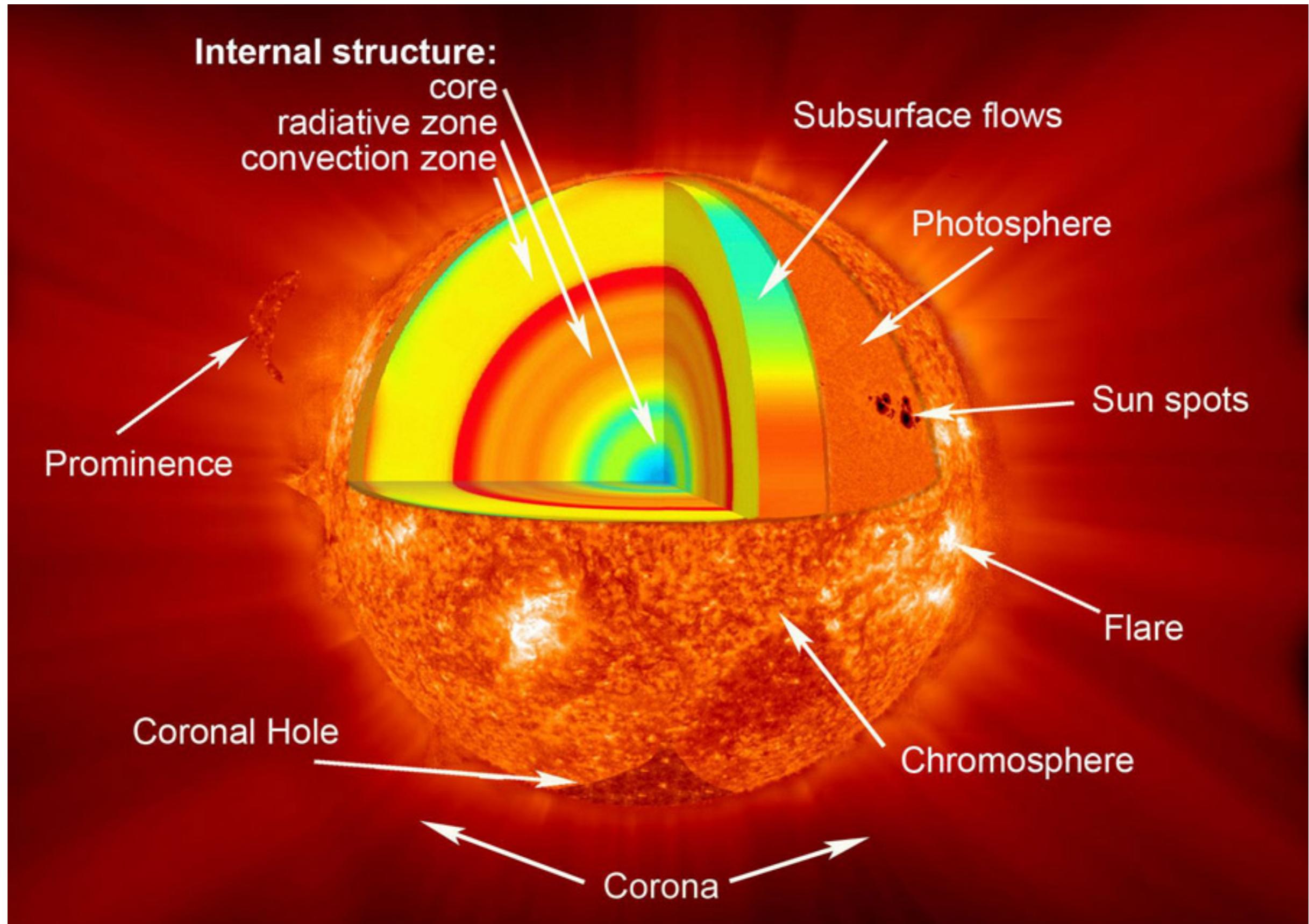


# The structure of the Sun



# The Standard Solar Model

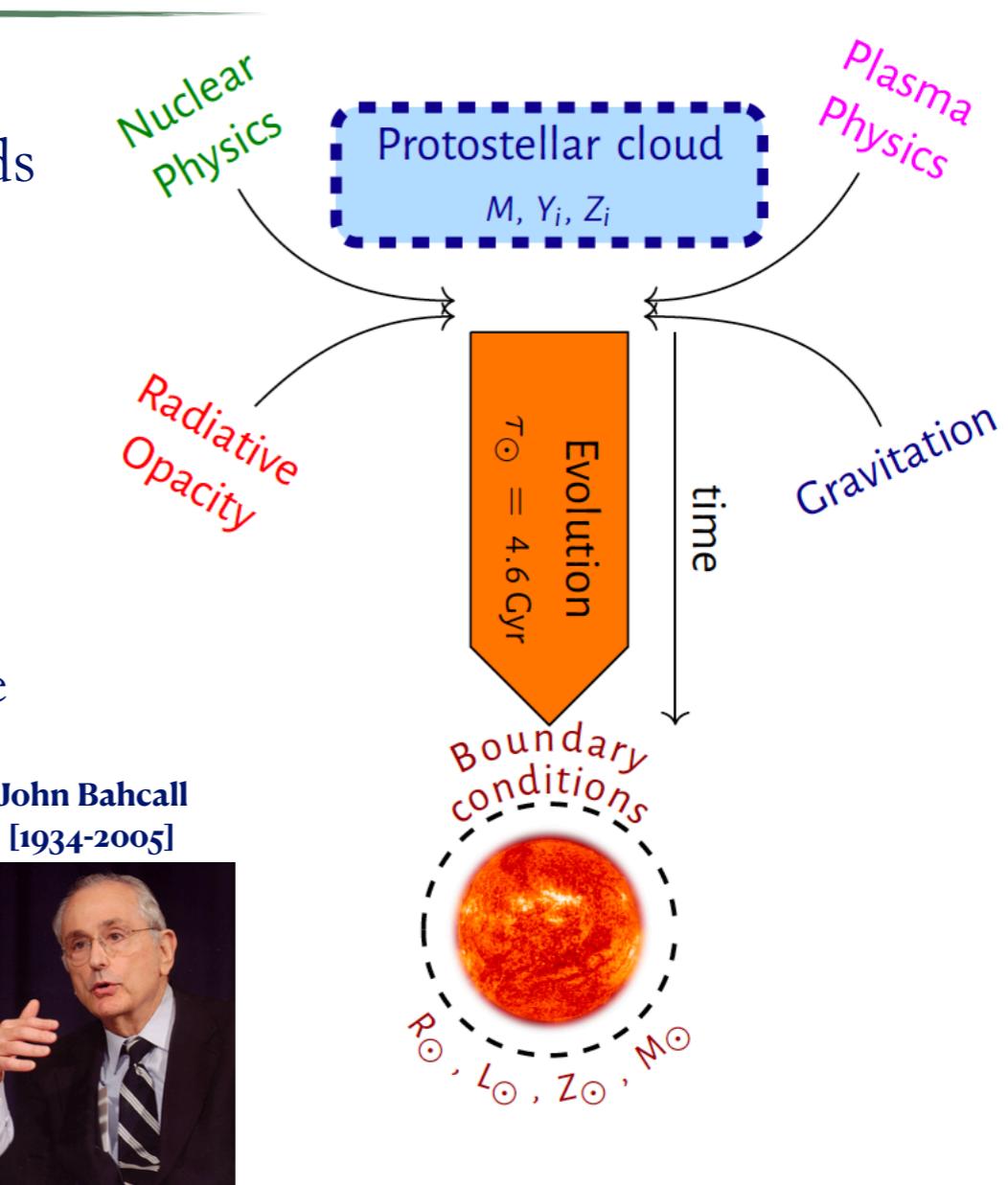
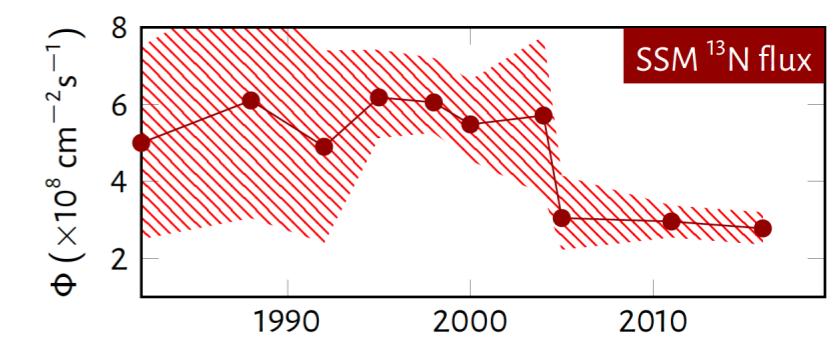
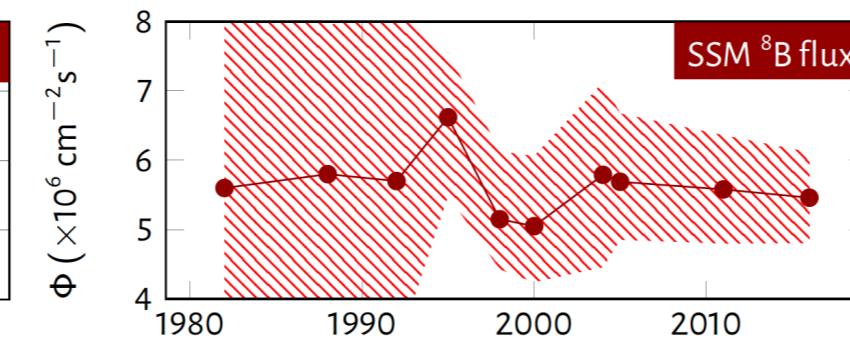
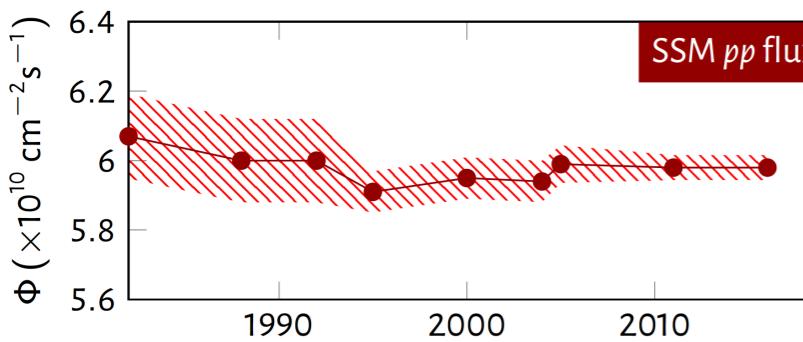
- The observable is the Sun we see now, which depends on a complex evolution process

- Gravity
- Composition: X (hydrogen), Y (helium), , Z (“metals”)
- Radiative opacity and plasma physics
- Temperature and density profiles
- Energy transport: radiative until  $0.71 R_{\odot}$ , then convective

- Today's conditions act as boundary conditions

- Two crucial observables:
  - Elio-seismology
  - Solar neutrinos

- The model as well has evolved (better cross sections, opacity and diffusion models)



John Bahcall  
[1934-2005]

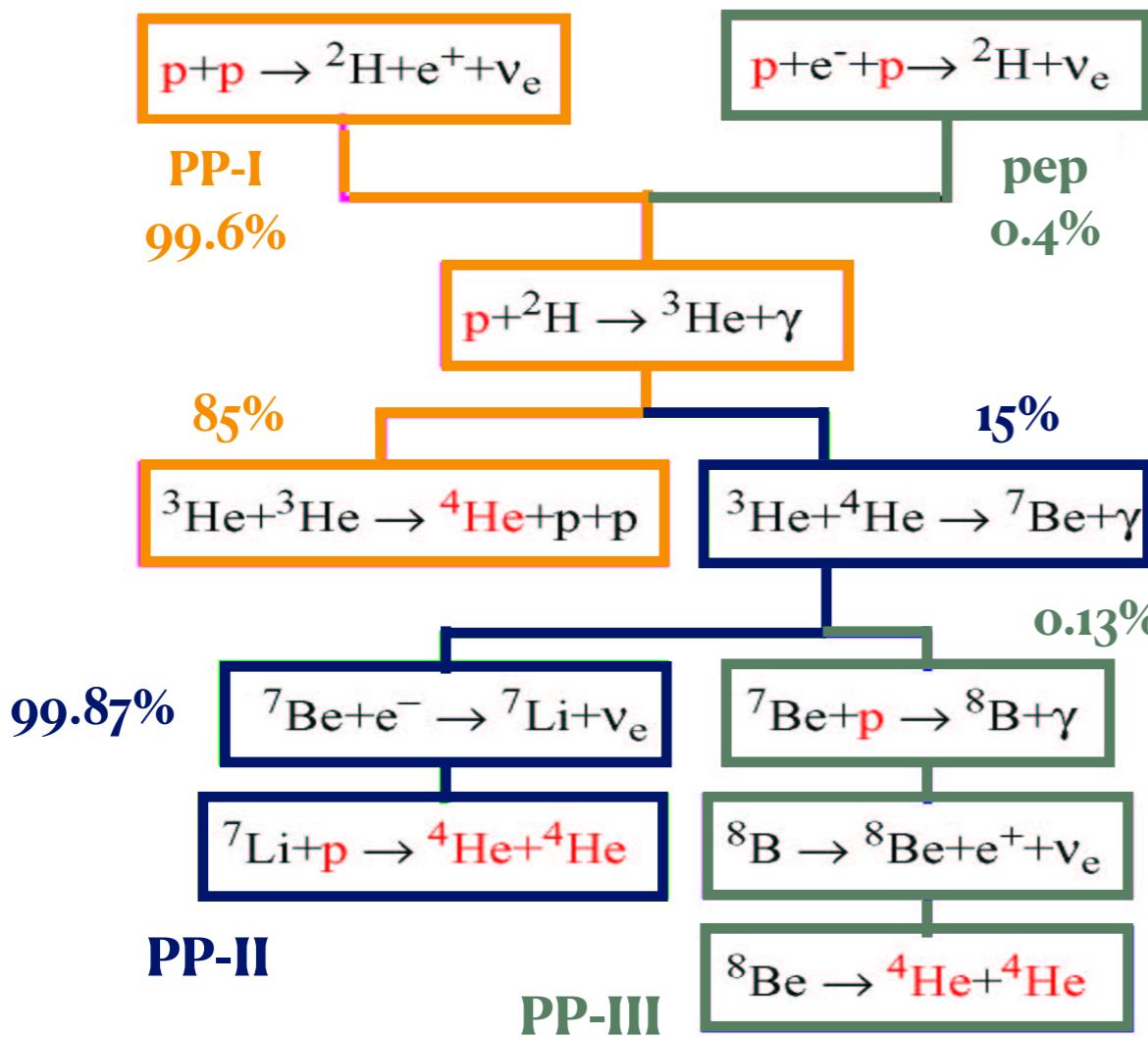


# Solar neutrinos from hydrogen burning

A.S. Eddington Observatory 43 (1920), Nature (1920)

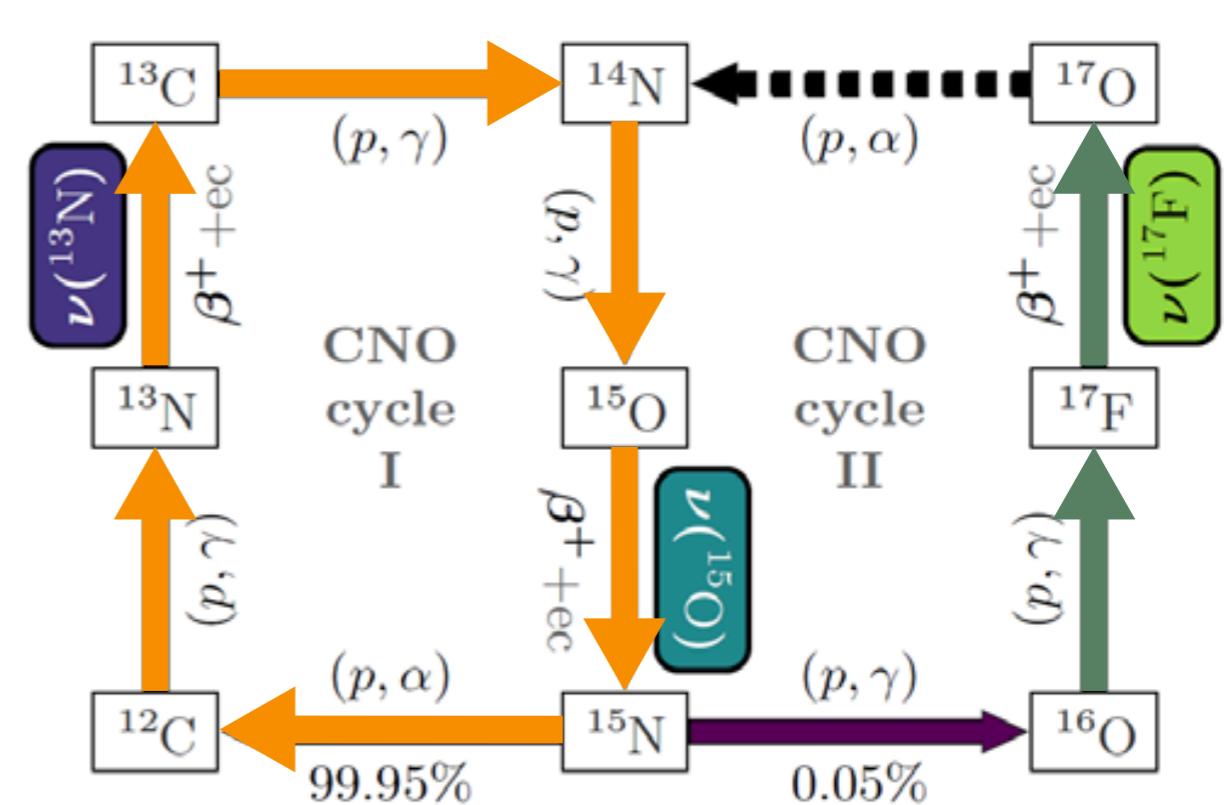
Bethe &  
Critchfield 1938

**pp chain**  
(99% energy)



**CNO cycle**  
(~ 1% energy)

Weizsäcker (1937, 1938),  
Bethe (1939)



