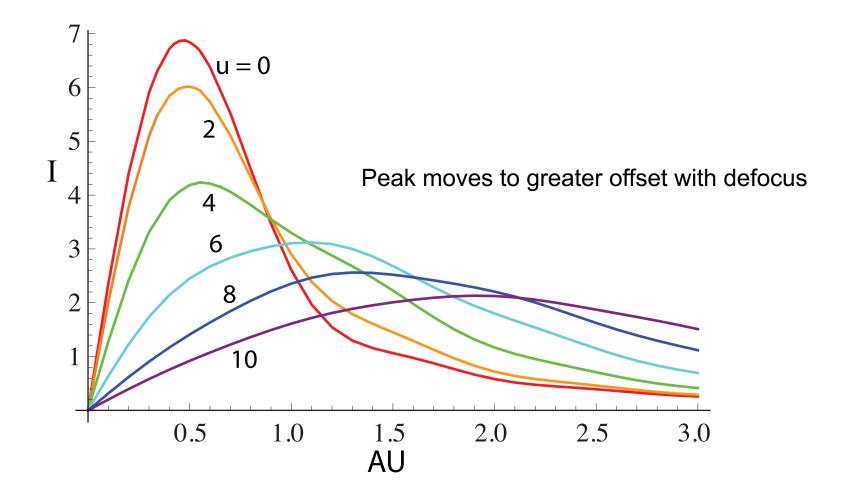
#### Integrated intensity from offset point detector



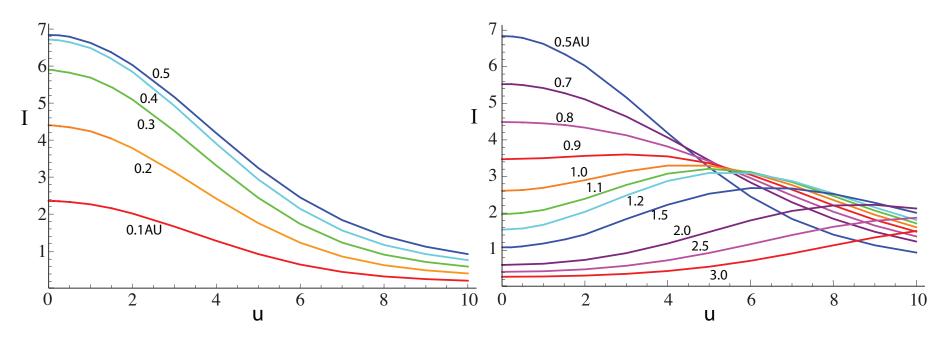
# Integrated intensity from offset point detector with defocus: Fingerprint



### Integrated intensity from a ring of offset point detectors

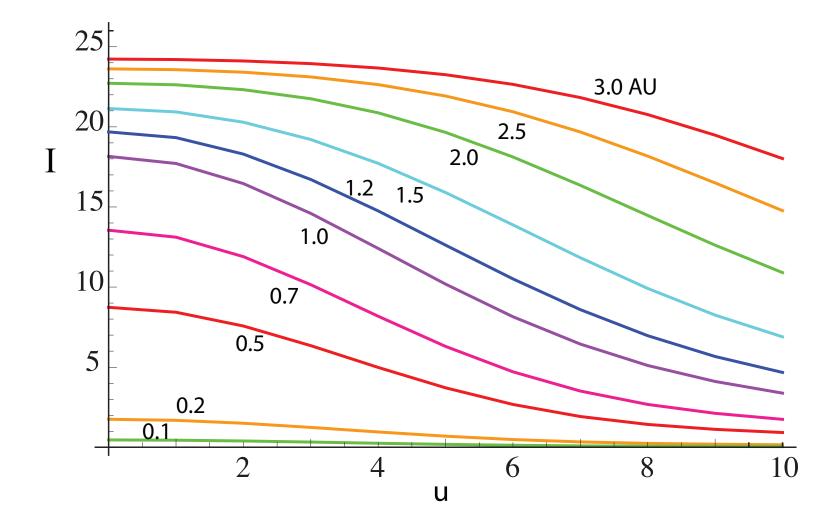


### Integrated intensity from a ring of offset point detectors with defocus: Fingerprint of the ring



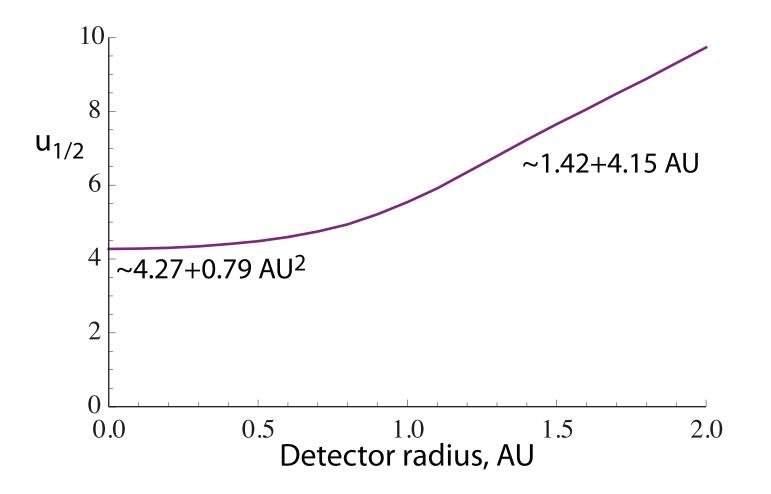
For small ring radius, signal increases with radius, but shape of curve changes little. For large ring radius, peak of signal occurs for defocused sheet.

### Defocused sheet with disk detector



### Optical sectioning with finite sized detector

Axial distance for intensity to drop to 1/2



### Improvements in resolution

System	Resolution	Peak
	improvement	intensity
	factor	
Conventional	1	1
Confocal (or ISM small array),	1.39	0
Airy disk illumination [4]		
Confocal (or ISM small array),	1.59	0
Sonine filter [3, 26]		
Confocal (or ISM small array),	1.72	0
Bessel illumination [4]		
ISM 1 AU array [21]	1.49	1.64
ISM large array, $a = 1/2$ [21]	1.53	1.84
ISM 1.355AU array, optimum a	1.52	1.81
ISM 2AU array, optimum a	1.53	1.92
ISM Bessel 0.836 AU array	1.82	1.28
2-photon, nonconfocal	0.69	0.54
2-photon ISM, 2 AU array	1.29	1.67
2-photon Bessel, nonconfocal	0.99	-
2-photon Bessel ISM, 2 AU array	1.49	-
3-photon, nonconfocal	0.57	0.35
3-photon ISM, 2 AU array	1.19	1.43

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### Discussion

- Structured illumination can give improved resolution (x2)
- Confocal microscopy gives improved resolution but spatial frequency response at high spatial frequencies is low ( $_{\rm X}\sqrt{2}$  in PSF)
- But signal is also low, so must open pinhole, giving almost no improvement in resolution
- Pixel reassignment increases signal collection efficiency
- Also gives improved resolution, better than confocal
- And speed is increased
- ISM with 2 photon excitation improves resolution
- ISM with pupil filters can improve high frequency response