$^{12}\text{C}$  is the main catalyst  
CNO-II is suppressed in the Sun

## REACTION

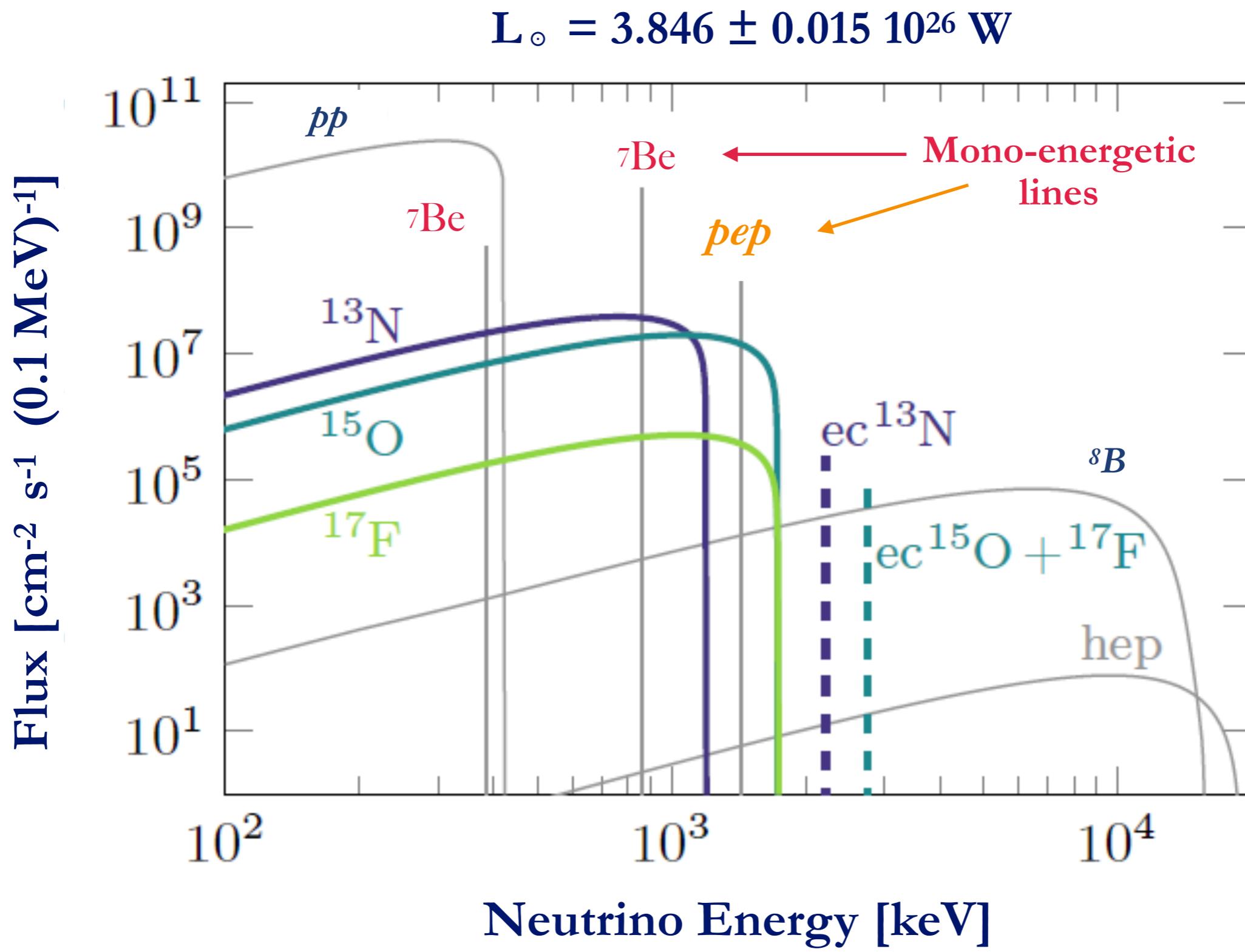


## ENERGY YIELD

$$24.7 \text{ MeV} + 2m_e c^2$$

## 2% of E in NEUTRINOS

$$\langle E_\nu \rangle = 0.53 \text{ MeV}$$



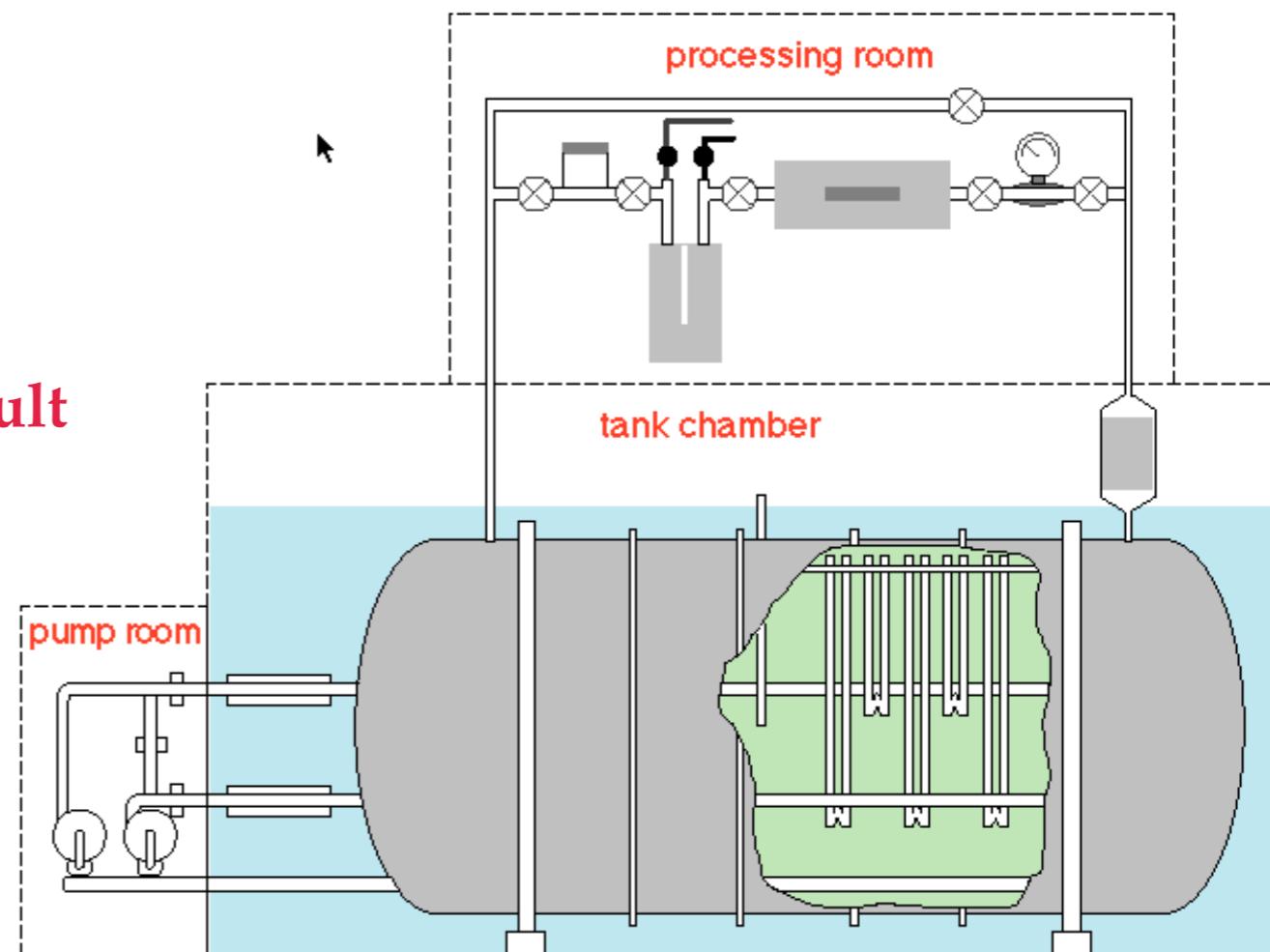
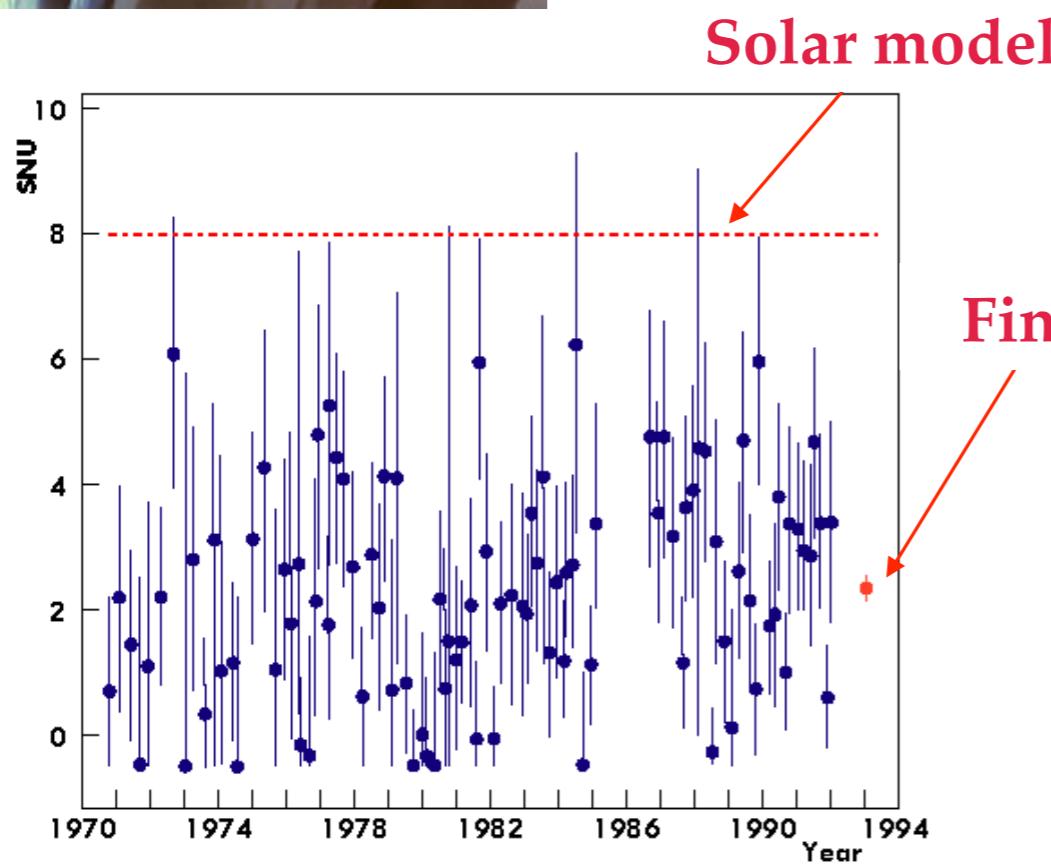
# History: counting an atom a day at Homestake



- Extract a single atom out of  $\sim 10^{31}$



- Target: 614 t of liquid soap
- $^{37}\text{Ar}$  atoms extraction with charcoal filters (every  $\sim$  months)
- Very low background proportional counters to count  $^{37}\text{Ar}$  atoms (which decays by  $e^-$  capture with  $\tau_{1/2} \sim 35$  d)



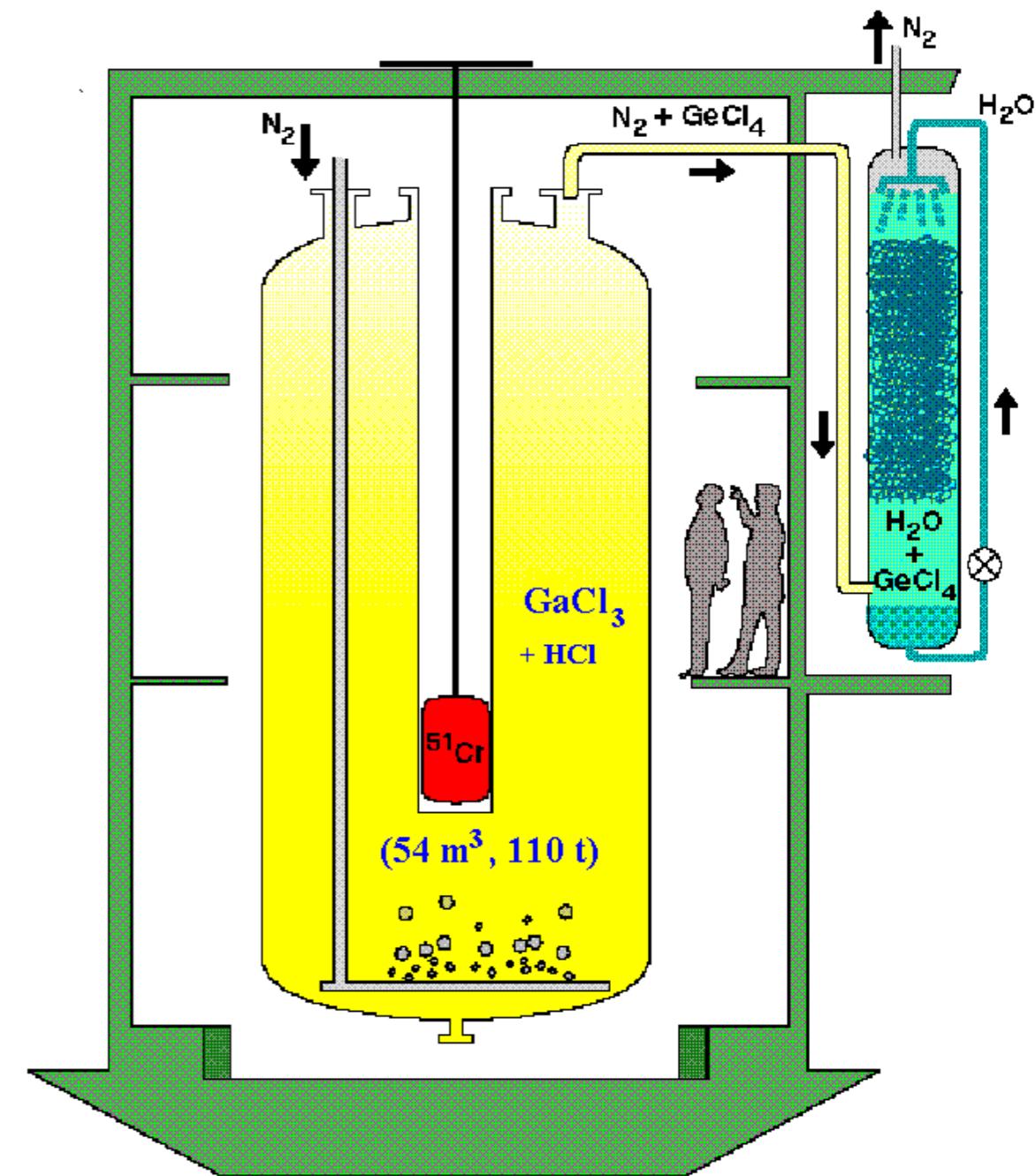
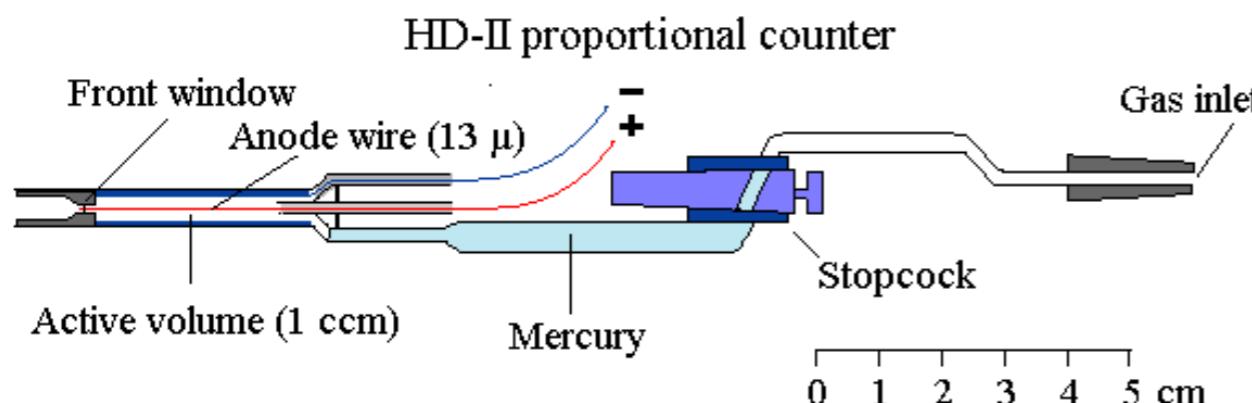
- A key radio-chemical experiment for solar neutrino physics

- The first sensitive to all solar neutrino components  
(through an integrated, energy-weighted spectrum)

- 30.3 ton of Ga in  $\text{GaCl}_3 - \text{HCl}$  solution.



- Threshold: 233 keV
- Extraction every  $\sim 3$  weeks
- The volatile  $\text{GeCl}_4$  is extracted using  $\text{N}_2$  flow and then inserted into proportional counters [ ${}^{71}\text{Ge}$   $e^-$  capture  $\tau_{1/2} \sim 11.43$  d]



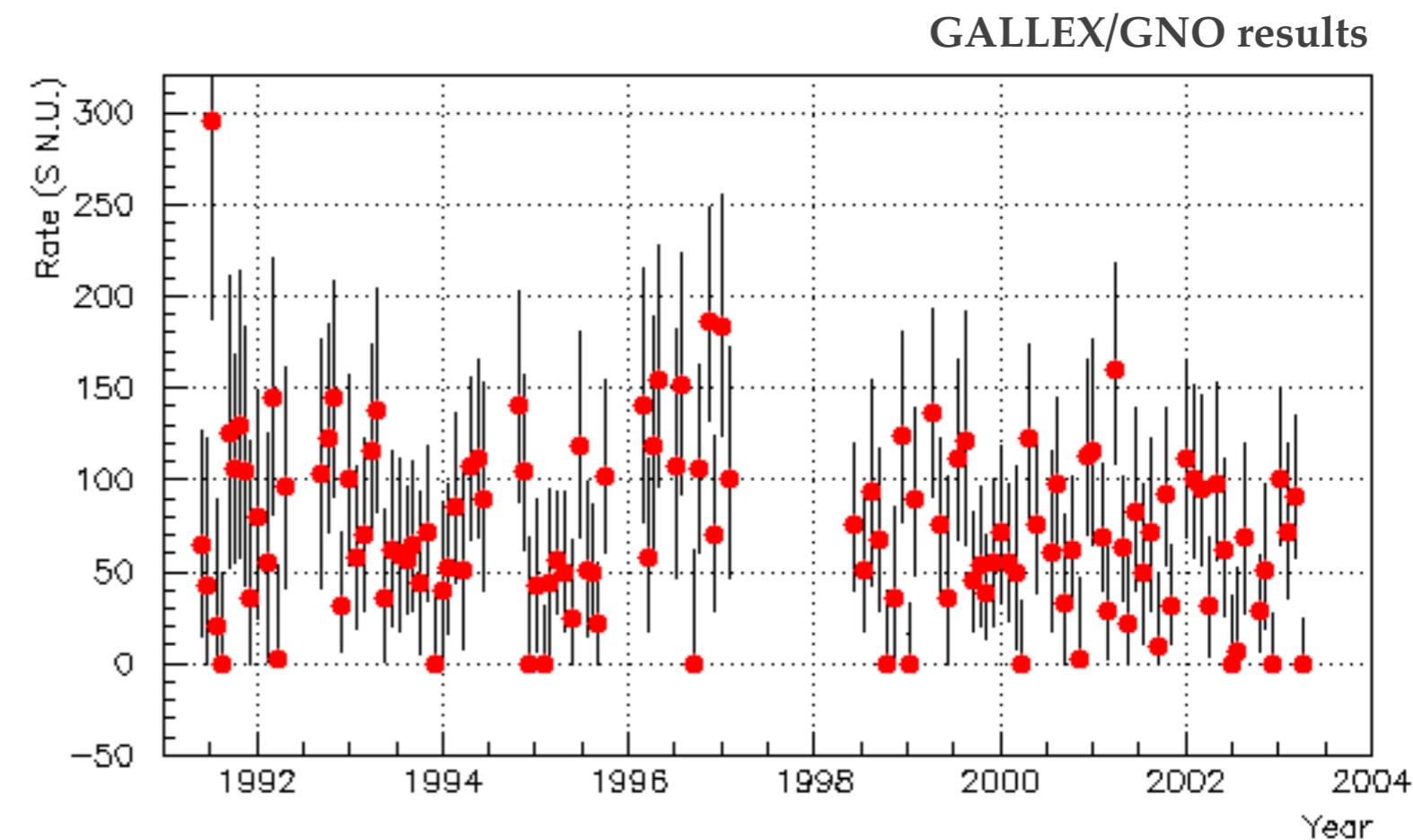
- Extraction efficiency checked with:

- 1.6 MCi (!)  $\nu_e$  source [based on  $^{51}\text{Cr}$  e-capture decay, obtained from irradiated  $^{50}\text{Cr}$  in reactor]

- Initial  $\nu_e$  flux 5 times the Sun
- $\varepsilon = 95 \pm 3\%$
- Mono-chromatic  $\nu_e$  flux,  
 $E_\nu = 0.75$  MeV

- At the end only, insertion of  $^{71}\text{As}$

- $^{71}\text{As} \rightarrow ^{71}\text{Ge} + e^- + \nu_e$
- [ $\tau_{1/2} = 2.72$  d];
- $\varepsilon = 100 \pm 1\%$



Experiment	Runs	Result
GALLEX	65	$77.5 \pm 6.2 \text{ (stat)} \pm 6.2 \text{ (sys) SNU}$
GNO	58	$62.9 \pm 5.4 \text{ (stat)} \pm 2.5 \text{ (sys) SNU}$
GALLEX+GNO	123	$69.3 \pm 4.1 \text{ (stat)} \pm 3.6 \text{ (sys) SNU}$

STANDARD SOLAR MODEL prediction:  $129 \pm 7$  SNU

In a medium with refractive index  $n$  the light speed is  $c/n$ . When a charged particle travel in the medium with a speed higher than light speed, it emits Cerenkov light. The minimum energy to emit Cerenkov light is:

Particle	Cerenkov threshold (Energy (MeV))
$e$	0.768
$\mu$	158.7
$\pi$	209.7

Cerenkov light is emitted in a cone with a  $\theta$  opening in the track direction:

$$\cos\theta = \frac{1}{n\beta}$$

$\theta = 42^\circ$  for  $\beta = 1.0$  in water.

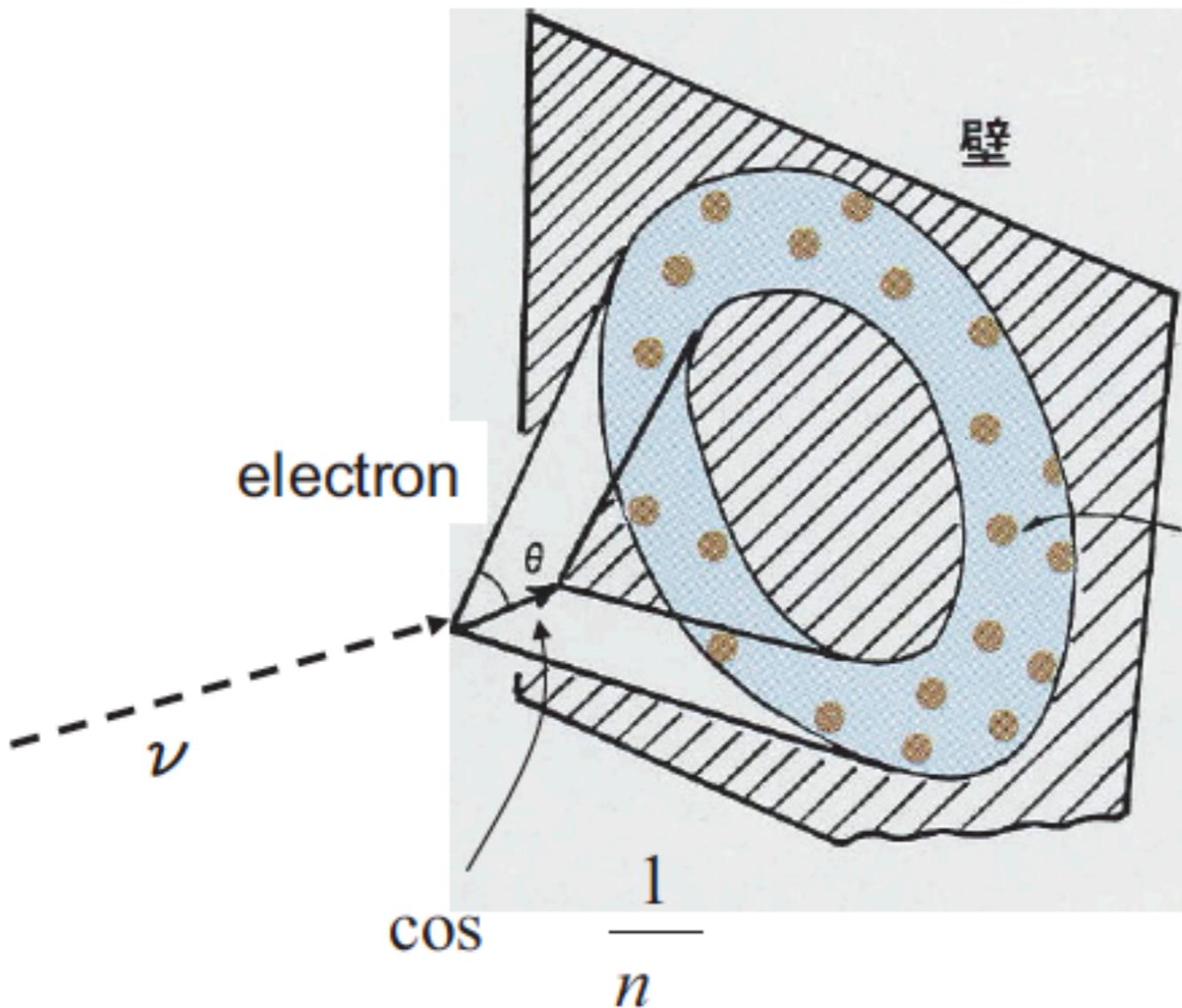
Cerenkov light spectrum as function of wavelength  $\lambda$ :

$$\frac{dN}{d\lambda} = \frac{2\pi\alpha l}{c} \left(1 - \frac{n^2}{\beta^2}\right) \frac{1}{\lambda^2}$$

where  $\alpha$  is the fine structure constant and  $l$  is the track length.

A charged particle emits about 390 photons for 1cm track length in water with  $300\text{ nm} < \lambda < 700\text{nm}$ .

# Detection of light in water



$n$  (refractive index)=1.34  
in water

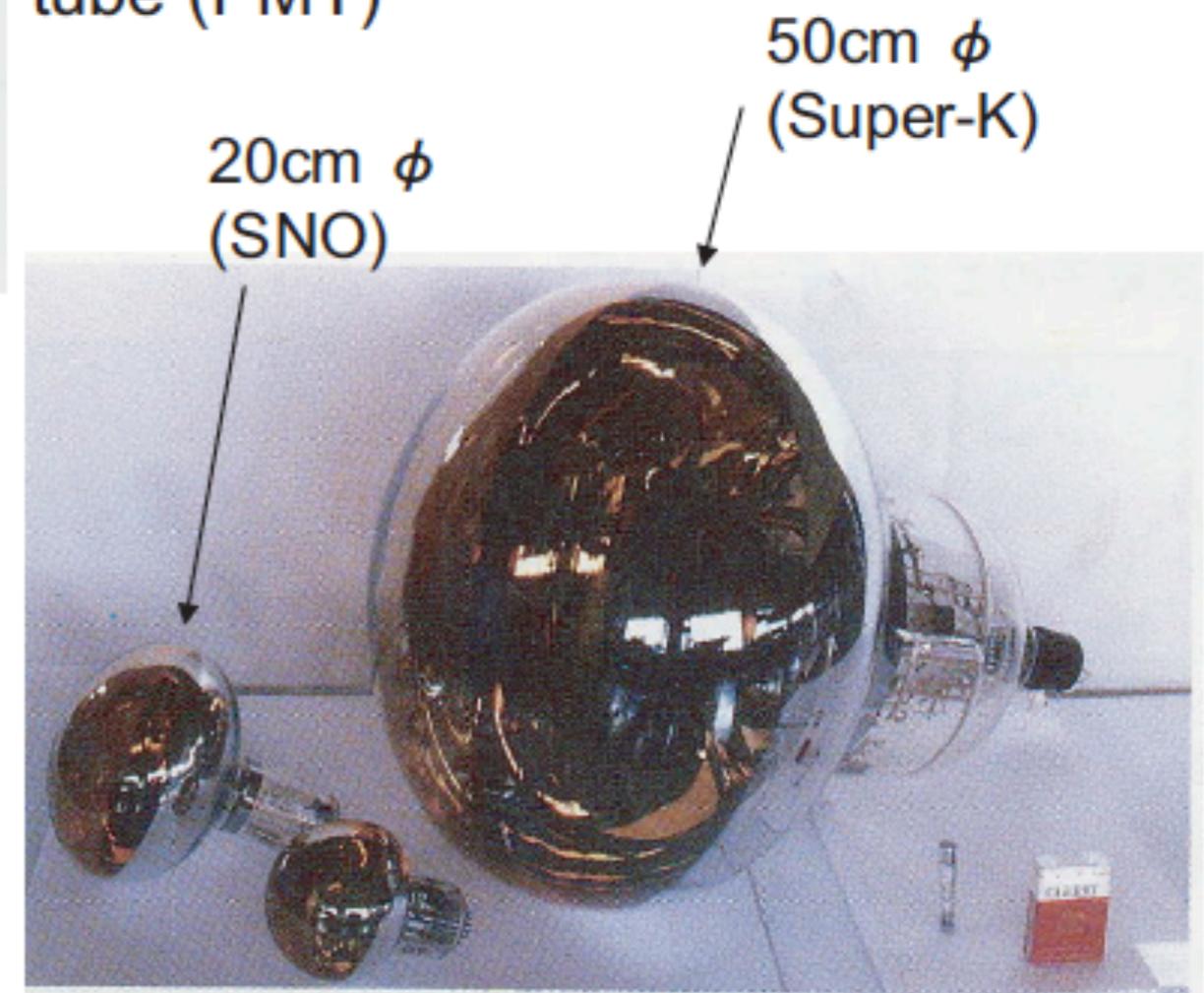
$\rightarrow \theta = 42\text{deg. for } \beta = 1$

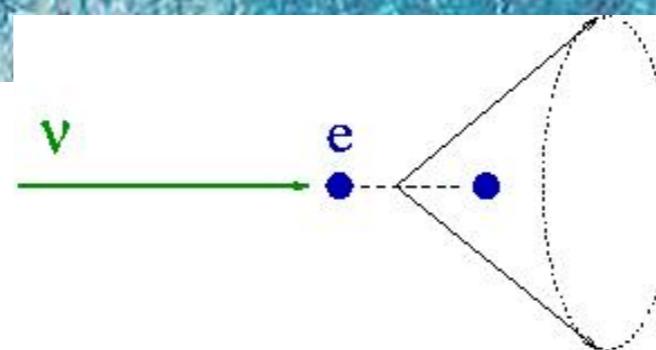
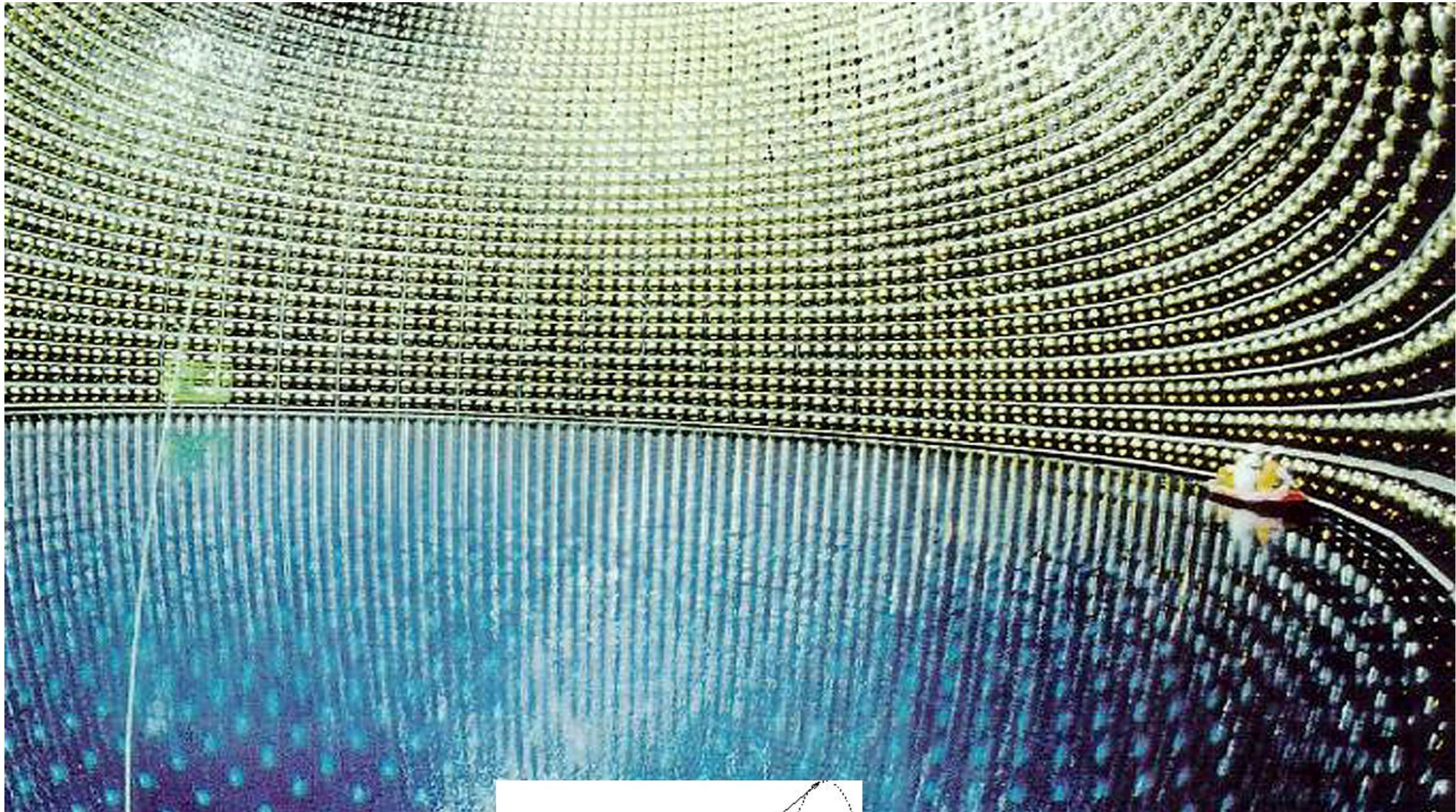
T. Kajita - NuFact 05 School

Number of Ch. photons with  $\lambda = 300-600 \text{ nm}$  emitted by a relativistic particle per cm = 340.

Need an efficient detection of the photons.  $\longrightarrow$  Large PMTs

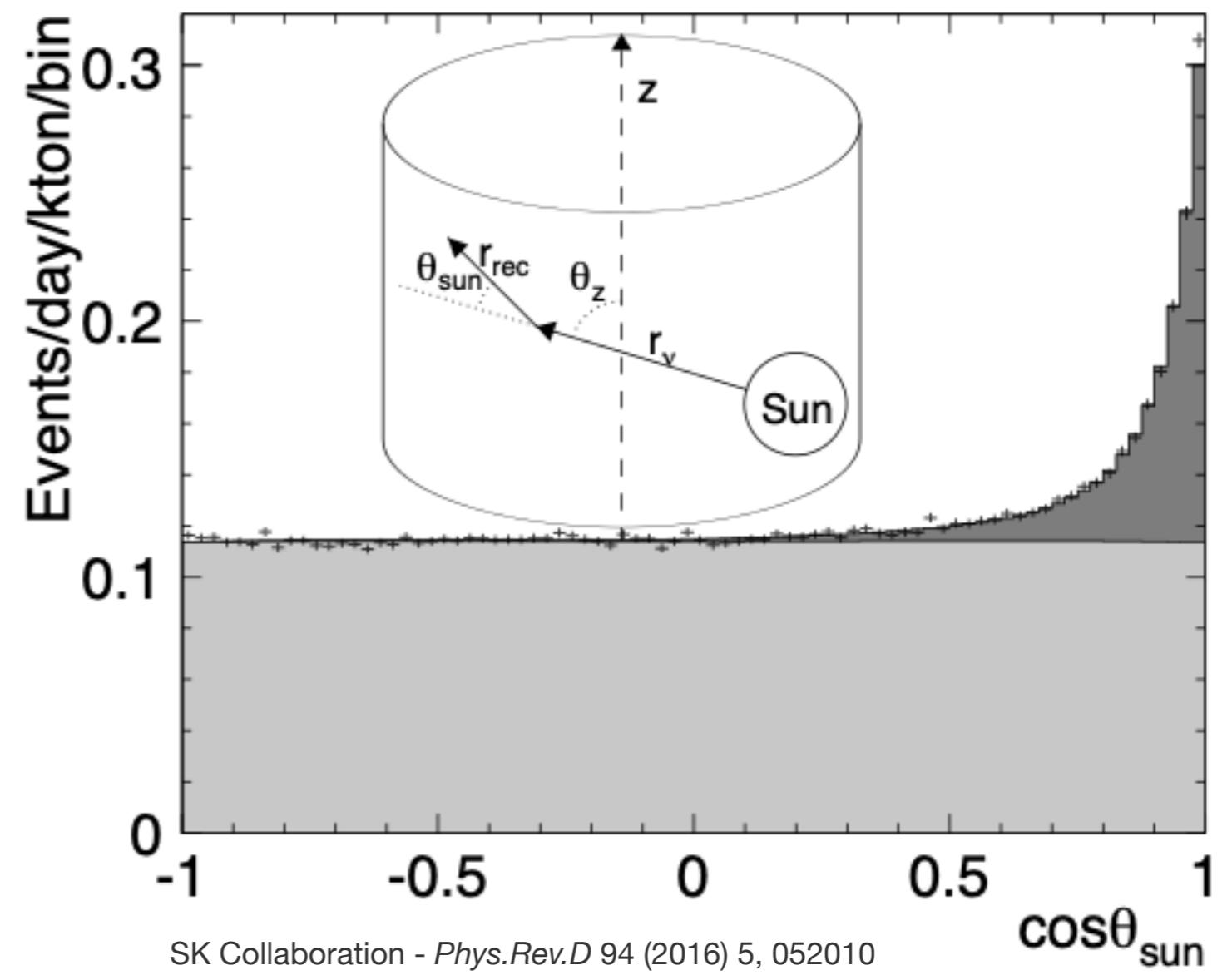
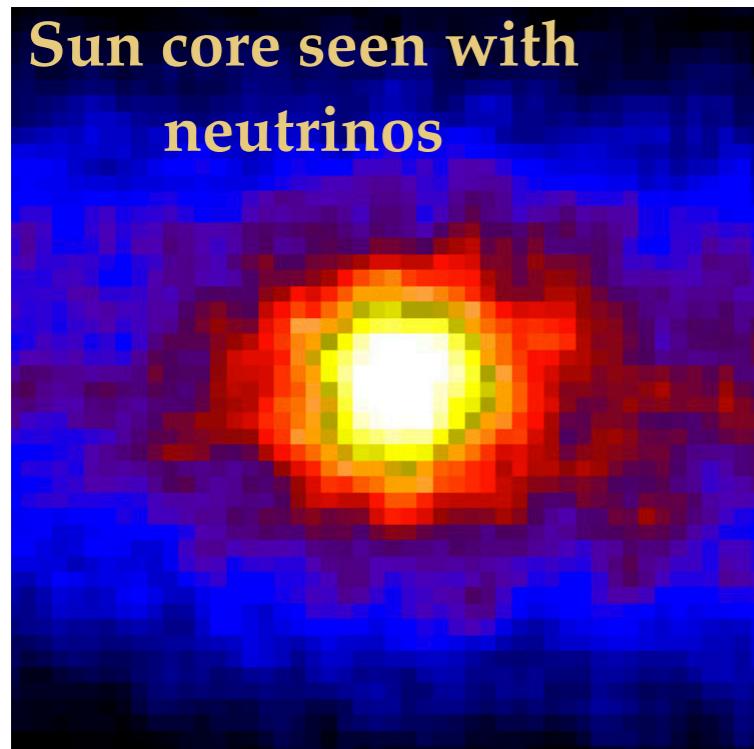
Photomultiplier  
tube (PMT)



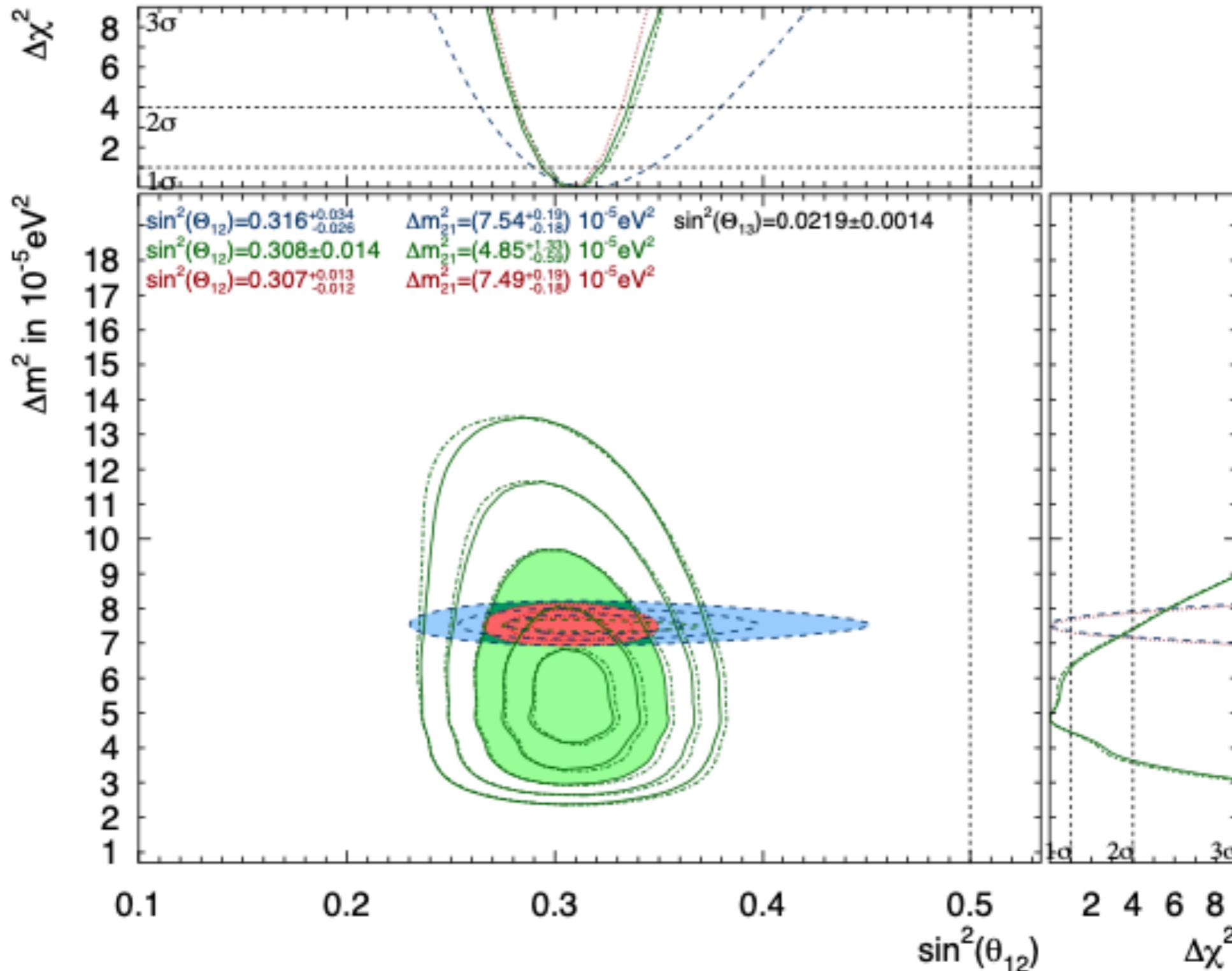


**Cherenkov detector for solar,  
accelerator and atmospheric  
neutrinos**

- Detection technique: elastic scattering on electrons
  - Cherenkov light gives direction of incoming neutrino
  - Threshold  $\sim 3.5 - 5$  MeV (depending on period)

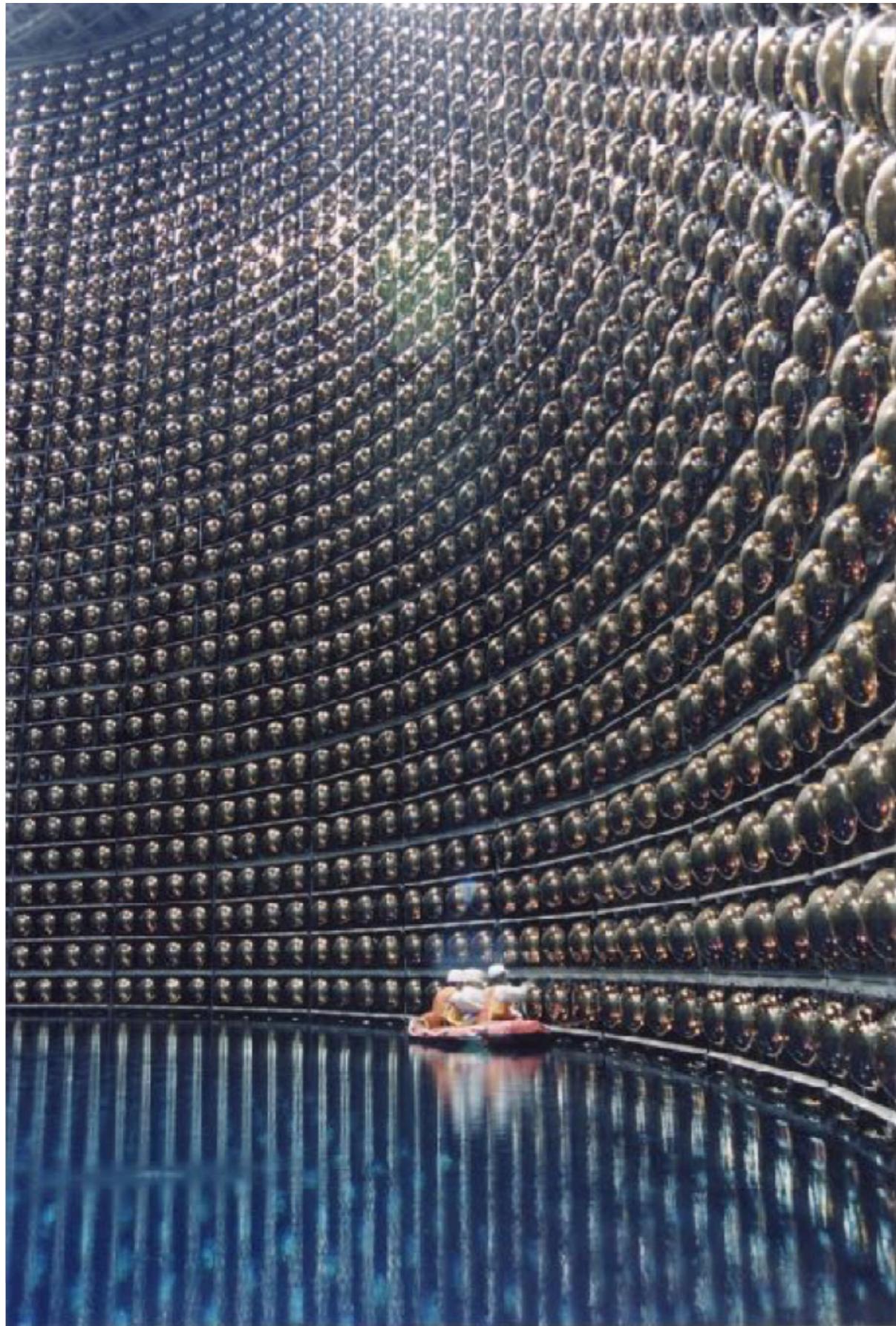


# Recent solar neutrino analysis with SK+KamLAND

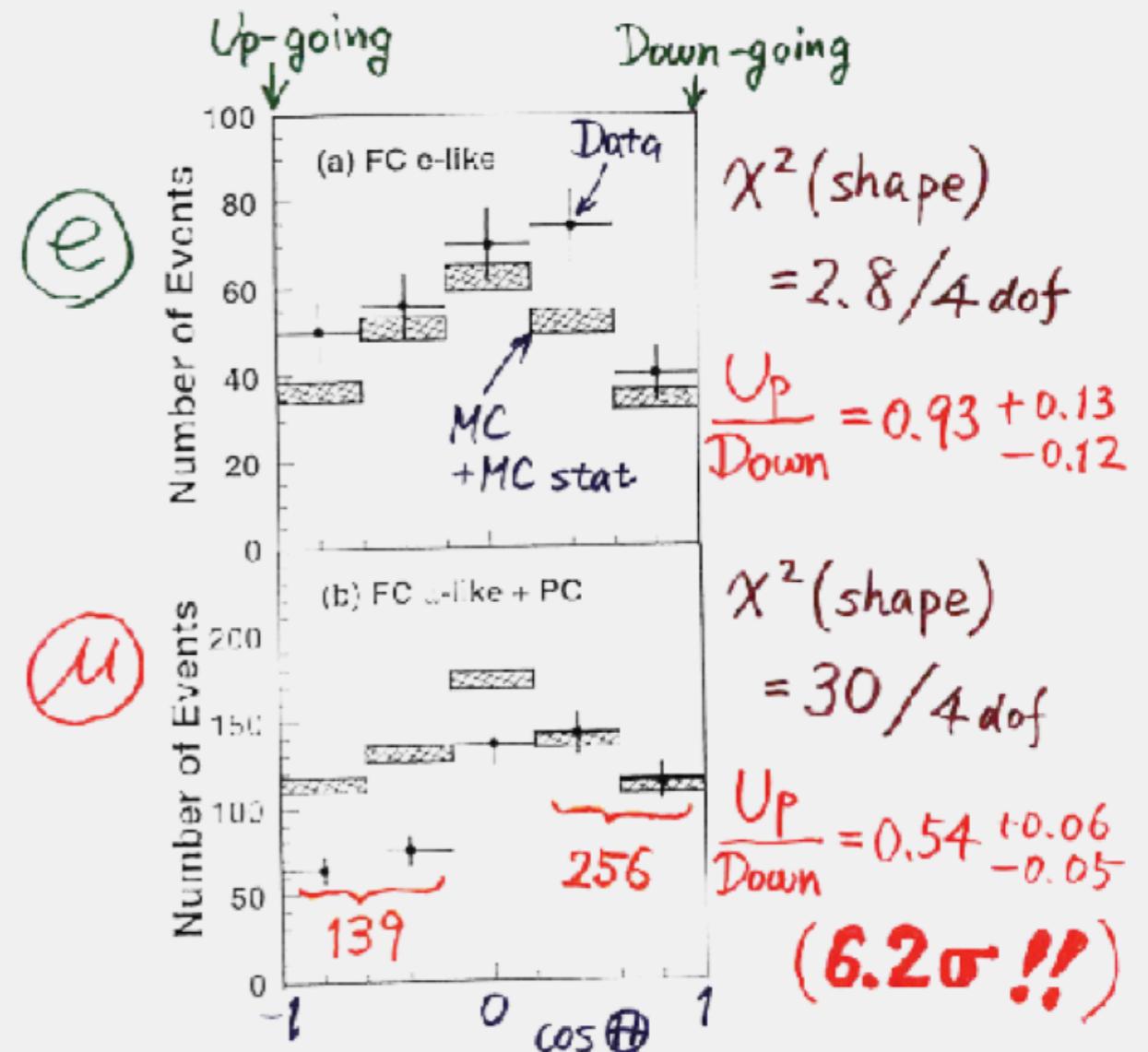


SK Collaboration - *Phys.Rev.D* 94 (2016) 5, 052010

# Discovery of atmospheric neutrinos at SK: 1998



Zenith angle dependence  
(Multi-GeV)

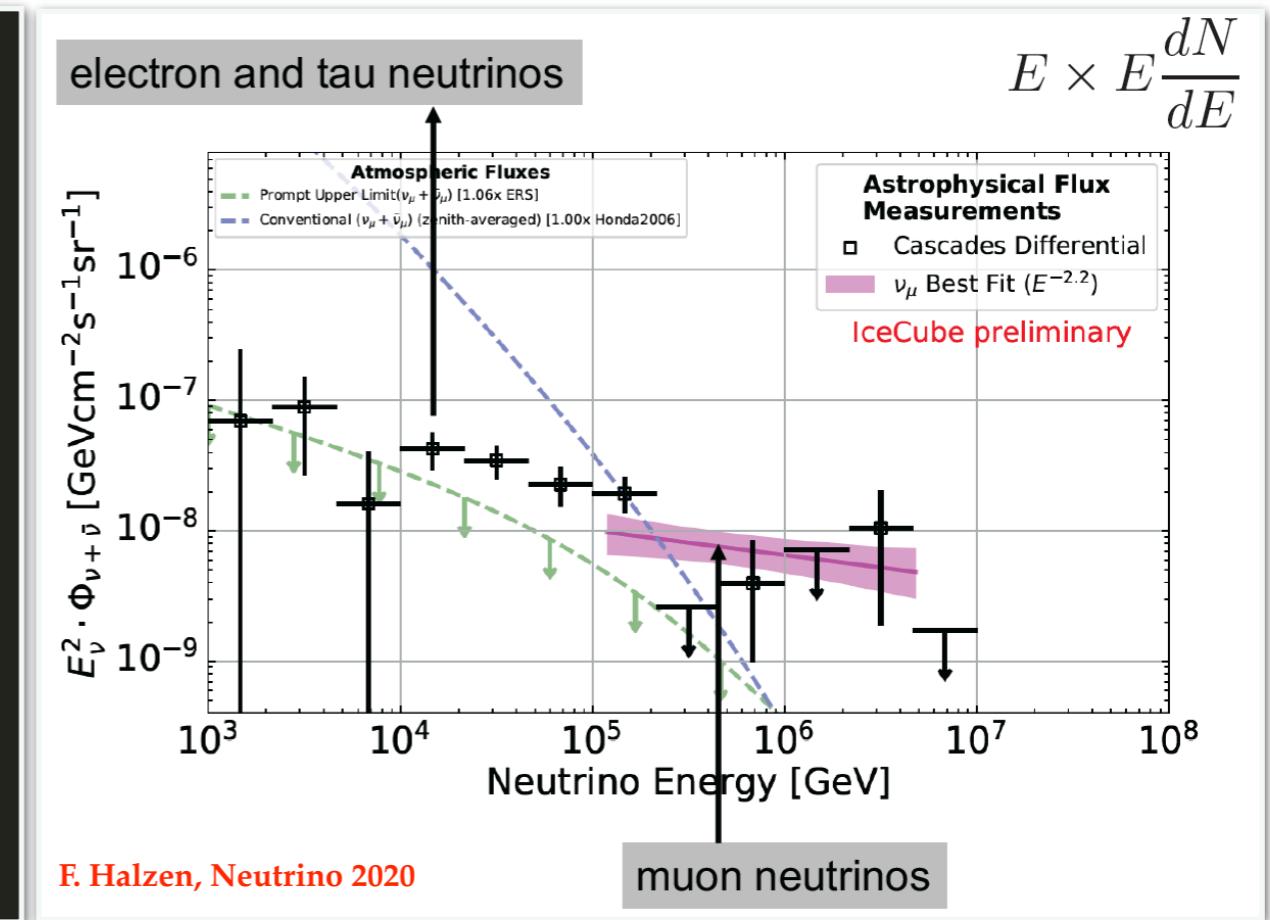
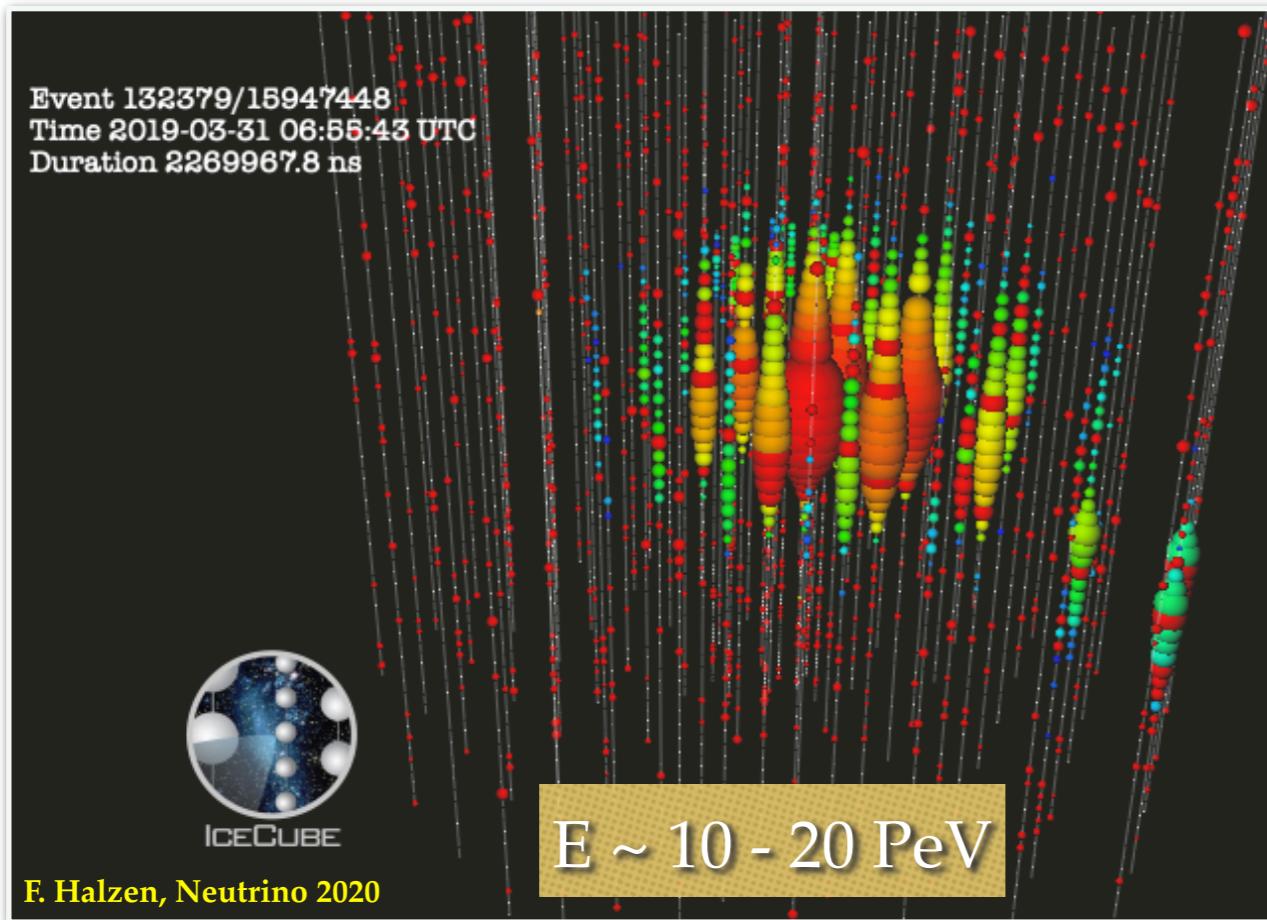
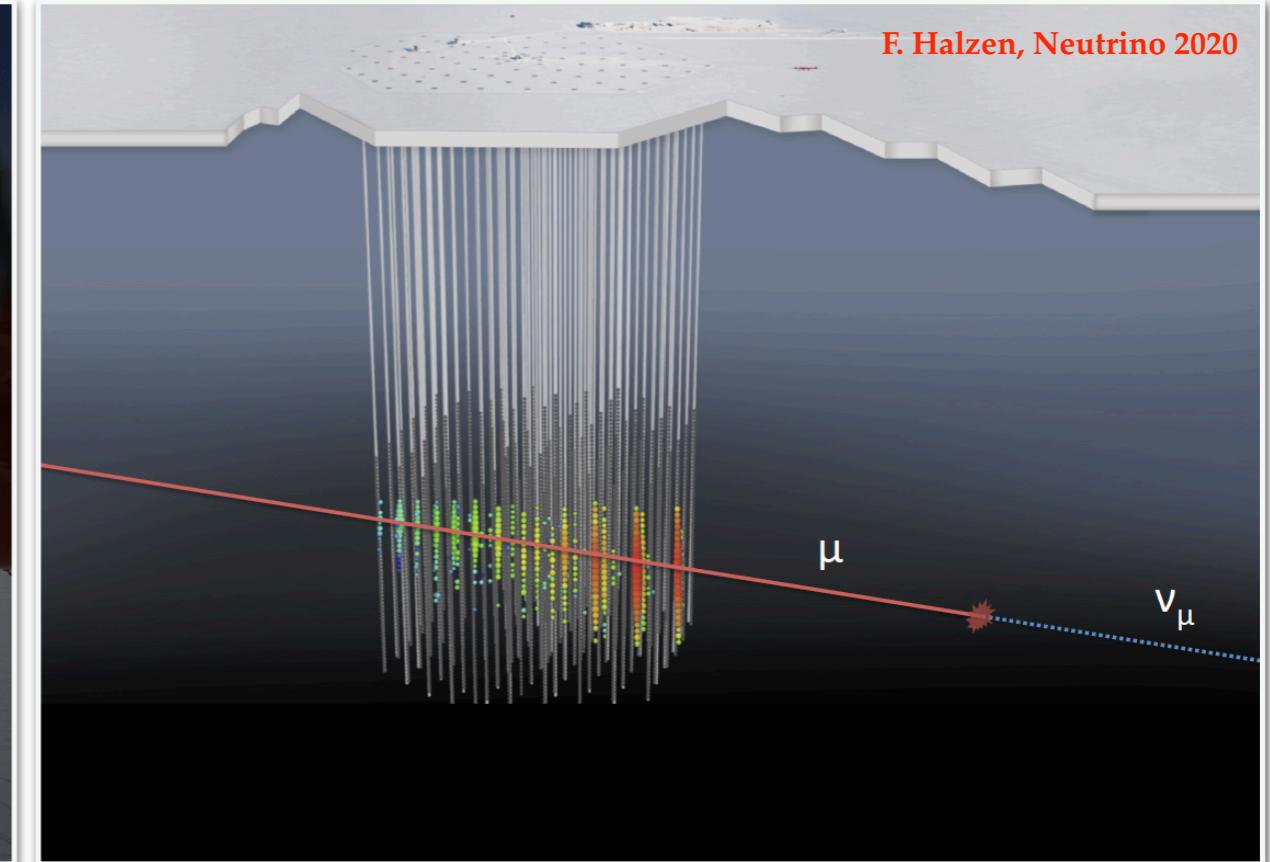
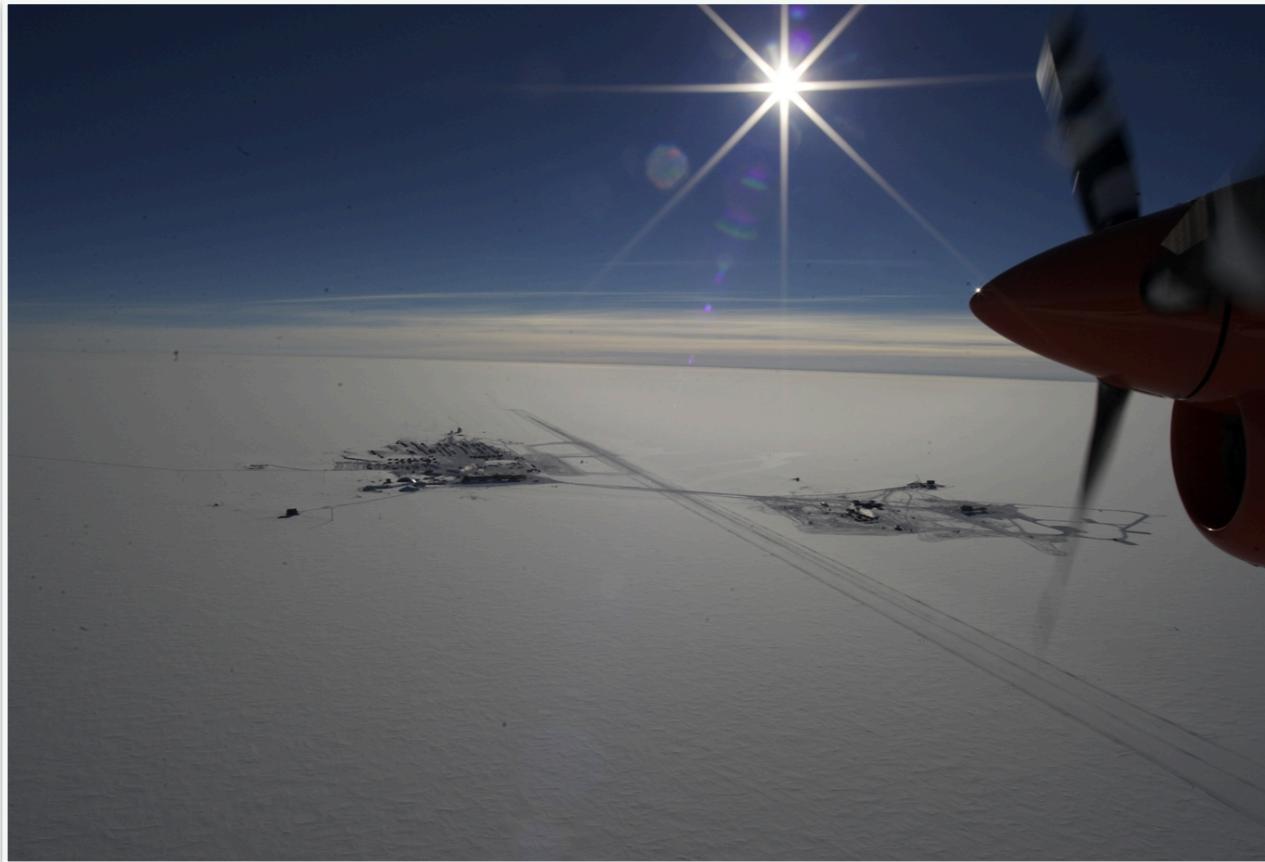


\* Up/Down syst. error for  $\mu$ -like

Prediction (flux calculation .....  $\lesssim 1\%$ ,  
1km rock above SK .....  $1.5\%$ ,)  $1.8\%$

Data (Energy calib. for  $\uparrow \downarrow$  .....  $0.7\%$ ,  
Non  $\nu$  Background .....  $< 2\%$ )  $2.1\%$

# Intermezzo: neutrino detection in ice (Ice-Cube)



# Solution of Solar Neutrino Problem: SNO

- Sudbury Neutrino Observatory

- Key feature: 1 kt D<sub>2</sub>O
  - Ability to identify electron type neutrinos, and measure the others

- Three key reactions:

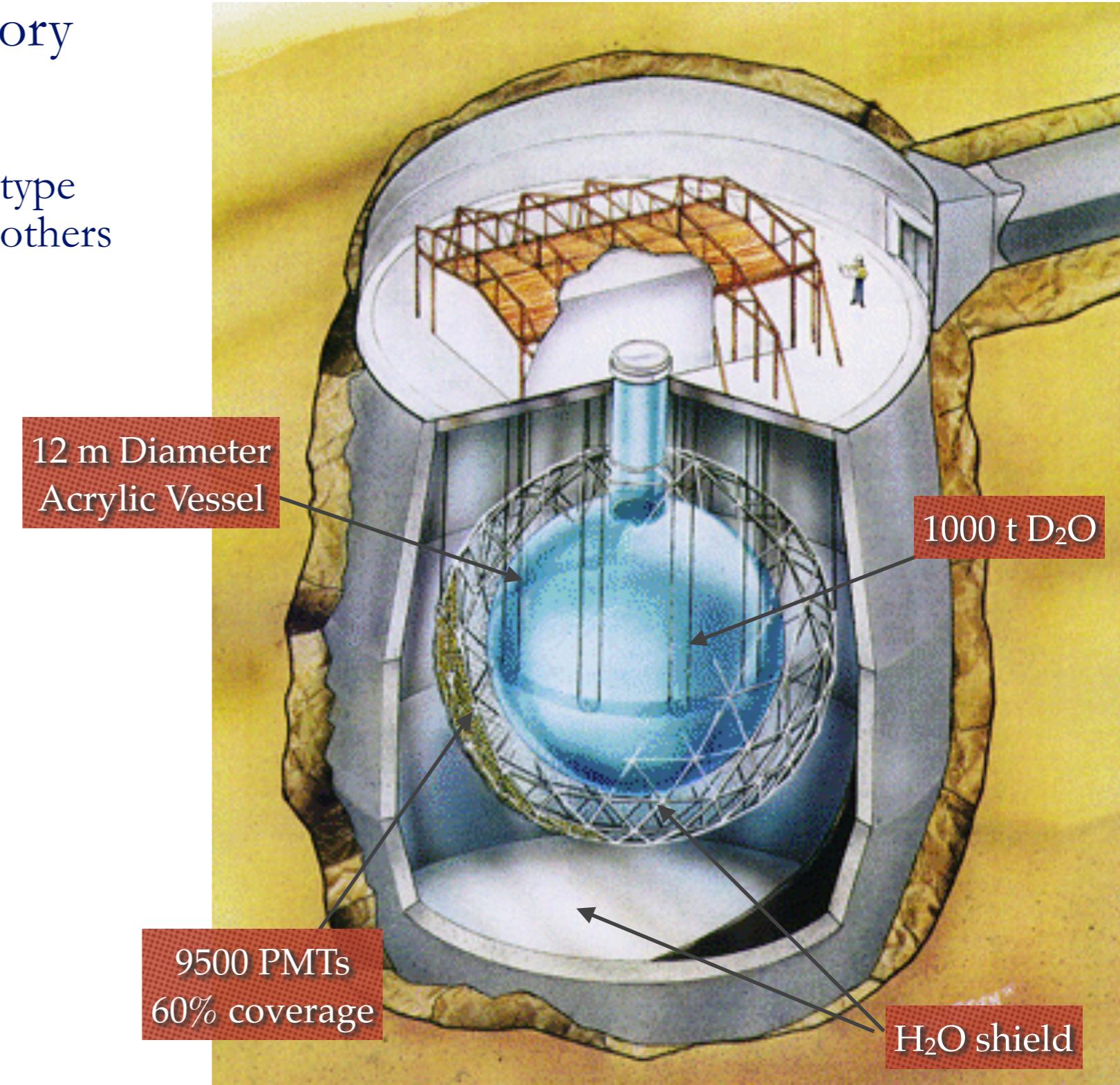
- CC:  $\nu_e$  only



- NC: All types, equal



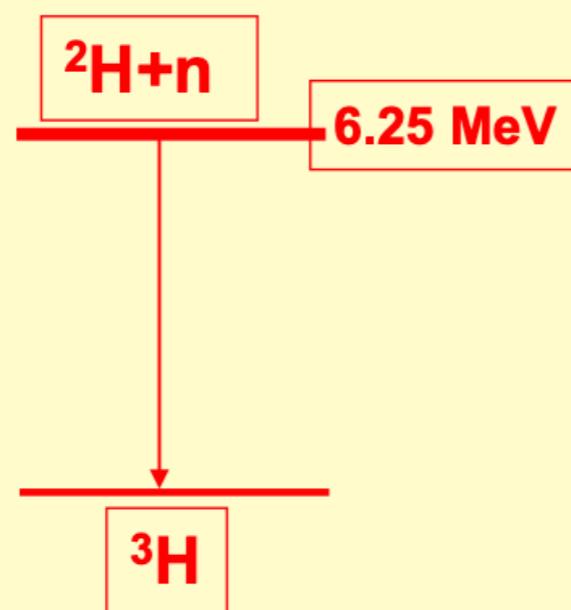
- ES: All types, un-equal



## 3 neutron (NC) detection methods (systematically different)

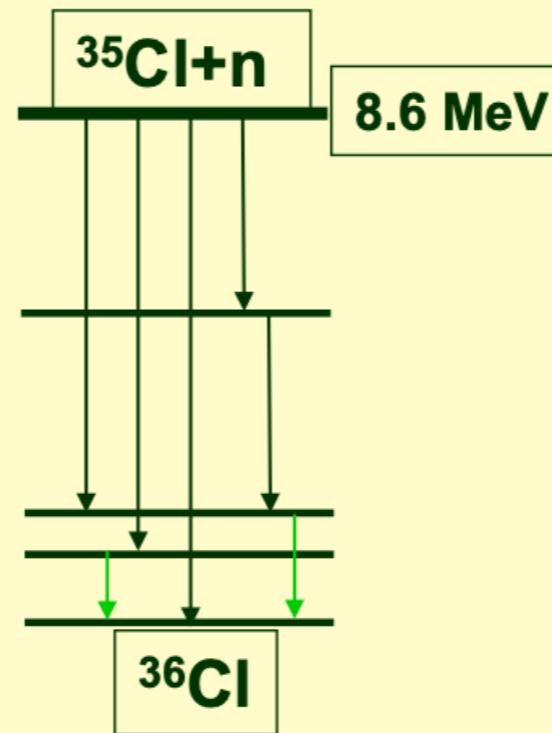
### Phase I ( $D_2O$ ) Nov. 99 - May 01

n captures on  
 $^2H(n, \gamma)^3H$   
 Effc. ~14.4%  
 NC and CC separation  
 by energy, radial, and  
 directional  
 distributions



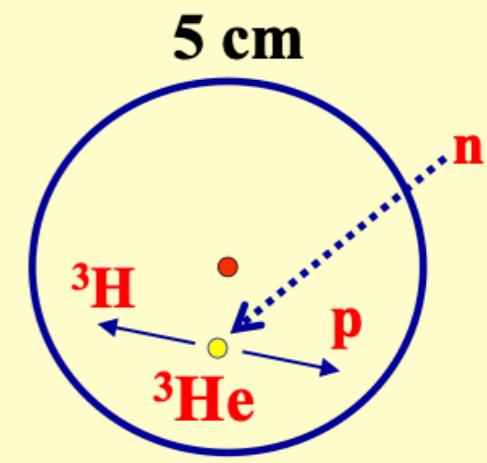
### Phase II (salt) July 01 - Sep. 03

2 tonnes of NaCl  
 n captures on  
 $^{35}Cl(n, \gamma)^{36}Cl$   
 Effc. ~40%  
 NC and CC separation  
 by event isotropy

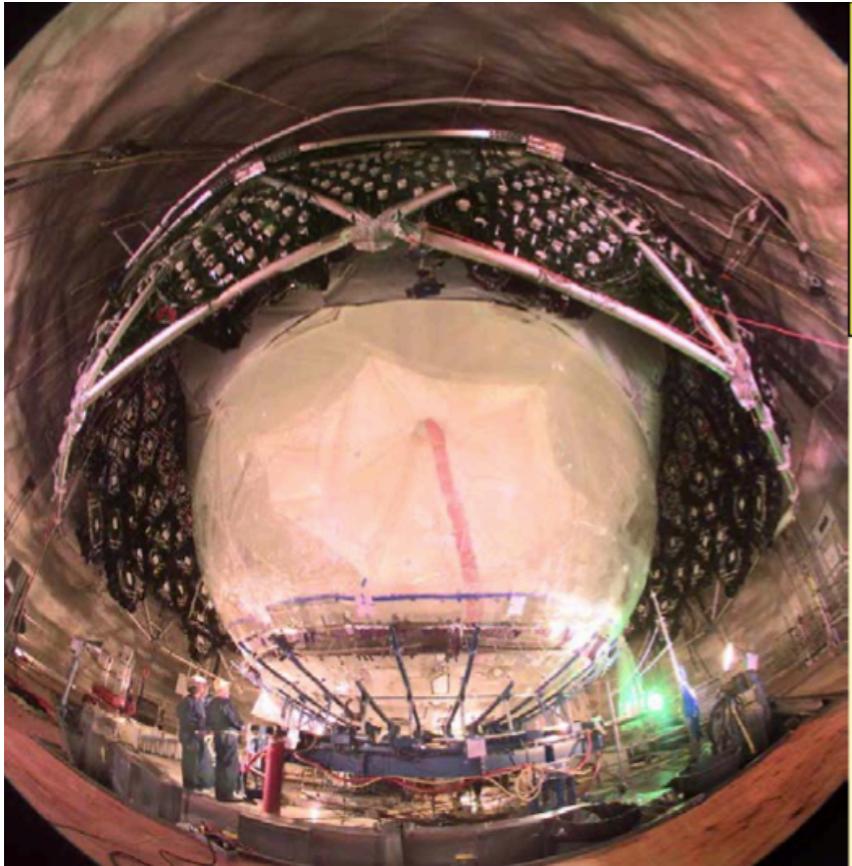


### Phase III ( $^3He$ ) Nov. 04-Dec. 06

400 m of proportional  
 counters  
 $^3He(n, p)^3H$   
 Effc. ~ 30% capture  
 Measure NC rate with  
 entirely separate  
 detection system.

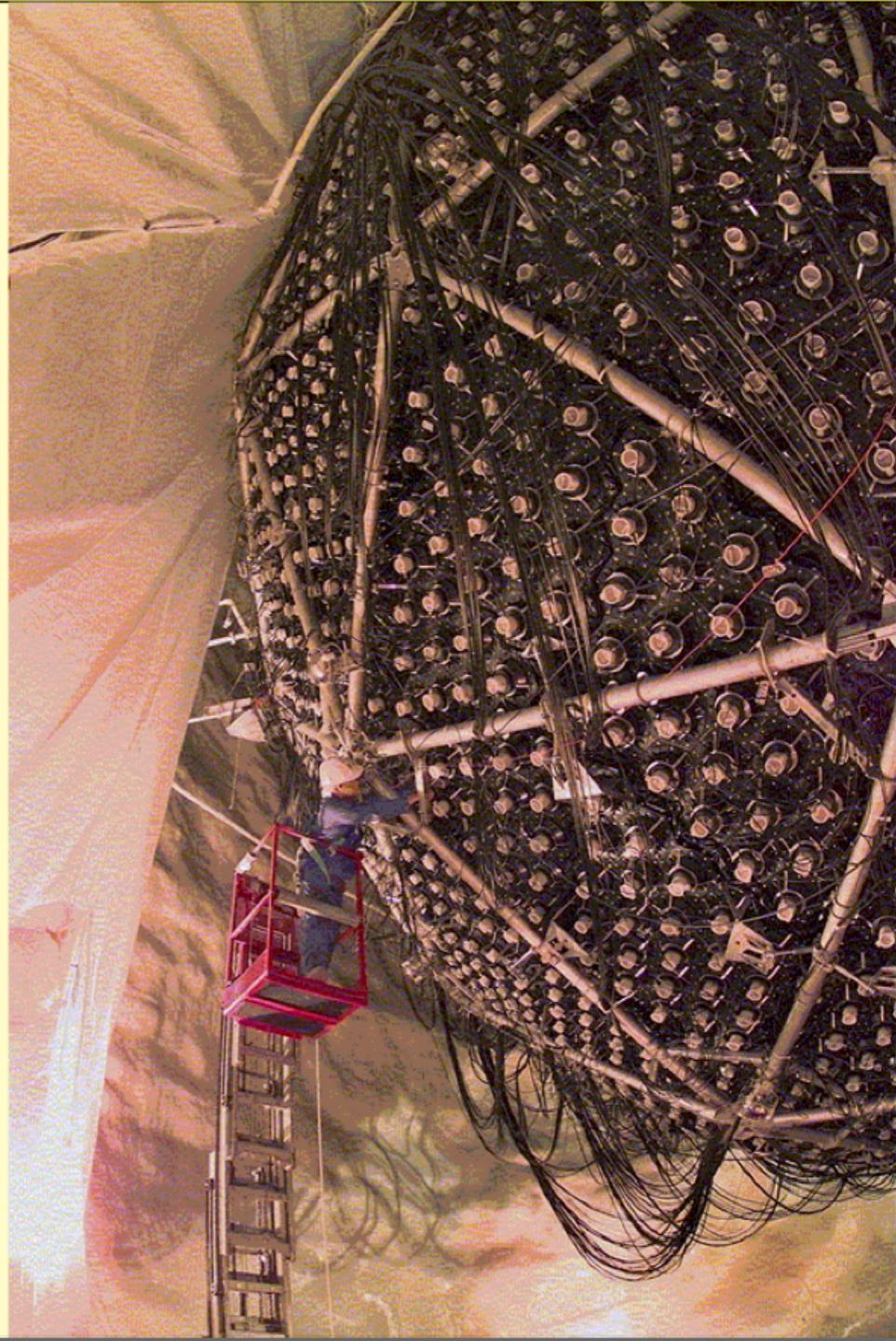


# SNO picture gallery



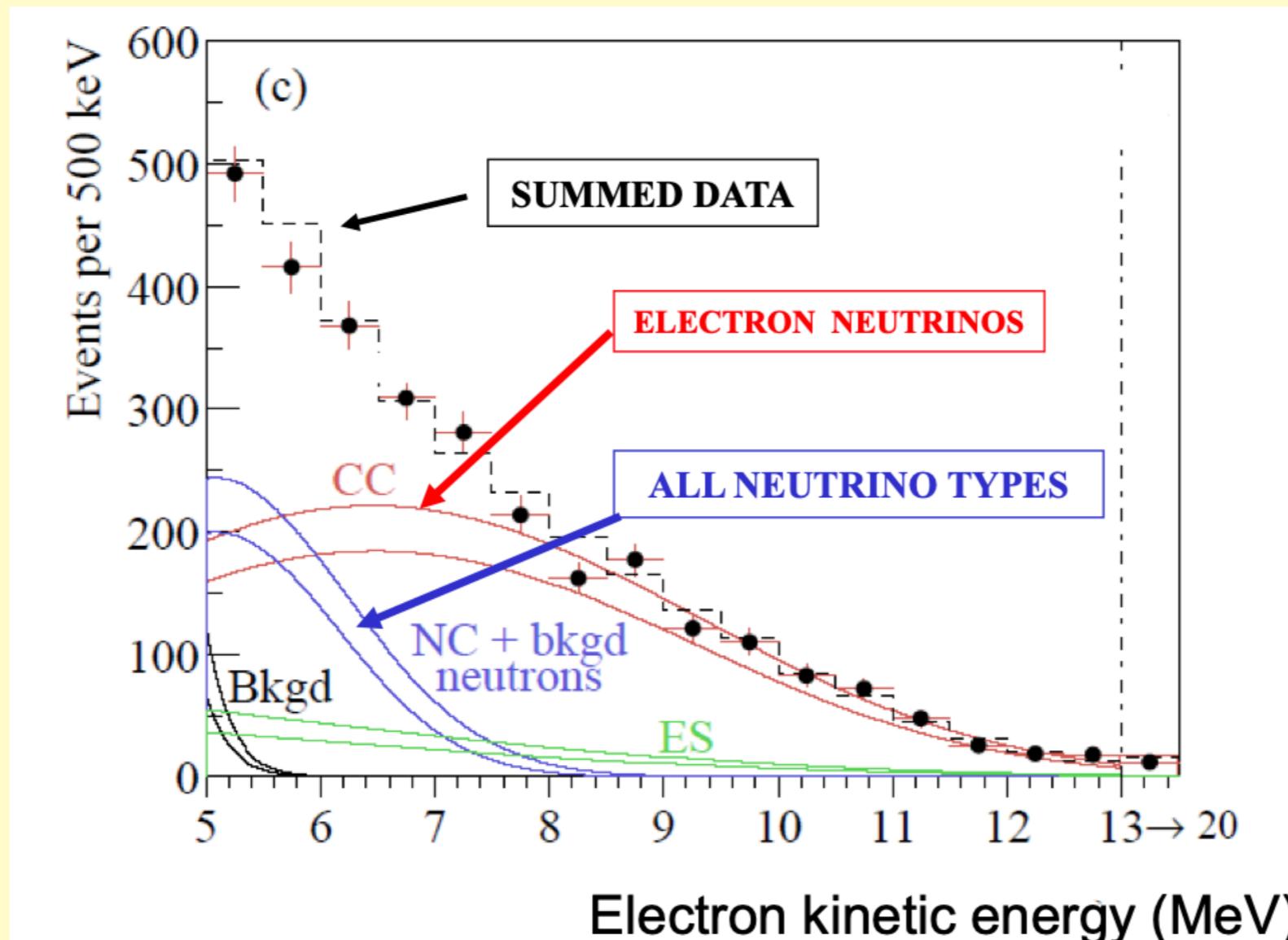
**SNO: One million pieces transported down in the 3 m x 3 m x 4 m mine cage and re-assembled under ultra-clean conditions. Every worker takes a shower and wears clean, lint-free clothing.**

70,000  
showers  
during the  
course of the  
SNO project



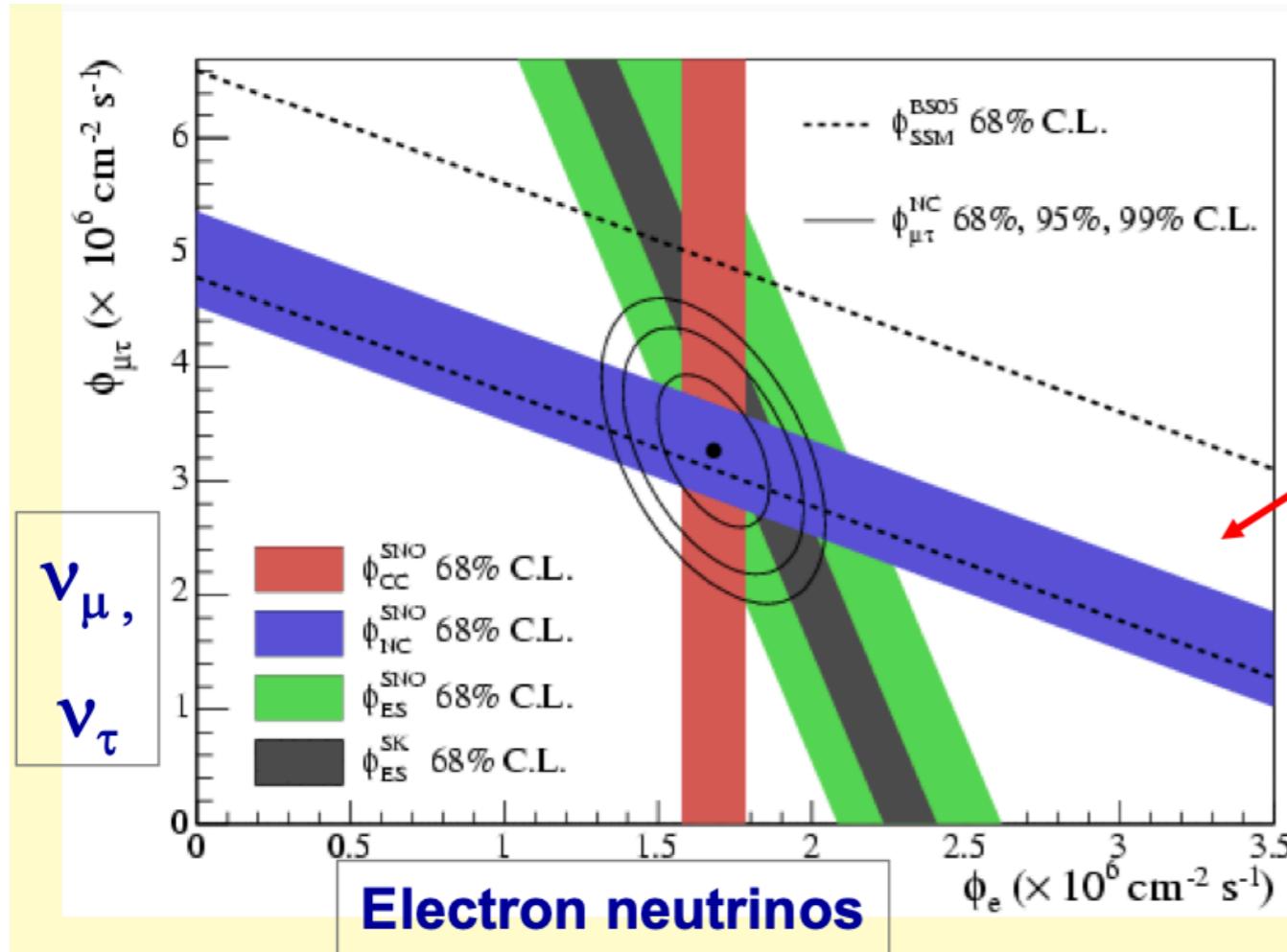
Art McDonald: Neutrino 2016

**WE OBSERVED NEUTRINOS FROM THE SUN  
WITH ALMOST NO RADIOACTIVE BACKGROUND**



**After Calibration:  
ELECTRON  
NEUTRINOS  
AT EARTH ARE  
ONLY 1/3  
OF ALL  
NEUTRINOS**

Data from Pure Heavy Water Phase in 2002



$$\phi_{CC} = 1.68^{+0.06}_{-0.06}(\text{stat.})^{+0.08}_{-0.09}(\text{syst.})$$

$$\phi_{NC} = 4.94^{+0.21}_{-0.21}(\text{stat.})^{+0.38}_{-0.34}(\text{syst.})$$

$$\phi_{ES} = 2.35^{+0.22}_{-0.22}(\text{stat.})^{+0.15}_{-0.15}(\text{syst.})$$

(In units of  $10^6 \text{ cm}^{-2} \text{ s}^{-1}$ )

$$\frac{\phi_{CC}}{\phi_{NC}} = 0.34 \pm 0.023(\text{stat.})^{+0.029}_{-0.031}$$

## SNO Results for Salt Phase

Flavor change determined by  $> 7 \sigma$ .

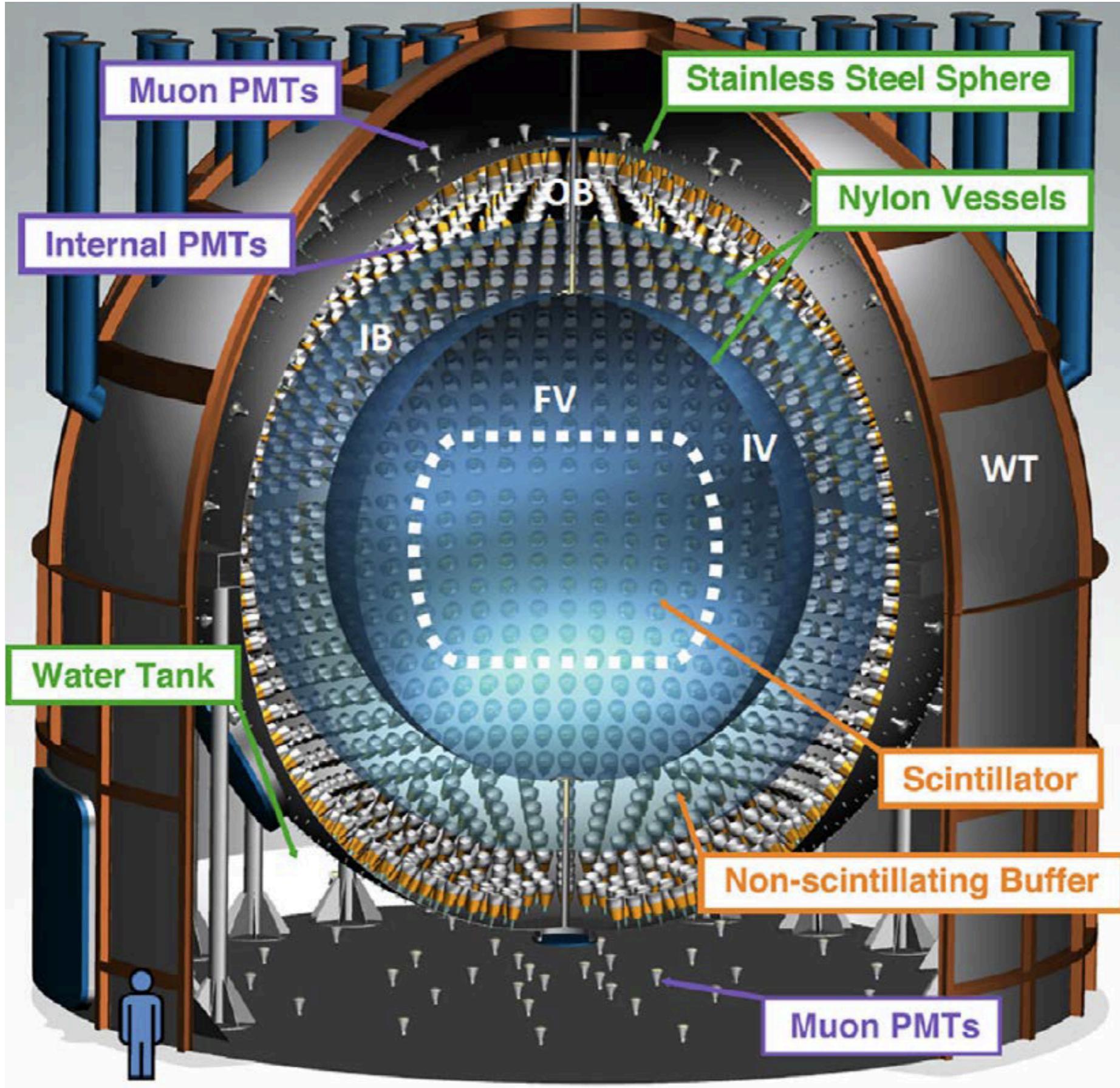
New physics beyond The Standard Model of Elementary Particles!

The Total Flux of Active Neutrinos is measured independently (NC) and agrees well with solar model Calculations:  
 5.82  $\pm$  1.3 (Bahcall et al),  
 5.31  $\pm$  0.6 (Turck-Chieze et al)

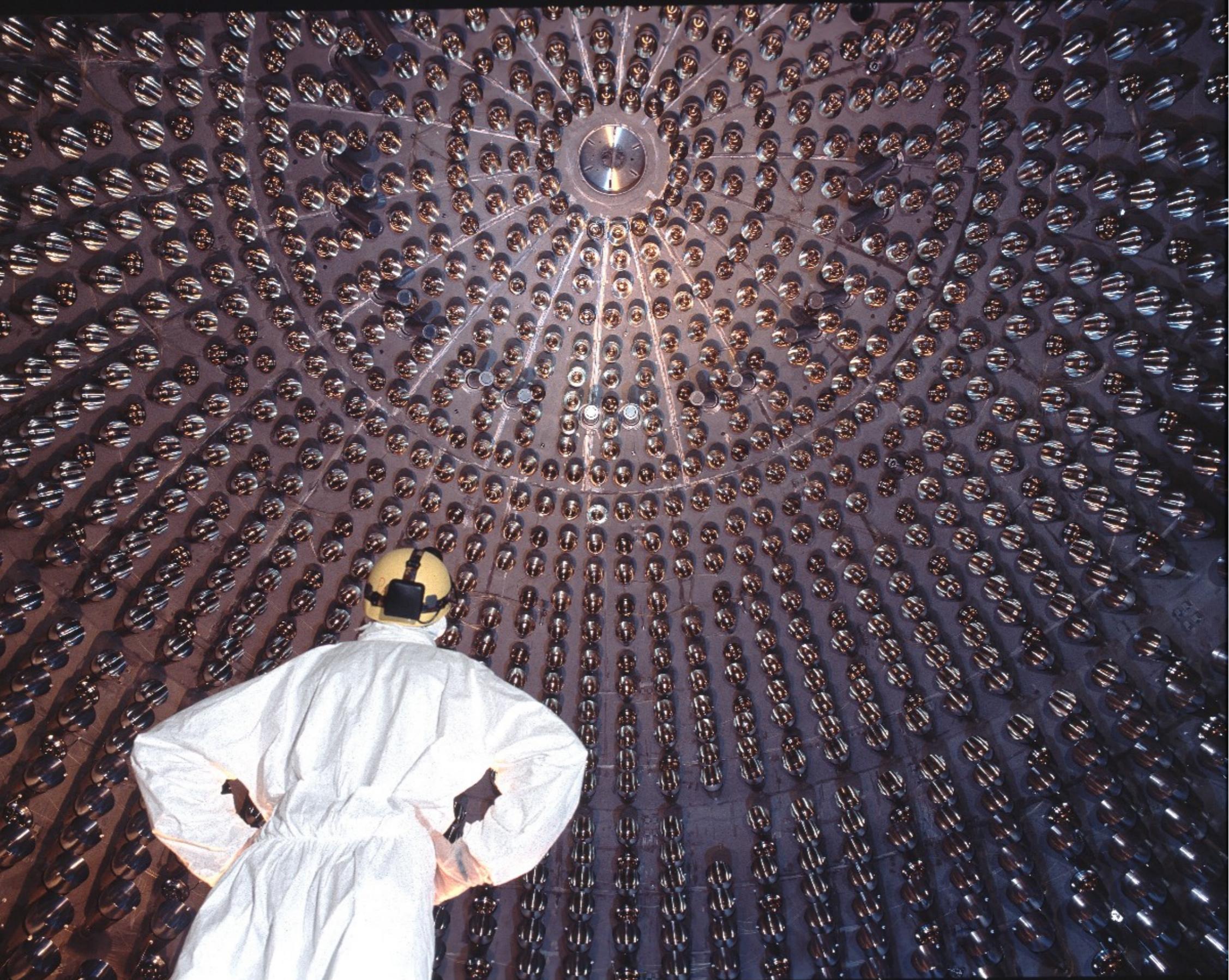
Electron Neutrinos are only 1/3 of Total



# The Borexino detector



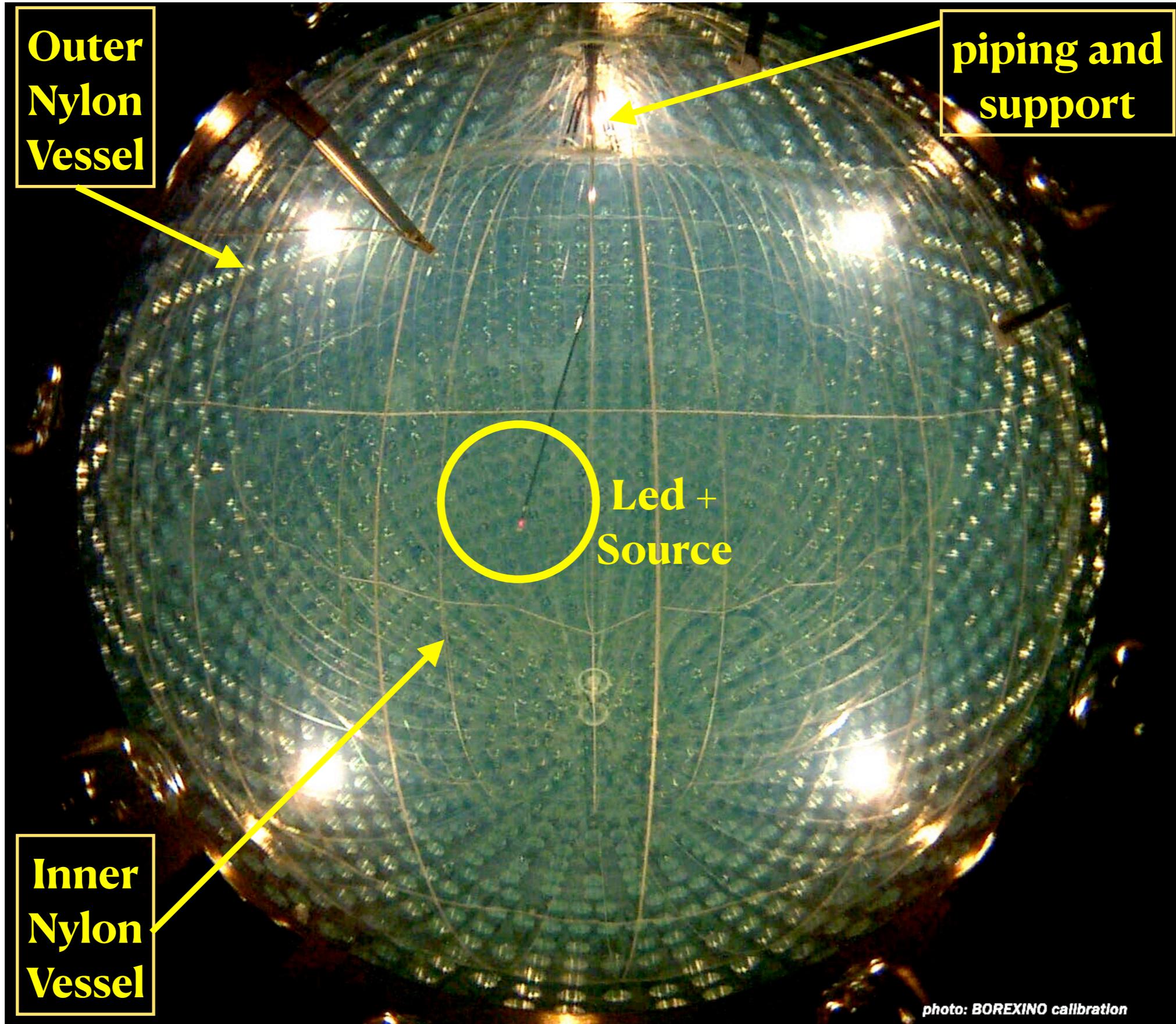
# Internal view, empty

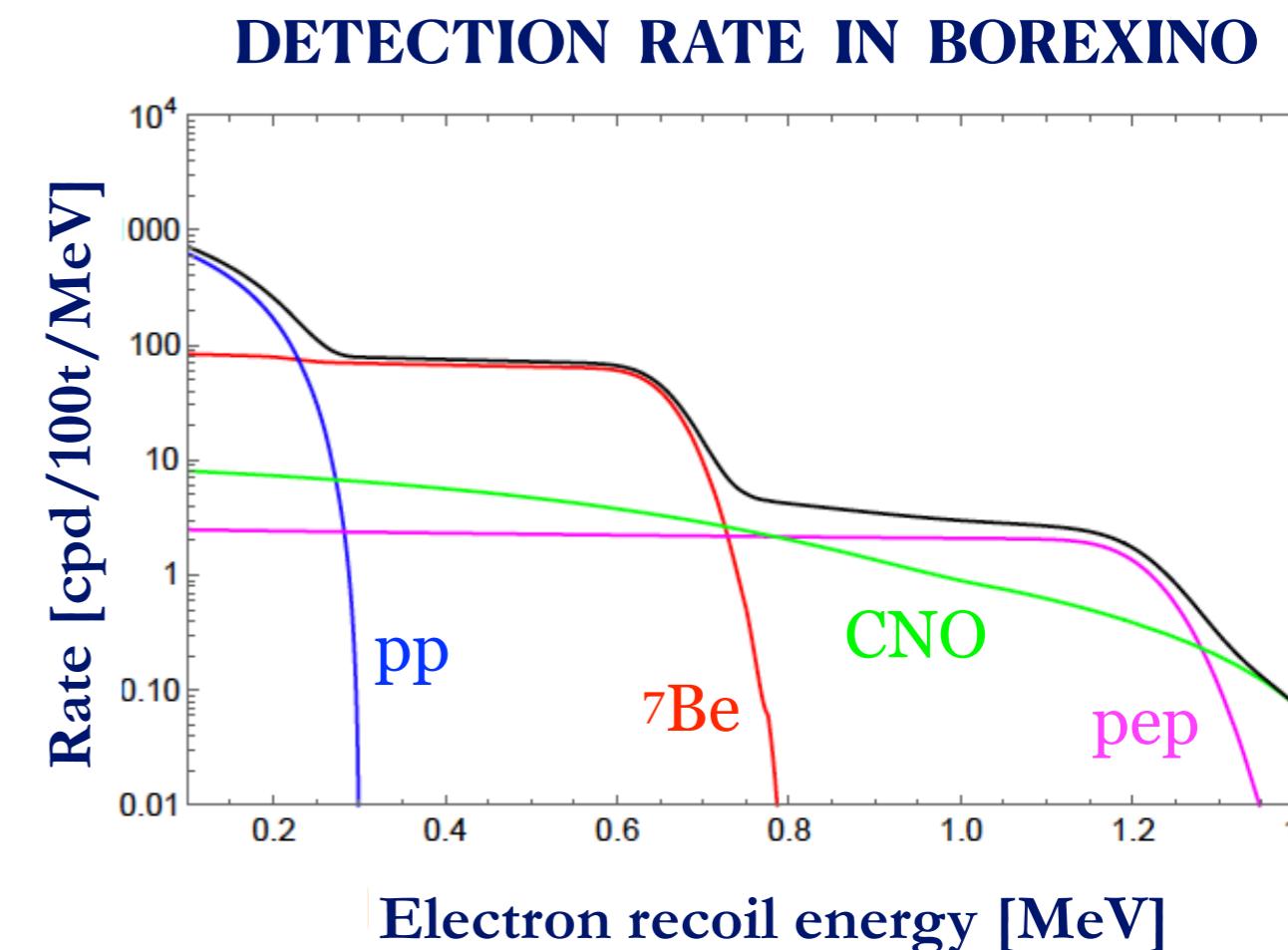
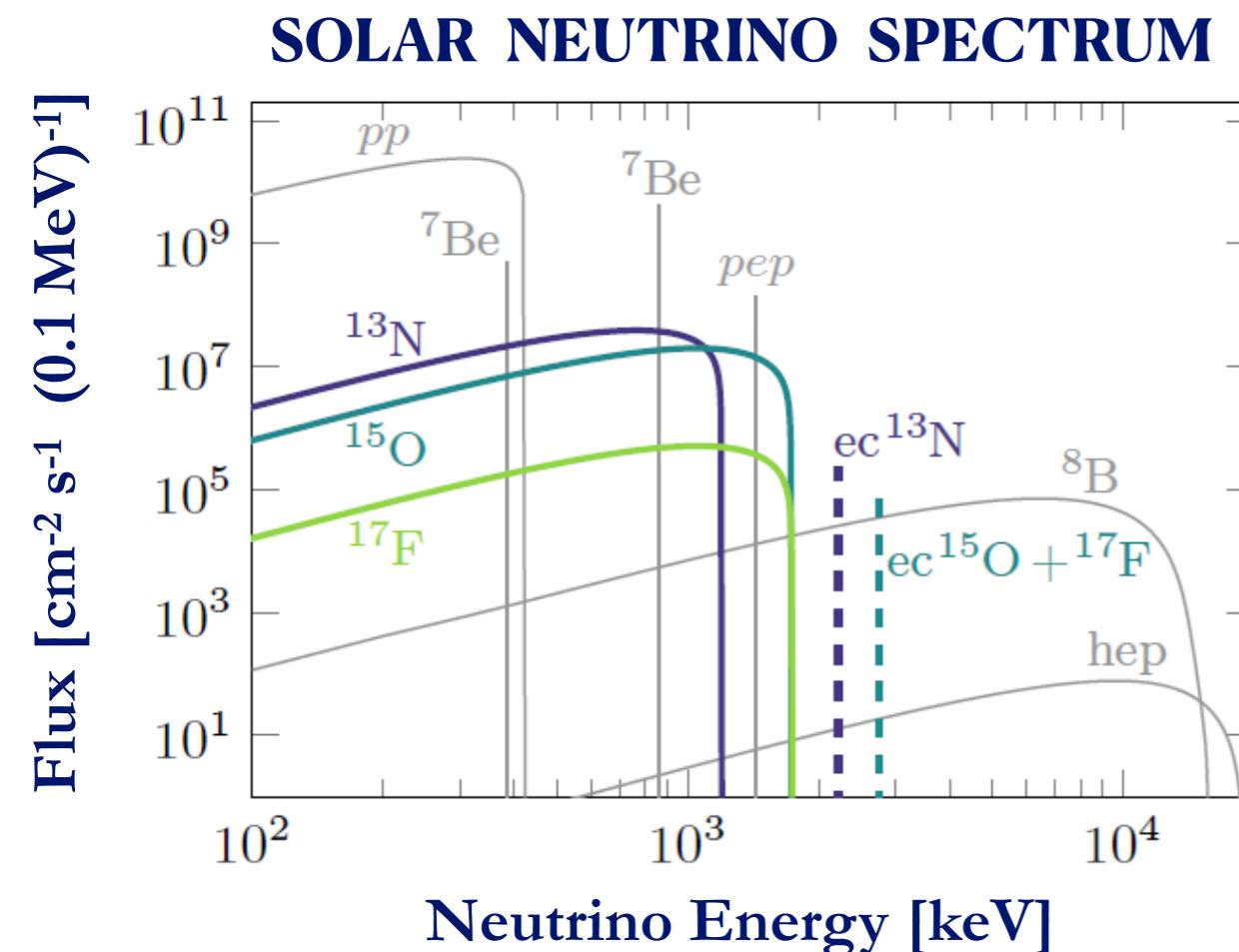


# Internal view: inflated vessels (with N<sub>2</sub>)



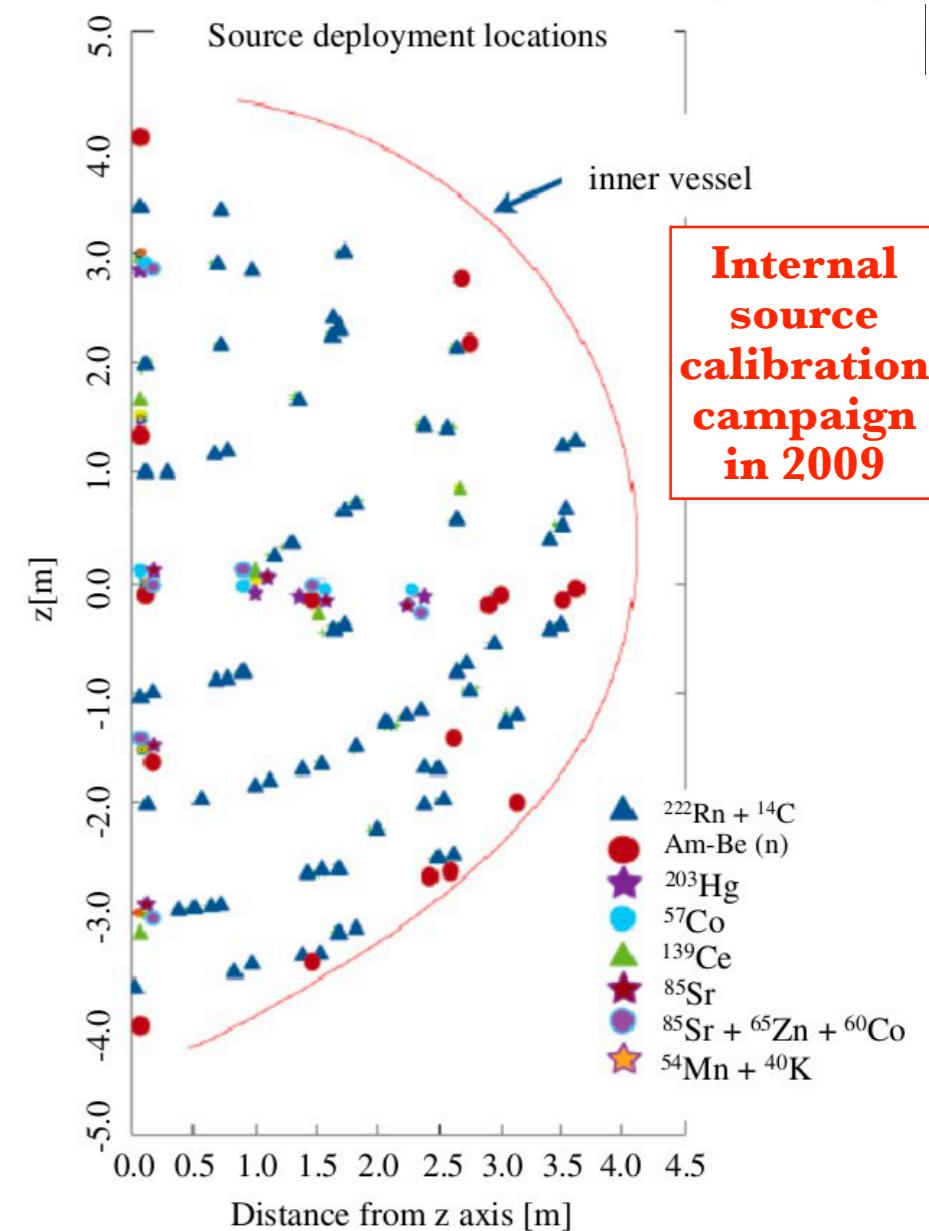
# Internal view, filled, during calibration in 2009



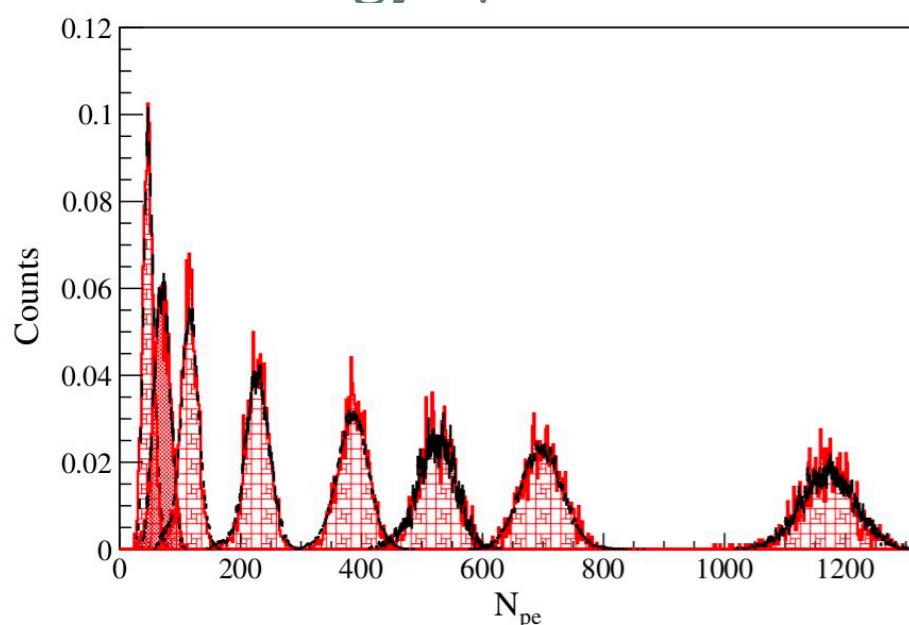


# Detector response

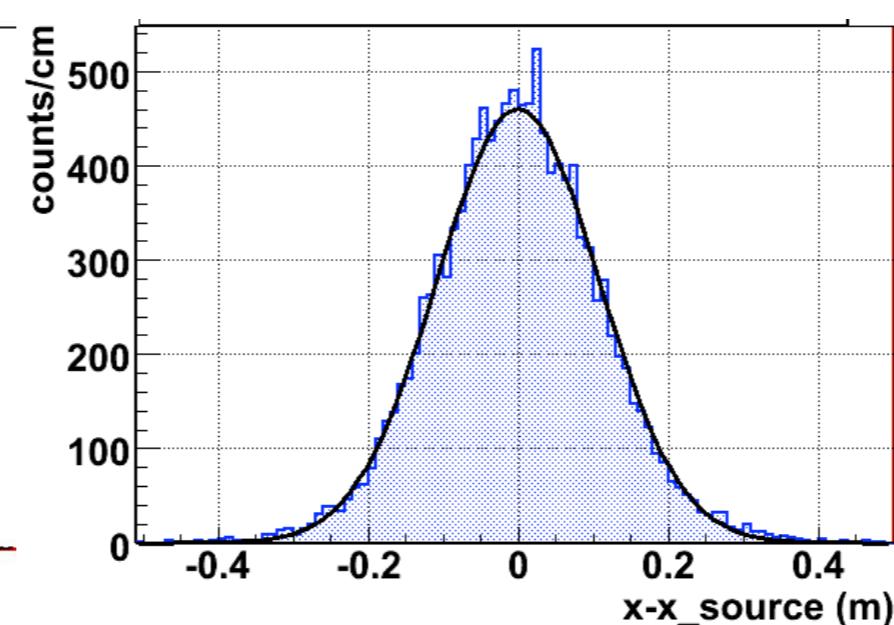
- Large liquid scintillator signal yields:
  - # photo-electrons:
    - energy: **6% @ 1 MeV**
  - time-of-flight:
    - position:  **$\sim 11 \text{ cm} @ 1 \text{ MeV}$**
  - pulse shape:
    - very good  $\alpha/\beta$  and (weak)  $\beta^+/\beta^-$  discrimination



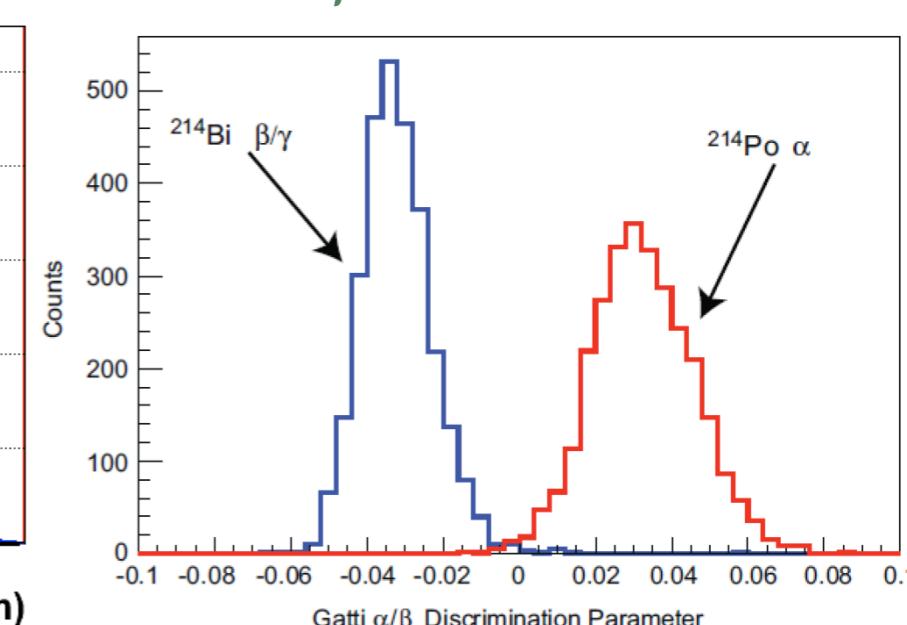
Energy:  $\gamma$  sources



Position:  $^{214}\text{Po}$



$\alpha/\beta$ :  $^{214}\text{Bi} - ^{214}\text{Po}$



- Quasi-point-like energy deposits mimic neutrino events

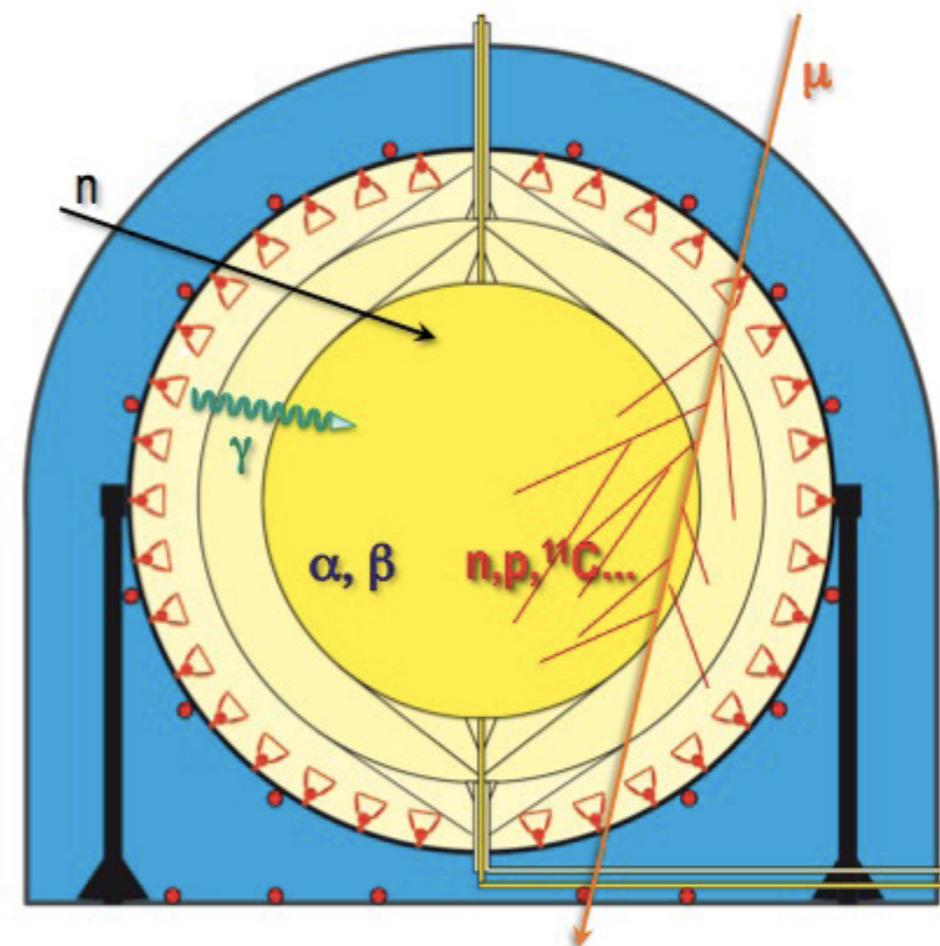
### EXTERNAL

- $\gamma$ s (and n) from environment
- and detector materials (PMTs and SSS, mostly)

A tiny amount reaches FV

### INTERNAL

- $\alpha$  and  $\beta$  emitters dissolved in the scintillator
- $^{14}\text{C}$ ,  $^{238}\text{U}$ ,  $^{232}\text{Th}$ ,  $^{40}\text{K}$ ,  $^{39}\text{Ar}$ ,  $^{7}\text{Be}$ , ...  
 $^{85}\text{Kr}$ ,  $^{210}\text{Pb}$ ,  $^{210}\text{Po}$



### COSMOGENIC

- Residual muons produce long living isotopes ( $\mu\text{s}$  to days range)

$^{11}\text{C}$ ,  $^8\text{He}$ ,  $^9\text{C}$ ,  $^9\text{Li}$ , ....

### MIGRATING

- Detaching from Nylon Vessel and transported by convection into the FV
- $^{210}\text{Po}$ ,  $^{222}\text{Rn}$

- Quasi-point-like energy deposits mimic neutrino events

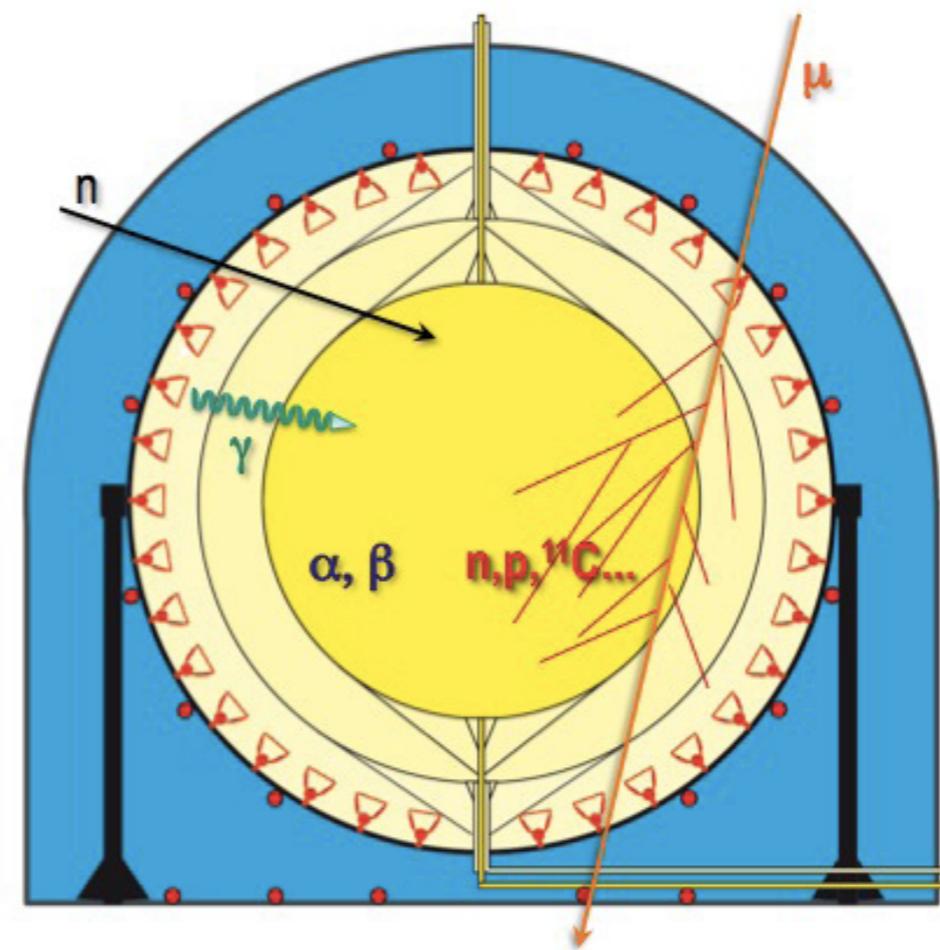
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- Detaching from Nylon Vessel and transported by convection into the FV
- $^{210}\text{Po}$ ,  $^{222}\text{Rn}$

## FIGHTING STRATEGY

- Shielding, muon tagging and tracking
- Material selection (steel, PMTs, nylon)
- Nylon vessel (material selection, clean construction, no air exposure)

- Quasi-point-like energy deposits mimic neutrino events

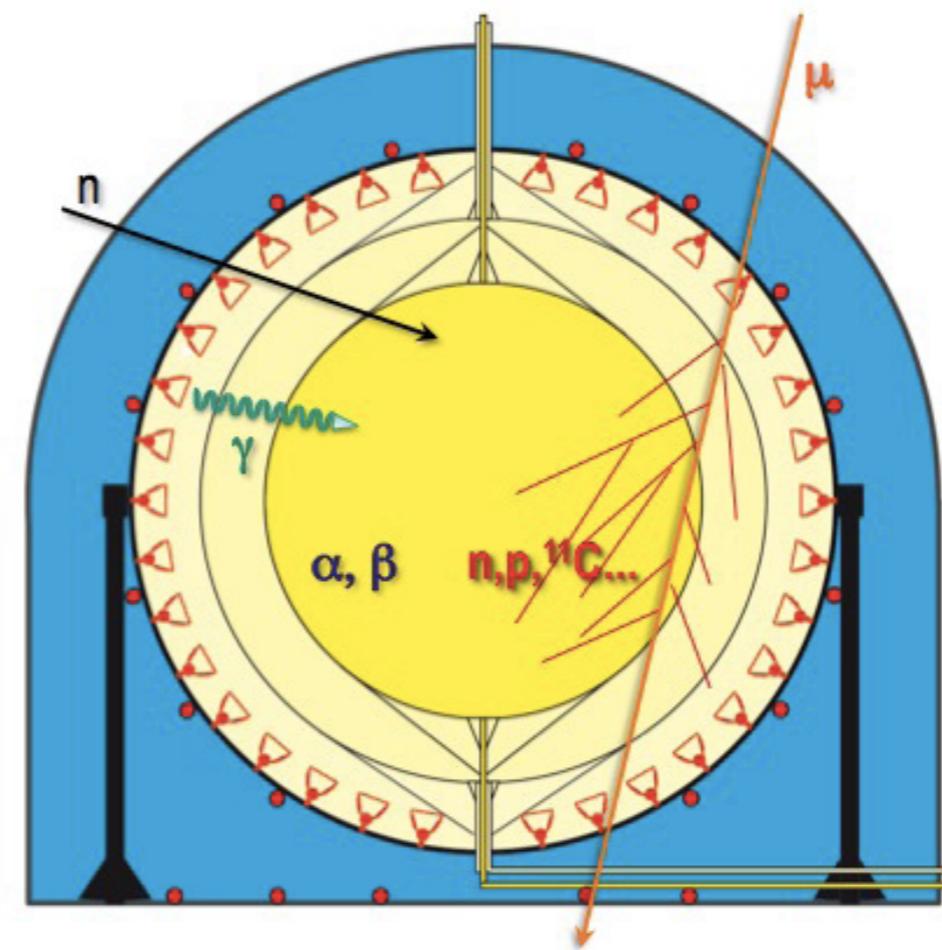
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## MIGRATING

- Detaching from Nylon Vessel and transported by convection into the FV
- $^{210}\text{Po}$ ,  $^{222}\text{Rn}$

## FIGHTING STRATEGY

- Selection of PC vendor for low  $^{14}\text{C}$ , dedicated plant, and custom transportation
- Distillation of PC, Water Extraction of PC+PPO solution**
- Development of **low Ar and Kr**  $\text{N}_2$  to remove dissolved contaminants
- Extreme cleanliness of plants, carefully designed filling procedures

**A long story made short!**

- Quasi-point-like energy deposits mimic neutrino events

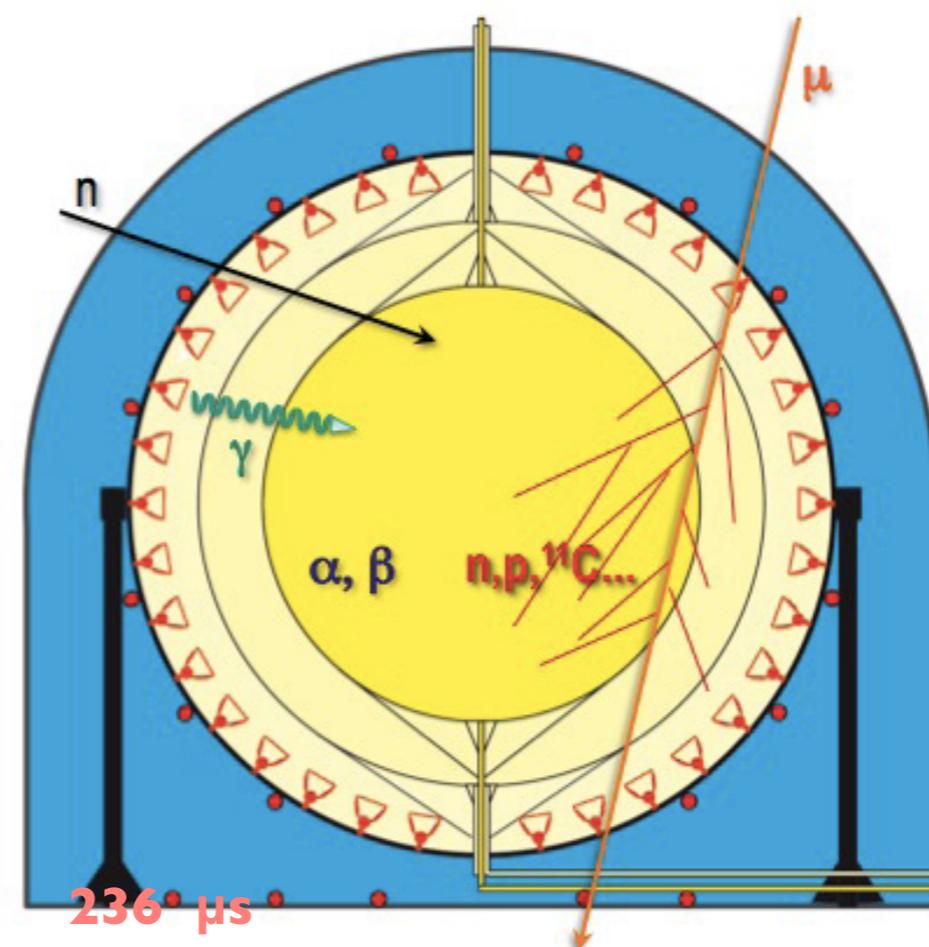
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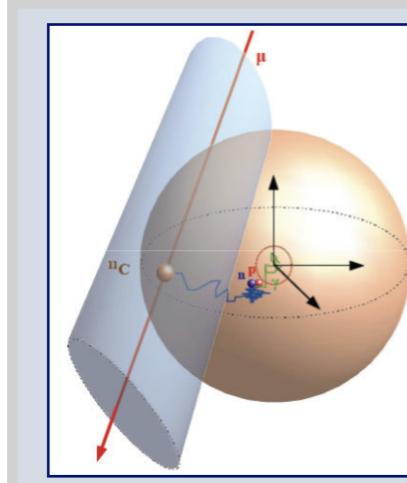
## COSMOGENIC

- Residual muons produce long living isotopes ( $\mu\text{s}$  to days range)

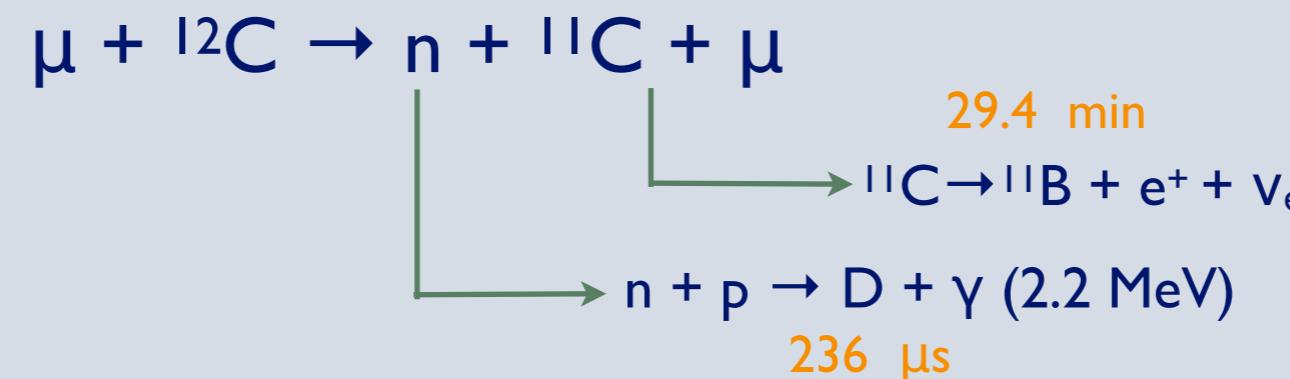
$^{11}\text{C}$ ,  $^8\text{He}$ ,  $^9\text{C}$ ,  $^9\text{Li}$ , ....

## MIGRATING

- Detaching from Nylon Vessel and transported by convection into the FV
- $^{210}\text{Po}$ ,  $^{222}\text{Rn}$



## FIGHTING STRATEGY



Other isotopes: removed by "after muon" veto cuts

# Fighting backgrounds

- Quasi-point-like energy deposits mimic neutrino events

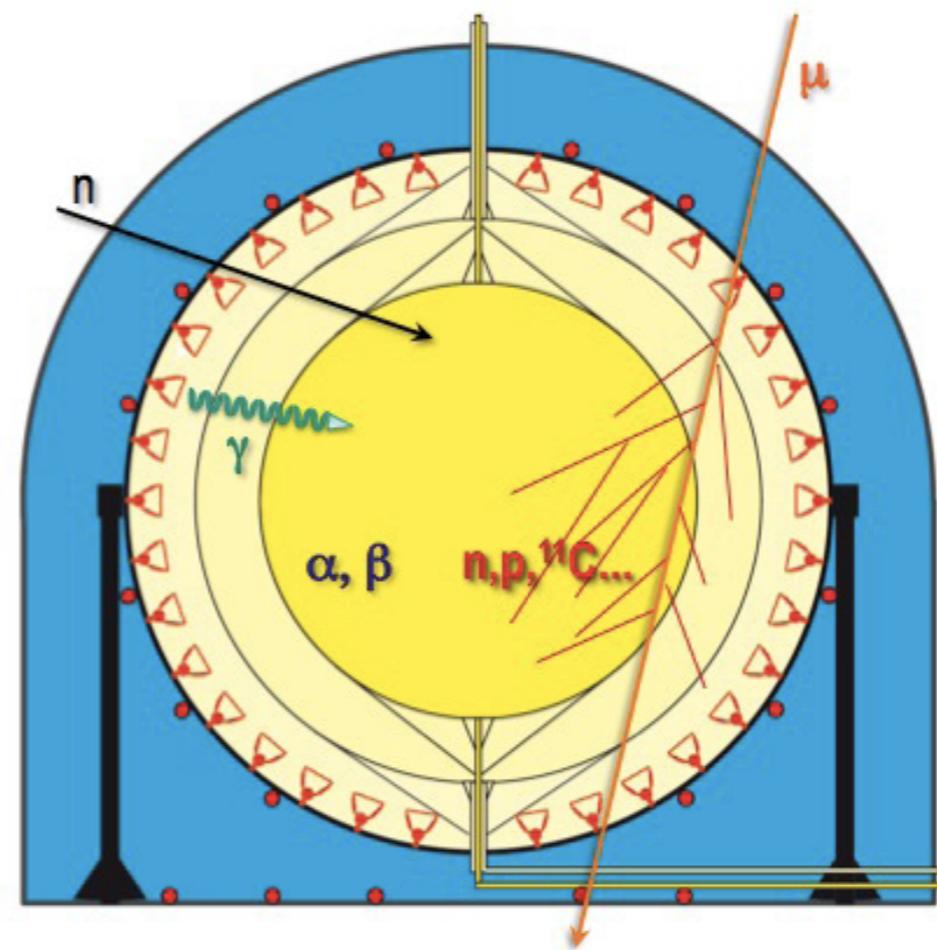
## EXTERNAL

- $\gamma$ s (and n) from environment
- and detector materials (PMTs and SSS, mostly)

A tiny amount reaches FV

## INTERNAL

- $\alpha$  and  $\beta$  emitters dissolved in the scintillator
- $^{14}\text{C}$ ,  $^{238}\text{U}$ ,  $^{232}\text{Th}$ ,  $^{40}\text{K}$ ,  $^{39}\text{Ar}$ ,  $^{7}\text{Be}$ , ...  
 $^{85}\text{Kr}$ ,  $^{210}\text{Pb}$ ,  $^{210}\text{Po}$



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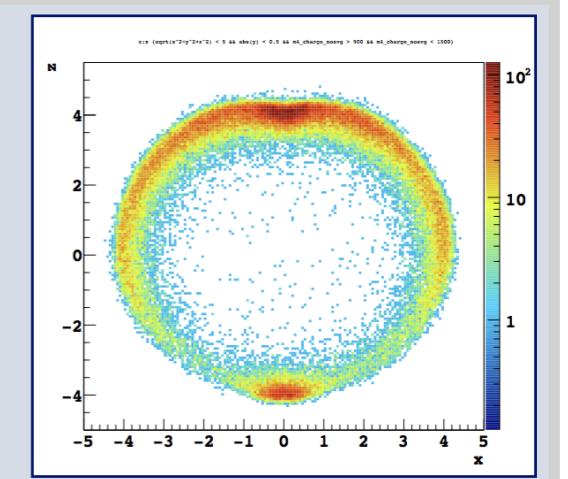
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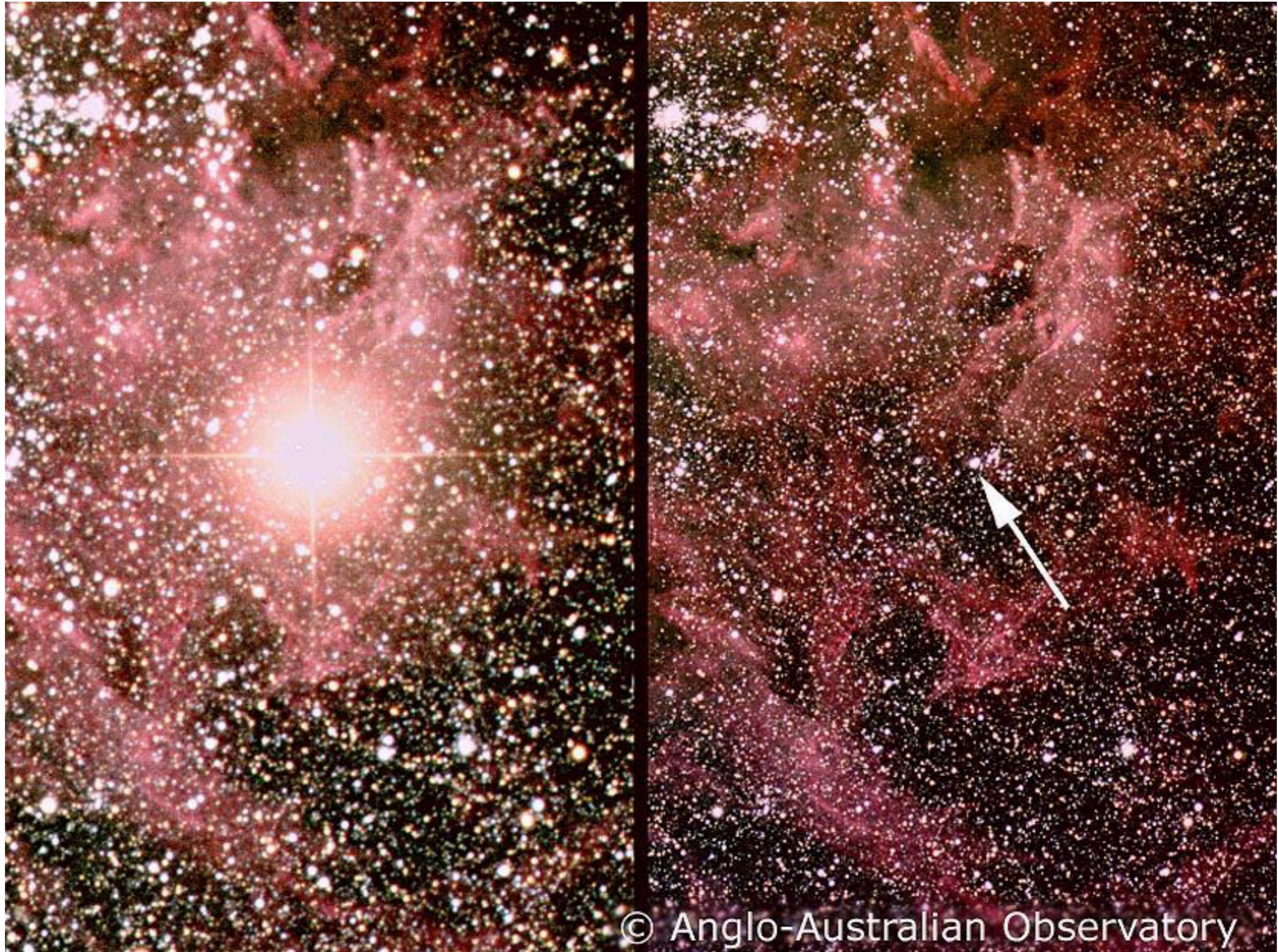
## FIGHTING STRATEGY

- Isotopes detaching from IV may reach the FV
  - $^{210}\text{Po}$  (chiefly) and  $^{222}\text{Rn}$  daughters
- Leaching rate (chemistry) and speed (convection currents)
  - Only if they live long enough!

See later



# SN1987a: optical image before and after



- The first (and so far unique) neutrino detection for a star other than our Sun

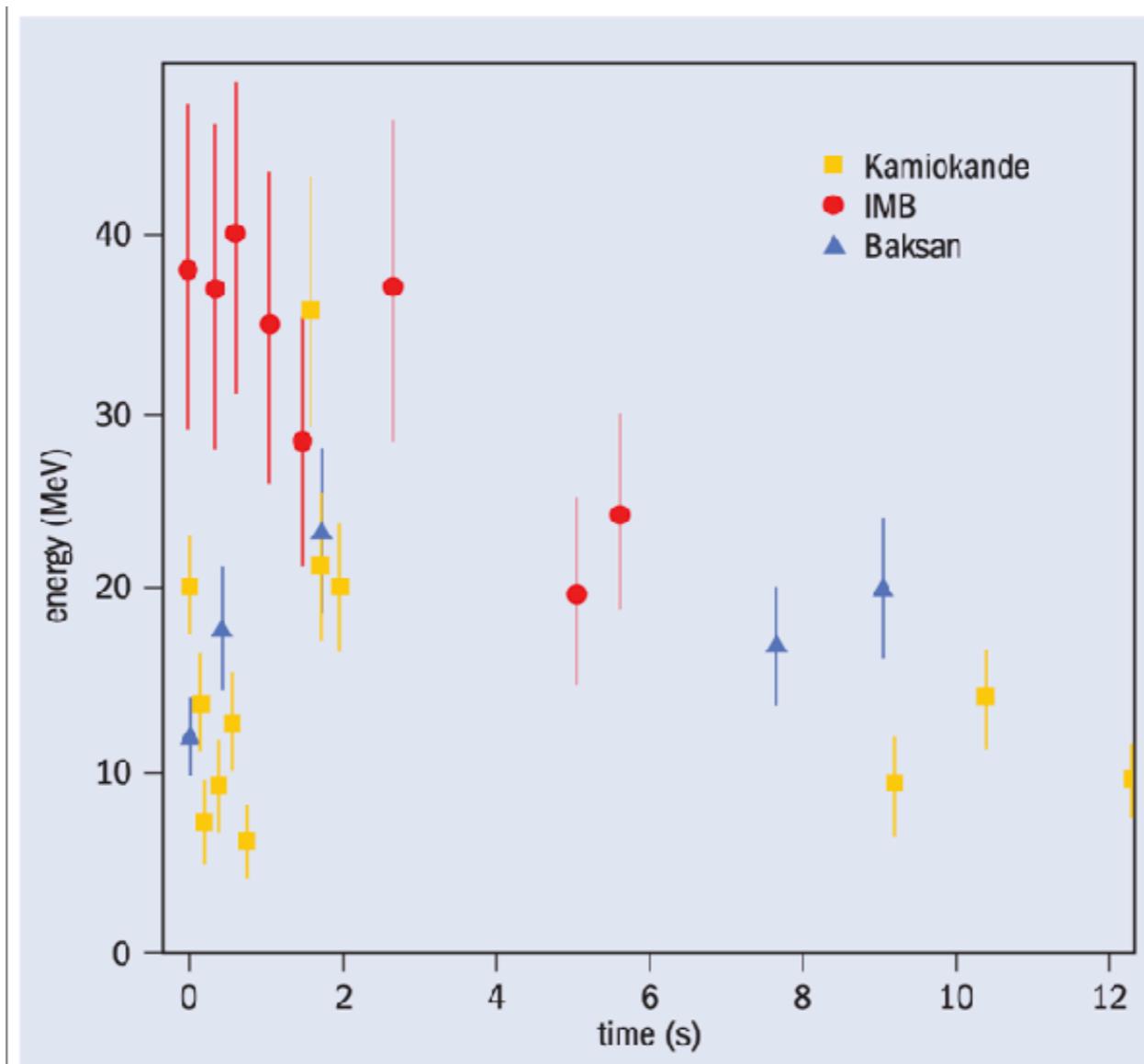


Fig. 3. SN1987A neutrino events observed by Kamiokande, IMB and Baksan showed that the neutrino burst lasted about 13s.



# Open problems

## • Neutrino mass type

- Majorana vs Dirac
  - NEUTRINOLESS DOUBLE BETA DECAY

## • Neutrino mass scale

- What is the value of  $m_1$  ?
  - DIRECT NEUTRINO MEASUREMENTS (not covered)

## • Neutrino mass ordering

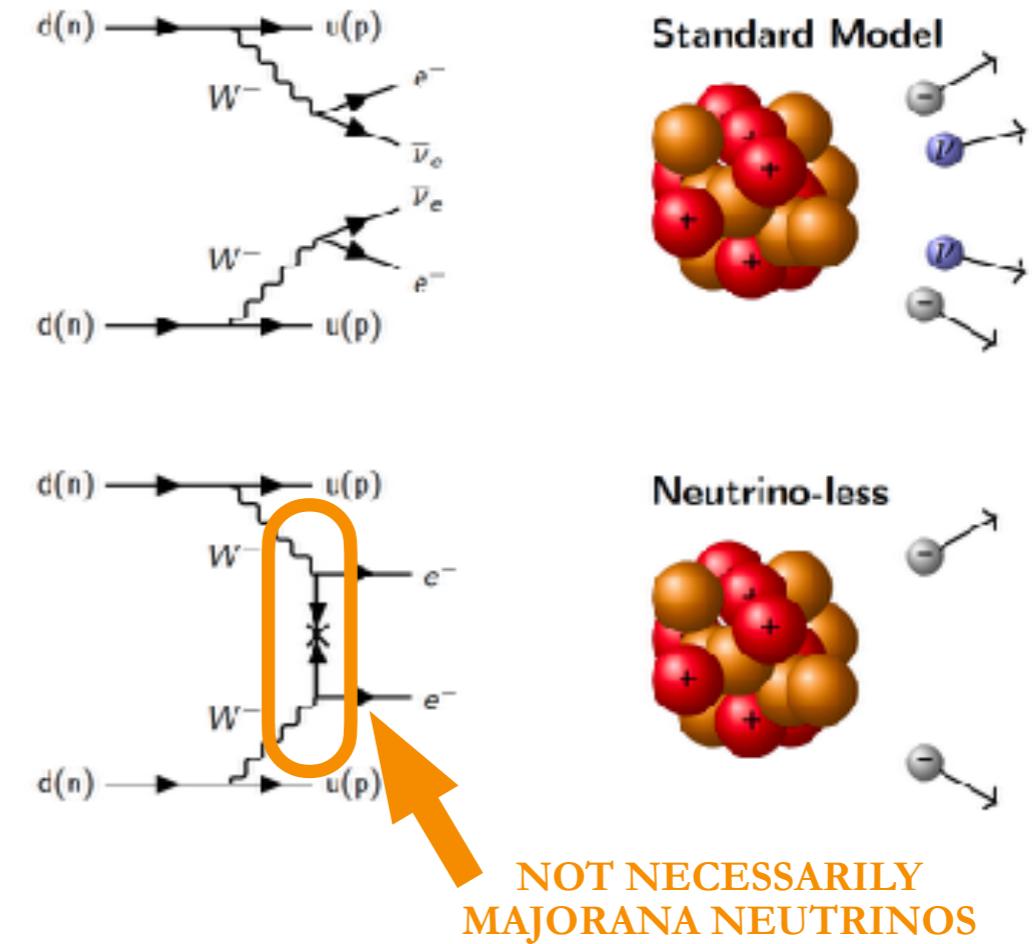
- $m_3 > m_1$  or  $m_3 < m_1$  ?
  - JUNO, ORCA, DUNE

## • CP violation in lepton sector ?

- What is the value of  $\delta_{CP}$  ?
  - T2K and Nova, then (>2028) DUNE and T2HK

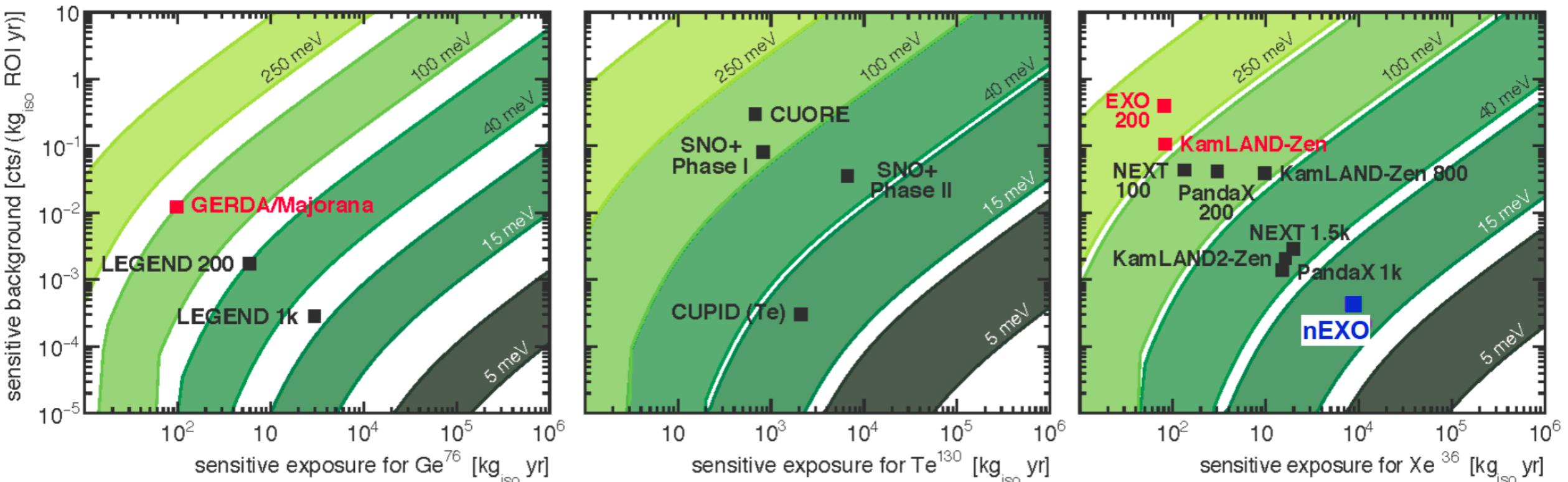
# Why $0\nu\beta\beta$ is important ?

- The only known process that can **distinguish** between **Majorana and Dirac mass terms**
  - i.e.  $0\nu\beta\beta$  can happen only if neutrinos are their own anti-particle (truly neutral)
  - i.e. lepton number is violated
  - In all scenarios  $0\nu\beta\beta$  implies new physics

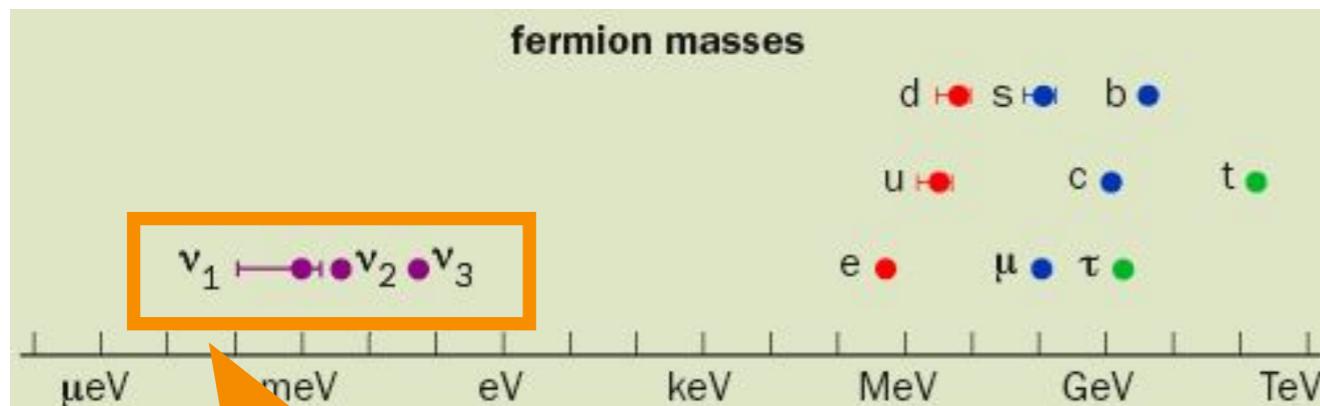


## SENSITIVITY OF NEXT GENERATION EXPERIMENTS

PRD 96 (2017) 053001  
PRD 96 (2017) 073001



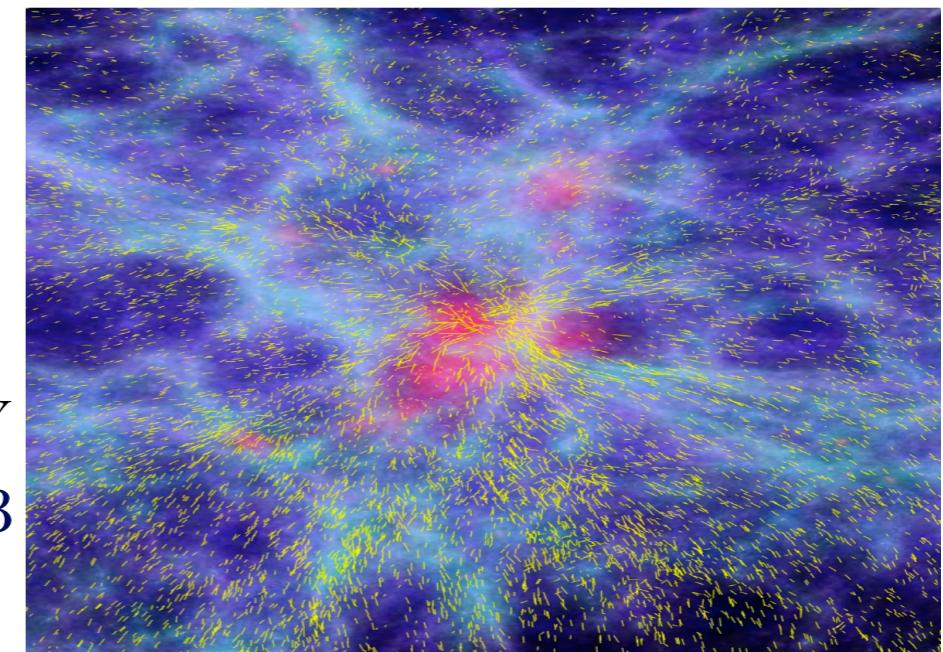
# Why mass is important: three ways, three “masses” !



NEUTRINOS  
ARE DIFFERENT ?

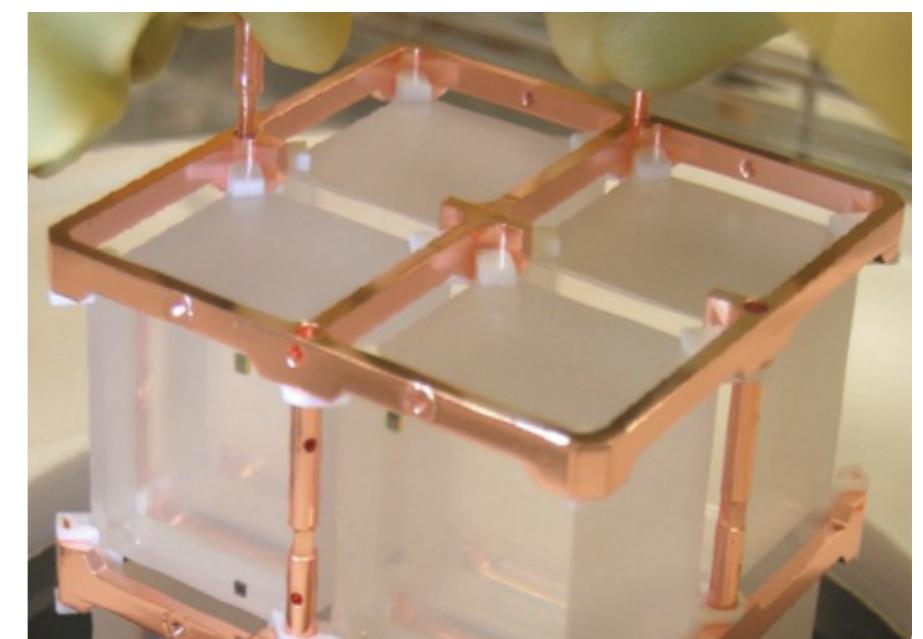
$$\Sigma_\nu = m_1 + m_2 + m_3$$

GRAVITY



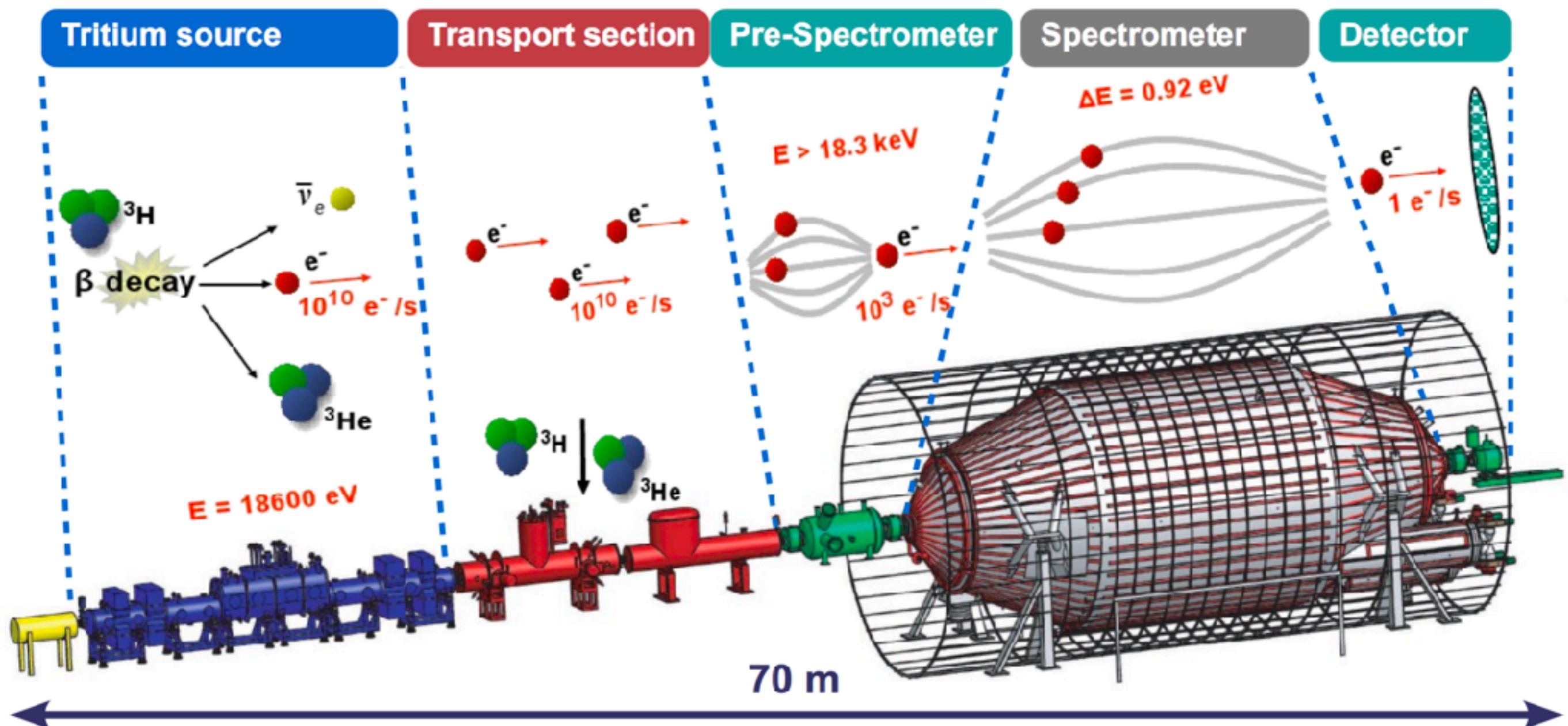
$\beta$  DECAY KINEMATICS

$$m_\beta = \sqrt{|U_{e1}|^2 m_1^2 + |U_{e2}|^2 m_2^2 + |U_{e3}|^2 m_3^2}$$



LEPTON NUMBER VIOLATION ( $0\nu\beta\beta$  DECAY)

$$m_{\beta\beta} = |U_{e1}^2 m_1 + U_{e2}^2 m_2 + U_{e3}^2 m_3|$$



Potential sensitivity:  $0.35 \text{ eV}$  (discovery at  $5\sigma$ ,  $0.2$  upper limit )



# Why measuring $\delta_{CP}$ is important ?

- We do not understand the **origin of matter-antimatter asymmetry in the Universe**
  - To get it you need CP violation (and baryon number violation)
  - Is the CP violation required explained by Standard Model + PMNS ?

- CP violation is proportional to so called Jarlskog invariant

$$J = \sin \vartheta_{12} \cos \vartheta_{12} \sin \vartheta_{23} \cos \vartheta_{23} \sin \vartheta_{13} \cos^2 \vartheta_{13} \sin \delta_{CP} = J_{max} \sin \delta_{CP}$$

$$J_{max}^{quarks} = (3.18 \pm 0.15) \cdot 10^{-5}$$

$$J_{max}^{leptons} = (3.3 \pm 0.06) \cdot 10^{-2}$$

- Quarks are ruled out
  - Leptons, not necessarily. They may play a role, possibly not unique.
- 
- **Be aware:** you need, anyway, a **baryon number violation mechanism**, which cannot be related to SM

# Thank you